

# Stable homology of spaces of embedded surfaces: Closed background manifolds

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$$\mathcal{E}_g^\nu(M) \longrightarrow \mathcal{E}_g(M)$$

which is also a weak homotopy equivalence.

## Theorem A (C. – Randal-Williams)

If  $M$  is simply connected and of dimension at least 5, *and*  $\partial M \neq \emptyset$ , then the scanning map

$$\mathcal{S}_g: \mathcal{E}_g^\nu(M) \longrightarrow \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$$

induces an isomorphism in integral homology in degrees  $k \leq \frac{2}{3}(g - 1)$ .

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# The fibre bundle $\mathcal{S}(TM)$

From an inner product vector space  $V$ , we can construct the following:

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Forgetting the vector  $\nu$  we obtain a vector bundle of rank  $\dim V - 2$ :

$$\gamma_2^\perp(V) \longrightarrow \text{Gr}_2^+(V)$$

- The Thom space of this vector bundle,

$$\mathcal{S}(V) := \text{Th}(\gamma_2^\perp(V) \rightarrow \text{Gr}_2^+(V)).$$

# The fibre bundle $\mathcal{S}(TM)$

Consider now a vector bundle  $E \rightarrow M$  endowed with a metric.

## Definition

*The fibre bundle  $\mathcal{S}(E) \rightarrow M$  is the result of applying the construction  $\mathcal{S}$  fibrewise to the fibre bundle  $E \rightarrow M$ .*

If  $E_p$  is the fibre of  $E$  over  $p \in M$ , then we obtain a fibre bundle

$$\mathcal{S}(E_p) \longrightarrow \mathcal{S}(E) \longrightarrow M.$$

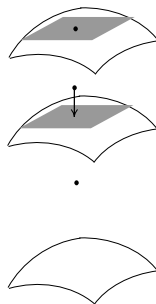
In particular, for the tangent bundle of a *Riemannian* manifold  $M$ , we obtain a fibre bundle

$$\mathcal{S}(T_p M) \longrightarrow \mathcal{S}(TM) \longrightarrow M.$$

# The scanning map $\mathcal{S}_g: \mathcal{E}_g^\nu(M) \longrightarrow \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$

The scanning map approximates each oriented surface  $W \subset M$  with its tangent bundle.

$$\begin{array}{ccc}
 W & \xrightarrow{p \mapsto T_p W \subset T_p M} & \text{Gr}_2^+(TM) \\
 \uparrow \pi & & \downarrow \\
 U & \xrightarrow{p \mapsto T_{\pi(p)} W \subset T_p M} & \gamma_2^\perp(TM) \\
 \downarrow & & \downarrow \\
 M & \xrightarrow{p \mapsto \infty \in \mathcal{S}(T_p M)} & \mathcal{S}(TM)
 \end{array}$$



First, if  $p \in W$ , we have the Gauss map. Second, if  $\pi: U \rightarrow W \subset M$  is a tubular neighbourhood of  $W$ , we can identify  $T_p M$  as a translation of  $T_{\pi(p)} M$ , and  $T_{\pi(p)} W$  as an affine subspace of  $T_p M$ . Third, we may send any other point to the point at infinity (interpreted as the empty subspace).

# The scanning map

We have obtained the *scanning map*:

$$\begin{aligned}\mathcal{S}_g: \mathcal{E}_g^\nu(M) &\longrightarrow \Gamma_c(\mathcal{S}(TM)) \longrightarrow M \\ (W, u) &\longmapsto \mathcal{S}_g(W, u).\end{aligned}$$

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$$\pi_0(\Gamma_c(\mathcal{S}(TM) \rightarrow M)) \cong H_2(M; \mathbb{Z}) \times 2\mathbb{Z}.$$

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## Lemma

The image of  $\mathcal{S}_g$  is contained in  $\Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$ .



## Theorem A (C. – Randal-Williams)

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## Theorem C (C. – Randal-Williams)

If  $M$  is simply connected and of dimension at least 5, then the scanning map

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# Relation to previous works

$B\Sigma_n$ <hr/> <b>Thm B</b> Nakaoka '60 <b>Thm A</b> Barratt–Priddy '72	$C_n(M) := \text{Emb}([n], M)/\Sigma_n$ <hr/> <b>Thm B</b> McDuff '75 <b>Thm A</b> McDuff '75
$B\text{Diff}^+(\Sigma_g)$ <hr/> <b>Thm B</b> Harer '85 <b>Thm A</b> Madsen–Weiss '07	$\mathcal{E}_g(M) := \text{Emb}(\Sigma_g, M)/\text{Diff}^+(\Sigma_g)$

**Thm B** Martin Palmer: Stability for embedded disconnected submanifolds.

## Definition

*A semi-simplicial space  $X_\bullet$  is a simplicial space without degeneracies, that is, a functor  $X_\bullet: \Delta_{\text{inj}} \rightarrow \text{Spaces}$  from the full subcategory  $\Delta_{\text{inj}} \subset \Delta$  whose morphisms are the inclusions. A maps of semi-simplicial spaces is a natural transformation.*

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## Definition

An augmented semi-simplicial space is a triple consisting of

- a space  $X$ ,
- a semi-simplicial space  $X_\bullet$  and
- a map  $\epsilon: X_0 \rightarrow X$  (called augmentation) that equalizes the face maps  $\partial_0: X_1 \rightarrow X_0$  and  $\partial_1: X_1 \rightarrow X_0$ .

We denote by  $\epsilon_i: X_i \rightarrow X$  the unique composition of face maps and  $\epsilon$ . A map between augmented semi-simplicial spaces is a pair  $(X \rightarrow Y, X_\bullet \rightarrow Y_\bullet)$  that commutes with the augmentation maps.

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An augmented semi-simplicial space  $(X, X_\bullet, \epsilon)$  is the same as a map from  $X_\bullet$  to the constant semi-simplicial space  $X$  whose face maps are identities.

## Example (Hatcher, *Algebraic Topology*)

A semi-simplicial space with values in discrete spaces (aka sets) is called a  $\Delta$ -set.

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There is a functor (the *realization*)

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that sends the constant semi-simplicial space  $X$  to  $X$ , hence an augmentation map  $X_0 \rightarrow X$  induces a map  $\|X_\bullet\| \rightarrow X$ , which we call *realized augmentation*.

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## Definition

We say that a semi-simplicial space  $X_\bullet$  is a resolution of a space  $X$  if  $X_\bullet$  is augmented over  $X$  and the realized augmentation is a weak homotopy equivalence. A resolution of a map  $f : X \rightarrow Y$  is a pair  $X_\bullet, Y_\bullet$  of resolutions of  $X, Y$  and a map  $f_\bullet : X_\bullet \rightarrow Y_\bullet$  that extends the map  $f$ .



# Techniques I: How to prove that something is a resolution

Let  $(X, X_\bullet, \epsilon)$  be an augmented semi-simplicial space.

## Lemma

*If  $x \in X$ , then there is a homotopy fibre sequence*

$$\|\mathrm{hofib}_x(\epsilon_\bullet)\| \rightarrow \|X_\bullet\| \rightarrow X.$$

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We say that  $(X, X_\bullet, \epsilon)$  is an augmented *topological flag complex* if in addition

- the product map  $X_i \rightarrow X_0 \times_X \dots \times_X X_0$  is an open embedding;
- a tuple  $(x_0, \dots, x_i)$  is in  $X_i \Leftrightarrow (x_j, x_k) \in X_1$  for all  $0 \leq j < k \leq i$ .

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## Lemma (Galatius–Randal-Williams '12)

Suppose in addition that

- 1  $\epsilon: X_0 \rightarrow X$  has local sections;
- 2 given any finite collection  $\{x_1, \dots, x_n\} \subset X_0$  in a single fibre of  $\epsilon$  over some  $x \in X$ , there is a  $x_\infty$  in that fibre such that each  $(x_j, x_\infty) \in X_1$ .

Then  $\|\epsilon_\bullet\|: \|X_\bullet\| \rightarrow X$  is a weak homotopy equivalence.

# Techniques II: How to prove that something is a fibration

## Definition (Palais '60, Cerf '61)

If  $G$  is a (topological) group acting on  $X$ , we say that  $X$  is  $G$ -locally retractile if, for each point  $x \in X$ , the orbit map  $G \times \{x\} \rightarrow X$  that sends  $g \mapsto g \cdot x$  has local sections (in the weak sense).

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If  $X$  and  $Y$  are  $G$ -spaces, and  $f: X \rightarrow Y$  is  $G$ -equivariant and  $Y$  is  $G$ -locally retractile, then  $f$  is a locally trivial fibration.

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## Proposition (Palais '60, Cerf '61, Lima '63, Binz–Fischer '81)

The space of embeddings of a compact manifold into a manifold  $M$  and the space  $\mathcal{E}_g(M)$  are  $\text{Diff}(M)$ -locally retractile.

## Lemma

*If  $X_\bullet \rightarrow X$  is an  $m$ -resolution,  $X_i$  is homologically  $(n - i)$ -connected, and  $m \geq n$ , then  $X$  is homologically  $n$ -connected.*

# Techniques III: Homology connectivity

## Lemma

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## Lemma

If a bundle map over  $B$

$$\begin{array}{ccc} F_p & \longrightarrow & F'_p \\ \downarrow & & \downarrow \\ E & \longrightarrow & E' \\ \downarrow & & \downarrow \\ B & \xlongequal{\quad\quad} & B \end{array}$$

satisfies that for each  $p \in B$  the induced map of fibres  $F_p \rightarrow F'_p$  is homologically  $k$ -connected, then the map between total spaces is also homologically  $k$ -connected.



# Proof: The two steps

- 1 construct **resolutions** of the source and target of the scanning map

$$\mathcal{F}_g(M)_\bullet \longrightarrow \mathcal{E}_g^\nu(M), \quad \mathcal{G}_g(M)_\bullet \longrightarrow \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$$

and a resolution of the scanning map

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- ② Construct vertical maps (called **approximations**)

$$\begin{array}{ccc} \mathcal{E}_g^\nu(M \setminus \{p_1, \dots, p_i\}) & \longrightarrow & \Gamma_c(\mathcal{S}(TM \setminus \{p_1, \dots, p_i\}) \rightarrow M \setminus \{p_1, \dots, p_i\})_g \\ \downarrow & & \downarrow \\ \mathcal{F}_g(M)_i & \longrightarrow & \mathcal{G}_g(M)_i \end{array}$$

from a scanning map for which Theorem A applies, and deduce that the bottom map is homologically  $\frac{2}{3}(g-1)$ -connected.

# Proof: Resolution of $\mathcal{E}_g^\nu(M)$

Let  $\mathcal{F}_g(M)_i$  be the space of tuples  $(W, a, d_0, \dots, d_i)$  where

- 1  $(W, u) \in \mathcal{E}_g^\nu(M)$
- 2  $d_0, \dots, d_i: D^n \rightarrow M$  are disjoint embeddings of discs such that  $d_j(0) \notin U$  for all  $j$ .

These spaces form a semi-simplicial space  $\mathcal{F}_g(M)_\bullet$  where the  $j$ th face map forgets the  $j$ th disc, and there is an augmentation to  $\mathcal{E}_g^\nu(M)$  that forgets all the discs.

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## Proof.

Let  $\mathcal{F}'_g(M)_\bullet$  the semi-simplicial space defined as  $\mathcal{F}_g(M)_\bullet$ , except that the embeddings are only required to be disjoint at the centers of the discs. Then

- the inclusion  $\mathcal{F}_g(M)_\bullet \subset \mathcal{F}'_g(M)_\bullet$  is a levelwise equivalence.
- $\mathcal{F}'_g(M)_\bullet$  is a topological flag complex augmented over  $\mathcal{E}_g^\nu(M)$ .
- $\mathcal{F}'_g(M)_\bullet$  satisfies the conditions of our lemma on topological flag complexes, hence is a resolution. □

# Proof: Resolution of $\Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$

Let  $\mathcal{G}_g(M)_i$  be the space of tuples  $(f, d_0, \dots, d_i, h_0, \dots, h_i)$  where

- 1  $f \in \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$ ;
- 2  $d_0, \dots, d_i: D^n \rightarrow M$  are disjoint embeddings of discs such that  $d_j(0) \notin U$  for all  $j$ .
- 3  $h_0, \dots, h_i$  are smooth homotopies of sections of  $d_j^*(\mathcal{S}(TM))$ , constant near the boundary, and such that

$$h_j(x, 0) = f \circ d_j, \quad h_j(0, 1) = \infty.$$

The  $j$ th face map forgets  $d_j$  and  $h_j$ , and there is an augmentation to  $\Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$  by forgetting all discs and homotopies.

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The  $j$ th face map forgets  $d_j$  and  $h_j$ , and there is an augmentation to  $\Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$  by forgetting all discs and homotopies.

## Proposition

$\mathcal{G}_g(M)_\bullet$  is a resolution of  $\Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$ .

## Proof.



# Proof: Resolution of the scanning map

We can extend the scanning map to a map of resolutions:

$$\begin{array}{ccc} \mathcal{F}_g(M)_\bullet & \longrightarrow & \mathcal{G}_g(M)_\bullet \\ \downarrow & & \downarrow \\ \mathcal{E}_g^\nu(M) & \longrightarrow & \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g \end{array}$$

by sending a tuple  $(W, u, d_0, \dots, d_i)$  to  $(\mathcal{S}(W, u), d_0, \dots, d_i, h_0, \dots, h_i)$ , where  $h_j$  are constant homotopies.



# Proof: First step accomplished

- ① construct **resolutions** of the source and target of the scanning map

$$\mathcal{F}_g(M)_\bullet \longrightarrow \mathcal{E}_g^\nu(M), \quad \mathcal{G}_g(M)_\bullet \longrightarrow \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$$

and a resolution of the scanning map

$$\begin{array}{ccc} \mathcal{F}_g(M)_\bullet & \longrightarrow & \mathcal{G}_g(M)_\bullet \\ \downarrow & & \downarrow \\ \mathcal{E}_g^\nu(M) & \longrightarrow & \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g. \end{array}$$

- ② Construct vertical maps (called **approximations**)

$$\begin{array}{ccc} \mathcal{E}_g^\nu(M \setminus \{p_1, \dots, p_i\}) & \longrightarrow & \Gamma_c(\mathcal{S}(TM \setminus \{p_1, \dots, p_i\}) \rightarrow M \setminus \{p_1, \dots, p_i\})_g \\ \downarrow & & \downarrow \\ \mathcal{F}_g(M)_i & \longrightarrow & \mathcal{G}_g(M)_i \end{array}$$

from a scanning map for which Theorem A applies, and deduce that the bottom map is homologically  $\frac{2}{3}(g-1)$ -connected.

# Proof: The approximation maps

Forgetting the surface + tubular neighbourhood or the section defines a pair of maps

$$\mathcal{F}_g(M)_i$$



$$C_i(M)$$

$$\mathcal{G}_g(M)_i$$



$$C_i(M),$$

to the space  $C_i(M) := \text{Emb}([i] \times D^d, M)$ .

# Proof: The approximation maps

Forgetting the surface  $W$  + tubular neighbourhood or the section gives homotopy fibre sequences

$$\begin{array}{ccc} \mathcal{E}_g^\nu(M \setminus \mathbf{p}) & & \Gamma_c(\mathcal{S}(TM \setminus \mathbf{p}) \rightarrow M \setminus \mathbf{p})_g \\ \downarrow & & \downarrow \\ \mathcal{F}_g(M)_i & & \mathcal{G}_g(M)_i \\ \downarrow & & \downarrow \\ C_i(M) & & C_i(M), \end{array}$$

to the space  $C_i(M) := \text{Emb}([i] \times D^d, M)$ . The fibre is taken over the point  $(d_0, \dots, d_j)$  and  $\mathbf{p} = \{d_0(0), \dots, d_i(0)\}$ .

# Proof: The approximation maps

Forgetting the surface + tubular neighbourhood or the section defines a pair of maps

$$\begin{array}{ccc} \mathcal{E}_g^\nu(M \setminus \mathbf{p}) & \longrightarrow & \Gamma_c(\mathcal{S}(TM \setminus \mathbf{p}) \rightarrow M \setminus \mathbf{p})_g \\ \downarrow & & \downarrow \\ \mathcal{F}_g(M)_i & \longrightarrow & \mathcal{G}_g(M)_i \\ \downarrow & & \downarrow \\ C_i(M) & \xlongequal{\quad\quad\quad} & C_i(M), \end{array}$$

to the space  $C_i(M) := \text{Emb}([i] \times D^d, M)$ . The fibre is taken over the point  $(d_0, \dots, d_j)$  and  $\mathbf{p} = \{d_0(0), \dots, d_i(0)\}$ .

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**The scanning map commutes with the map between spaces of  $i$ -simplices.**

# Proof: The approximation maps

Forgetting the surface + tubular neighbourhood or the section defines a pair of maps

$$\begin{array}{ccc} \mathcal{E}_g^\nu(M \setminus \mathbf{p}) & \longrightarrow & \Gamma_c(\mathcal{S}(TM \setminus \mathbf{p}) \rightarrow M \setminus \mathbf{p})_g \\ \downarrow & & \downarrow \\ \mathcal{F}_g(M)_i & \longrightarrow & \mathcal{G}_g(M)_i \\ \downarrow & & \downarrow \\ C_i(M) & \xlongequal{\quad} & C_i(M), \end{array}$$

to the space  $C_i(M) := \text{Emb}([i] \times D^d, M)$ . The fibre is taken over the point  $(d_0, \dots, d_j)$  and  $\mathbf{p} = \{d_0(0), \dots, d_i(0)\}$ .

**The scanning map commutes with the map between spaces of  $i$ -simplices.**

## Corollary

*Since the scanning map on the fibres is a homology isomorphism in degrees  $* \leq \frac{2}{3}(g-1)$ , it follows from a previous lemma that the map between total spaces is a homology isomorphism in those degrees.*

# Proof: Second step accomplished

- ① construct resolutions of the source and target of the scanning map

$$\mathcal{F}_g(M)_\bullet \longrightarrow \mathcal{E}_g^\nu(M), \quad \mathcal{G}_g(M)_\bullet \longrightarrow \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g$$

and a resolution of the scanning map

$$\begin{array}{ccc} \mathcal{F}_g(M)_\bullet & \longrightarrow & \mathcal{G}_g(M)_\bullet \\ \downarrow & & \downarrow \\ \mathcal{E}_g^\nu(M) & \longrightarrow & \Gamma_c(\mathcal{S}(TM) \rightarrow M)_g. \end{array}$$

- ② Construct a map of pairs (called *approximation*)

$$\begin{array}{ccc} \mathcal{E}_g^\nu(M \setminus \{p_1, \dots, p_i\}) & \longrightarrow & \Gamma_c(\mathcal{S}(TM \setminus \{p_1, \dots, p_i\}) \rightarrow M \setminus \{p_1, \dots, p_i\})_g \\ \downarrow & & \downarrow \\ \mathcal{F}_g(M)_i & \longrightarrow & \mathcal{G}_g(M)_i \end{array}$$

from a scanning map for which Theorem A applies, and deduce that the bottom map is homologically  $\frac{2}{3}(g-1)$ -connected.