L^p estimates for the maximal singular integral in terms of the singular integral

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Abstract

This paper continues the study, initiated in the works [MOV] and [MOPV], of the problem of controlling the maximal singular integral T^*f by the singular integral Tf. Here T is a smooth homogeneous Calderón-Zygmund singular integral operator of convolution type. We consider two forms of control, namely, in the weighted $L^p(\omega)$ norm and via pointwise estimates of T^*f by M(Tf) or $M^2(Tf)$, where M is the Hardy-Littlewood maximal operator and $M^2 = M \circ M$ its iteration. The novelty with respect to the aforementioned works, lies in the fact that here p is different from 2 and the L^p space is weighted.

1 Introduction

Let T be a smooth homogeneous Calderón-Zygmund singular integral operator on \mathbb{R}^n with kernel

$$K(x) = \frac{\Omega(x)}{|x|^n} \quad x \in \mathbb{R}^n \setminus \{0\},\tag{1}$$

where Ω is a homogeneous function of degree 0 whose restriction to the unit sphere S^{n-1} is C^{∞} and satisfies the cancellation property

$$\int_{|x|=1} \Omega(x) \, d\sigma(x) = 0,$$

 σ being the normalized surface measure in $S^{n-1}.$ Thus, Tf is the principal value convolution operator

$$Tf(x) = \text{p.v.} \int f(x-y)K(y) \, dy \equiv \lim_{\varepsilon \to 0} T^{\varepsilon}f(x),$$
 (2)

where $T^{\varepsilon}f$ is the truncated operator at level ε defined by

$$T^{\varepsilon}f(x) = \int_{|x-y|>\varepsilon} f(x-y)K(y) \, dy$$

For $f \in L^p$, $1 \leq p < \infty$, the limit in (2) exits for almost all x. One says that the operator T is even (or odd) if the kernel (1) is even (or odd), that is, if $\Omega(-x) = \Omega(x)$, $x \in \mathbb{R}^n \setminus \{0\}$ (or $\Omega(-x) = -\Omega(x)$, $x \in \mathbb{R}^n \setminus \{0\}$). Let T^* be the maximal singular integral

$$T^*f(x) = \sup_{\varepsilon > 0} |T^\varepsilon f(x)|, \ x \in \mathbb{R}^n.$$

In this paper we consider the problem of characterizing those smooth Calderón-Zygmund operators for which one can control T^*f by Tf in the weighted L^p norm

$$||T^*f||_{L^p(\omega)} \le C||Tf||_{L^p(\omega)}, \quad f \in L^p(\omega), \text{ and } \omega \in A_p,$$
(3)

where A_p is the Muckenhoupt class of weights (see below for the definition). A stronger way of saying that T^* is controlled by T is the pointwise inequality

$$T^*f(x) \le C(M^s(Tf)(x)), \ x \in \mathbb{R}^n, \ s \in \{1, 2\},$$
(4)

where M denotes the Hardy-Littlewood maximal operator and $M^2 = M \circ M$ its iteration. For the case p = 2 and $\omega = 1$, the relationship between (3) and (4) has been studied in [MOV] for even kernels and in [MOPV] for odd kernels (see also [MV]). We will prove that, for any $1 and <math>\omega \in A_p$, the class of operators satisfying (3) coincides with the family of operators obtained for p = 2and $\omega = 1$, thus giving an affirmative answer to Question 1 of [MOV, p. 1480]. Our main result states that for smooth Calderón-Zygmund operators, inequality (4) (with s depending on the parity of the kernel) is equivalent to (3) and also is equivalent to an algebraic condition involving the expansion of Ω in spherical harmonics.

Now we need to introduce some notation. The homogeneous function Ω , like any square-integrable function in S^{n-1} with zero integral, has an expansion in spherical harmonics of the form

$$\Omega(x) = \sum_{j=1}^{\infty} P_j(x), \quad x \in S^{n-1},$$
(5)

where P_j is a homogeneous harmonic polynomial of degree j. For the case of even operators in the above sum we only have the even terms P_{2j} and for the odd case we only have the polynomials of odd degree P_{2j+1} . In any case, when Ω is infinitely differentiable on the unit sphere one has that, for each positive integer M,

$$\sum_{j=1}^{\infty} j^M \|P_j\|_{\infty} < \infty, \tag{6}$$

where the supremum norm is taken on S^{n-1} . When Ω is of the form

$$\Omega(x) = \frac{P(x)}{|x|^d}, \quad x \in \mathbb{R}^n \setminus \{0\},$$

with P a homogeneous harmonic polynomial of degree $d \ge 1$, one says that T is a higher order Riesz transform. If the homogeneous polynomial P is not required to be harmonic, but has still zero integral on the unit sphere, then we call T a polynomial operator.

Let's recall the definition of Muckenhoupt weights. Let ω be a non negative locally integrable function, and $1 . Then <math>\omega \in A_p$ if and only if there exits a constant C such that for all cubes $Q \subset \mathbb{R}^n$

$$\left(\frac{1}{|Q|}\int_{Q}\omega\right)\left(\frac{1}{|Q|}\int_{Q}\omega^{-\frac{1}{p-1}}\right)^{p-1} \le C.$$

The important fact worth noting is that Calderón-Zygmund operators and the Hardy-Littlewood maximal operator are bounded on $L^p(\omega)$, when $1 and <math>\omega$ belongs to A_p . See [Du, Chapter 7] or [Gr2, Chapter 9] to get more information on weights.

Now we state our result. We start with the case of even operators.

Theorem 1. Let T be an even smooth homogeneous Calderón-Zygmund operator with kernel (1). Then the following are equivalent:

(a)

$$T^*f(x) \le CM(Tf)(x), \quad x \in \mathbb{R}^n.$$

(b) If $p \in (1, \infty)$ and $\omega \in A_p$, then

$$||T^*f||_{L^p(\omega)} \le C||Tf||_{L^p(\omega)}, \quad for \ all \ f \in L^p(\omega).$$

(c) Assume that the expansion (5) of Ω in spherical harmonics is

$$\Omega(x) = \sum_{j=j_0}^{\infty} P_{2j}(x), \quad P_{2j_0} \neq 0.$$

Then, for each j there exists a homogeneous polynomial Q_{2j-2j_0} of degree $2j-2j_0$ such that $P_{2j} = P_{2j_0}Q_{2j-2j_0}$ and $\sum_{j=j_0}^{\infty} \gamma_{2j}Q_{2j-2j_0}(\xi) \neq 0, \xi \in S^{n-1}$. Here for a positive integer k we have set

$$\gamma_k = i^{-k} \pi^{\frac{n}{2}} \frac{\Gamma(\frac{k}{2})}{\Gamma(\frac{n+k}{2})}.$$
(7)

(d)

$$||T^*f||_{1,\infty} \le C||Tf||_1, \quad for \ all \ f \in H^1(\mathbb{R}^n).$$

Recall that $||g||_{1,\infty}$ denotes the weak L^1 norm of g and $H^1(\mathbb{R}^n)$ is the Hardy space. Calderón-Zygmund operators act on H^1 . (For instance, see [Du, Chapter 6], [Gr2, Chapter 7] for more information on the Hardy space.)

To get the above result for odd kernels we will replace the Hardy-Littlewood maximal operator in (a) by its iteration.

Theorem 2. Let T be an odd smooth homogeneous Calderón-Zygmund operator with kernel (1). Then the following are equivalent:

(a)

$$T^*f(x) \le CM^2(Tf)(x), \quad x \in \mathbb{R}^n.$$

(b) If $p \in (1, \infty)$ and $\omega \in A_p$ then

$$||T^*f||_{L^p(\omega)} \le C||Tf||_{L^p(\omega)}, \quad for \ all \ f \in L^p(\omega).$$

(c) Assume that the expansion (5) of Ω in spherical harmonics is

$$\Omega(x) = \sum_{j=j_0}^{\infty} P_{2j+1}(x), \quad P_{2j_0+1} \neq 0.$$

Then, for each j there exists a homogeneous polynomial Q_{2j-2j_0} of degree $2j-2j_0$ such that $P_{2j+1} = P_{2j_0+1}Q_{2j-2j_0}$ and $\sum_{j=j_0}^{\infty} \gamma_{2j+1}Q_{2j-2j_0}(\xi) \neq 0, \xi \in S^{n-1}$, with γ_{2j+1} as in (7).

Clearly, both in Theorem 1 as in Theorem 2, the condition (a) implies (b) is a consequence of the boundedness of the Hardy-Littlewood maximal operator on weighted L^p spaces. The proof of (c) implies (a) in Theorem 1 is proved in [MOV] and the same implication in Theorem 2 is proved in [MOPV]. So the only task to be done is to show that (b) implies (c) in both theorems (and $(d) \Rightarrow (c)$ in Theorem 1). One of the crucial points in the proof of the implication $(b) \Rightarrow (c)$ for the case p = 2and $\omega = 1$ in [MOV] and [MOPV] is to use Plancherel Theorem to get a pointwise inequality to work with it. For $p \neq 2$ we will get the corresponding pointwise inequality using properties of the Fourier transform of the kernels as L^p multipliers.

In Section 2 we introduce L^p Fourier multipliers and some tools to control their norm (see Lemma 1). Section 3 is devoted to the proof of $(b) \Rightarrow (c)$, for polynomial operators. The general case is discussed in Section 4.

As usual, the letter C will denote a constant, which may be different at each occurrence and which is independent of the relevant variables under consideration.

2 Multipliers

Recall that, given $1 \leq p < \infty$, one denotes by $\mathcal{M}_p(\mathbb{R}^n)$ the space of all bounded functions m on \mathbb{R}^n such that the operator

$$T_m(f) = (\hat{f} \ m)^{\vee}, \quad f \in \mathcal{S},$$

is bounded on $L^p(\mathbb{R}^n)$ (or is initially defined in a dense subspace of $L^p(\mathbb{R}^n)$ and has a bounded extension on the whole space). As usual, S denotes the space of Schwartz functions, \hat{f} is the Fourier transform of f and f^{\vee} the inverse Fourier transform. The norm of m in $\mathcal{M}_p(\mathbb{R}^n)$ is defined as the norm of the bounded linear operator $T_m : L^p(\mathbb{R}^n) \to L^p(\mathbb{R}^n)$. Elements of the space $\mathcal{M}_p(\mathbb{R}^n)$ are called L^p (Fourier) multipliers. Similarly, we speak of $L^p(\omega)$ multipliers. It is well known that \mathcal{M}_2 , the set of all L^2 multipliers, is L^∞ and that $\mathcal{M}_1(\mathbb{R}^n)$ is the set of Fourier transforms of finite Borel measures on \mathbb{R}^n . The basic theory on multipliers may be found for example in the monographs [Du], [Gr1].

Let $0 \leq \phi \leq 1$ be an smooth function such that $\phi(\xi) = 1$ if $|\xi| \leq \frac{1}{2}$, and $\phi(\xi) = 0$ if $|\xi| \geq 1$. Given $\xi_0 \in \mathbb{R}^n$, we define $\phi_{\delta}(\xi) = \phi(\frac{\xi - \xi_0}{\delta})$. Consider $m \in L^{\infty}$ such that m is continuous in some neighbourhood of ξ_0 with $m(\xi_0) = 0$. It is clear, by Plancherel Theorem, that the norm of $m\phi_{\delta}$ in \mathcal{M}_2 approaches zero when $\delta \to 0$. We ask if the same result holds when m is an L^p multiplier. Adding some regularity to m we get a positive answer.

Lemma 1. Let $\xi_0 \in \mathbb{R}^n$, $0 < \delta \leq \delta_0$ and $m \in \mathcal{M}_p \cap \mathcal{C}^n(B(\xi_0, \delta_0))$ with $m(\xi_0) = 0$. Let $\phi \in \mathcal{C}^{\infty}(\mathbb{R}^n)$, $0 \leq \phi \leq 1$ such that $\phi(\xi) = 1$ if $|\xi| \leq \frac{1}{2}$, and $\phi(\xi) = 0$ if $|\xi| \geq 1$. Set $\phi_{\delta}(\xi) = \phi(\frac{\xi - \xi_0}{\delta})$ and let $T_{m\phi_{\delta}}$ be the operator with multiplier $m\phi_{\delta}$.

- 1. If $\omega \in A_p$, $1 , then <math>||T_{m\phi_{\delta}}||_{L^p(\omega) \to L^p(\omega)} \longrightarrow 0$, when $\delta \to 0$.
- 2. $||T_{m\phi_{\delta}}||_{L^1 \to L^{1,\infty}} \longrightarrow 0$, when $\delta \to 0$.
- 3. $||T_{m\phi_{\delta}}||_{H^1 \to L^1} \longrightarrow 0$, when $\delta \to 0$.

To prove Lemma 1, we use the next theorem due to Kurtz and Wheeden. Following [KW], we say that a function m belongs to the class M(s, l) if

$$m_{s,l} := \sup_{R>0} \left(R^{s|\alpha|-n} \int_{R<|x|<2R} |D^{\alpha}m(x)|^s \, dx \right)^{1/s} < +\infty, \text{ for all } |\alpha| \le l, \quad (8)$$

where s is a real number greater or equal to 1, l a positive integer and $\alpha = (\alpha_1, \ldots, \alpha_n)$ a multiindex of nonnegative integers.

Theorem 3 ([KW, p. 344]). Let $1 < s \le 2$ and $m \in M(s, n)$.

1. If $1 and <math>\omega \in A_p$, then there exists a constant C, independent of f, such that

$$||T_m f||_{L^p(\omega)} \le C||f||_{L^p(\omega)}.$$

2. There exists a constant C, independent of f and λ , such that

$$|\{x \in \mathbb{R}^n : |T_m f(x)| > \lambda\}| \le \frac{C}{\lambda} ||f||_{L^1}, \quad \lambda > 0.$$

3. There exists a constant C, independent of f, such that

$$||T_m f||_{L^1} \le C||f||_{H^1}$$

Analyzing the proof we check that, in all cases, the constant C, which appears in the statements 1, 2 and 3 of the previous Theorem, depends linearly on the constant $m_{s,n}$ defined at (8). We also remark that when $\omega = 1$ the proof can be adapted to the case $H^1 \to L^1$, so we get statement 3 which is not explicitly written in [KW].

Proof of Lemma 1. Using Theorem 3 we only need to prove that the multiplier $m\phi_{\delta}$ is in M(s,n) for some $1 < s \leq 2$, and the constant $m_{s,n}$ tends to 0 if δ tends to 0.

Assume that $\xi_0 \neq 0$ and that $\delta < \delta_0$ is small enough. For $|\alpha| \leq n$, using Leibniz rule one has

$$\begin{split} \sup_{R>0} \left(R^{s|\alpha|-n} \int_{R<|\xi|<2R} |D^{\alpha}(m\phi_{\delta})(\xi)|^{s} d\xi \right)^{1/s} \\ &= \sup_{R>0} \left(R^{s|\alpha|-n} \int_{\{R<|\xi|<2R\}\cap B(\xi_{0},\delta)} |D^{\alpha}(m\phi_{\delta})(\xi)|^{s} d\xi \right)^{1/s} \\ &\leq C|\xi_{0}|^{|\alpha|-\frac{n}{s}} \left(\int_{B(\xi_{0},\delta)} |D^{\alpha}(m\phi_{\delta})(\xi)|^{s} d\xi \right)^{1/s} \\ &\leq C|\xi_{0}|^{|\alpha|-\frac{n}{s}} \left(\sum_{\beta_{i}\leq\alpha_{i},1\leq i\leq n} {\alpha_{1} \choose \beta_{1}} {\alpha_{2} \choose \beta_{2}} \cdots {\alpha_{n} \choose \beta_{n}} \int_{B(\xi_{0},\delta)} |D^{\alpha-\beta}(m)(\xi)D^{\beta}(\phi_{\delta})(\xi)|^{s} d\xi \right)^{1/s}. \end{split}$$

Now we will get a bound for each term in the above sum. In order to get it, we consider different cases. In all the cases we will use that for any multiindex α we have $|D^{\alpha}\phi_{\delta}(\xi)| \lesssim \frac{1}{\delta^{|\alpha|}}$ and that the modulus of continuity of m, denoted by $\omega(m, \xi_0, \delta)$, satisfies $\omega(m, \xi_0, \delta) \leq C\delta$.

Case 1. $|\alpha| = n$.

For $\beta = \alpha$ one has that

$$\int_{B(\xi_0,\delta)} |D^{\alpha-\beta}(m)(\xi)D^{\beta}(\phi_{\delta})(\xi)|^s d\xi = \int_{B(\xi_0,\delta)} |m(\xi)|^s |D^{\alpha}(\phi_{\delta})(\xi)|^s d\xi$$
$$\leq C \frac{1}{\delta^{ns}} |\omega(m,\xi_0,\delta)|^s \delta^n$$
$$\leq C \delta^{s+n-ns}$$

and this term tends to 0 as δ tends to 0 taking $1 < s < \frac{n}{n-1}$. For the remaining terms, that is $\alpha \neq \beta$, we have

$$\int_{B(\xi_0,\delta)} |D^{\alpha-\beta}(m)(\xi)D^{\beta}(\phi_{\delta})(\xi)|^s d\xi \le C \frac{1}{\delta^{|\beta|s}} \delta^n$$
$$= C \delta^{n-s|\beta|} \le C \delta^{s+n-ns},$$

where the derivatives of m are bounded by a constant, and the last inequality holds when δ is small enough. So, if $1 < s < \frac{n}{n-1}$, this term goes to 0 as δ goes to 0.

Case 2. $|\alpha| = k < n$.

For $|\beta| = |\alpha|$, using the boundedness of the modulus of continuity of m we have

$$\int_{B(\xi_0,\delta)} |D^{\alpha-\beta}(m)(\xi)D^{\beta}(\phi_{\delta})(\xi)|^s d\xi = \int_{B(\xi_0,\delta)} |m(\xi)|^s |D^{\alpha}(\phi_{\delta})(\xi)|^s d\xi$$
$$\leq C \frac{1}{\delta^{ks}} |\omega(m,\xi_0,\delta)|^s \delta^n$$
$$= C \delta^{s+n-ks}$$
$$\leq C \delta^{s+n-ns}$$

and this term, again, goes to 0 as δ goes to 0, whenever $1 < s < \frac{n}{n-1}$.

Finally, if $|\beta| < |\alpha|$, one gets the same bound

$$\int_{B(\xi_0,\delta)} |D^{\alpha-\beta}(m)(\xi)D^{\beta}(\phi_{\delta})(\xi)|^s d\xi \le C \frac{1}{\delta^{|\beta|s}} \delta^n$$
$$= C \delta^{n-s|\beta|} \le C \delta^{s+n-ns}$$

When $\xi_0 = 0$ one has

$$\sup_{R>0} \left(R^{s|\alpha|-n} \int_{R<|\xi|<2R} |D^{\alpha}(m\phi_{\delta})(\xi)|^{s} d\xi \right)^{1/s}$$
$$= \sup_{\delta \geq R>0} \left(R^{s|\alpha|-n} \int_{R<|\xi|<2R} |D^{\alpha}(m\phi_{\delta})(\xi)|^{s} d\xi \right)^{1/s}$$

Observe that for $|\alpha| > 0$, $D^{\alpha}\phi_{\delta}$ lives on $\{\delta/2 \le |\xi| \le \delta\}$. Then, similar calculations complete the proof.

To prove the first case of Lemma 1 there is another argument due to J. Duoandikoetxea. We thank him for providing us the following lemma. In fact, it is only necessary to assume that the multiplier m is continuous.

Lemma 2. Let $\xi_0 \in \mathbb{R}^n$, $0 < \delta \leq \delta_0$, 1 < q < 2 and $m \in \mathcal{M}_q \cap \mathcal{C}(B(\xi_0, \delta_0))$ with $m(\xi_0) = 0$. Set $\phi_{\delta}(\xi)$ as above and let $T_{m\phi_{\delta}}$ be the operator with multiplier $m\phi_{\delta}$.

(a) For any $p \in (q, 2)$ we have

$$||T_{m\phi_{\delta}}||_{L^p \to L^p} \longrightarrow 0, \quad when \ \delta \to 0.$$

(b) Let $\omega \in A_p$ with $p \in (q, 2)$ and let s > 1 such that $\omega^s \in A_p$. If m is an $L^p(\omega^s)$ multiplier, then

$$||T_{m\phi_{\delta}}||_{L^{p}(\omega)\to L^{p}(\omega)}\longrightarrow 0, \quad when \ \delta\to 0.$$

Remark 1. Clearly, a similar result holds when 2 .

Proof. We first observe that $||T_{m\phi_{\delta}}||_{L^2 \to L^2} = ||m\phi_{\delta}||_{\infty} = \varepsilon(\delta)$ and $\varepsilon(\delta) \to 0$ as $\delta \to 0$ since m is continuous in ξ_0 . On the other hand, $||m\phi_{\delta}||_{\mathcal{M}_q} \leq ||\phi_{\delta}^{\vee}||_{L^1} ||m||_{\mathcal{M}_q} = C||m||_{\mathcal{M}_q}$, where C is a constant independent of δ . That is, for all $\delta > 0$

$$||T_{m\phi_{\delta}}f||_q \le M ||f||_q$$

Then, applying the Riesz-Thorin theorem (e.g. [Gr1, p. 34]), for any $p \in (q, 2)$ $(\frac{1}{p} = \frac{1-\theta}{2} + \frac{\theta}{q})$ we have

$$||T_{m\phi_{\delta}}f||_{p} \leq M^{1-\theta}\varepsilon(\delta)^{\theta}||f||_{p} = \varepsilon_{1}(\delta)||f||_{p},$$
(9)

where $\varepsilon_1(\delta) \to 0$ as $\delta \to 0$ and (a) is proved. For proving (b), since $\omega^s \in A_p$ and ϕ_δ is a cutoff smooth function, note that

$$\|T_{m\phi_{\delta}}f\|_{L^{p}(\omega^{s})} \le C\|f\|_{L^{p}(\omega^{s})},$$
(10)

where one can check that C is a constant independent of δ . Finally, from (9) and (10), applying the interpolation theorem with change of measure of Stein-Weiss (e.g. [BeL, p. 115]), we get

$$||T_{m\phi_{\delta}}f||_{L^{p}(\omega)} \leq C^{1/s}\varepsilon_{1}(\delta)^{1-1/s}||f||_{L^{p}(\omega)}$$

as desired.

3 The polynomial case

As we remarked in the Introduction, to have a complete proof of Theorems 1 and 2 only remains to prove that (b) implies (c) (and (d) implies (c) in Theorem 1). Our procedure to get the above implications follows essentially the arguments used in [MOV] and [MOPV]. The main difficulty to overcome is that for $p \neq 2$, we cannot apply Plancherel Theorem and we replace it by a Fourier multiplier argument.

We begin with the proof of (b) implies (c) in Theorem 1 for the case $\omega = 1$. Then we show how to adapt this proof to the case with weights, to the case of odd operators and to the case of weak L^1 . Thus, we assume that T is an even polynomial operator with kernel

$$K(x) = \frac{\Omega(x)}{|x|^n} = \frac{P_2(x)}{|x|^{2+n}} + \frac{P_4(x)}{|x|^{4+n}} + \dots + \frac{P_{2N}(x)}{|x|^{2N+n}}, \quad x \neq 0,$$

where P_{2j} is a homogeneous harmonic polynomial of degree 2j. Each term has the multiplier (see [St, p. 73])

$$\left(\text{p.v. } \frac{P_{2j}(x)}{|x|^{2j+n}}\right)^{\wedge}(\xi) = \gamma_{2j} \frac{P_{2j}(\xi)}{|\xi|^{2j+n}}.$$

Then,

$$\widehat{\mathbf{p.v.}\ K}(\xi) = \frac{Q(\xi)}{|\xi|^{2N}}, \qquad \xi \neq 0,$$

where Q is the homogeneous polynomial of degree 2N defined by

$$Q(x) = \gamma_2 P_2(x) |x|^{2N-2} + \dots + \gamma_{2j} P_{2j}(x) |x|^{2n-2j} + \dots + \gamma_{2N} P_{2N}(x).$$

We want to obtain a convenient expression for the function $K(x)\chi_{\mathbb{R}^n\setminus\overline{B}}$, the kernel K off the unit ball B (see (12)). To find it, we need a simple technical lemma which we state without proof.

Lemma 3 ([MOV, p. 1435]). Assume that φ is a radial function of the form

 $\varphi(x) = \varphi_1(|x|)\chi_B(x) + \varphi_2(|x|)\chi_{\mathbb{R}^n \setminus \overline{B}}(x),$

where φ_1 is continuously differentiable on [0, 1) and φ_2 on $(1, \infty)$. Let L be a second order linear differential operator with constant coefficients. Then the distribution $L\varphi$ satisfies

$$L\varphi = L\varphi(x)\chi_B(x) + L\varphi(x)\chi_{\mathbb{R}^n\setminus\overline{B}}(x),$$

provided $\varphi_1, \varphi'_1, \varphi_2$ and φ'_2 extend continuously to the point 1 and the two conditions

$$\varphi_1(1) = \varphi_2(1), \quad \varphi_1'(1) = \varphi_2'(1)$$

are satisfied.

Consider the differential operator $Q(\partial)$ defined by the polynomial Q(x) above and let E be the standard fundamental solution of the N-th power Δ^N of the Laplacian. Then $Q(\partial)E = p.v. K(x)$, which may be verified by taking the Fourier transform of both sides. The concrete expression of $E(x) = |x|^{2N-n}(a(n, N) + b(n, N) \log |x|^2)$ (e.g. [MOV, p. 1464]) is not important now, just note that it is a radial function. Consider the function

$$\varphi(x) = E(x)\chi_{\mathbb{R}^n \setminus \overline{B}}(x) + (A_0 + A_1|x|^2 + \dots + A_{2N-1}|x|^{4N-2})\chi_B(x),$$

where B is the open ball of radius 1 centered at origin and the constants $A_0, A_1, \ldots, A_{2N-1}$ are chosen as follows. Since $\varphi(x)$ is radial, the same is true for $\Delta^j \varphi$ if j is a positive integer. Thus, in order to apply N times Lemma 3, one needs 2N conditions, which (uniquely) determine $A_0, A_1, \ldots, A_{2N-1}$. Therefore, for some constants $\alpha_1, \alpha_2, \ldots, \alpha_{N-1}$,

$$\Delta^{N}\varphi = (\alpha_{0} + \alpha_{1}|x|^{2} + \dots + \alpha_{N-1}|x|^{2(N-1)})\chi_{B}(x) = b(x),$$
(11)

where the last identity is the definition of b. Let's remark that b is a bounded function supported in the unit ball and it only depends on N and not on the kernel K. Since

$$\varphi = E * \Delta^N \varphi$$

taking derivatives of both sides we obtain

$$Q(\partial)\varphi = Q(\partial)E * \Delta^N \varphi = \text{p.v. } K(x) * b = T(b)$$

On the other hand, applying Lemma 3,

$$Q(\partial)\varphi = K(x)\chi_{\mathbb{R}^n \setminus \overline{B}}(x) + Q(\partial)(A_0 + A_1|x|^2 + \dots + A_{2N-1}|x|^{4N-2})(x)\chi_B(x).$$

We write

$$S(x) := -Q(\partial)(A_0 + A_1|x|^2 + \dots + A_{2N-1}|x|^{4N-2})(x),$$

and we get

$$K(x)\chi_{\mathbb{R}^n\setminus\overline{B}}(x) = T(b)(x) + S(x)\chi_B(x).$$
(12)

Let's remark that S will be null when Q is a harmonic polynomial (see [MOV, p. 1437]). Consequently

$$T^1 f = T(b) * f + S\chi_B * f$$

Our assumption is the L^p estimate between T^* and T. Since the truncated operator T^1 at level 1 is obviously dominated by T^* , we have

$$||S\chi_B * f||_p \le ||T^1 f||_p + ||Tb * f||_p \le ||T^* f||_p + ||b * Tf||_p \le C ||Tf||_p + ||b||_1 ||Tf||_p = C ||Tf||_p,$$
(13)

that is, for any $f \in L^p$

$$||S\chi_B * f||_p \le C ||p.v. K * f||_p.$$
(14)

If p = 2, we can use Plancherel and this L^2 inequality translates into a pointwise inequality between the Fourier multipliers:

$$|\widehat{S\chi_B}(\xi)| \le C|\widehat{\mathbf{p.v.}\ K}(\xi)| = \frac{Q(\xi)}{|\xi|^{2N}}, \quad \xi \ne 0.$$
(15)

If $p \neq 2$ we must resort to Fourier multipliers to get (15). We observe that the multipliers we are dealing with, $\widehat{S\chi_B}$ and $\widehat{p.v. K}$, are in $\mathcal{C}^{\infty} \setminus \{0\}$ and in \mathcal{M}_p . Let $\xi_0 \neq 0$, we write

$$\widehat{S\chi_B}(\xi) = \widehat{S\chi_B}(\xi)(\xi_0) + E_1(\xi) \quad \text{with} \quad E_1(\xi) = \widehat{S\chi_B}(\xi) - \widehat{S\chi_B}(\xi_0) \\
\widehat{\text{p.v. } K}(\xi) = \widehat{\text{p.v. } K}(\xi_0) + E_2(\xi) \quad \text{with} \quad E_2(\xi) = \widehat{\text{p.v. } K}(\xi) - \widehat{\text{p.v. } K}(\xi_0)$$

and so

$$\| \text{p.v. } K * f \|_{p} \le \| \widetilde{\text{p.v. } K}(\xi_{0}) \| \| f \|_{p} + \| T_{E_{2}} f \|_{p},$$
(16)

$$||S\chi_B * f||_p \ge |S\chi_B(\xi_0)| \, ||f||_p - ||T_{E_1}f||_p, \tag{17}$$

where T_{E_i} denotes the operator with multiplier E_i (i = 1, 2). Using (17), (14) and (16) consecutively, we get

$$\begin{split} |S\chi_{B}(\xi_{0})| \, \|f\|_{p} - \|T_{E_{1}}f\|_{p} &\leq \|S\chi_{B}*f\|_{p} \\ &\leq C \|\text{p.v. } K*f\|_{p} \\ &\leq C(|\widehat{\text{p.v. } K}(\xi_{0})| \, \|f\|_{p} + \|T_{E_{2}}f\|_{p}) \end{split}$$

and therefore

$$|\widehat{S\chi_B}(\xi_0)| \le C\left(|\widehat{\text{p.v. }K}(\xi_0)| + \frac{||T_{E_2}f||_p}{||f||_p} + \frac{||T_{E_1}f||_p}{||f||_p}\right), \quad \xi_0 \ne 0.$$
(18)

Now, choosing appropriate functions in (18) we obtain the pointwise inequality. Let $\phi_{\delta}(\xi) = \phi(\frac{\xi-\xi_0}{\delta})$ as in Lemma 1 and define $g_{\delta} \in \mathcal{S}(\mathbb{R}^n)$ by $\widehat{g_{\delta}}(\xi) = \phi_{\delta}(\xi)$. Then $T_{E_j}g_{\delta} = T_{E_j}(g_{2\delta} * g_{\delta}) = T_{E_j\phi_{2\delta}}(g_{\delta})$, because $\phi_{2\delta} = 1$ on the support of ϕ_{δ} . Changing f by g_{δ} in (18) we have

$$\begin{aligned} |\widehat{S\chi_B}(\xi_0)| &\leq C\left(|\widehat{\mathbf{p.v.}\ K}(\xi_0)| + \frac{\|T_{E_2\phi_{2\delta}}g_\delta\|_p}{\|g_\delta\|_p} + \frac{\|T_{E_1\phi_{2\delta}}g_\delta\|_p}{\|g_\delta\|_p}\right) \\ &\leq C\left(|\widehat{\mathbf{p.v.}\ K}(\xi_0)| + \|T_{E_2\phi_{2\delta}}\|_{L^p \to L^p} + \|T_{E_1\phi_{2\delta}}\|_{L^p \to L^p}\right). \end{aligned}$$

Applying Lemma 1 to the multipliers E_j we prove that the two last terms tend to zero as δ tends to zero. So, for $\omega = 1$, we get (15) and from here we would follow the arguments in [MOV, p. 1457].

For the weighted case we must be careful with the inequalities in (13). In general, the inequality $||f * F||_{L^p(\omega)} \leq C||f||_1 ||F||_{L^p(\omega)}$ is not satisfied. That is, we can not control $||b * Tf||_{L^p(\omega)}$ by a constant times $||b||_1 ||Tf||_{L^p(\omega)}$. However, in the even case b is a bounded function supported in the unit ball and so

$$|(b * Tf)(x)| = \left| \int_{|x-y|<1} b(x-y)Tf(y) \, dy \right| \le CM(Tf)(x).$$

Moreover

$$||b*Tf||_{L^p(\omega)} \le C||Tf||_{L^p(\omega)},$$

because $\omega \in A_p$. So, $||S\chi_B * f||_{L^p(\omega)} \leq C ||p.v. K * f||_{L^p(\omega)}$ and proceeding as above, we would get (15).

The proof of (b) implies (c) in Theorem 2 can be handled in much the same way. The only significant difference, because now the polynomial is odd, lies on the function b in (12), which is not supported in the unit ball but it is a BMO function satisfying the decay $|b(x)| \leq C|x|^{-n-1}$ if |x| > 2 (see [MOPV, section 4]). In any case, $b \in L^1$ and the set of inequalities (13) remains valid for the case $\omega = 1$. On the other hand, for any ω in the Muckenhoupt class we write, arguing as in [MOPV, p. 3675],

$$\begin{aligned} |(b*Tf)(x)| &= \left| \int_{|x-y|<2} (b(x-y) - b_{B(0,2)}) Tf(y) \, dy \right| \\ &+ |b_{B(0,2)}| \int_{|x-y|<2} |Tf(y)| \, dy + \int_{|x-y|>2} |b(x-y)| \, |Tf(y)| \, dy \\ &= I + II + III, \end{aligned}$$

where $b_{B(0,2)} = |B(0,2)|^{-1} \int_{B(0,2)} b$. To estimate the local term I we use the generalized Hölder's inequality and the pointwise equivalence $M_{L(\log L)}f(x) \simeq M^2 f(x)$ ([P]) to get

$$|I| \le C ||b||_{BMO} ||Tf||_{L(\log L), B(x,2)} \le CM^2(Tf)(x).$$

Notice that $b_{B(0,2)}$ is a dimensional constant. Hence

$$|II| \le CM(Tf)(x).$$

Finally, from the decay of b we obtain

$$|III| \le C \int_{|x-y|>2} \frac{|Tf(y)|}{|x-y|^{n+1}} \, dy \le CM(Tf)(x),$$

by using a standard argument which consists in estimating the integral on the annuli $\{2^k \leq |x-y| < 2^{k+1}\}$. Therefore

$$|(b * Tf)(x)| \le CM^2(Tf)(x).$$
 (19)

So, we obtain

$$\|b * Tf\|_{L^p(\omega)} \le C \|Tf\|_{L^p(\omega)},$$

because $\omega \in A_p$. Then, $||S\chi_B * f||_{L^p(\omega)} \leq C ||p.v. K * f||_{L^p(\omega)}$ and we get (15).

It remains to prove that (d) implies (c) in Theorem 1. To get this implication we need to precise some properties of the functions g_{δ} that we explain below. First of all, note that $g_{\delta}(x) = e^{ix\xi_0}\delta^n g(\delta x)$ where $\hat{g} = \phi$. So it is clear that the norms $\|g_{\delta}\|_1 = \|g\|_1$ and $\|g_{\delta}\|_{1,\infty} = \|g\|_{1,\infty}$ do not depend on the parameter $\delta > 0$. When $\delta < |\xi_0|$, since $\int g_{\delta}(x) dx = \phi_{\delta}(0) = 0$ and $g_{\delta} \in \mathcal{S}(\mathbb{R}^n)$, we have that $g_{\delta} \in H^1$. But, some computations are required to check that $\|g_{\delta}\|_{H^1} \leq C$ with constant Cindependent of δ .

Lemma 4. When $0 < \delta < |\xi_0|$, $||g_{\delta}||_{H^1} \leq C$ with constant C independent of δ .

Proof. We have $g_{\delta}(x) = e^{ix\xi_0} \delta^n g(\delta x)$ with $g \in \mathcal{S}(\mathbb{R}^n)$ and $\int g_{\delta} = 0$. Set $F_0^{\delta}(x) = \chi_{B(0,\delta^{-1})}(x)$ and, for $j \geq 1$, $F_j^{\delta}(x) = \chi_{B(0,2^j\delta^{-1})}(x) - \chi_{B(0,2^{j-1}\delta^{-1})}(x)$. Note that

 $\sum_{j=0}^{\infty}F_{j}^{\delta}(x)\equiv1.$ Consider the atomic decomposition of g_{δ}

$$g_{\delta}(x) = \sum_{j=0}^{\infty} (g_{\delta}(x) - c_{j}^{\delta}) F_{j}^{\delta}(x) + \sum_{j=0}^{\infty} [(c_{j}^{\delta} + d_{j}^{\delta}) F_{j}^{\delta}(x) - d_{j+1}^{\delta} F_{j+1}^{\delta}(x)]$$
$$:= \sum_{j=0}^{\infty} a_{j}^{\delta}(x) + \sum_{j=0}^{\infty} A_{j}^{\delta}(x),$$

where $c_j^{\delta} = \frac{\int g_{\delta} F_j^{\delta}}{\int F_j^{\delta}}$, $d_0^{\delta} = 0$ and $d_{j+1}^{\delta} = \frac{\int g_{\delta}(F_0^{\delta} + \dots + F_j^{\delta})}{\int F_{j+1}^{\delta}}$, so that $\int a_j^{\delta}(x) \, dx = \int A_j^{\delta}(x) \, dx = 0$. Note that a_j^{δ} is supported in the ball $B(0, 2^j \delta^{-1})$ and A_j^{δ} is supported in $B(0, 2^{j+1}\delta^{-1})$.

Since $g \in \mathcal{S}(\mathbb{R}^n)$ we have $(1 + |z|^{n+1})|g(z)| \leq C$. Then

$$|g_{\delta}(x)F_{j}^{\delta}(x)| = \delta^{n}|g(\delta x)|F_{j}^{\delta}(x) \le \delta^{n} \sup_{|z|\sim 2^{j}} |g(z)| \le C\left(\frac{\delta}{2^{j}}\right)^{n} 2^{-j} = \frac{C2^{-j}}{|B(0,2^{j}\delta^{-1})|}$$

and therefore

$$|c_j^{\delta}| = \left|\frac{\int g_{\delta} F_j^{\delta}}{\int F_j^{\delta}}\right| \le \frac{C2^{-j}}{|B(0, 2^j \delta^{-1})|},$$

On the other hand, $\int g_{\delta}(F_0^{\delta} + \cdots + F_j^{\delta}) = \int_{|x| \ge 2^j \delta^{-1}} g_{\delta}(x) dx$, because $\int g_{\delta} = 0$, and so

$$d_{j+1}^{\delta} = \frac{\int_{|x| \ge 2^{j} \delta^{-1}} g_{\delta}(x) \, dx}{\int F_{j+1}^{\delta}} \le \frac{\int_{|z| \ge 2^{j}} |g(z)| \, dz}{|B(0, 2^{j+1} \delta^{-1})|} \le \frac{C 2^{-j}}{|B(0, 2^{j+1} \delta^{-1})|}.$$

Consequently

$$||a_{j}^{\delta}||_{H^{1}} \le \frac{C}{2^{j}}$$
 and $||A_{j}^{\delta}||_{H^{1}} \le \frac{C}{2^{j}}$

Therefore, for all $\delta \in (0, |\xi_0|), ||g_\delta||_{H^1} \leq C$ as we claimed.

Finally, for functions f in H^1 , and again using (12), we have

$$||S\chi_B * f||_{1,\infty} \le 2(||T^1f||_{1,\infty} + ||Tb * f||_{1,\infty})$$

$$\le C(||T^*f||_{1,\infty} + ||b * Tf||_1)$$

$$\le C||Tf||_1 + ||b||_1||Tf||_1)$$

$$= C||Tf||_1 = C||p.v. K * f||_1.$$

Taking $\xi_0 \neq 0$ and using the same notation as before, we have

$$\begin{aligned} ||\mathbf{p}.\mathbf{v}.\ K * f||_{1} &\leq |\widehat{\mathbf{p}.\mathbf{v}.\ K}(\xi_{0})|\ ||f||_{1} + ||T_{E_{2}}f||_{1}, \\ ||S\chi_{B} * f||_{1,\infty} &\geq \frac{1}{2} |\widehat{S\chi_{B}}(\xi_{0})|\ ||f||_{1,\infty} - ||T_{E_{1}}f||_{1,\infty} \end{aligned}$$

and consequently

$$|\widehat{S\chi_B}(\xi_0)| \le C\left(|\widehat{\mathbf{p.v.}\ K}(\xi_0)| \frac{\|f\|_1}{\|f\|_{1,\infty}} + \frac{\|T_{E_2}f\|_1}{\|f\|_{1,\infty}} + \frac{\|T_{E_1}f\|_{1,\infty}}{\|f\|_{1,\infty}}\right), \quad \xi_0 \neq 0.$$

Replacing f by g_{δ} and using the properties of g_{δ} (that is, $\|g_{\delta}\|_1 = \|g\|_1$, $\|g_{\delta}\|_{1,\infty} = \|g\|_{1,\infty}$ and Lemma 4) we obtain

$$\begin{split} |\widehat{S\chi_B}(\xi_0)| &\leq C \bigg(|\widehat{\mathbf{p.v.}\ K}(\xi_0)| \frac{\|g_\delta\|_1}{\|g_\delta\|_{1,\infty}} + \frac{\|T_{E_2\phi_{2\delta}}g_\delta\|_1}{\|g_\delta\|_{1,\infty}} + \frac{\|T_{E_1\phi_{2\delta}}g_\delta\|_{1,\infty}}{\|g_\delta\|_{1,\infty}} \bigg) \\ &\leq C \bigg(|\widehat{\mathbf{p.v.}\ K}(\xi_0)| \frac{\|g\|_1}{\|g\|_{1,\infty}} + \frac{\|T_{E_2\phi_{2\delta}}\|_{H^1 \to L^1}\|g_\delta\|_{H^1}}{\|g_\delta\|_{1,\infty}} + \frac{\|T_{E_1\phi_{2\delta}}\|_{L^1 \to L^{1,\infty}}\|g_\delta\|_1}{\|g_\delta\|_{1,\infty}} \bigg) \\ &\leq C \bigg(|\widehat{\mathbf{p.v.}\ K}(\xi_0)| + \|T_{E_2\phi_{2\delta}}\|_{H^1 \to L^1} + \|T_{E_1\phi_{2\delta}}\|_{L^1 \to L^{1,\infty}} \bigg) \end{split}$$

and therefore, applying Lemma 1 on the right hand side of this inequality, we get

$$|\widehat{S\chi_B}(\xi_0)| \le C|\widehat{\text{p.v. }K}(\xi_0)| \quad \xi_0 \neq 0$$

as desired.

4 The general case

In our procedure for the polynomial case, the function b has been crucial. It provides a convenient way to express the function $K(x)\chi_{\mathbb{R}^n\setminus\overline{B}}$, where K is the kernel of the operator T. As we mentioned before, b only depends on the degree of the homogeneous polynomial and on the space \mathbb{R}^n . In the even case 2N (see (11)), $b = b_{2N}$ is the restriction to the unit ball of some polynomial of degree 2N - 2. In the odd case 2N + 1, b_{2N+1} is a BMO function with certain decay at infinity. Until now, we did not need to pay attention to the size of the parameters appearing in the definition of b because the degree of the polynomial (either 2N or 2N + 1) was fixed. In this section we require a control of the L^1 , L^{∞} or BMO norms of b, as well as its decay at infinity. We summarize all we need in next lemma.

Lemma 5. There exists a constant C depending only on n such that

- (i) $|\widehat{b_{2N}}(\xi)| \le C$ and $|\widehat{b_{2N+1}}(\xi)| \le C$, $\xi \in \mathbb{R}^n$.
- (*ii*) $||b_{2N}||_{L^{\infty}(B)} \le C(2N)^{2n+2}$ and $||\nabla b_{2N}||_{L^{\infty}(B)} \le C(2N)^{2n+4}$.
- (*iii*) $||b_{2N+1}||_{BMO} \le C(2N+1)^{2n}$ and $||b_{2N+1}||_{L^2} \le C(2N+1)^{2n}$.
- (iv) If |x| > 2 then $|b_{2N+1}(x)| \le C(2N+1)^{2n} |x|^{-n-1}$.

Proof. Parts (i), (ii) and (iii) are proved in [MOV, Lemma 8] and [MOPV, Lemma 5]. It only remains to prove (iv).

Recall that σ denotes the normalized surface measure in S^{n-1} , and let h_1, \ldots, h_d be an orthonormal basis of the subspace of $L^2(d\sigma)$ consisting of all homogeneous harmonic polynomials of degree 2N + 1. As it is well known, $d \simeq (2N + 1)^{n-2}$. As in the proof of Lemma 6 in [MOV] we have $h_1^2 + \cdots + h_d^2 = d$, on S^{n-1} . Set

$$H_j(x) = \frac{1}{\gamma_{2N+1}\sqrt{d}} h_j(x), \quad x \in \mathbb{R}^n,$$

and let S_j be the higher order Riesz transform with kernel $K_j(x) = H_j(x)/|x|^{2N+1+n}$. The Fourier multiplier of S_j^2 is

$$\frac{1}{d} \frac{h_j(\xi)^2}{|\xi|^{4N+2}}, \quad 0 \neq \xi \in \mathbb{R}^n,$$

and thus

$$\sum_{j=1}^{d} S_j^2 = \text{Identity} \,. \tag{20}$$

We use again (12), but now the second term at the right hand side vanishes because each h_j is harmonic (see [MOV], p. 1437). We get

$$K_j(x) \chi_{\mathbb{R}^n \setminus \overline{B}}(x) = S_j(b_{2N+1})(x), \quad x \in \mathbb{R}^n, \quad 1 \le j \le d,$$

and so by (20)

$$b_{2N+1} = \sum_{j=1}^{d} S_j \left(K_j(x) \,\chi_{\mathbb{R}^n \setminus \overline{B}}(x) \right) \,. \tag{21}$$

Therefore we set

$$\sum_{j=1}^{d} S_j \left(K_j(x) \chi_{\mathbb{R}^n \setminus \overline{B}}(x) \right) = \sum_{j=1}^{d} S_j * S_j - \sum_{j=1}^{d} S_j \left(K_j(x) \chi_B(x) \right)$$
$$= \delta_0 - \sum_{j=1}^{d} S_j \left(K_j(x) \chi_B(x) \right),$$

where δ_0 is the Dirac delta at the origin. If |x| > 2, then

$$S_j(K_j(y) \chi_B(y))(x) = \lim_{\varepsilon \to 0} \int_{\varepsilon < |y| < 1} K_j(x - y) K_j(y) \, dy$$
$$= \lim_{\varepsilon \to 0} \int_{\varepsilon < |y| < 1} (K_j(x - y) - K_j(x)) K_j(y) \, dy$$

In this situation,

$$|K_j(x-y) - K_j(x)| \le C \frac{|y|}{|x|^{n+1}} \left(\|H_j\|_{\infty} (2N+1) + \|\nabla H_j\|_{\infty} \right),$$

hence

$$|S_j(K_j(y)\chi_B(y))(x)| \le C \frac{\|H_j\|_{\infty}(2N+1) + \|\nabla H_j\|_{\infty}}{|x|^{n+1}} \int_{|y|<1} \frac{\|H_j\|_{\infty}}{|y|^{n-1}} \, dy$$

where the supremum norms are taken on S^{n-1} . Clearly

$$||H_j||_{\infty} = \frac{1}{\gamma_{2N+1}} \left\| \frac{h_j}{\sqrt{d}} \right\|_{\infty} \le \frac{1}{\gamma_{2N+1}} \simeq (2N+1)^{n/2}.$$

For the estimate of the gradient of H_i we use the inequality [St, p. 276]

$$\|\nabla H_j\|_{\infty} \leq C (2N+1)^{n/2+1} \|H_j\|_2,$$

where the L^2 norm is taken with respect to $d\sigma$. Since the h_j are an orthonormal system,

$$||H_j||_2 = \frac{1}{\sqrt{d}\gamma_{2N+1}} \simeq \frac{(2N+1)^{n/2}}{(2N+1)^{(n-2)/2}} \simeq 2N+1.$$

Gathering the above inequalities we get, when |x| > 2,

$$S_j(K_j(y) \chi_B(y))(x)| \le C \frac{(2N+1)^{n+2}}{|x|^{n+1}}$$

and finally

$$b_{2N+1}(x)| \le Cd \frac{(2N+1)^{n+2}}{|x|^{n+1}} \le C \frac{(2N+1)^{2n}}{|x|^{n+1}},$$

as claimed.

Now, the kernel of the operator Tf = p.v. K * f is of the type $K(x) = \frac{\Omega(x)}{|x|^n}$ being Ω a $C^{\infty}(S^{n-1})$ homogeneous function of degree 0, with vanishing integral on the sphere. Then, $\Omega(x) = \sum_{j\geq 1}^{\infty} \frac{P_{2j}(x)}{|x|^{2j}}$ with P_{2j} homogeneous harmonic polynomials of degree 2j when T is an even operator, and $\Omega(x) = \sum_{j\geq 0}^{\infty} \frac{P_{2j+1}(x)}{|x|^{2j+1}}$ with P_{2j+1} homogeneous harmonic polynomials of degree 2j+1 when T is an odd operator. The strategy consists in passing to the polynomial case by looking at a partial sum of the series above. Set, for each $N \geq 1$, $K_N(x) = \frac{\Omega_N(x)}{|x|^n}$, where $\Omega_N(x) = \sum_{j=1}^{N} \frac{P_{2j}(x)}{|x|^{2j}}$ (or $\Omega_N(x) = \sum_{j=0}^{N} \frac{P_{2j+1}(x)}{|x|^{2j+1}}$ in the odd case), and let T_N be the operator with kernel K_N .

We begin by considering (b) implies (c) in Theorem 1 when $\omega = 1$, that is, T is even and our hypothesis is $||T^*f||_p \leq C||Tf||_p$, $f \in L^p(\mathbb{R}^n)$. In this setting, the difficulty is that there is no obvious way of obtaining the inequality

$$||T_N^*f||_p \le C ||T_Nf||_p, \quad f \in L^p(\mathbb{R}^n).$$
 (22)

Instead, we try to get (22) with $||T_N f||_p$ replaced by $||Tf||_p$ in the right hand side plus an additional term which becomes small as N tends to ∞ . We start by writing

$$\|T_N^1 f\|_p \le \|T^1 f\|_p + \|T^1 f - T_N^1 f\|_p$$

$$\le C \|Tf\|_p + \|\sum_{j>N} \frac{P_{2j}(x)}{|x|^{2j+n}} \chi_{\overline{B}^c} * f\|_p.$$
(23)

By (12), and since every P_{2j} is harmonic, there exists a bounded function b_{2j} supported on B such that

$$\frac{P_{2j}(x)}{|x|^{2j+n}}\chi_{\overline{B}^c}(x) = \text{p.v. } \frac{P_{2j}(x)}{|x|^{2j+n}} * b_{2j}.$$

By Lemma 5 (*ii*) , we have that $\|b_{2j}\|_{L^1} \leq C \|b_{2j}\|_{L^{\infty}(B)} \leq C(2j)^{2n+2}$, and thus

$$\begin{aligned} \|\sum_{j>N} \frac{P_{2j}(x)}{|x|^{2j+n}} \chi_{\overline{B}^c} * f\|_p &= \|\sum_{j>N} \text{p.v. } \frac{P_{2j}(x)}{|x|^{2j+n}} * b_{2j} * f\|_p \\ &\leq \sum_{j>N} \|\frac{P_{2j}(x)}{|x|^{2j+n}} \|_{L^p \to L^p} \|b_{2j} * f\|_p \\ &\leq \sum_{j>N} \|\frac{P_{2j}(x)}{|x|^{2j+n}} \|_{L^p \to L^p} \|b_{2j}\|_1 \|f\|_p \\ &\leq C \|f\|_p \sum_{j>N} \|\frac{P_{2j}(x)}{|x|^{2j+n}} \|_{L^p \to L^p} (2j)^{2n+2} \\ &\leq C \|f\|_p \sum_{j>N} (\|P_{2j}\|_{\infty} + \|\nabla P_{2j}\|_{\infty}) (2j)^{2n+2}. \end{aligned}$$

The last inequality follows from a well-known estimate for Calderón-Zygmund operators (e.g. [Gr1, Theorem 4.3.3]). On the other hand,

$$K_N(x)\chi_{\mathbb{R}^n\setminus\overline{B}}(x) = T_N(b_{2N})(x) + S_N(x)\chi_B(x)$$

and then

 $T_N^1 f = \text{p.v. } K_N * b_{2N} * f + S_N \chi_B * f.$

So, for each $f \in L^p(\mathbb{R}^n)$, using (23) and (24), we have the L^p inequality

$$||S_N \chi_B * f||_p \le ||T_N^1 f||_p + ||p.v. K_N * b_{2N} * f||_p$$

$$\le C \left(||Tf||_p + ||f||_p \sum_{j>N} (||P_{2j}||_{\infty} + ||\nabla P_{2j}||_{\infty}) (2j)^{2n+2} + ||p.v. K_N * b_{2N} * f||_p \right).$$

We emphasize that the corresponding multipliers $\widehat{S_N\chi_B}$, $\widehat{p.v. K}$ and $p.v. \widehat{K_N * b_{2N}} = \widehat{p.v. K_N b_{2N}}$ are in $\mathcal{C}^{\infty} \setminus \{0\}$ and in \mathcal{M}_p . Therefore, proceeding as in the polynomial

case, and applying Lemma 1 we obtain the pointwise estimate for $\xi \neq 0$

$$\widehat{S_N\chi_B}(\xi) \leq C \left(|\widehat{\mathbf{p.v.}\ K}(\xi)| + |(\widehat{\mathbf{p.v.}\ K_N} \cdot \widehat{b_{2N}})(\xi)| + \sum_{j>N} (||P_{2j}||_{\infty} + ||\nabla P_{2j}||_{\infty})(2j)^{2n+2} \right)$$

$$\leq C \left(|\widehat{\mathbf{p.v.}\ K}(\xi)| + |\widehat{\mathbf{p.v.}\ K_N}(\xi)| + \sum_{j>N} (||P_{2j}||_{\infty} + ||\nabla P_{2j}||_{\infty})(2j)^{2n+2} \right),$$

where in the last step we have used Lemma 5 (i), that is, $|\widehat{b_{2N}}(\xi)| \leq C$, for $\xi \in \mathbb{R}^n$.

The idea is now to take limits, as N goes to ∞ , in the preceding inequality. By the definition of K_N and (6), the term on the right-hand side converges to $C|p.v. K(\xi)|$. The next task is to clarify how the left-hand side converges, but at this point we proceed as in [MOV, p. 1463] and we get the desired result.

This argument, which has been explained for the even case and $\omega = 1$, is also valid for the other cases, after taking into account the particular details listed below.

To get (b) implies (c) in Theorem 1 for any $\omega \in A_p$, we would use

$$\|b_{2j} * f\|_{L^{p}(\omega)} \le C \|b_{2j}\|_{L^{\infty}(B)} \|Mf\|_{L^{p}(\omega)} \le C(2j)^{2n+2} \|f\|_{L^{p}(\omega)}$$

to obtain the inequality analogous to (24).

In order to obtain (d) implies (c) in Theorem 1, note that if $c_j > 0$ and $\sum_{j=1}^{\infty} c_j = 1$, then $\|\sum g_j\|_{1,\infty} \leq \sum c_j^{-1} \|g_j\|_{1,\infty}$. We have

$$\begin{split} \|\sum_{j>N} \frac{P_{2j}(x)}{|x|^{2j+n}} \chi_{\overline{B}^c} * f\|_{1,\infty} &= \|\sum_{j>N} \text{p.v.} \frac{P_{2j}(x)}{|x|^{2j+n}} * b_{2j} * f\|_{1,\infty} \\ &\leq \sum_{j>N} j^2 \|\text{p.v.} \frac{P_{2j}(x)}{|x|^{2j+n}} * b_{2j} * f\|_{1,\infty} \\ &\leq \sum_{j>N} j^2 \|\frac{P_{2j}(x)}{|x|^{2j+n}}\|_{L^1 \to L^{1,\infty}} \|b_{2j} * f\|_1 \\ &\leq \sum_{j>N} j^2 \|\frac{P_{2j}(x)}{|x|^{2j+n}}\|_{L^1 \to L^{1,\infty}} \|b_{2j}\|_1 \|f\|_1 \\ &\leq C \|f\|_1 \sum_{j>N} j^2 \|\frac{P_{2j}(x)}{|x|^{2j+n}}\|_{L^1 \to L^{1,\infty}} (2j)^{2n+2} \\ &\leq C \|f\|_1 \sum_{j>N} (\|P_{2j}\|_{\infty} + \|\nabla P_{2j}\|_{\infty}) (2j)^{2n+4}, \end{split}$$

and therefore, for all functions $f \in H^1(\mathbb{R}^n)$,

$$\begin{split} \|S_N\chi_B * f\|_{1,\infty} &\leq 2(\|T_N^1 f\|_{1,\infty} + \|\text{p.v. } K_N * b_{2N} * f\|_{1,\infty}) \\ &\leq 4(\|T^1 f\|_{1,\infty} + \|\sum_{j>N} \frac{P_{2j}(x)}{|x|^{2j+n}} \chi_{\overline{B}^c} * f\|_{1,\infty}) + 2\|\text{p.v. } K_N * b_{2N} * f\|_{1,\infty}) \\ &\leq C(\|Tf\|_1 + \|f\|_1 \sum_{j>N} (\|P_{2j}\|_{\infty} + \|\nabla P_{2j}\|_{\infty}) (2j)^{2n+4} \\ &+ \|\text{p.v. } K_N * b_{2N} * f\|_{1,\infty}). \end{split}$$

Again, using Lemma 1, Lemma 4 and Lemma 5, we obtain, for $\xi \neq 0$,

$$|\widehat{S_N\chi_B}(\xi)| \le C\left(|\widehat{\text{p.v. }K}(\xi)| + |\widehat{\text{p.v. }K_N}(\xi)| + \sum_{j>N} (\|P_{2j}\|_{\infty} + \|\nabla P_{2j}\|_{\infty})(2j)^{2n+4}\right)$$

as desired.

The implication $(b) \Rightarrow (c)$ in Theorem 2 can be adapted as follows. T is odd and the functions b_{2j+1} are in BMO. By Lemma 5, we have $\|\widehat{b_{2j+1}}\|_{\infty} \leq C$, $\|b_{2j+1}\|_{\text{BMO}} \leq C(2j+1)^{2n}$ and $\|b_{2j+1}\|_2 \leq C(2j+1)^{2n}$. Moreover, $|b_{2j+1}(x)| \leq C(2j+1)^{2n}|x|^{-n-1}$ if |x| > 2. Then, proceeding in the same way as in the proof of (19), we get

$$||b_{2j+1} * f||_{L^p(\omega)} \le C(2j+1)^{2n} ||f||_{L^p(\omega)}$$

and so, the inequality analogous to (24) follows.

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