SEMICONJUGACY TO A MAP OF A CONSTANT SLOPE

LLUÍS ALSEDÀ AND MICHAŁ MISIUREWICZ

ABSTRACT. It is well known that a continuous piecewise monotone interval map with positive topological entropy is semiconjugate to a map of a constant slope and the same entropy, and if it is additionally transitive then this semiconjugacy is actually a conjugacy. We generalize this result to piecewise continuous piecewise monotone interval maps, and as a consequence, get it also for piecewise monotone graph maps. We show that assigning to a continuous transitive piecewise monotone map of positive entropy a map of constant slope conjugate to it defines an operator, and show that this operator is not continuous.

1. INTRODUCTION

It has been known for a long time that a continuous piecewise monotone interval map with positive topological entropy is semiconjugate to a map of a constant slope and the same entropy [7], and if it is additionally transitive, then it is conjugate to a map of a constant slope and the same entropy [12]. The proof by Milnor and Thurston uses kneading theory and is quite complicated. In the book [1] we gave a simpler proof, that does not use kneading theory. Here we present a modification of this proof, that works for piecewise continuous piecewise monotone interval maps, and consequently, also for piecewise monotone graph maps.

At the end we show that assigning to a continuous transitive piecewise monotone map of positive entropy a map of constant slope conjugate to it defines an operator and show that this operator is not continuous.



Date: August 6, 2014.

²⁰¹⁰ Mathematics Subject Classification. Primary: 37E05, 37B40.

Key words and phrases. Piecewise monotonotone maps, semiconjugacy to a map of constant slope, topological entropy, interval Markov maps, measure of maximal entropy.

The first author has been partially supported by the MEC grant numbers MTM2008-01486 and MTM2011-26995-C02-01.

To avoid misunderstanding about terminology, let us mention that we will be speaking about *increasing* (resp. *decreasing*, *monotone*) and *strictly increasing* (resp. *strictly decreasing*, *strictly monotone*) maps. Also, when f is piecewise affine we define the *slope of* f as |f'(x)| for every x where f is differentiable.

We will denote by \mathcal{PM} the class of interval maps which are piecewise continuous piecewise monotone (with finitely many pieces), and by \mathcal{PSM} the class of all maps from \mathcal{PM} that are piecewise strictly monotone. The interval we consider is compact and we will denote it I. The maximal intervals where a map f is simultaneously monotone and continuous will be called the *laps of* f. We consider them closed and do not worry that if a point is the common end of two laps then it can have two images. Since we want two laps to have at most one point in common, if the maximal intervals of continuity and monotonicity have a larger intersection, we redefine the laps as slightly shorter intervals. Note that this problem does not occur if the map is in \mathcal{PSM} . Note also that any lap is a non-degenerate interval.

For every two points $x, y \in \mathbb{R}$ we denote by $\langle x, y \rangle$ the smallest closed interval containing those two points (degenerated to a point if x = y).

We are interested in semiconjugacies ψ of a given map $f \in \mathcal{PSM}$ of positive entropy to maps $g: [0,1] \to [0,1]$ with constant slope. This slope will be $\beta = \exp(h(f))$, where h(f) is the topological entropy of f.

We shall restrict our attention to the most natural class of semiconjugacies, namely increasing ones. Additionally we will require that if a semiconjugacy ψ maps a lap J to a point, then it also maps the interval f(J) to a point. We will call this property *f*-compatibility.

We shall denote the class of increasing continuous maps from I onto [0,1] by \mathcal{H} and the class of f-compatible elements of \mathcal{H} by \mathcal{H}_f . Since an inverse image of a point under an increasing continuous map is a closed interval (perhaps degenerate), a map from \mathcal{H} just identifies to a point each element of some family of disjoint closed intervals and rescales what is left to get the interval [0,1]. One of the advantages of such an approach is that we have the following result.

Lemma 1.1. Let $f \in \mathcal{PM}$, $\psi \in \mathcal{H}$ and let $g: [0,1] \to [0,1]$ be a map such that $\psi \circ f = g \circ \psi$. Then for every lap J of f the map g is continuous on $\psi(J)$. Moreover, if $f|_J$ is increasing (respectively decreasing) then $g|_{\psi(J)}$ is increasing (respectively decreasing). In particular, $g \in \mathcal{PM}$ and the number of laps of g does not exceed the number of laps of f. *Proof.* Let K be a compact subset of [0, 1]. Then, since ψ is a surjection, $\psi((\psi \circ f|_J)^{-1}(K)) = (g|_{\psi(J)})^{-1}(K).$

Since ψ and $\psi \circ f|_J$ are continuous, the above set is compact. This proves that q is continuous on $\psi(J)$.

Assume that $x, y \in \psi(J)$ and x < y. Then, since ψ is increasing, there are points $x', y' \in J$ such that $\psi(x') = x, \psi(y') = y$, and x' < y'. Assume now that f is increasing on J. We get $g(x) = \psi(f(x')) \leq \psi(f(y')) = g(y)$. Thus, g is increasing on $\psi(J)$. Similarly, if f is decreasing on J then g is decreasing on $\psi(J)$.

2. Dealing with piecewise continuity

In most of definitions and theorems in dynamical systems one assumes that the map which is iterated is continuous. Here we deal with piecewise continuous maps, so we owe some explanations.

As we already mentioned, we do not really care what the actual values at the points of discontinuity are. When necessary, we consider one-sided limits, that exist because of monotonicity. All this can be formalized (see, e.g., [8, 13]), but this would only make reading the paper more difficult.

The next problem is the definition of topological entropy of a discontinuous map. If $f \in \mathcal{PM}$, the usual solution is to use the formula

$$h(f) = \lim_{n \to \infty} \frac{1}{n} \log c_n(f), \tag{1}$$

where $c_n(f)$ is the number of laps of f^n . This is a good solution, since, as it was shown in [11], this definition coincides with the usual Bowen's definitions via (n, ε) -separated and (n, ε) -spanning sets.

Another problem comes when we try to represent a continuous piecewise monotone graph map as a piecewise continuous monotone interval map. A graph map is a continuous map form a (finite) graph into itself. If J is a connected subset of a graph and f is a graph map, we say that f is monotone on J if the $f|_J$ -preimages of points are connected. A graph map f is piecewise monotone if the graph can be divided into finitely many connected pieces such that f is monotone on each of them. In this case, we can represent f as a piecewise continuous monotone interval map by partitioning the graph into pieces, each of them homeomorphic to an interval, and putting those pieces side by side to get a larger interval. The cuts are necessary at the vertices of the graph, and discontinuities may be created at their preimages. This construction works nice, but we have to know that the (topological) entropies of the graph map and the interval map are the same. Perhaps the simplest way of proving the equality of the entropies in the above situation is to use the theorem on horseshoes. In both cases, for continuous graph maps (by [6]) and for piecewise continuous piecewise monotone interval maps (by [11]), there exist sequences $(k_n)_{n=1}^{\infty}$ and $(s_n)_{n=1}^{\infty}$ such that f^{k_n} has an s_n -horseshoe and

$$\lim_{n \to \infty} \frac{1}{k_n} \log s_n$$

is the entropy of the corresponding map. Moreover, this limit cannot be larger than the entropy. The definitions of horseshoes are consistent with the operator of cutting and putting pieces side by side. Therefore, the entropies of both maps are equal.

3. From \mathcal{PM} to \mathcal{PSM}

It is easier to work with maps that are piecewise strictly monotone, rather than just piecewise monotone. Fortunately there exists a simple way to reduce the semiconjugacy problem to the maps from \mathcal{PSM} .

Let $f: I \to I$ be a map from \mathcal{PM} . We divide I into the laps of f. As we mentioned earlier, we modify the definition of a lap in such a way that two different laps have at most one point in common.

Now we apply the usual coding procedure. If there are s laps, we consider first the full one-sided s-shift (Σ, σ) , and then restrict it to the set Σ_f of those sequences $(\varepsilon_n)_{n=0}^{\infty} \in \Sigma$ for which there exists a point $x \in I$ such that $f^n(x)$ is in the ε_n -th lap for every n. By (1), the topological entropy of this subshift is equal to h(f). Clearly, the set Σ_f is σ -invariant. It is also closed because the laps are compact.

Let us introduce the following relation on I. Given two points $x, y \in I$ we write $x \sim y$ if they have the same codes. Clearly, \sim is an equivalence relation and its equivalence classes are intervals (perhaps degenerate) because on each lap f is continuous and monotone. Moreover, those intervals are closed because laps are closed. Now we can consider the quotient space I/\sim which is a new interval \hat{I} . The projection $\psi: I \to \hat{I}$ is in fact a continuous increasing map and it is f-compatible. If x and y are equivalent, then f(x) and f(y) are also equivalent. Therefore f factors to some map $\hat{f}: \hat{I} \to \hat{I}$. By Lemma 1.1, we have $\hat{f} \in \mathcal{PM}$. Moreover, $\hat{f} \in \mathcal{PSM}$. Indeed, if \hat{f} is constant on some interval then, by the definition of \hat{f} , the preimage of this interval under ψ consists of equivalent points, a contradiction.

We claim that the topological entropies of f and f are equal. Indeed, the corresponding symbolic systems differ by at most countable number of points (some points could disappear because the whole lap of some iterate of f could disappear). However, this does not affect nonatomic invariant measures, so by the variational principle the topological entropies of both systems are the same (see, e.g. [14]).

The discussion from this section can be summarized as a lemma.

Lemma 3.1. Any map from \mathcal{PM} of positive entropy is semiconjugate to a map from \mathcal{PSM} with the same entropy via a map from \mathcal{H}_f .

Note that the assumption about positive entropy is necessary because otherwise it could happen that the interval \hat{I} is degenerate to a point. This is for instance the case if f is the identity map.

Note that if we construct a semiconjugacy in two steps, first semiconjugating a map $f \in \mathcal{PM}$ to $h \in \mathcal{PSM}$, and then h to a map of constant slope, we have to know that the resulting semiconjugacy is f-compatible. However, it is easy to see that if the first semiconjugacy is f-compatible and the second one is h-compatible, then their composition is f-compatible.

4. REDUCTION TO SEMICONJUGACIES

When we want to prove that a map from \mathcal{PSM} of positive entropy is semiconjugate to a map with constant slope, it is easier to look not for this map, but for a semiconjugacy.

Denote the class of all piecewise continuous piecewise monotone maps from [0, 1] into itself with constant slope β by \mathcal{CS}_{β} . Observe that they are piecewise strictly monotone.

Remark 4.1. By [10] we have $h(g) = \max\{\log \beta, 0\}$ for very $g \in CS_{\beta}$.

Suppose that $\psi \in \mathcal{H}$ semiconjugates $f \in \mathcal{PSM}$ with $g \in \mathcal{CS}_{\beta}$ for some β . In view of Lemma 1.1, we have then

$$|\psi(f(y)) - \psi(f(x))| = \beta |\psi(y) - \psi(x)|$$
(2)

for all $x, y \in I$ such that f is continuous and monotone on $\langle x, y \rangle$. We shall show that the converse is also true.

Lemma 4.2. If $f \in \mathcal{PSM}$, $\psi \in \mathcal{H}$, $\beta > 1$ and (2) holds for all $x, y \in I$ such that f is continuous and monotone on $\langle x, y \rangle$, then there exists (a unique) $g \in \mathcal{CS}_{\beta}$ such that ψ semiconjugates f with g.

Proof. Let us define g. We do it separately for every lap J of f. If ψ is constant on J, then we ignore J. Assume that $\psi(J)$ is a nondegenerate interval. If $z \in \psi(J)$ then $(\psi|_J)^{-1}(z)$ is a closed interval (perhaps degenerated to a point). By (2) ψ is constant on $f((\psi|_J)^{-1}(z))$. This means that $f((\psi|_J)^{-1}(z)) \subset \psi^{-1}(w)$ for some $w \in [0, 1]$. Then we set

g(z) = w. For every $v \in (\psi|_J)^{-1}(z)$ we have $\psi(f(v)) = w = g(\psi(v))$. This shows that with our definition of g we get $\psi \circ f = g \circ \psi$ on J. This is true for every lap J of f which is not mapped by ψ to a point, so ψ semiconjugates f with g.

Clearly, if we want to have $\psi(f(v)) = g(\psi(v))$ then with the construction described above, there is only one choice for g(z) (except for the points of discontinuity, where we agreed that the map can have two values). This proves the uniqueness of g.

It remains to prove that $g \in \mathcal{CS}_{\beta}$. From Lemma 1.1 and (2) it follows that the map g belongs to \mathcal{PM} and if f is continuous and monotone on $\langle x, y \rangle$ then

$$|g(\psi(y)) - g(\psi(x))| = |\psi(f(y)) - \psi(f(x))| = \beta |\psi(y) - \psi(x)|.$$

This shows that g has constant slope β on each nondegenerate image under ψ of a lap of f. Since I can be divided into finitely many laps of f, we get $g \in CS_{\beta}$.

5. Markov maps

Now we consider a special class of maps. We call $f \in \mathcal{PM}$ a Markov map if there exists a finite f-invariant set P containing the endpoints of I, such that on each (closed) interval of the partition \mathcal{A} of I by the points of P the map f is either strictly monotone and continuous or constant. We call \mathcal{A} a Markov partition for f. We denote the class of all Markov maps $f: I \to I$ by \mathcal{M} .

Lemma 5.1. If $f \in \mathcal{M}$ and $h(f) = \log \beta > 0$ then f is semiconjugate to some map $g \in CS_{\beta}$ via an increasing f-compatible map.

Proof. By Lemma 4.2, it is enough to prove that there exists $\psi \in \mathcal{H}_f$ such that (2) holds for all $x, y \in I$ such that f is continuous and monotone on $\langle x, y \rangle$.

Let \mathcal{A} be the Markov partition for f by the points of P. Let \mathcal{A}_n be the common refinement of $f^{-i}(\mathcal{A}), i = 0, 1, ..., n$, that is

$$\mathcal{A}_n = \left\{ A_0 \cap A_1 \cap \dots \cap A_n : A_0 \in \mathcal{A}, A_1 \in f^{-1}(\mathcal{A}), \dots A_n \in f^{-n}(\mathcal{A}) \right\}.$$

It is a Markov partition for f^i , i = 1, ..., n + 1. Let P_n be the set of all endpoints of elements of \mathcal{A}_n .

If $A \in \mathcal{A}_n$ then f(A) is either a singleton or an element of \mathcal{A}_{n-1} . Therefore,

$$f(P_{n+1}) \subset P_n \subset P_{n+1} \tag{3}$$

for every $n \ge 0$ and, by induction, $f^n(A)$ is a singleton or an element of $\mathcal{A}_0 = \mathcal{A}$. Let $M = (m_{AB})_{A,B \in \mathcal{A}}$ be the matrix given by $m_{AB} = 1$ if $f(A) \supset B$ and $m_{AB} = 0$ otherwise. The sum of all entries of M^n is equal to the cardinality of \mathcal{A}_n . Therefore, by Theorem 2 of [11], the spectral radius of M is β (since the matrix M is nonnegative, its spectral radius is equal to the limit of the *n*-th root of the sum of all entries of M^n). By the Perron-Frobenius Theorem, M has a right eigenvector $v = (v_A)_{A \in \mathcal{A}}$ associated to the eigenvalue β , with non-negative coordinates. We can normalize v in such a way that

$$\sum_{A \in \mathcal{A}} v_A = 1. \tag{4}$$

For every $A \in \mathcal{A}$ we have

$$\sum_{B \in \mathcal{A}} m_{AB} v_B = \beta v_A.$$
⁽⁵⁾

Now we define ψ on $Q = \bigcup_{n=0}^{\infty} P_n$. For a set A and a point x we shall write $A \leq x$ if $y \leq x$ for all $y \in A$. For each $x \in P_n$ we set

$$\psi(x) = \beta^{-n} \sum_{A \in \mathcal{A}_n, A \le x} v_{f^n(A)}.$$

In the above formula we omit those $A \in \mathcal{A}_n$ for which $f^n(A)$ is a singleton. Since $P_n \subset P_{n+1}$, in order to be sure that ψ is well defined, we have to check that if $x \in P_n$ then

$$\beta^{-n} \sum_{A \in \mathcal{A}_n, A \le x} v_{f^n(A)} = \beta^{-n-1} \sum_{B \in \mathcal{A}_{n+1}, B \le x} v_{f^{n+1}(B)}.$$
 (6)

The partition \mathcal{A}_{n+1} is finer than \mathcal{A}_n , so (6) will follow if we can prove that for each $A \in \mathcal{A}_n$,

$$v_{f^{n}(A)} = \beta^{-1} \sum_{B \in \mathcal{A}_{n+1}, B \subset A} v_{f^{n+1}(B)}.$$

Since f^n maps each B as above in a continuous and monotone way onto an element of \mathcal{A}_1 , it is enough to show that for each $C \in \mathcal{A}$,

$$v_{\scriptscriptstyle C} = \beta^{-1} \sum_{D \in \mathcal{A}_1, D \subset C} v_{\scriptscriptstyle f(D)}. \tag{7}$$

However, the sets f(D), where $D \in \mathcal{A}_1$ and $D \subset C$, are exactly those elements E of \mathcal{A} for which $m_{CE} = 1$. Hence, we can rewrite (7) as

$$v_{\scriptscriptstyle C} = \beta^{-1} \sum_{E \in \mathcal{A}} m_{\scriptscriptstyle CE} v_{\scriptscriptstyle E},$$

which holds by (5). This completes the proof that ψ is well defined on Q.

By the definition, ψ is increasing on Q. On the other hand, the endpoints of I belong to $P = P_0$ and we have $\mathcal{A}_0 = \mathcal{A}$. Thus, the left endpoint of I is mapped by ψ to 0 and, by (4), the right endpoint of I is mapped by ψ to 1. Notice that

$$\sup_{\{x,y: \langle x,y \rangle \in \mathcal{A}_n\}} |\psi(y) - \psi(x)| \le \beta^{-n},$$

since by (4) all v_A are bounded by 1. From this it follows that if $x \in Q$ then $\psi(x) = \sup \{\psi(y) : y < x, y \in Q\}$ (unless x is the left endpoint of I) and $\psi(x) = \inf \{\psi(y) : y > x, y \in Q\}$ (unless x is the right endpoint of I), and if $x \in I \setminus Q$ then

$$\sup \{\psi(y) : y < x, y \in Q\} = \inf \{\psi(y) : y > x, y \in Q\}.$$

Consequently, ψ can be extended to a map from \mathcal{H} , constant on the components of $I \setminus Q$.

Now we are ready to show that (2) holds. Let $n \ge 1$ and let $\langle x, y \rangle \in \mathcal{A}_n$. Then $f(\langle x, y \rangle) \in \mathcal{A}_{n-1}$ and by the definition of ψ , (2) holds for such x, y. By taking sums and limits, we obtain (2) for every $x, y \in Cl(Q)$ such that f is monotone on $\langle x, y \rangle$.

Let z < w and $Q \cap (z, w) = \emptyset$. Then for every n > 0 the interval [z, w] is contained in some element of \mathcal{A}_n , and thus f([z, w]) is contained in some element of \mathcal{A}_{n-1} . Therefore $Q \cap f((z, w)) = \emptyset$.

We already know that formula (2) holds for every $x, y \in Cl(Q)$ with f continuous and monotone on $\langle x, y \rangle$. Moreover, if z < w and $Q \cap (z, w) = \emptyset$ then $Q \cap f((z, w)) = \emptyset$ and then $\psi(z) = \psi(w)$ and $\psi(f(z)) = \psi(f(w))$. This proves that (2) holds for all $x, y \in I$ with f continuous and monotone on $\langle x, y \rangle$. Moreover, from (2) it follows that ψ is f-compatible. This completes the proof.

6. Main theorem

To be able to use Lemma 5.1, we have to prove the density of Markov maps in \mathcal{PSM} . The space $\mathcal{PSM} \cup \mathcal{M}$ with the topology of uniform convergence is a metric space with the natural metric

$$\operatorname{dist}(f,g) = \sup_{x \in I} |f(x) - g(x)|.$$
(8)

Lemma 6.1. Let $f \in \mathcal{PSM}$ and $n \in \mathbb{N}$. Then there exists $g \in \mathcal{M}$ such that $\operatorname{dist}(f,g) < 1/n$ and for every $k \leq n$ the map g^k is continuous and monotone on every lap of f^k .

Proof. Let Q_k be the set of all endpoints of all laps of f^k . The set Q_k is finite and the endpoints of I belong to Q_k .

Since f is continuous on each lap of f and each lap of f is compact, f is uniformly continuous on each lap. Therefore there exists δ such that

 $0 < \delta < \frac{1}{2n}$ and if x, y belong to the same lap of f and $|x - y| < \delta$ then $|f(x) - f(y)| < \frac{1}{2n}$. Take a finite set P containing $\bigcup_{k=0}^{n} \bigcup_{i=0}^{k} f^{i}(Q_{k})$ such that the distance between any two adjacent points of P is smaller than δ . For each $x \in P$ set $g(x) := \max \{y \in P : y \leq f(x)\}$ and extend g affinely between the points of P. At the points of discontinuity of f (they belong to P) we take one-sided limits, so effectively we work separately on each maximal interval of continuity of f. Clearly, $g \in \mathcal{M}$. Moreover, g defined in this way is automatically continuous on each lap of f.

Suppose that $x \leq y \leq z$, $x, z \in P$ and there are no points of P between x and z. We have $|x - y| \leq |x - z| < \delta$, so $|f(x) - f(y)| < \frac{1}{2n}$. Moreover, by the definition of g we have $|f(x) - g(x)| < \delta < \frac{1}{2n}$, and hence |f(y) - g(x)| < 1/n. Analogously, |f(y) - g(z)| < 1/n. Since g is affine on [x, z], we get |f(y) - g(y)| < 1/n. This proves that $\operatorname{dist}(f, g) < 1/n$.

Let $k \leq n$ and let J = [a, b] be a lap of f^k . Then $a, b \in Q_k$ and hence $f^i(a), f^i(b) \in P$ for i = 0, 1, ..., k. From the definition of g, by induction we get $g^i(a) = f^i(a)$ and $g^i(b) = f^i(b)$ for i = 0, 1, ..., k. Look at g on $L_i = \langle g^i(a), g^i(b) \rangle$, $0 \leq i < k$. Since $L_i = \langle f^i(a), f^i(b) \rangle$, the map f is monotone on L_i . Therefore f is monotone on $L_i \cap P$. Notice that if $x, y \in P$ and $f(x) \leq f(y)$ (respectively $f(x) \geq f(y)$) then also $g(x) \leq g(y)$ (respectively $g(x) \geq g(y)$). Hence, g is monotone on $L_i \cap P$. The set $L_i \cap P$ contains the endpoints of L_i and hence g is monotone on $L_i = g^i(J)$.

Theorem A. If $f \in \mathcal{PM}$ and $h(f) = \log \beta > 0$ then f is semiconjugate to some map $g \in \mathcal{CS}_{\beta}$ via a map from \mathcal{H}_f .

Proof. By Lemma 3.1, f is semiconjugate to some map $\hat{f} \in \mathcal{PSM}$ with the same entropy via a map from \mathcal{H}_f . Therefore we may assume for the rest of the proof that $f \in \mathcal{PSM}$.

By Lemma 6.1, for each *n* there exists $f_n \in \mathcal{M}$ such that $\operatorname{dist}(f, f_n) < 1/n$ and f_n^k is continuous and monotone on every lap of f^k for every $k \leq n$. By Theorem 3 of [11], we have $\liminf_{n\to\infty} h(f_n) \geq h(f)$ (note that $\lim_{n\to\infty} f_n = f$ in the topology considered in [11]). Therefore there exists a subsequence $(f_{n_i})_{i=1}^{\infty}$ of the sequence $(f_n)_{n=1}^{\infty}$ such that for every *i* we have $h(f_{n_i}) = \log \beta_i > 0$, the limit $\gamma = \lim_{i\to\infty} \beta_i$ exists and $\log \gamma \geq h(f)$. The number γ is finite since

$$\beta_i \leq \operatorname{Card}(c_1(f_{n_i})) \leq \operatorname{Card}(c_1(f))$$

for all *i* (recall that $c_1(f)$ denotes the number of laps of *f*). Hence, by Lemma 5.1, f_{n_i} is semiconjugate to some $g_i \in CS_{\beta_i}$ via some map $\psi_i \in \mathcal{H}_{f_{n_i}}$. Let $\varepsilon > 0$. Since $\lim_{i\to\infty} \log \beta_i \ge h(f) > 0$ we may assume that $\alpha := \inf_i \beta_i > 1$. Then there exists k such that $2\alpha^{-k} < \varepsilon$. We can find $\delta' > 0$ such that if $|x - y| < \delta'$ then $\langle x, y \rangle$ is contained either in a lap of f^k or in a union of two laps of f^k . In both cases there is $z \in \langle x, y \rangle$ such that the intervals $\langle x, z \rangle$ and $\langle z, y \rangle$ are contained in laps of f^k . There exists $i(\varepsilon)$ such that if $i \ge i(\varepsilon)$ then $n_i \ge k$. Hence, for all $i \ge i(\varepsilon)$ the maps $f_{n_i}^k$ are continuous and monotone on these intervals. On the other hand, since f_{n_i} is semiconjugate to a map in \mathcal{CS}_{β_i} . Thus, by (2),

$$1 \ge |\psi_i(f_{n_i}^k(x)) - \psi_i(f_{n_i}^k(z))| = \beta_i^k |\psi_i(x) - \psi_i(z)| \ge \alpha^k |\psi_i(x) - \psi_i(z)|.$$

Therefore, $|\psi_i(x) - \psi_i(z)| \leq \alpha^{-k}$ and, analogously, $|\psi_i(z) - \psi_i(y)| \leq \alpha^{-k}$. Hence, $|\psi_i(x) - \psi_i(y)| \leq 2\alpha^{-k} < \varepsilon$. In such a way we see that for every $\varepsilon > 0$ there exists $i(\varepsilon)$ and $\delta' > 0$ such that if $i \geq i(\varepsilon)$ and $|x - y| < \delta'$ then $|\psi_i(x) - \psi_i(y)| < \varepsilon$. Since the maps ψ_i for $i < i(\varepsilon)$ are uniformly continuous, we obtain that for every $\varepsilon > 0$ there exists $\delta > 0$ such that if $|x - y| < \delta$ then $|\psi_i(x) - \psi_i(y)| < \varepsilon$ for all *i*. This means that the family of maps $\{\psi_i\}_{i=1}^{\infty}$ is equicontinuous. Clearly, these maps are commonly bounded (by 0 from below and by 1 from above). Therefore, by the Arzela-Ascoli Theorem, there is a subsequence of the sequence $(\psi_i)_{i=1}^{\infty}$, convergent to some continuous map ψ .

The map ψ is increasing and it maps I onto [0, 1]. If f is continuous and monotone on some $\langle x, y \rangle$ then f_{n_i} is continuous and monotone on $\langle x, y \rangle$. Consequently, again by (2), $|\psi_i(f_{n_i}(x)) - \psi_i(f_{n_i}(y))| = \beta_i |\psi_i(x) - \psi_i(y)|$ for all i. By passing to the limit we get $|\psi(f(x)) - \psi(f(y))| =$ $\gamma |\psi(x) - \psi(y)|$. Therefore ψ is f-compatible and, by Lemma 4.2, it semiconjugates f to some $g \in CS_{\gamma}$. We get $\log \beta = h(f) \geq h(g) =$ $\log \gamma \geq h(f)$, and thus $\gamma = \beta$.

7. Transitive maps

It is known that for transitive continuous interval maps the semiconjugacies to maps of constant slope are actually conjugacies. The same is true for piecewise continuous maps.

Proposition 7.1. If $f \in \mathcal{PM}$ is transitive and $\psi \in \mathcal{H}$ semiconjugates it with a map $g: [0,1] \to [0,1]$, then ψ is a homeomorphism (so it conjugates f with g).

Proof. Suppose that ψ is not a homeomorphism. Then there exists $z \in [0, 1]$ such that $\psi^{-1}(z)$ is a proper interval. By the transitivity of f there exists $x \in I$ with a dense trajectory. In particular, this trajectory is dense in the interior of $\psi^{-1}(z)$. Hence, there exist $n \ge 0$ and k > 0

such that $f^n(x), f^{n+k}(x) \in \psi^{-1}(z)$. We have $g^k(z) = g^k \circ \psi(f^n(x)) = \psi \circ f^k(f^n(x)) = \psi(f^{k+n}(x)) = z$, so z is a periodic point of g. However, since the f-trajectory of x is dense in I, the g-trajectory of $\psi(x)$ is dense in [0, 1]. On the other hand, the g-trajectory of $\psi(x)$ is finite, a contradiction.

By Theorem A and Proposition 7.1, we get the following corollary.

Corollary B. If $f \in \mathcal{PM}$ is transitive and $h(f) = \log \beta > 0$ then f is conjugate to some map $g \in CS_{\beta}$ via an increasing homeomorphism.

8. Operator

Let \mathcal{T} be the space of all continuous transitive maps from \mathcal{PM} , and let \mathcal{T}_n be its subspace consisting of all maps of modality n (that is, with n + 1 laps). We consider those spaces with the metric (8).

By Corollary B, each element of \mathcal{T} is conjugate via a homeomorphism from \mathcal{H} to a map of a constant slope and this slope is the exponential of the topological entropy of our map. We will prove that this map is unique.

Lemma 8.1. Assume that $f \in \mathcal{T}$ has constant slope. Then it has a unique measure with maximal entropy. This measure is ergodic and equivalent to the Lebesgue measure.

Proof. By [10], if the slope of f is β , then the entropy of f is 0 if $\beta \leq 1$ and $\log \beta$ if $\beta > 1$. However, since f is transitive, by [2], it has positive entropy. Therefore, $\beta > 1$ and $h(f) = \log \beta$. By [4, Theorem 4], f has a unique measure μ of maximal entropy, and μ is positive on every non-empty open set. By uniqueness, μ is ergodic.

Now we use the results of [3]. The Lebesgue measure is β -conformal. The Rokhlin Formula becomes

$$\log\beta = \int \log\beta \ d\mu,$$

so it is satisfied, since μ is a probability measure. Therefore by Theorem 1 and Proposition 1 of [3], μ is absolutely continuous with respect to the Lebesgue measure.

Since μ is ergodic and absolutely continuous with respect to the Lebesgue measure, by [5], it is equivalent to the Lebesgue measure restricted to a finite union of intervals. However, since μ is positive on every non-empty open set, we see that it is equivalent to the Lebesgue measure on the whole interval I.

Theorem 8.2. Let $f, g \in \mathcal{T}$ have constant slopes and assume that f and g are conjugate via $\varphi \in \mathcal{H}$. Then φ is the identity.

Proof. Note first that since f and g are conjugate, we have h(f) = h(g)and, by Remark 4.1, $f, g \in CS_{\beta}$ for some $\beta > 1$. By Lemma 8.1, each of them has a unique measure of maximal entropy (call them μ and ν respectively) and those measures are ergodic and equivalent to the Lebesgue measure. Then the map induced by φ in the space of probability measures maps μ to ν . This shows that φ is absolutely continuous. In particular, it is differentiable almost everywhere. From $\varphi \circ f = g \circ \varphi$, it follows that

$$|f'(x)| \cdot \varphi'(f(x)) = \varphi'(x) \cdot |g'(\varphi(x))|$$

for almost every $x \in I$. Since |f'| and |g'| are constant and equal almost everywhere, we see that the function φ' is *f*-invariant (i.e. $\varphi' \circ f = \varphi'$) almost everywhere. Consequently, since μ is ergodic, φ' takes a constant value $s \mu$ -almost everywhere. If s < 1, then $\varphi(I)$ is shorter than I; if s > 1 then $\varphi(I)$ is longer than I; a contradiction in both cases. Thus, $\varphi' = 1 \mu$ -almost everywhere, so φ is the identity. \Box

Corollary 8.3. A map $f \in \mathcal{T}$ of topological entropy $\log \beta$ is conjugate via an increasing homeomorphism to exactly one map g of constant slope β .

In such a way for each $n \geq 2$ we get an operator Φ_n from \mathcal{T}_n to the space of maps of constant slope of modality n. We will investigate the continuity of this operator.

Lemma 8.4. Assume that $f, g \in C$ are piecewise monotone maps with constant slopes α and β respectively, where $\alpha > \beta$ and that the modality of f is n. Then $\operatorname{dist}(f,g) \geq \frac{\alpha-\beta}{2n+2}$.

Proof. Let [a, b] be the longest lap of f. Since f has n + 1 laps, we have $b - a \ge \frac{1}{n+1}$. We have $|f(b) - f(a)| = \alpha(b-a)$, while, since g is Lipschitz continuous with constant β , we have $|g(b) - g(a)| \le \beta(b-a)$. Therefore,

$$\begin{aligned} |g(b) - f(b)| + |g(a) - f(a)| &\ge |g(b) - f(b) - g(a) + f(a)| \\ &\ge |f(a) - f(b)| - |g(b) - g(a)| \\ &\ge \alpha(b - a) - \beta(b - a). \end{aligned}$$

Thus, at least one of the numbers |g(b) - f(b)| and |g(a) - f(a)| is larger than or equal to $\frac{1}{2}(\alpha - \beta)(b - a)$. Hence,

dist
$$(f,g) \ge \frac{1}{2}(\alpha - \beta)(b-a) \ge \frac{\alpha - \beta}{2n+2}.$$

Corollary 8.5. If $f \in \mathcal{T}_n$ is a point of discontinuity of the topological entropy as a function from \mathcal{T}_n to \mathbb{R} , then f is also a point of discontinuity of the operator Φ_n .

We conjecture that the operator Φ_n is continuous at every point of continuity of the topological entropy on \mathcal{T}_n .

It is proven in [9] that the topological entropy as a function from \mathcal{T}_n to \mathbb{R} is discontinuous for every $n \geq 5$. Therefore we get the next corollary.

Corollary 8.6. The operator Φ_n is discontinuous for every $n \geq 5$.

9. Graph maps

Taking into account the considerations from Section 2, together with Theorem A (Section 6) and Corollary B (Section 7), we get easily the following result:

Theorem C. If $f: G \to G$ is a continuous piecewise monotone graph map and $h(f) = \log \beta > 0$, then f is semiconjugate via a monotone map to some continuous piecewise monotone graph map with constant slope. Moreover, if f is transitive, then this semiconjugacy is actually a conjugacy.

Proof. We partition the graph G into pieces and align those pieces to get an interval I, as explained in Section 2. This induces a piecewise continuous piecewise monotone map $\tilde{f}: I \to I$ with the same entropy as f. By Theorem A, \tilde{f} is semiconjugate to a map $\tilde{g}: I \to I$ via some $\tilde{\psi} \in \mathcal{H}_{\tilde{f}}$.

We know that $\tilde{\psi}$ is monotone on each lap of \tilde{f} . That is, from the topological point of view, it just contracts some intervals to points. Thus, its lifting ψ to the level of graphs does the same. In particular, it follows that ψ is globally continuous and monotone.

In such a way, we get a semiconjugacy ψ of f to some map g of some graph G' to itself. Note that G' is not necessarily equal to G since ψ may contract some edges of G to points.

To prove that g is continuous, we only need to show that if J is a connected set which is contracted by ψ to a point, then f(J) is also contracted by ψ to a point. This follows from the fact that $\tilde{\psi}$ is a semiconjugacy and the definition of the class $\mathcal{H}_{\tilde{f}}$.

If f is transitive then instead of Theorem A we use Corollary B. This completes the proof.

Let us stress that, as we pointed out in the proof, the semiconjugacy can change the graph by collapsing some connected sets. We could also consider piecewise continuous piecewise monotone graph maps, but they would be practically indistinguishable from piecewise continuous piecewise monotone interval maps.

References

- Lluís Alsedà, Jaume Llibre, and Michał Misiurewicz. Combinatorial dynamics and entropy in dimension one, Second Edition, Advanced Series in Nonlinear Dynamics 5. World Scientific Publishing Co. Inc., River Edge, NJ, 2000.
- [2] Alexander M. Blokh. Sensitive mappings of an interval. Uspekhi Mat. Nauk 37 (1982), 189–190.
- [3] Manfred Denker, Gerhard Keller, and Mariusz Urbański. On the uniqueness of equilibrium states for piecewise monotone mappings. *Studia Math.* 97 (1990), 27–36.
- [4] Franz Hofbauer. On intrinsic ergodicity of piecewise monotonic transformations with positive entropy. II. Israel J. Math. 38 (1981), 107–115.
- [5] Tien Yien Li and James A. Yorke. Ergodic transformations from an interval into itself. Trans. Amer. Math. Soc. 235 (1978), 183–192.
- [6] Jaume Llibre and Michał Misiurewicz. Horseshoes, entropy and periods for graph maps. *Topology* 32 (1993), 649–664.
- John Milnor and William Thurston. On iterated maps of the interval. In Dynamical systems (College Park, MD, 1986–87), Lecture Notes in Math. 1342, pp. 465–563. Springer, Berlin, 1988.
- [8] Michał Misiurewicz. Absolutely continuous measures for certain maps of an interval. Inst. Hautes Études Sci. Publ. Math. 53 (1981), 17–51.
- [9] Michał Misiurewicz. Possible jumps of entropy for interval maps. Qualit. Th. Dyn. Sys. 2 (2001), 289–306.
- [10] Michał Misiurewicz and Wiesław Szlenk. Entropy of piecewise monotone mappings. *Studia Math.* 67 (1980), 45–63.
- [11] Michał Misiurewicz and Krystyna Ziemian. Horseshoes and entropy for piecewise continuous piecewise monotone maps. In *From phase transitions to chaos*, pp. 489–500. World Sci. Publ., River Edge, NJ, 1992.
- [12] William Parry. Symbolic dynamics and transformations of the unit interval. Trans. Amer. Math. Soc. 122 (1966), 368–378.
- [13] Peter Raith. Hausdorff dimension for piecewise monotonic maps. Studia Math. 94 (1989), 17–33.
- [14] Peter Walters. An introduction to ergodic theory, Graduate Texts in Mathematics 79. Springer-Verlag, New York, 1982.

DEPARTAMENT DE MATEMÀTIQUES, EDIFICI CC, UNIVERSITAT AUTÒNOMA DE BARCELONA, 08913 CERDANYOLA DEL VALLÈS, BARCELONA, SPAIN *E-mail address*: alseda@mat.uab.cat

DEPARTMENT OF MATHEMATICAL SCIENCES, IUPUI, 402 N. BLACKFORD STREET, INDIANAPOLIS, IN 46202

E-mail address: mmisiure@math.iupui.edu