

LIMITS AND COLIMITS IN THE CATEGORY OF SMALL CATEGORIES\*

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Abstract. The aim of this note is to show some properties of the homotopy groups of limits and colimits in the category of small categories  $\text{Cat}$  and to give a version of Milnor's theorem in this category.

Moreover, one proves that the homotopy limit (in the sense of Bousfield and Kan, see [1, ch XI]) of a diagram of nerves of categories is itself the nerve of a category. In fact, if  $F : I \rightarrow \text{Cat}$  is a functor and  $\tilde{F}$  is its Eilenberg-Moore rectification (see [6]) then  $\text{holim } NF = N(\lim \tilde{F})$ .

For a similar result on the homotopy colimit see [7].

1. Preliminaries. Let  $\mathcal{C}$  be a category. A cohomotopy system in  $\mathcal{C}$  is a quadruple  $(P; p_0, p_1, s)$ , where  $P : \mathcal{C} \rightarrow \mathcal{C}$  is a functor, whereas  $p_0, p_1 : P \rightarrow \text{id}_{\mathcal{C}}$ ,  $s : \text{id}_{\mathcal{C}} \rightarrow P$  are such natural transformations that  $p_0 s = p_1 s = \text{id}_{\mathcal{C}}$ .

Referring to Kamps (see [4]) we can define the Hurewicz fibration and cofibration. Moreover, we also have a homotopy relation in such a category.

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This quadruple determines a sequence of functors

$P^n : \mathbb{C} \rightarrow \mathbb{C}$ , where  $P^0 := \text{id}_{\mathbb{C}}$ ,  $P^{n+1} := P(P^n)$ , for  $n \geq 0$  and natural transformations

$$d_{i,n}^\delta := P^{i-1} p_\delta P^{n-i} : P^n \rightarrow P^{n-1}, \quad i = 1, \dots, n, \quad \delta = 0, 1$$

and  $s_{i,n} := P^{i-1} s P^{n+1-i} : P^n \rightarrow P^{n+1}$ ,  $i = 1, \dots, n+1$ .

Lemma 1.1. (see [4]). A sequence of functors and natural transformations  $(P^n; d_{i,n}^\delta, s_{i,n})_{n \geq 0}$  defines a cubical object in the endofunctors category of  $\mathbb{C}$ .

Denote by  $\text{Cat}$  ( $\text{Cat}^*$ ) the category of (pointed) small categories and by  $\text{Set}^{\square \text{OP}}$  ( $\text{Set}^* \square \text{OP}$ ) the category of (pointed) cubical sets.

The cohomotopy system in  $\text{Cat}$  ( $\text{Cat}^*$ ) is defined in the following way. Let  $\mathbb{Z}$  be the category given by:

$$\dots \rightarrow -2 \leftarrow -1 \rightarrow 0 \leftarrow 1 \rightarrow 2 \leftarrow \dots$$

For  $\mathbb{C} \in \text{obCat}$  a functor  $\sigma : \mathbb{Z} \rightarrow \mathbb{C}$  is called finite iff there exist  $m_0, n_0 \in \text{ob}\mathbb{Z}$  such that  $\sigma(m) = \sigma(m_0)$ ,  $\sigma(n) = \sigma(n_0)$  and  $\sigma(m \rightarrow m') = \text{id}_{\sigma(m_0)}$ ,  $\sigma(n \rightarrow n') = \text{id}_{\sigma(n_0)}$  for  $m, m' \leq m_0$  and  $n, n' \geq n_0$ . The above conditions will be written briefly as  $\sigma(m_0) = \sigma(-\infty)$  and  $\sigma(n_0) = \sigma(+\infty)$ . The full subcategory given by finite functors of  $\text{Cat}(\mathbb{Z}, \mathbb{C})$  is denoted by  $P(\mathbb{C})$ .

Then  $P : \text{Cat} \rightarrow \text{Cat}$  is the functor and for  $\mathbb{C} \in \text{obCat}$  there are functors  $s(\mathbb{C}) : \mathbb{C} \rightarrow P(\mathbb{C})$ , and  $p_0(\mathbb{C}), p_1(\mathbb{C}) : P(\mathbb{C}) \rightarrow \mathbb{C}$  defined by  $s(\mathbb{C})(\mathbb{C})(k) = \mathbb{C}$  for  $\mathbb{C} \in \text{ob}\mathbb{C}$ ,  $k \in \text{ob}\mathbb{Z}$  and  $p_\delta(\mathbb{C})(\sigma) = \sigma((-1)^\delta \infty)$  for  $\delta = 0, 1$ .

Hence we obtain the cohomotopy system  $(P; p_0, p_1, s)$  in  $\text{Cat}$  and the functor  $Q : \text{Cat} \times \text{Cat} \rightarrow \text{Set}^{\square \text{OP}}$ , where  $Q(\mathbb{C}, \mathbb{C}')_n =$

$= \text{Cat}(\mathbb{C}, P^n(\mathbb{C}))$  for  $\mathbb{C}, \mathbb{C}' \in \text{obCat}$ . In particular, for  $\mathbb{C} = *$  we have the functor  $Q : \text{Cat} \rightarrow \text{Set}^{\text{op}}$ .

In  $\text{Cat}$  we can define the Serre fibration (see [2]). Moreover, in  $\text{Cat}$  it is easy to define a notion of the loop functor  $\Omega$ , the homotopy fibre of a map etc.

For further considerations we shall need the following

Theorem 1.2. (see [2]). For a functor  $p : \mathbb{E} \rightarrow \mathbb{B}$  the following conditions are equivalent:

- i)  $p : \mathbb{E} \rightarrow \mathbb{B}$  is the Serre fibration,
- ii)  $Q(p) : Q(\mathbb{E}) \rightarrow Q(\mathbb{B})$  is the Kan fibration.

Corollary 1.3. For any  $\mathbb{C} \in \text{obCat}$  the cubical set  $Q(\mathbb{C})$  satisfies the Kan extension condition.

One can prove that for any  $\mathbb{C}, \mathbb{C}' \in \text{obCat}$  the cubical set  $Q(\mathbb{C}, \mathbb{C}')$  also satisfies the Kan extension condition.

2. The homotopy groups and Milnor's theorem. Following the paper [2] for  $\mathbb{C} \in \text{obCat}^*$  we put  $\pi_n(\mathbb{C}, *) := \pi_n(Q(\mathbb{C}), *)$ , where  $\pi_n(Q(\mathbb{C}), *)$  is the  $n$ -th homotopy group of the cubical set  $Q(\mathbb{C})$  (see [3]).

Theorem 2.1. (see [2]) i) A map  $f : \mathbb{C} \rightarrow \mathbb{D}$  induces the long exact sequence

$$\dots \rightarrow \pi_n(\mathbb{C}, *) \rightarrow \pi_n(\mathbb{D}, *) \rightarrow \pi_{n-1}(f_n^{-1}(*), *) \rightarrow \dots,$$

ii) if  $f : \mathbb{C} \rightarrow \mathbb{D}$  is the Serre fibration then  $f_n^{-1}(*) \xrightarrow{\sim} f^{-1}(*)$  where  $\xrightarrow{\sim}$  is the weak homotopy equivalence.

Let  $\mathbb{I}$  be a small category. Thomason (see [7]). proved that for a functor  $F : \mathbb{I} \rightarrow \text{Cat}$ , the classifying space of the Grothendieck construction  $B(\mathbb{I} \int F)$ , is homotopy equivalent to

the realization of the Bousfield-Kan homotopy colimit  $|\text{hocolim NF}|$ . There also exists a relation between the homotopy groups of  $\text{hocolim F}$  and  $\text{colim F}$ .

Let  $F : \mathbb{I} \rightarrow \text{Cat}$  be a functor. The Grothendieck construction on  $F$ ,  $\mathbb{I}fF$ , is the category with objects: the pairs  $(i, X)$  with  $i$  an object of  $\mathbb{I}$  and  $X$  an object of  $F(i)$ , and with morphisms  $(\alpha, x) : (i_1, X_1) \rightarrow (i_0, X_0)$  given by a morphism  $\alpha : i_1 \rightarrow i_0$  in  $\mathbb{I}$  and a  $x : F(\alpha)(X_1) \rightarrow X_0$  in  $F(i_0)$ . The composition is defined by  $(\alpha, x)(\alpha', x') = (\alpha\alpha', xF(\alpha)(x'))$ .

For  $F : \mathbb{I} \rightarrow \text{Cat}^*$  let  $p : \mathbb{I}fF \rightarrow \text{colim}^*F$  be the functor given by  $p(i, X) = X$ , then  $p^{-1}(*) = \mathbb{I}$ . Moreover, for any  $C \in \text{ob colim}^*F$  we have the pair of functors  $p^{-1}(C) \rightarrow p/C$  and  $p^{-1}(C) \rightarrow C/p$  given in the obvious way, where  $p/C$  and  $C/p$  are comma categories. It is not difficult to see that  $p^{-1}(C)^P \rightarrow p/C$  has a left adjoint and  $p^{-1}(C) \rightarrow C/p$  has a right adjoint. Hence  $p$  is the Serre fibration (see [5]).

Therefore, following the Thomason's result we have

Corollary 2.2. For a functor  $F : \mathbb{I} \rightarrow \text{Cat}$  there is the long exact sequence

$$\dots \rightarrow \pi_n(\mathbb{I}, *) \rightarrow \pi_n(\text{holim } F, *) \rightarrow \pi_n(\text{colim}^* F, *) \rightarrow \dots$$

In particular, if  $\mathbb{I}$  is a contractible category (for instance, a left or right filtering category) then

$$\pi_n(\text{hocolim } F, *) \simeq \pi_n(\text{colim}^* F, *) \quad \text{for } n \geq 0.$$

On the base of the proof of Theorem 3.1 from [1, ch. IX] and with references to the fact that the cubical set  $Q(C, C')$  satisfies the Kan extension condition, we obtain

Theorem 2.3. (Milnor's theorem). Let  $\mathbb{I}$  be a countable small right filtering category. If  $F : \mathbb{I}^{\text{op}} \rightarrow \text{Cat}^*$  and  $F' : \mathbb{I} \rightarrow \text{Cat}^*$  are such functors that for any map  $\alpha : i \rightarrow i'$  in  $\mathbb{I}$   $F(\alpha) : F(i') \rightarrow F(i)$  is the Hurewicz fibration and  $F'(\alpha) : F'(i) \rightarrow F'(i')$  is the Hurewicz cofibration then there is the short exact sequence of pointed sets

$$* \rightarrow \varprojlim^1 [F'(i), \Omega F(i)] \rightarrow [\varinjlim F'(i), \varprojlim F(i)] \rightarrow \varprojlim [F'(i), F(i)] \rightarrow *$$

where  $[ , ]$  denotes the set of homotopy classes of maps and  $\varprojlim^1$  - the 1-th derived functor of  $\varprojlim$ .

Corollary 2.4. i) If  $F(i) = F$  for any  $i \in \text{ob } \mathbb{I}$  then  $* \rightarrow \varprojlim^1 [F'(i), \Omega F] \rightarrow [\varinjlim F'(i), F] \rightarrow \varprojlim [F'(i), F] \rightarrow *$  is the Milnor's sequence.

ii) If  $F'(i) = F'$  for any  $i \in \text{ob } \mathbb{I}$  then  $* \rightarrow \varprojlim^1 [F', \Omega F(i)] \rightarrow [F', \varinjlim F(i)] \rightarrow \varprojlim [F', F(i)] \rightarrow *$  is the Vogt-Cohen's sequence.

Remark that the following diagram

$$\begin{array}{ccccc}
 * \rightarrow \varprojlim^1 [F'(i), \Omega \varinjlim F(i)] & & \varinjlim [\varinjlim F'(i), F(i)] & \rightarrow & * \\
 & \searrow \varphi & & & \downarrow \psi \\
 * \rightarrow \varprojlim^1 [F'(i), \Omega F(i)] & \rightarrow & [\varinjlim F'(i), \varprojlim F(i)] & \rightarrow & \varprojlim [F'(i), F(i)] \rightarrow * \\
 & \uparrow \varphi' & \nearrow & & \uparrow \psi' \\
 * \rightarrow \varprojlim^1 [\varinjlim F'(i), \Omega F(i)] & & \varinjlim [F'(i), \varprojlim F(i)] & \rightarrow & *
 \end{array}$$

is commutative. From the "Snake Lemma" we have that  $\text{coker } \varphi = \text{ker } \psi$  and  $\text{coker } \varphi' = \text{ker } \psi'$ .

Hence we obtain

Corollary 2.5. There are the following exact sequences:

$$\begin{aligned}
 \text{i) } * & \longrightarrow \varinjlim^1 [F'(i), \Omega \varinjlim F(i)] \longrightarrow \varinjlim^1 [F'(i), \Omega F(i)] \longrightarrow \\
 & \longrightarrow \varinjlim [F'(i), \varinjlim F(i)] \longrightarrow \varinjlim [F'(i), F(i)] \longrightarrow * , \\
 \text{ii) } * & \longrightarrow \varinjlim^1 [\varinjlim F'(i), \Omega F(i)] \longrightarrow \varinjlim^1 [F'(i), \Omega F(i)] \longrightarrow \\
 & \longrightarrow \varinjlim [\varinjlim F'(i), F(i)] \longrightarrow \varinjlim [F'(i), F(i)] \longrightarrow * .
 \end{aligned}$$

3. Homotopy limit in Cat. Let  $\mathbb{I}$  be a small category and  $\text{Set}^{\Delta^{\text{OP}}}$  - the category of simplicial sets. A.K. Bousfield and D.M. Kan defined for  $F : \mathbb{I} \longrightarrow \text{Set}^{\Delta^{\text{OP}}}$  the homotopy limit -  $\text{holim } F$ . We will prove that the homotopy limit of a diagram of nerves of categories is itself the nerve of a category.

For  $F : \mathbb{I} \longrightarrow \text{Cat}$  we define the functor  $\tilde{F} : \mathbb{I} \longrightarrow \text{Cat}$  (the Eilenberg-Moore rectification or Street "second construction", see [6]). For  $i \in \text{ob } \mathbb{I}$   $\tilde{F}(i)$  is the category whose objects are pairs  $(\psi, \varphi)$ , where  $\psi$  is a function assigning each  $\alpha : i \longrightarrow i'$  in  $\mathbb{I}$  with source  $i$  an object  $\psi(\alpha)$  of  $F(i')$ ; and  $\varphi$  assigns each string  $i \xrightarrow{\alpha} i' \xrightarrow{\beta} i''$  a map  $\varphi_{\beta, \alpha} : \psi(\beta\alpha) \longrightarrow F(\beta)\psi(\alpha)$  in  $F(i'')$ , subject to

$$\begin{array}{ccc}
 \psi(\gamma\beta\alpha) & \xrightarrow{\varphi_{\gamma\beta, \alpha}} & F(\gamma\beta)\psi(\alpha) \\
 \varphi_{\gamma, \beta\alpha} \downarrow & & \parallel \\
 F(\gamma)\psi(\beta\alpha) & \xrightarrow{F(\gamma)(\varphi_{\beta, \alpha})} & F(\gamma)F(\beta)\psi(\alpha)
 \end{array}$$

commute. A map  $a : (\psi, \varphi) \longrightarrow (\psi', \varphi')$  is a function which assigns to each  $\alpha : i \longrightarrow i'$  in  $\mathbb{I}$  a map of  $F(i')$ ,  $a(\alpha) : \psi(\alpha) \longrightarrow \psi'(\alpha)$ ; subject to, for  $i \xrightarrow{\alpha} i' \xrightarrow{\beta} i''$ , that

$$\begin{array}{ccc}
 F(\beta)\psi(\alpha) & \xrightarrow{F(\beta)(a(\alpha))} & F(\beta)\psi'(\alpha) \\
 \varphi_{\beta, \alpha} \uparrow & & \downarrow \varphi'_{\beta, \alpha} \\
 \psi(\beta\alpha) & \xrightarrow{a(\beta\alpha)} & \psi'(\beta\alpha)
 \end{array}$$

commutes. The composition is given by  $\bar{a} \cdot a(\alpha) = \bar{a}(\alpha)a(\alpha)$ . For  $\delta : \mathbf{i} \longrightarrow \bar{\mathbf{i}}$ ,  $\tilde{F}(\delta) : \tilde{F}(\mathbf{i}) \longrightarrow \tilde{F}(\bar{\mathbf{i}})$  is given on objects by  $\tilde{F}(\delta)(\psi, \varphi) = (\psi^\delta, \varphi^\delta)$ , where  $\psi^\delta(\alpha) = \psi(\alpha\delta)$ ,  $\varphi_{\beta, \alpha}^\delta = \varphi_{\beta, \alpha\delta}$ ; and on morphisms by  $\tilde{F}(\delta)(a) = a^\delta$ ,  $a^\delta(\alpha) = a(\alpha\delta)$ .

Then we have the following

Theorem 3.1. For a functor  $F : \mathbf{I} \longrightarrow \text{Cat}$  there is a natural isomorphism  $\text{holim} NF \simeq N \varprojlim \tilde{F}$ , where  $N$  is the nerve functor.

The proof is straightforward.

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