

# Retracts of vertex sets of trees and the almost stability theorem

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## Abstract

Let  $G$  be a group, let  $T$  be an (oriented)  $G$ -tree with finite edge stabilizers, and let  $VT$  denote the vertex set of  $T$ . We show that, for each  $G$ -retract  $V'$  of the  $G$ -set  $VT$ , there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is  $V'$ . This fact leads to various new consequences of the almost stability theorem.

We also give an example of a group  $G$ , a  $G$ -tree  $T$  and a  $G$ -retract  $V'$  of  $VT$  such that no  $G$ -tree has vertex set  $V'$ .

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## 1 Outline

Throughout the article, let  $G$  be a group, and let  $\mathbb{N}$  denote the set of finite cardinals,  $\{0, 1, 2, \dots\}$ . All our  $G$ -actions will be on the left.

The following extends Definitions II.1.1 of [3] (where  $A$  is assumed to have trivial  $G$ -action).

**1.1 Definition.** Let  $E$  and  $A$  be  $G$ -sets.

Let  $(E, A)$  denote the set of all functions from  $E$  to  $A$ . An element  $v$  of  $(E, A)$  has the form  $v: E \rightarrow A, e \mapsto v(e)$ . There is a natural  $G$ -action on  $(E, A)$  such that  $(gv)(e) := g(v(g^{-1}e))$  for all  $v \in (E, A), g \in G, e \in E$ .

Two elements  $v$  and  $w$  of  $(E, A)$  are said to be *almost equal* if the set

$$\{e \in E \mid v(e) \neq w(e)\}$$

is finite. Almost equality is an equivalence relation; the equivalence classes are called the *almost equality classes* in  $(E, A)$ .

A subset  $V$  of  $(E, A)$  is said to be  *$G$ -stable* if  $V$  is closed under the  $G$ -action. In general, a  $G$ -stable subset is the same as a  $G$ -subset.  $\square$

In this article, we wish to strengthen the following result.

**1.2 The almost stability theorem** [3, Theorem III.8.5]. *If  $E$  is a  $G$ -set with finite stabilizers, and  $A$  is a nonempty set with trivial  $G$ -action, and  $V$  is a  $G$ -stable almost equality class in the  $G$ -set  $(E, A)$ , then there exists a  $G$ -tree with finite edge stabilizers and vertex set  $V$ .*  $\square$

In the light of Bass-Serre theory, the almost stability theorem can be thought of as a broad generalization of Stallings' ends theorem; see [3, Theorem III.2.1].

Let us now recall the notion of a  $G$ -retract of a  $G$ -set. The following alters Definition III.1.1 of [3] slightly.

**1.3 Definition.** A  $G$ -retract  $U$  of a  $G$ -set  $V$  is a  $G$ -subset of  $V$  with the property that, for each  $w \in V - U$ , there exists  $u \in U$  such that  $G_w \leq G_u$ , or, equivalently, with the property that there exists a  $G$ -map, called a  $G$ -retraction, from  $V$  to  $U$  which is the identity on  $U$ .  $\square$

Chapter IV of [3] collects together a wide variety of consequences of the almost stability theorem 1.2. In some of these applications, the conclusions assert that certain naturally arising  $G$ -sets are  $G$ -retracts of vertex sets of  $G$ -trees with finite edge stabilizers. This leads to the question of whether or not the class of vertex sets of  $G$ -trees with finite edge stabilizers is closed under taking  $G$ -retracts. We are now able to answer this in the affirmative; in Section 4 below, we prove that any  $G$ -retract of the vertex set of a  $G$ -tree with finite edge stabilizers is itself the vertex set of a  $G$ -tree with finite edge stabilizers.

In Section 5, we record the resulting generalizations of the almost stability theorem and the applications which are affected. In the most classic example, if  $G$  has cohomological dimension one, and  $\omega\mathbb{Z}G$  is the augmentation ideal of the group ring  $\mathbb{Z}G$ , one can deduce that  $G$  acts freely on a tree whose vertex set is the  $G$ -set  $1 + \omega\mathbb{Z}G$ , and, hence,  $G$  is a free group; this is a slightly more detailed version of a theorem of Stallings and Swan.

In Section 6, we record an even more general form of the almost stability theorem in which the  $G$ -action on  $A$  need not be trivial.

In Section 7, we construct a group  $G$  and a  $G$ -retract of a vertex set of a  $G$ -tree (with infinite edge stabilizers) that is not itself the vertex set of a  $G$ -tree.

## 2 Operations on trees

Throughout this section we will be working with the following.

**2.1 Hypotheses.** Let  $T = (T, V, E, \iota, \tau)$  be a  $G$ -tree, as in [3, Definition I.2.3].

We write  $VT = V$  and  $ET = E$ , and we view the underlying  $G$ -set of  $T$  as the disjoint union of  $V$  and  $E$ , written  $T = V \vee E$ . Here  $\iota: E \rightarrow V$  is the *initial vertex* map and  $\tau: E \rightarrow V$  is the *terminal vertex* map.  $\square$

We first consider a simple form of retraction, which amplifies Definitions III.7.1 of [3]. Recall that a vertex  $v$  of a tree is called a *sink* if every edge of the tree is oriented towards  $v$ .

**2.2 The compressing lemma.** *Suppose that Hypotheses 2.1 hold.*

*Let  $E'$  be a  $G$ -subset of  $E$  such that each component of the subforest  $T - E'$  of  $T$  has a (unique) sink. Let  $V'$  denote the set of sinks of the components of  $T - E'$ .*

*Let  $i: E' \rightarrow E$  denote the inclusion map, and let  $\phi: V \rightarrow V'$  denote the  $G$ -retraction which assigns, to each  $v \in V$ , the sink of that component of  $T - E'$  which contains  $v$ .*

*Then the  $G$ -graph  $T' = (T', V', E', \phi \circ \iota \circ i, \phi \circ \tau \circ i)$  is a  $G$ -tree.*

Let  $E'' = E - E'$  and let  $V'' = V - V'$ . Then  $T - E'$  is the  $G$ -subforest of  $T$  with vertex set  $V$  and edge set  $E''$ . For each  $v \in V$ ,  $\phi(v)$  is reached in  $T$  by starting at  $v$  and travelling as far as possible along edges in  $E''$  respecting the orientation. The initial vertex map  $\iota: E \rightarrow V$  induces a bijective map  $E'' \rightarrow V''$ .

We say that  $T'$  is obtained from  $T$  by *compressing the closures of the elements of  $E''$  to their terminal vertices* or by *compressing the components of  $T - E'$  to their sinks*.

In applications, we usually first  $G$ -equivariantly reorient  $T$  and then, in the resulting tree, compress a  $G$ -set of closed edges to their terminal vertices; we then call the combined procedure a  *$G$ -equivariant compressing operation*.

*Proof of Lemma 2.2.* The map  $\phi$  induces a surjective  $G$ -map  $T \rightarrow T'$  in which the fibres are the components of  $T - E'$ . It follows that  $T'$  is a  $G$ -tree.  $\square$

We now recall the sliding operation of Rips-Sela [8, p. 59] as generalized by Forester [7, Section 3.6]; see also the Type 1 operation of [6, p. 146]. We find it convenient to express the result and the proof in the notation of [3].

**2.3 The sliding lemma.** *Suppose that Hypotheses 2.1 hold.*

*Let  $e$  and  $f$  be elements of  $E$ .*

*Suppose that  $\tau e = \iota f$ ,  $G_e \leq G_f$ , and  $Gf \cap Ge = \emptyset$ .*

*Let  $\tau': E \rightarrow V$  denote the map given by*

$$e' \mapsto \tau'(e') := \begin{cases} \tau(e') & \text{if } e' \in E - Ge, \\ \tau(gf) & \text{if } e' = ge \text{ for some } g \in G, \end{cases}$$

*for all  $e' \in E$ .*

*Then the  $G$ -graph  $T' = (T', V, E, \iota, \tau')$  is a  $G$ -tree.*

Here, we say that  $T'$  is obtained from  $T$  by  $G$ -equivariantly sliding  $\tau e$  along  $f$  from  $\iota f$  to  $\tau f$ .

In applications, we usually first  $G$ -equivariantly reorient  $Ge$ , or  $Gf$ , or both, or neither, and then, in the resulting tree,  $G$ -equivariantly slide  $\tau e$  along  $f$  from  $\iota f$  to  $\tau f$ , and then reorient back again. We then call the combined procedure a  $G$ -equivariant sliding operation.

*Proof of Lemma 2.3.* It is clear that  $T'$  is a  $G$ -graph.

Let  $X$  be the  $G$ -graph obtained from  $T$  by deleting the two edge orbits  $Ge \cup Gf$ , and then inserting one new vertex orbit  $Gv$  and three new edge orbits  $Ge' \cup Gf_1 \cup Gf_2$ , with  $G_{e'} = G_e$ ,  $G_v = G_{f_1} = G_{f_2} = G_f$ , and setting

$$\iota(e') := \iota(e), \quad \iota(f_1) := \iota(f) = \tau(e), \quad \iota(f_2) := \tau(e') := \tau(f_1) := v, \quad \tau(f_2) := \tau(f).$$

Thus we are  $G$ -equivariantly subdividing  $f$  into  $f_1$  and  $f_2$  by adding  $v$ , and then sliding  $\tau e$  along  $f_1$  from  $\iota f_1$  to  $\tau f_1 = v$ .

Then  $T$  is recovered from  $X$  by  $G$ -equivariantly compressing the closure of  $f_1$  to  $\iota(f_1)$ , and renaming  $f_2$  as  $f$ ,  $e'$  as  $e$ . Thus  $X$  maps onto  $T$  with fibres which are trees. It follows that  $X$  is a tree; see [3, Proposition III.3.3].

Also  $T'$  is recovered from  $X$  by  $G$ -equivariantly compressing the closure of  $f_2$  to  $\tau(f_2)$ , and renaming  $f_1$  as  $f$ ,  $e'$  as  $e$ . By the compressing lemma 2.2,  $T'$  is a tree.  $\square$

### 3 Filtrations

Throughout this section we will be working with the following.

**3.1 Hypotheses.** Let  $T = (T, V, E, \iota, \tau)$  be a  $G$ -tree, let  $U$  be a  $G$ -retract of the  $G$ -set  $V$ , and let  $W = V - U$ .  $\square$

**3.2 Conventions.** We shall use interval notation for ordinals; for example, if  $\kappa$  is an ordinal, then  $[0, \kappa)$  denotes the set of all ordinals  $\alpha$  such that  $\alpha < \kappa$ .

If we have an ordinal  $\kappa$  and a specified map from a set  $X$  to  $[0, \kappa)$ , then we will understand that the following notation applies. Denoting the image of each  $x \in X$  by  $\text{height}(x) \in [0, \kappa)$ , we write, for each  $\alpha \in [0, \kappa)$  and each  $\beta \in [0, \kappa]$ ,

$$X[\alpha] := \{x \in X \mid \text{height}(x) = \alpha\} \quad \text{and} \quad X[0, \beta) := \{x \in X \mid \text{height}(x) < \beta\}. \quad \square$$

**3.3 Definitions.** Suppose that Hypotheses 3.1 hold.

Let  $P(T)$  denote the set of paths in  $T$ , as in Definitions I.2.3 of [3]. Thus, for each  $p \in P(T)$ , we have the *initial vertex* of  $p$ , denoted  $\iota p$ , the *terminal vertex* of  $p$ , denoted  $\tau p$ , the *set of edges which occur in  $p$* , denoted  $E(p) \subseteq E$ , the *length* of  $p$ , denoted  $\text{length}(p) \in \mathbb{N}$ , and the  *$G$ -stabilizer* of  $p$ , denoted  $G_p \leq G$ .

Let  $\kappa$  be an ordinal and let

$$(3.3.1) \quad T \rightarrow [0, \kappa), \quad x \mapsto \text{height}(x),$$

be a map. Since  $T$  is nonempty,  $\kappa$  must be nonzero. As a set,  $T = V \cup E$ . Thus, for each  $\alpha \in [0, \kappa)$ , we have  $T[\alpha]$ ,  $E[\alpha]$  and  $V[\alpha]$ , and, for each  $\beta \in [0, \kappa]$ , we have  $T[0, \beta]$ ,  $E[0, \beta]$  and  $V[0, \beta]$ .

For each  $w \in W$ , we then define

$$P_T(w) := \{p \in P(T) \mid \iota p = w, G_p = G_w, \text{height}(\tau p) < \text{height}(w), \\ \text{height}(E(p)) \subseteq \{\text{height}(w), \text{height}(w) + 1\}\}.$$

We say that (3.3.1) is a  *$U$ -filtration* of  $T$  if all of the following hold:

$$(3.3.2) \quad \text{for each } \beta \in [0, \kappa], T[0, \beta] \text{ is a } G\text{-subforest of } T;$$

$$(3.3.3) \quad T[0] = U;$$

$$(3.3.4) \quad \text{for each } \alpha \in [1, \kappa), T[\alpha] \text{ is a } G\text{-finite } G\text{-subset of } T; \text{ and,}$$

$$(3.3.5) \quad \text{for each } w \in W, P_T(w) \text{ is nonempty.} \quad \square$$

**3.4 Lemma.** *If Hypotheses 3.1 hold, then there exists a  $U$ -filtration of  $T$ .*

*Proof.* We shall recursively construct a family  $(E[\alpha] \mid \alpha \in [0, \kappa))$  of  $G$ -subsets of  $E$ , for some nonzero ordinal  $\kappa$ .

We take  $E[0] = \emptyset$ .

Suppose that  $\gamma$  is a nonzero ordinal, and that we have a family  $(E[\alpha] \mid \alpha \in [0, \gamma))$  of  $G$ -subsets of  $E$ .

For each  $\beta \in [0, \gamma]$ , we define

$$E[0, \beta] := \bigcup_{\alpha \in [0, \beta)} E[\alpha] \quad \text{and} \quad V[0, \beta] := \begin{cases} \emptyset & \text{if } \beta = 0, \\ U \cup \iota(E[0, \beta]) \cup \tau(E[0, \beta]) & \text{if } \beta > 0. \end{cases}$$

For each  $\alpha \in [0, \gamma)$ , we define  $V[\alpha] := V[0, \alpha + 1] - V[0, \alpha]$ . Thus

$$V[0, \beta] = \bigcup_{\alpha \in [0, \beta)} V[\alpha].$$

If  $E[0, \gamma) = E$ , we take  $\kappa = \gamma$  and the construction terminates.

Now suppose that  $E[0, \gamma) \subset E$ . We shall explain how to choose  $E[\gamma]$ .

If  $\gamma$  is a limit ordinal or 1, we take  $E[\gamma]$  to be an arbitrary single  $G$ -orbit in  $E - E[0, \gamma)$ .

If  $\gamma$  is a successor ordinal greater than 1 then there is a unique  $\alpha \in [1, \gamma)$  such that  $\gamma = \alpha + 1$ , and we want to construct  $E[\alpha + 1]$ . Notice that  $V[0, \alpha]$  is a  $G$ -retract of  $V$  because  $V[0, \alpha]$  contains  $U$ . Thus we can  $G$ -equivariantly specify, for each  $w \in V[\alpha]$ , a  $T$ -geodesic  $p = p(w)$  from  $w$  to an element  $v = v(w) \in V[0, \alpha]$  fixed by  $G_w$ . Since  $G_w$  fixes both ends of  $p$ ,  $G_w$  fixes  $p$ . Hence we may assume that  $v$  is the first, and hence only, vertex of  $p$  that lies in  $V[0, \alpha]$ . Clearly  $G_p$  fixes  $w$ . Thus  $G_w = G_p$ . Let  $P_{\alpha+1}$  denote the set of edges which occur in the  $p(w)$ , as  $w$

ranges over  $V[\alpha]$ . Then  $P_{\alpha+1} \subseteq E - E[0, \alpha]$ , since each element of  $E[0, \alpha]$  has *both* vertices in  $V[0, \alpha]$ . If  $P_{\alpha+1} \subseteq E[\alpha]$ , we choose  $E[\alpha + 1]$  to be an arbitrary single  $G$ -orbit in  $E - E[0, \alpha + 1]$ . If  $P_{\alpha+1} \not\subseteq E[\alpha]$ , we take  $E[\alpha + 1] = P_{\alpha+1} - E[\alpha]$ . This completes the description of the recursive construction.

We now verify that we have a  $U$ -filtration of  $T$ .

It can be seen that, for each ordinal  $\gamma$  such that  $(E[\alpha] \mid \alpha \in [0, \gamma))$  is defined, the  $E[\alpha]$ ,  $\alpha \in [1, \gamma)$ , are pairwise disjoint, nonempty,  $G$ -subsets of  $E$ . Hence the cardinal of  $\gamma$  is at most one more than the cardinal of  $E$ . Therefore the construction terminates at some stage. This implies that there exists a nonzero ordinal  $\kappa$  such that  $E[0, \kappa] = E$ . Also  $V[0, \kappa] = V$ , and  $(V[\alpha] \mid \alpha \in [0, \kappa))$  gives a partition of  $V$ . Thus we have an implicit map  $T \rightarrow [0, \kappa)$  and we denote it by  $x \mapsto \text{height}(x)$ .

Clearly (3.3.2), (3.3.3) and (3.3.5) hold.

If  $\alpha \in [1, \kappa)$  and  $E[\alpha]$  is  $G$ -finite, then either  $E[0, \alpha + 1] = E$  or  $V[\alpha]$ ,  $P_{\alpha+1}$  and  $E[\alpha + 1]$  are  $G$ -finite. It follows, by transfinite induction, that  $E[\alpha]$  and  $V[\alpha]$  are  $G$ -finite for all  $\alpha \in [1, \kappa)$ . Thus (3.3.4) holds.  $\square$

## 4 The main result

Let us introduce a technical concept which generalizes that of a finite subgroup.

**4.1 Definitions.** A subgroup  $H$  of  $G$  is said to be  *$G$ -conjugate incomparable* if, for each  $g \in G$ ,  $H^g \subseteq H$  (if and) only if  $H^g = H$ . This clearly holds if  $H$  is finite.

We say that a  $G$ -set  $X$  has  *$G$ -conjugate-incomparable stabilizers* if, for each  $x \in X$ , the  $G$ -stabilizer  $G_x$  is a  $G$ -conjugate-incomparable subgroup, that is, for each  $g \in G$ ,  $G_x \subseteq G_{gx}$  (if and) only if  $G_x = G_{gx}$ .  $\square$

Throughout this section we will be working with the following.

**4.2 Hypotheses.** Let  $T = (T, V, E, \iota, \tau)$  be a  $G$ -tree, let  $U$  be a  $G$ -retract of the  $G$ -set  $V$ , and let  $W = V - U$ .

Suppose that the  $G$ -set  $W$  has  $G$ -conjugate-incomparable stabilizers.

Let  $\kappa$  be an ordinal and let

$$(4.2.1) \quad \text{height}: V \cup E \rightarrow [0, \kappa), \quad x \mapsto \text{height}(x),$$

be a  $U$ -filtration of  $T$ .  $\square$

**4.3 Definitions.** Suppose that Hypotheses 4.2 hold.

Let  $w \in W$ . Define  $d_T(w) := \min\{\text{length}(p) \mid p \in P_T(w)\}$ . Then  $d_T(w)$  is a positive integer and

$$(4.3.1) \quad d_T(gw) = d_T(w) \text{ for all } g \in G.$$

For  $v_0, v_1$  in  $V$ , we say that  $v_1$  is *lower than*  $v_0$  if one of the following holds:

$$(4.3.2) \quad \text{height}(v_0) > \text{height}(v_1);$$

$$(4.3.3) \quad \text{height}(v_0) = \text{height}(v_1) > 0 \text{ and } G_{v_0} < G_{v_1}; \text{ or,}$$

$$(4.3.4) \quad \text{height}(v_0) = \text{height}(v_1) > 0 \text{ and } G_{v_0} = G_{v_1} \text{ and } d_T(v_0) > d_T(v_1).$$

An edge  $e$  of  $T$  is said to be *problematic* if it joins vertices  $v_0, v_1$  such that  $\text{height}(e) = \text{height}(v_1) = \text{height}(v_0) + 1$ . Notice that  $\text{height}(e)$  is a successor ordinal and that  $v_0$  is lower than  $v_1$ .

For each  $v_0 \in W$ , there exists a path

$$(4.3.5) \quad v_0, e_1^{\epsilon_1}, v_1, e_2^{\epsilon_2}, v_2, \dots, e_d^{\epsilon_d}, v_d \text{ in } P_T(v_0) \text{ such that } d = d_T(v_0).$$

Here  $\text{height}(v_1) \leq \text{height}(v_0) + 1$ . We say that  $v_0$  is a *problematic* vertex of  $T$  if there exists a path as in (4.3.5) such that  $\text{height}(v_1) = \text{height}(v_0) + 1$ . In this event  $\text{height}(e_1) = \text{height}(v_1)$  and  $e_1$  is a problematic edge of  $T$ .  $\square$

**4.4 Lemma.** *If Hypotheses 4.2 hold, then applying some transfinite sequence of  $G$ -equivariant sliding operations to  $T$  yields a  $G$ -tree  $T' = (T', V, E, \iota', \tau')$  such that (4.2.1) is also a  $U$ -filtration of  $T'$  and  $T'$  has no problematic vertices.*

*Proof.* We shall construct a family of trees

$$(T_\beta = (T_\beta, V, E, \iota_\beta, \tau_\beta) \mid \beta \in [0, \kappa])$$

such that, for each  $\beta \in [0, \kappa]$ , (4.2.1) is a  $U$ -filtration of  $T_\beta$ , and  $T_\beta$  has no problematic vertices in  $V[0, \beta)$ .

We take  $T_0 = T$ .

For each successor ordinal  $\beta = \alpha + 1 \in [0, \kappa)$ ,  $T_{\alpha+1}$  will be obtained from  $T_\alpha$  by altering, if necessary,  $\iota_\alpha$  and  $\tau_\alpha$  on  $E[\alpha + 1]$ , as described below.

For each limit ordinal  $\beta \in [0, \kappa]$ , we let  $\iota_\beta$  be given on  $E[\alpha]$  by  $\iota_\alpha$ , for each  $\alpha \in [0, \beta)$ , and similarly for  $\tau_\beta$ .

Suppose then that  $\beta = \alpha + 1 \in [0, \kappa)$ , that we have a tree  $T_\alpha = (T_\alpha, V, E, \iota_\alpha, \tau_\alpha)$ , and that (4.2.1) is a  $U$ -filtration of  $T_\alpha$ , and that  $T_\alpha$  has no problematic vertices in  $V[0, \alpha)$ .

We now describe a crucial *problem-reducing procedure* that can be applied in the case where there exists some  $v_0 \in V[\alpha]$  which is a problematic vertex of  $T_\alpha$ .

Let  $d = d_{T_\alpha}(v_0)$ . Thus, there exists a path

$$v_0, e_1^{\epsilon_1}, v_1, e_2^{\epsilon_2}, v_2, \dots, e_d^{\epsilon_d}, v_d$$

in  $P_{T_\alpha}(v_0)$  such that  $v_1 \in V[\alpha + 1]$ . Hence,  $e_1 \in E[\alpha + 1]$ . Without loss of generality, let us assume that  $\epsilon_1 = -1$ .

There exists a least  $i \in [2, d]$  such that  $v_i \in V[0, \alpha + 1)$ . Then

$$\{v_1, \dots, v_{i-1}\} \subseteq V[\alpha + 1] \quad \text{and, hence,} \quad \{e_1, \dots, e_i\} \subseteq E[\alpha + 1].$$

We claim that  $Ge_1 \cap \bigcup_{j=2}^i Ge_j = \emptyset$ . Suppose this fails. Then  $e_1 \in \bigcup_{j=2}^i Ge_j$ . Here,  $v_0 \in \bigcup_{j=1}^i Gv_j$ . Since  $v_0 \in V[\alpha]$  and  $\bigcup_{j=1}^{i-1} Gv_j \subseteq V[\alpha + 1]$  we see that  $v_0 \in Gv_i$ . Hence  $v_i \in V[\alpha]$  and, by (4.3.1),  $d_{T_\alpha}(v_i) = d_{T_\alpha}(v_0) = d$ . But  $G_{v_0} = G_p \subseteq G_{v_i}$ . Since  $G_{v_0}$  is a  $G$ -conjugate-incomparable subgroup,  $G_{v_0} = G_{v_i}$ . It follows that

$$v_i, e_{i+1}^{\epsilon_{i+1}}, v_{i+1}, \dots, e_d^{\epsilon_d}, v_d$$

lies in  $P_{T_\alpha}(v_i)$ . Hence  $d_{T_\alpha}(v_i) \leq d - i$ , which is a contradiction. This proves the claim.

By Lemma 2.3, we can  $G$ -equivariantly slide  $\iota e_1$  along  $e_2^{\epsilon_2}$  from  $v_1$  to  $v_2$ , and then  $G$ -equivariantly slide  $\iota e_1$  along  $e_3^{\epsilon_3}$  from  $v_2$  to  $v_3$ , and so on, up to  $v_i$ . We then get a new  $G$ -tree  $T_{\alpha,1} = (T_{\alpha,1}, V, E, \iota_{\alpha,1}, \tau_{\alpha,1})$  by  $G$ -equivariantly sliding  $\iota e_1$  along our path from  $v_1$  to  $v_i$ .

Let  $e'_1$  denote  $e_1$  viewed as an edge of  $T_{\alpha,1}$ . Wherever  $v_1, e_1, v_0$  occurs in a path in  $T_\alpha$ , it can be replaced with the sequence

$$v_1, e_2^{\epsilon_2}, v_2, \dots, v_{i-1}, e_i^{\epsilon_i}, v_i, e'_1, v_0$$

to obtain a path in  $T_{\alpha,1}$ . It is important to note that all the edges involved here lie in  $E[\alpha + 1]$ . In terms of the free groupoid on  $E[\alpha + 1]$ ,  $e_1 = e_2^{\epsilon_2} e_3^{\epsilon_3} \cdots e_i^{\epsilon_i} e'_1$ , and we are performing the change-of-basis which replaces  $e_1$  with  $e'_1$ .

It is easy to see that (3.3.2)–(3.3.5) then hold for  $T_{\alpha,1}$ . Thus (4.2.1) is a  $U$ -filtration of  $T_{\alpha,1}$ . Notice that  $T_{\alpha,1}$ , like  $T_\alpha$ , has no problematic vertices in  $V[0, \alpha]$ . We have reduced the number of  $G$ -orbits of problematic edges in  $E[\alpha + 1]$ .

This completes the description of a problem-reducing procedure.

Since  $E[\alpha + 1]$  is  $G$ -finite by (3.3.4), on repeating problem-reducing procedures as often as possible, we find some  $m \in \mathbb{N}$ , and a sequence

$$T_\alpha = T_{\alpha,0}, T_{\alpha,1}, \dots, T_{\alpha,m},$$

such that  $T_{\alpha,m}$  has no problematic vertices in  $V[0, \alpha] \cup V[\alpha] = V[0, \alpha + 1]$ . We define  $T_{\alpha+1} = (T_{\alpha+1}, V, E, \iota_{\alpha+1}, \tau_{\alpha+1})$  to be  $T_{\alpha,m}$ . Notice that  $\iota_{\alpha+1}$  agrees with  $\iota_\alpha$  on  $E - E[\alpha + 1]$ , and similarly for  $\tau_{\alpha+1}$ .

Continuing this procedure transfinitely, we arrive at a tree  $T_\kappa$  which has no problematic vertices.  $\square$

**4.5 Lemma.** *If Hypotheses 4.2 hold and  $T$  has no problematic vertices, then applying some  $G$ -equivariant compressing operation on  $T$  yields a  $G$ -tree with vertex set  $U$ .*

*Proof.* We claim that any sequence in  $V$  is finite if each term is lower than all its predecessors.

Let  $\alpha \in [0, \kappa)$ .

If  $v_0, v_1$  are elements of the same  $G$ -orbit of  $V[\alpha]$ , then  $v_1$  is not lower than  $v_0$ , that is, (4.3.2)–(4.3.4) all fail; this follows from (4.3.1) and the fact that  $V[\alpha]$  has  $G$ -conjugate-incomparable stabilizers.

Thus, if  $n \in \mathbb{N}$  and  $v_1, v_2, \dots, v_n$  is a sequence in  $V[\alpha]$  such that each term is lower than all its predecessors, then  $Gv_1, Gv_2, \dots, Gv_n$  are pairwise disjoint, and  $n$  is at most the number of  $G$ -orbits in  $V[\alpha]$ . It follows that any sequence in  $V[\alpha]$  is finite if each term is lower than all its predecessors. The claim now follows.

Let us  $G$ -equivariantly reorient  $T$  so that, for each edge  $e$ ,  $\iota e$  is not lower than  $\tau e$ .

Let  $v_0 \in W$ . Let us  $G$ -equivariantly choose a path

$$v_0, e_1^{\epsilon_1}, v_1, e_2^{\epsilon_2}, v_2, \dots, e_d^{\epsilon_d}, v_d$$

in  $P_T(v_0)$  such that  $d = d_T(v_0)$ . Then we call  $e_1$  the *distinguished edge* associated to  $v_0$ , and  $v_1$  the *distinguished neighbour* of  $v_0$ .

Let  $E''$  denote the set of distinguished edges chosen in this way.

Let us consider the above path for  $v_0$ . From Definitions 4.3, we see that, since  $T$  has no problematic vertices,  $\text{height}(v_0) \geq \text{height}(v_1)$ . We claim that  $v_1$  is lower than  $v_0$ . The claim is clear if  $\text{height}(v_0) > \text{height}(v_1)$  (in which case,  $d = 1$ ), and we may assume that  $\text{height}(v_0) = \text{height}(v_1) (> 0)$ . Again, the claim is clear if  $G_{v_0} < G_{v_1}$ , and we may assume that  $G_{v_0} = G_{v_1}$ . Here  $G_{v_1}$  fixes  $p$ , and the path

$$v_1, e_2^{\epsilon_2}, v_2, \dots, e_d^{\epsilon_d}, v_d$$

shows that  $d_T(v_1) \leq d - 1 < d = d_T(v_0)$ , and the claim is proved. Hence  $\epsilon_1 = 1$ .

Thus  $\iota$  induces a bijection  $E'' \rightarrow W$ .

Moreover, in travelling along the distinguished edge  $e_1$  respecting the orientation, from  $v_0$  to its distinguished neighbour  $v_1$ , we move to a lower vertex.

Thus, starting at any element  $v$  of  $V$ , after travelling a finite number of steps along distinguished edges respecting the orientation, we arrive at a vertex, denoted  $\phi(v)$ , with no distinguished neighbours, that is,  $\phi(v) \in U$ .

By Lemma 2.2, compressing the closures of the distinguished edges to their terminal vertices gives a  $G$ -tree with vertex set  $U$  and edge set  $E - E''$ .  $\square$

We now come to our main result. In Section 7, we will see that the  $G$ -conjugate-incomparability hypotheses cannot be omitted.

**4.6 Theorem.** *Let  $T$  be a  $G$ -tree, and let  $U$  be a  $G$ -retract of the  $G$ -set  $VT$ . Suppose that the  $G$ -set  $ET$  has  $G$ -conjugate-incomparable stabilizers, or, more generally, that the  $G$ -set  $VT - U$  has  $G$ -conjugate-incomparable stabilizers.*

*Then applying to  $T$  some transfinite sequence of  $G$ -equivariant sliding operations followed by some  $G$ -equivariant compressing operation yields a  $G$ -tree  $T'$  such that  $VT' = U$ .*

*Here  $ET'$  is a  $G$ -subset of  $ET$ , and there exists a  $G$ -set isomorphism*

$$ET - ET' \simeq VT - VT' = VT - U.$$

*Proof.* For each  $w \in VT - U$ , there exists  $u \in U$  such that  $G_w \leq G_u$ . If  $e$  denotes the first edge in the  $T$ -geodesic from  $w$  to  $u$ , then  $G_e = G_w$ . Thus, if  $E$  has  $G$ -conjugate-incomparable stabilizers, then the same holds for  $VT - U$ .

By Lemma 3.4, we may assume that Hypotheses 4.2 hold. By Lemma 4.4, we may assume that  $T$  itself has no problematic vertices. Applying Lemma 4.5, we obtain the result; the final assertion follows from the compression lemma 2.2.  $\square$

We record the special case of Theorem 4.6 that is of interest to us.

**4.7 The retraction lemma.** *Let  $T$  be a  $G$ -tree whose edge stabilizers are finite, and let  $U$  be any  $G$ -retract of the  $G$ -set  $VT$ . Then there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is the  $G$ -set  $U$ .*  $\square$

## 5 The almost stability theorem and applications

We now combine the almost stability theorem 1.2 and the retraction lemma 4.7.

**5.1 Theorem.** *Let  $E$  and  $A$  be  $G$ -sets such that  $E$  has finite stabilizers and  $A$  is nonempty and has trivial  $G$ -action. If  $V$  is a  $G$ -retract of a  $G$ -stable almost equality class in  $(E, A)$ , then there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is the  $G$ -set  $V$ .*

*Proof.* Let  $\tilde{V}$  be a  $G$ -stable almost equality class in  $(E, A)$  which contains  $V$  as a  $G$ -retract. By the almost stability theorem 1.2, there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is  $\tilde{V}$ . By the retraction lemma 4.7, there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is  $V$ .  $\square$

We now recall Definitions IV.2.1 and IV.2.2 of [3].

**5.2 Definitions.** Let  $M$  be a  $G$ -module, that is, an additive abelian group which is also a  $G$ -set such that  $G$  acts as group automorphisms on  $M$ . Thus a  $G$ -module is simply a left module over the integral group ring  $\mathbb{Z}G$ .

If  $d: G \rightarrow M$  is a *derivation*, that is, a map such that  $d(xy) = d(x) + xd(y)$  for all  $x, y \in G$ , then  $M_d$  denotes the set  $M$  endowed with the  $G$ -action

$$G \times M \rightarrow M, \quad (g, m) \mapsto g \cdot m := gm + d(g) \quad \text{for all } g \in G \text{ and all } m \in M.$$

It is straightforward to show that  $M_d$  is a  $G$ -set. This construction has made other appearances in the literature; see [1, Remarque 4.a.5].

We say that  $M$  is an *induced*  $G$ -module if there exists an abelian group  $A$  such that  $M$  is isomorphic, as  $G$ -module, to  $AG := \mathbb{Z}G \otimes_{\mathbb{Z}} A$ .

We say that  $M$  is a  *$G$ -projective*  $G$ -module if  $M$  is isomorphic, as  $G$ -module, to a direct summand of an induced  $G$ -module.  $\square$



**5.3 Example.** If  $R$  is any ring and  $P$  is a projective left  $RG$ -module, then there exists a free left  $R$ -module  $F$  such that  $P$  is isomorphic, as  $RG$ -module, to an  $RG$ -summand of

$$RG \otimes_R F = \mathbb{Z}G \otimes_{\mathbb{Z}} R \otimes_R F = \mathbb{Z}G \otimes_{\mathbb{Z}} F = FG.$$

Hence  $P$  is  $G$ -projective.  $\square$

The following generalizes Theorem IV.2.5 and Corollary IV.2.8 of [3].

**5.4 Theorem.** *If  $P$  is a  $G$ -projective  $G$ -module, and  $d: G \rightarrow P$  is a derivation, then there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is the  $G$ -set  $P_d$ .*

*Proof.* There exists an abelian group  $A$  such that  $P$  is isomorphic to a  $G$ -summand of  $AG$ . We view  $P$  as a  $G$ -submodule of  $AG$ . There exists an additive  $G$ -retraction  $\pi: AG \rightarrow P$ .

We view  $AG$  as the almost equality class of  $(G, A)$  which contains the zero map. Thus  $AG$  is a  $G$ -submodule of  $(G, A)$ , and we have a derivation

$$d: G \rightarrow P \subseteq AG \subseteq (G, A).$$

By a classic result of Hochschild's, there exists  $v \in (G, A)$  such that, for all  $g \in G$ ,  $d(g) = gv - v$ . For example, we can take  $v: x \mapsto -(d(x))(x)$ , for all  $x \in G$ . See the proof of Proposition IV.2.3 in [3].

Let  $U = v + P$  and  $V = v + AG$ . Then  $U \subseteq V \subseteq (G, A)$ , and  $V$  is the almost equality class which contains  $v$ . Also,  $U$  and  $V$  are  $G$ -stable, since, for each  $g \in G$ ,  $gv = v + d(g) \in v + P \subseteq v + AG$ . The map

$$V \rightarrow U, \quad v + m \mapsto v + \pi(m), \quad \text{for all } m \in AG,$$

is a  $G$ -retraction, since, for all  $m \in AG$ ,

$$\begin{aligned} g(v + m) = v + gm + d(g) &\mapsto v + \pi(gm + d(g)) = v + g\pi(m) + d(g) \\ &= g(v + \pi(m)). \end{aligned}$$

By Theorem 5.1, there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is the  $G$ -set  $U$ .

The bijective map  $P \rightarrow U$ ,  $p \mapsto v + p$ , is an isomorphism of  $G$ -sets  $P_d \xrightarrow{\sim} U$ . Now the result follows.  $\square$

**5.5 Remark.** Notice that, in Theorem 5.4, the stabilizer of a vertex  $p \in P_d$  is precisely the kernel of the derivation

$$d + \text{ad } p: G \rightarrow P, \quad g \mapsto d(g) + gp - p = (g - 1)(v + p). \quad \square$$

The following generalizes Corollary IV.2.10 of [3] and is used in the proof of Lemma 5.16 of [5].

**5.6 Corollary.** *Let  $M$  be a  $G$ -module, let  $P$  be a  $G$ -projective  $G$ -submodule of  $M$ , and let  $v$  be an element of  $M$ . If the subset  $v + P$  of  $M$  is  $G$ -stable, then there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is the  $G$ -set  $v + P$ .*

*Proof.* The inner derivation  $\text{ad } v: G \rightarrow M$  restricts to a derivation  $d: G \rightarrow P$ ,  $g \mapsto gv - v \in P \subseteq M$ , for all  $g \in G$ . The bijective map  $P \rightarrow v + P$ ,  $p \mapsto v + p$ , is then an isomorphism of  $G$ -sets  $P_d \xrightarrow{\sim} v + P$ . Now the result follows from Theorem 5.4.  $\square$

**5.7 Example.** Let  $R$  be a nonzero associative ring, and let  $\omega RG$  be the augmentation ideal of the group ring  $RG$ .

Notice that, in the (left)  $G$ -set  $RG$ , the  $G$ -subset  $RG - \{0\}$  has finite stabilizers. The coset  $1 + \omega RG$  lies in  $RG - \{0\}$  and is  $G$ -stable. Hence  $1 + \omega RG$  is a  $G$ -set with finite stabilizers.

If  $\omega RG$  is projective as left  $RG$ -module, then, by Corollary 5.6, there exists a  $G$ -tree  $T$  with  $VT = 1 + \omega RG$ ; hence  $T$  has finite stabilizers. This sheds some light on the main step in the characterization of groups of cohomological dimension at most one over  $R$ . See, for example, [3, Theorem IV.3.13].  $\square$

## 6 A more general almost stability theorem

We next want to generalize Theorem 5.1.

The following is similar to Lemma 2.2 of [4], and the proof is straightforward.

**6.1 Lemma.** *Let  $E$  and  $A$  be  $G$ -sets such that, for each  $e \in E$ ,  $G_e$  acts trivially on  $A$ .*

*Let  $\bar{A}$  denote the  $G$ -set with the same underlying set as  $A$  but with trivial  $G$ -action.*

*Let  $E_0$  be a  $G$ -transversal in  $E$ .*

*For each  $\phi \in (E, A)$ , let  $\hat{\phi} \in (E, \bar{A})$  be defined by  $\hat{\phi}(ge) = g^{-1} \cdot \phi(ge)$  for all  $(g, e) \in G \times E_0$ , where  $\cdot$  denotes the  $G$  action on  $A$ .*

*For each  $\psi \in (E, \bar{A})$ , let  $\tilde{\psi} \in (E, A)$  be defined by  $\tilde{\psi}(ge) = g \cdot \psi(ge)$  for all  $(g, e) \in G \times E_0$ .*

*Then*

$$(E, A) \rightarrow (E, \bar{A}), \quad \phi \mapsto \hat{\phi}, \quad \text{and} \quad (E, \bar{A}) \rightarrow (E, A), \quad \psi \mapsto \tilde{\psi},$$

*are mutually inverse isomorphisms of  $G$ -sets which preserve almost equality between functions.*  $\square$

Combined, Lemma 6.1 and Theorem 5.1 give the most general form that we know of the almost stability theorem.

**6.2 Theorem.** *Let  $E$  and  $A$  be  $G$ -sets such that  $A$  is nonempty and, for each  $e \in E$ ,  $G_e$  is finite and acts trivially on  $A$ . If  $V$  is a  $G$ -retract of a  $G$ -stable almost equality class in  $(E, A)$ , then there exists a  $G$ -tree whose edge stabilizers are finite and whose vertex set is the  $G$ -set  $V$ .*  $\square$

For each  $e \in E$ , if  $G_e$  is trivial, then  $G_e$  is finite and acts trivially on  $A$ . It was this case that was useful in [4].

## 7 An example

In this section, we shall give an example of a group  $G$  and a retract of a vertex set of a  $G$ -tree that is not the vertex set of any  $G$ -tree.

**7.1 Hypotheses.** Let  $Y = (Y, \bar{V}, \bar{E}, \bar{\iota}, \bar{\tau})$  be the graph given as follows:

$$\bar{V} = \{\bar{u}, \bar{w}\}, \quad \bar{E} = \{\bar{e}, \bar{f}\}, \quad \bar{\iota}(\bar{e}) = \bar{u}, \quad \bar{\tau}(\bar{e}) = \bar{\iota}(\bar{f}) = \bar{\tau}(\bar{f}) = \bar{w}.$$

Let  $Y_0 := (Y_0, \bar{V}, \{\bar{e}\}, \bar{\iota}, \bar{\tau})$  be the unique maximal subtree of  $Y$ .

We now use the notation of Definitions I.3.1 of [3] to define a graph of groups  $(G(-), Y)$  as follows. Let the vertex groups be given by

$$G(\bar{u}) = \langle x, y \mid \quad \rangle, \quad G(\bar{w}) = \langle x', y' \mid \quad \rangle.$$

Let the edge groups be given by

$$G(\bar{e}) = \langle x^4, xyx, y^4 \mid \rangle, \quad G(\bar{f}) = \langle x', y' \mid \rangle,$$

where we have

$$\begin{aligned} G(\bar{e}) &= \langle x^4, xyx, y^4 \mid \rangle \leq \langle x, y \mid \rangle = G(\bar{u}) = G(\bar{v}\bar{e}), \\ G(\bar{f}) &= \langle x', y' \mid \rangle = G(\bar{w}) = G(\bar{v}\bar{f}). \end{aligned}$$

Finally, let the edge-group monomorphisms be given by

$$\begin{aligned} t_{\bar{e}}: G(\bar{e}) &= \langle x^4, xyx, y^4 \mid \rangle & \rightarrow & \langle x', y' \mid \rangle = G(\bar{w}) = G(\bar{v}\bar{e}), \\ & (x^4, xyx, y^4) & \mapsto & (x'^4, x'y'x', y'^4), \\ t_{\bar{f}}: G(\bar{f}) &= \langle x', y' \mid \rangle & \rightarrow & \langle x', y' \mid \rangle = G(\bar{w}) = G(\bar{v}\bar{f}), \\ & (x', y') & \mapsto & (x'^2, y'^2). \end{aligned}$$

Using notation whose interpretation we hope is clear, we represent the resulting graph of groups as follows.

$$\begin{array}{c} \langle x, y \mid \rangle \bullet \\ \downarrow \langle x^4 \mapsto x'^4, xyx \mapsto x'y'x', y^4 \mapsto y'^4 \mid \rangle \\ \langle x', y' \mid \rangle \bullet \circlearrowleft \langle x' \mapsto x'^2, y' \mapsto y'^2 \mid \rangle \end{array}$$

Let  $G$  be the fundamental group of the graph of groups,  $\pi(G(-), Y, Y_0)$ , as in Definitions I.3.4 of [3]. We shall write  $t$  for the element of  $G$  that realizes the edge-group monomorphism  $t_{\bar{f}}: G(\bar{f}) \rightarrow G(\bar{w})$ ; thus

$$(7.1.1) \quad G = \langle x, y, x', y', t \mid x^4 = x'^4, xyx = x'y'x', y^4 = y'^4, x^{4t} = x'^2, y^{4t} = y'^2 \rangle,$$

where  $x^{4t}$  denotes  $t^{-1}x^4t$ . Here  $G(\bar{u})$  and  $G(\bar{w})$  are subgroups of  $G$ ; see [3, Corollary I.7.5].

Let  $T = (T, V, E, \iota, \tau)$  be the Bass-Serre tree  $T(G(-), Y, Y_0)$ , as in Notation I.7.1 of [3]. Thus, using  $\vee$  to denote disjoint union, we can write

$$\begin{aligned} V &= Gu \vee Gw, \quad G_u = \langle x, y \rangle, \quad G_w = \langle x', y' \rangle, \\ E &= Ge \vee Gf, \quad G_e = \langle x^4, xyx, y^4 \rangle, \quad G_f = \langle x', y' \rangle, \\ \iota(e) &= u, \quad \tau(e) = w, \quad \iota(f) = w, \quad \tau(f) = tw. \end{aligned}$$

By Bass-Serre Theory,  $T$  is a  $G$ -tree; see [3, Theorem I.7.6].

For any subset  $S$  of  $T$ , let  $S^{xyx}$  denote  $\{s \in S \mid (xyx)s = s\}$ .  $\square$

We shall see that  $Gu$  is a retract of a vertex set of a  $G$ -tree, but is not itself the vertex set of a  $G$ -tree.

**7.2 Lemma.** *Suppose that Hypotheses 7.1 hold. In particular, in  $T$ ,  $V = Gu \vee Gw$ ,  $E = Ge \vee Gf$ ,  $\iota(e) = u$ ,  $\tau(e) = w$ ,  $\iota(f) = w$ , and  $\tau(f) = tw$ .*

- (i) *In  $G$ ,  $x' = x^{4t^{-2}}$  and  $y' = y^{4t^{-2}}$ .*
- (ii)  *$G = \langle x, y, t \mid x^{4t} = x^8, y^{4t} = y^8, x^{t^2}y^{t^2}x^{t^2} = x^4y^4x^4 \rangle$ .*
- (iii) *In  $T$ ,  $G_u = \langle x, y \rangle$ ,  $G_w = \langle x^4, y^4 \rangle^{t^{-2}}$ ,  $G_e = \langle x^4, xyx, y^4 \rangle$ ,  $G_f = \langle x^4, y^4 \rangle^{t^{-2}}$ .*
- (iv)  *$Gu$  is a  $G$ -retract of  $V$ .*

*Proof.* (i). Now  $x'^{t^2} = x'^{2t} = x'^4 = x^4$ . Thus  $x' = x^{4t^{-2}}$ . Similarly,  $y' = y^{4t^{-2}}$ .  
(ii). By (7.1.1),

$$\begin{aligned} G &= \langle x, y, x', y', t \mid x^4 = x'^4, xyx = x'y'x', y^4 = y'^4, x'^t = x'^2, y'^t = y'^2 \rangle \\ &= \langle x, y, x', y', t \mid x^4 = x'^4, xyx = x'y'x', y^4 = y'^4, \\ &\quad x'^t = x'^2, y'^t = y'^2, x' = x^{4t^{-2}}, y' = y^{4t^{-2}} \rangle \\ &= \langle x, y, t \mid x^4 = x^{16t^{-2}}, xyx = x^{4t^{-2}} y^{4t^{-2}} x^{4t^{-2}}, y^4 = y^{16t^{-2}}, \\ &\quad x^{4t^{-1}} = x^{8t^{-2}}, y^{4t^{-1}} = y^{8t^{-2}} \rangle \\ &= \langle x, y, t \mid x^{4t^2} = x^{16}, x^{t^2} y^{t^2} x^{t^2} = x^4 y^4 x^4, y^{4t^2} = y^{16}, \\ &\quad x^{4t} = x^8, y^{4t} = y^8 \rangle \\ &= \langle x, y, t \mid x^{4t} = x^8, x^{t^2} y^{t^2} x^{t^2} = x^4 y^4 x^4, y^{4t} = y^8 \rangle. \end{aligned}$$

(iii).  $G_f = G_w = \langle x', y' \rangle = \langle x^4, y^4 \rangle^{t^{-2}}$ .

(iv). We have  $G_w = \langle x^4, y^4 \rangle^{t^{-2}} \leq \langle x, y \rangle^{t^{-2}} = G_u^{t^{-2}} = G_{t^2u}$ . Thus  $G_u$  is a  $G$ -retract of  $G_u \vee G_w = V$ .  $\square$

It remains to show that  $G_u$  is not the vertex set of any  $G$ -tree. We shall use a sequence of technical lemmas.

It is straightforward to prove the following, using Lemma 7.2(ii).

**7.3 Lemma.** *Suppose that Hypotheses 7.1 hold, and let  $n \in \mathbb{N}$ .*

(i) *In  $G$ ,  $(xyx)^{t^{n+2}} = (x^4)^{2^n} (y^4)^{2^n} (x^4)^{2^n}$ .*

(ii) *If  $n \neq 1$ , then, in  $G$ ,  $(xyx)^{t^n} = x^{2^n} y^{2^n} x^{2^n}$ .*  $\square$

The next result concerns the free group of rank two.

**7.4 Lemma.** *Suppose that Hypotheses 7.1 hold, let  $n \in \mathbb{N}$ , and let  $g \in G_u$ . In particular,  $G_u = \langle x, y \mid \quad \rangle$ .*

(i) *If  $x^{2^n} y^{2^n} x^{2^n} \in \langle x^2, y^2 \rangle^g$ , then  $n \neq 0$  and  $g \in \langle x^2, y^2 \rangle$ .*

(ii) *If  $x^{2^n} y^{2^n} x^{2^n} \in \langle x^4, xyx, y^4 \rangle^g$ , then  $n \neq 1$  and  $g \in \langle x^4, xyx, y^4 \rangle$ .*

*Proof.* Let  $T_u = X(G_u, \{x, y\})$ , the Cayley graph of  $G_u$  with respect to  $\{x, y\}$ , as in [3, Definitions I.2.1]. Each (oriented) edge of  $T_u$  is labelled  $x$  or  $y$ .

Let  $H$  be any subgroup of  $G_u$ ; we have in mind the cases  $H = \langle x^2, y^2 \rangle$  and  $H = \langle x^4, xyx, y^4 \rangle$ .

Let  $w = x^{2^n} y^{2^n} x^{2^n} \in G_u$ .

Let  $X := H \backslash T_u$ , let  $Y := \langle w \rangle \backslash T_u$ , and let  $Z := G_u \backslash T_u$ .

The pullback of the two natural maps  $X \rightarrow Z, Y \rightarrow Z$  provides detailed information about all nontrivial subgroups of  $G_u$  of the form  $\langle w \rangle \cap H^g$ ; see [2, p. 380]. However, this pullback can be rather cumbersome and we do not require detailed information. For our purposes, special considerations will suffice, as follows.

Define  $g^{-1}X := (H^g) \backslash T_u$ .

There is a graph isomorphism  $X \simeq g^{-1}X, Hx \leftrightarrow H^g g^{-1}x$ .

The fundamental group of  $X$  with basepoint  $H1$ ,  $\pi(X, H1)$ , is naturally isomorphic to  $H$ , with the elements of  $H$  being read off closed paths based at  $H1$ .

Similarly,  $H^g$  is naturally isomorphic to  $\pi(g^{-1}X, H^g1)$ , and this in turn is naturally isomorphic to  $\pi(X, Hg)$  via the graph isomorphism  $g^{-1}X \simeq X$ .

Suppose that  $w$  lies in  $H^g$ . Then  $w$  can be read off a closed path in  $X$  based at  $Hg$ . Since  $w$  is a cyclically reduced word, the closed path is cyclically reduced. The smallest subgraph of  $X$  which contains all the cyclically reduced closed paths

in  $X$  is called the *core* of  $X$ , denoted  $\text{core}(X)$ . It follows that the vertex  $Hg$  lies in  $\text{core}(X)$ , and that we can start at  $Hg$ , read  $w$  and stay inside  $\text{core}(X)$ .

(i) Suppose that  $H = \langle x^2, y^2 \rangle$ .

Here  $\text{core}(X)$  has vertex set  $\{H1, Hx, Hy\}$  and labelled-edge set

$$\{(H1, x, Hx), (Hx, x, Hx^2), (H1, y, Hy), (Hy, y, Hy^2)\}$$

with  $Hx^2 = Hy^2 = H1$ .

We note that  $Hxy$  and  $Hyx$  are outside  $\text{core}(X)$ .

Notice that  $(Hy)x = Hyx$ . This lies outside  $\text{core}(X)$ . Thus,  $Hg \neq Hy$ , since  $Hgw$  can be read in  $\text{core}(X)$ . Hence,  $Hg \in \{H1, Hx\}$ .

Notice that  $(H1)(xy) = Hxy$  and  $(Hx)(xyx) = Hyx$ . These lie outside  $\text{core}(X)$ . Thus  $n \neq 0$ . Hence,  $x^{2^n} \in H$ .

Notice that  $(Hx)(x^{2^n}y) = Hxy$  lies outside  $\text{core}(X)$ . Thus  $Hg \neq Hx$ . Hence,  $Hg = H1$ , that is,  $g \in H$ .

This proves (i).

(ii). Suppose that  $H = \langle x^4, xyx, y^4 \rangle$ .

Here  $\text{core}(X)$  has vertex set

$$\{H1\} \cup \{Hx^i, Hy^i \mid 1 \leq i \leq 3\}.$$

and labelled-edge set

$$\{(Hx^i, x, Hx^{i+1}), (Hy^i, y, Hy^{i+1}) \mid 0 \leq i \leq 3\} \cup \{(Hx, y, Hxy)\},$$

with  $Hx^4 = Hy^4 = H1$  and  $Hxy = Hx^3$ .

We note that  $Hxy^2 = Hx^3y$ ,  $Hx^2y$ ,  $Hyx$ ,  $Hy^2x$ , and  $Hy^3x$  all lie outside  $\text{core}(X)$ .

Consider any  $j$  with  $1 \leq j \leq 3$ . Notice that  $(Hy^j)(x) = Hy^jx$ . This lies outside  $\text{core}(X)$ . It follows that  $Hg \neq Hy^j$ . Hence  $Hg = Hx^i$  for some  $i$  with  $0 \leq i \leq 3$ .

Notice that  $(Hx)(xy) = Hx^2y$ ,  $(Hx^2)(xy) = Hx^3y$ , and  $(Hx^3)(xyx) = Hxyx$ . These all lie outside  $\text{core}(X)$ . Thus, if  $n = 0$ , then  $Hg = H1$ .

Notice that  $(H1)(x^2y) = Hx^2y$ ,  $(Hx)(x^2y) = Hx^3y$ ,  $(Hx^2)(x^2y^2x) = Hy^2x$ , and  $(Hx^3)(x^2y^2) = Hxy^2$ . These all lie outside  $\text{core}(X)$ . Thus  $n \neq 1$ .

Now suppose that  $n \geq 2$ . Thus  $x^{2^n} = (x^4)^{2^{n-2}} \in H$ .

Notice that  $(Hx)(x^{2^n}y^2) = Hxy^2$ ,  $(Hx^2)(x^{2^n}y) = Hx^2y$ , and  $(Hx^3)(x^{2^n}y) = Hx^3y$ . These all lie outside  $\text{core}(X)$ . Thus  $Hg = H1$ .

This proves (ii).  $\square$

**7.5 Lemma.** *Suppose that Hypotheses 7.1 hold and let  $n \in \mathbb{N}$ .*

(i)  $(t^n G_u e)^{xyx} = \{t^n e\}$  if  $n \neq 1$ .

(ii)  $(t^n G_w e)^{xyx} = \begin{cases} \{t^n e\} & \text{if } n \neq 1, \\ \emptyset & \text{if } n = 1. \end{cases}$

(iii)  $(t^n G_w t^{-1} f)^{xyx} = \begin{cases} \{t^{n-1} f\} & \text{if } n \neq 0, \\ \emptyset & \text{if } n = 0. \end{cases}$

(iv)  $(t^n G_w f)^{xyx} = \{t^n f\}$ .

*Proof.* (i). Let  $g \in G_u = \langle x, y \rangle$ .

Suppose that  $n \neq 1$  and that  $(xyx)t^n g e = t^n g e$ . Then  $(xyx)^{t^n g} \in G_e$ . By Lemma 7.3(ii),

$$(x^{2^n} y^{2^n} x^{2^n})^g \in G_e = \langle x^4, xyx, y^4 \rangle.$$

By Lemma 7.4(ii),  $g \in \langle x^4, xyx, y^4 \rangle = G_e$ . Hence  $t^n ge = t^n e$ . It is now easy to see that (i) holds.

(ii). Let  $h \in G_w = \langle x^4, y^4 \rangle^{t^{-2}}$ . Let  $g = h^{t^2} \in \langle x^4, y^4 \rangle$ .

Suppose that  $(xyx)t^n he = t^n he$ . Then  $(xyx)t^{n+2}gt^{-2}e = t^{n+2}gt^{-2}e$ , and  $(xyx)t^{n+2}gt^{-2} \in G_e$ . By Lemma 7.3(i),

$$((x^4)^{2^n}(y^4)^{2^n}(x^4)^{2^n})^g \in G_e^{t^2} = \langle x^4, xyx, y^4 \rangle^{t^2} = \langle (x^4)^4, (x^4)(y^4)(x^4), (y^4)^4 \rangle.$$

By Lemma 7.4(ii) with  $x^4, y^4$  in place of  $x, y$ , we see that  $n \neq 1$  and

$$g \in \langle (x^4)^4, (x^4)(y^4)(x^4), (y^4)^4 \rangle = G_e^{t^2}.$$

Hence  $h \in G_e$  and  $t^n he = t^n e$ . It is now clear that (ii) holds.

(iii). Let  $h \in G_w = \langle x^4, y^4 \rangle^{t^{-2}}$ . Let  $g = h^{t^2} \in \langle x^4, y^4 \rangle$ .

Suppose that  $(xyx)t^n ht^{-1}f = t^n ht^{-1}f$ . Then  $(xyx)t^{n+2}gt^{-3}f = t^{n+2}gt^{-3}f$ , and  $(xyx)t^{n+2}gt^{-3} \in G_f$ . By Lemma 7.3(i),

$$((x^4)^{2^n}(y^4)^{2^n}(x^4)^{2^n})^g \in G_f^{t^3} = \langle x^4, y^4 \rangle^t = \langle (x^4)^2, (y^4)^2 \rangle.$$

By Lemma 7.4(i), with  $x^4, y^4$  in place of  $x, y$ , we see that  $n \neq 0$  and

$$g \in \langle (x^4)^2, (y^4)^2 \rangle = G_f^{t^3}.$$

Hence  $h^{t^{-1}} \in G_f$  and  $t^n ht^{-1}f = t^{n-1}f$ . It is now clear that (iii) holds.

(iv). By Lemma 7.3(i),  $(xyx)t^n \in \langle x^4, y^4 \rangle^{t^{-2}} = G_f = G_w$ . □

**7.6 Lemma.** *Suppose that Hypotheses 7.1 hold. Then*

$$V^{xyx} = \{t^n u \mid n \in \mathbb{N} - \{1\}\} \cup \{t^n w \mid n \in \mathbb{N}\}.$$

*Proof.* Let  $n \in \mathbb{N}$ .

From [3, Definitions I.3.4], we obtain the following.

$$\begin{aligned} \iota^{-1}(t^n u) &= t^n G_u e, & \tau^{-1}(t^n u) &= \emptyset, \\ \iota^{-1}(t^n w) &= t^n G_w f, & \tau^{-1}(t^n w) &= t^n G_w e \cup t^n G_w t^{-1}f. \end{aligned}$$

By Lemma 7.5(ii), (iii) and (iv), the edges of  $T^{xyx}$  incident to  $w$  are  $e$  and  $f$ , the edges of  $T^{xyx}$  incident to  $tw$  are  $f$  and  $tf$ , and, for  $n \geq 2$ , the edges of  $T^{xyx}$  incident to  $t^n w$  are  $t^n e$ ,  $t^{n-1}f$  and  $t^n f$ .

Hence, in  $T^{xyx}$ , the neighbours of  $w$  are  $u$  and  $tw$ , the neighbours of  $tw$  are  $w$  and  $t^2 w$ , and, for  $n \geq 2$ , the neighbours of  $t^n w$  are  $t^n u$ ,  $t^{n-1}w$  and  $t^{n+1}w$ .

By Lemma 7.5(i), if  $n \neq 1$ , then the unique edge of  $T^{xyx}$  incident to  $t^n u$  is  $t^n e$ , and hence the unique neighbour of  $t^n u$  in  $T^{xyx}$  is  $t^n w$ .

The result now follows. □

**7.7 Lemma.** *Suppose that Hypotheses 7.1 hold. There exists no  $G$ -tree with vertex set  $Gu$ .*

*Proof.* Suppose that there exists a  $G$ -tree  $T'$  with  $VT' = Gu$ . We will derive a contradiction.

Let  $L$  denote the subtree of  $T$  with vertex set  $\langle t \rangle w$  and edge set  $\langle t \rangle f$ . Then  $L$  is homeomorphic to  $\mathbb{R}$  and  $t$  acts on  $L$  by translation. In particular,  $\langle t \rangle$  acts freely on  $VT$ . Hence,  $\langle t \rangle$  acts freely on  $VT' \subseteq VT$ . As in [3, Proposition I.4.11], there exists a subtree  $L'$  of  $T'$  homeomorphic to  $\mathbb{R}$  on which  $t$  acts by translation.

Let  $v'$  denote the vertex of  $L'$  closest to  $u$  in  $T'$ . It is well known, and easy to prove, that the  $T'$ -geodesic from  $u$  to  $t^2u$ , denoted  $T'[u, t^2u]$ , is the concatenation of the four  $T'$ -geodesics  $T'[u, v']$ ,  $T'[v', tv']$ ,  $T'[tv', t^2v']$ , and  $T'[t^2v', t^2u]$ .

By Lemma 7.6, and the fact that  $\langle t \rangle$  acts freely on  $VT'$ ,

$$(7.7.1) \quad VT'^{xyx} = (Gu)^{xyx} = \{t^n u \mid n \in \mathbb{N} - \{1\}\} = \{t^n u \mid n \in \mathbb{N}\} - \{tu\}.$$

By (7.7.1), or by direct calculation,  $xyx$  fixes  $u$ , moves  $tu$ , and fixes  $t^2u$ . Thus,  $xyx$  fixes  $T'[u, t^2u]$ , and, hence,  $xyx$  fixes  $v'$ , fixes  $tv'$ , and fixes  $t^2v'$ .

In particular,  $tu \neq tv'$ , hence  $u \neq v'$ , that is,  $u \notin L'$ .

Since  $xyx$  fixes  $v'$ , we see, by (7.7.1), that  $v' = t^n u$  for some  $n \in \mathbb{N} - \{1\}$ . Hence  $u = t^{-n}v' \in t^{-n}L' = L'$ . This is a contradiction.  $\square$

We now have the desired example.

**7.8 Theorem.** *There exists a group  $G$  and a  $G$ -set  $U$  such that  $U$  is a  $G$ -retract of the vertex set of some  $G$ -tree but  $U$  is not the vertex set of any  $G$ -tree.*  $\square$

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