1 MIXING AND ERGODICITY OF COMPOSITIONS OF INNER 2 FUNCTIONS

3 GUSTAVO R. FERREIRA AND ARTUR NICOLAU

Abstract. We study ergodic and mixing properties of non-autonomous dynamics on the unit circle generated by inner functions fixing the origin.

Keywords. Non-autonomous ergodic theory; holomorphic dynamics; inner functions.

4 1. INTRODUCTION

5 Let $\mathbb D$ be the open unit disc of the complex plane and let $q: \mathbb D \to \mathbb D$ be an analytic 6 mapping with $q(0) = 0$ which is not a rotation. On one hand, the classical Denjoy-Wolff 7 Theorem tells us that the iterates $g^n = g \circ \dots \circ g$ converge to 0 uniformly on compact 8 subsets of \mathbb{D} (see e.g. [\[CG93,](#page-14-0) p. 79]). On the other hand, let m denote the normalised 9 Lebesgue measure on the unit circle $\partial \mathbb{D}$. An analytic self-mapping q of \mathbb{D} is called *inner* ¹⁰ if

$$
\hat{g}(e^{i\theta}) := \lim_{r \nearrow 1} g(re^{i\theta})
$$

11 exists and has modulus one for m-almost every point $e^{i\theta} \in \partial \mathbb{D}$. In this case, one can 12 investigate the dynamics of the measurable boundary self-map \hat{q} : $\partial \mathbb{D} \to \partial \mathbb{D}$, which is 13 actually defined at almost every point of $\partial \mathbb{D}$. If g is an inner function fixing the origin, 14 Lowner's Lemma tells us that m is invariant under \hat{g} , that is, $m(\hat{g}^{-1}(E)) = m(E)$ for any 15 measurable set $E \subset \partial \mathbb{D}$. If, furthermore, q is not a rotation, it is well known that the 16 mapping \hat{g} is exact and hence, mixing (i.e. $m(A)m(g^{-n}(B)) \to m(A)m(B)$ as $n \to \infty$ 17 for any measurable sets $A, B \subset \partial \mathbb{D}$) and ergodic (i.e. any measurable set $A \subset \partial \mathbb{D}$ with 18 $A = g^{-1}(A)$ satisfies $m(A)m(\partial \mathbb{D} \setminus A) = 0$. In fact, dynamical properties of the boundary ¹⁹ map of an inner function have been extensively studied after the pioneering papers of 20 Aaranson, Pommerenke, Crazier, and Doering and Mañé [\[Aar78,](#page-14-1) [Pom81,](#page-15-0) [Cra91,](#page-14-2) [DM91\]](#page-14-3). ²¹ Mapping and distortion properties of inner functions have been studied in [\[Ale86,](#page-14-4) [Ale87,](#page-14-5) ²² [FP92,](#page-14-6) [FPR96,](#page-14-7) [FMP07\]](#page-14-8) and the surveys [\[PS06,](#page-15-1) [Sak07\]](#page-15-2), and several stochastic properties ²³ can be found in [\[NSiG22,](#page-15-3) [Nic22,](#page-15-4) [IU23,](#page-15-5) [AN23\]](#page-14-9). In many ways, these papers highlight ²⁴ the beautiful interplay between the dynamical properties of an inner function as a self-²⁵ mapping of ∂D and those as a self-mapping of D.

²⁶ The main purpose of this paper is to study ergodic and mixing properties of non-²⁷ autonomous dynamics of inner functions fixing the origin. This concerns compositions

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1 of the form $G_n := g_n \circ g_{n-1} \circ \cdots \circ g_1$, where each $g_n, n \in \mathbb{N}$, is an inner function with 2 $g_n(0) = 0$. Non-autonomous dynamics of inner functions appear naturally in complex ³ dynamics when studying simply connected wandering domains of entire functions – see 4 e.g. $[BEF+22, Section 2]$ $[BEF+22, Section 2]$ or $[Fe24, Lemma 3.2]$. As introduced in $[BEF+24a]$, a sequence 5 ${g_n}_{n\in\mathbb{N}}$ of inner functions fixing the origin is called *contracting* if $G_n \to 0$ uniformly 6 on compact subsets of \mathbb{D} as $n \to \infty$. The behaviour of G_n in \mathbb{D} has been studied in \bar{P} [\[BEF](#page-14-11)⁺²², Section 2] and [\[Fer23\]](#page-14-14), where the following dichotomy has been proved.

8 Theorem A ([\[BEF](#page-14-11)+22] and [\[Fer23\]](#page-14-14)). Let $g_n : \mathbb{D} \to \mathbb{D}$ be inner functions fixing the origin, and let $G_n := g_n \circ \cdots \circ g_1, n \in \mathbb{N}$.

10 (a) The sequence $\{g_n\}_{n\in\mathbb{N}}$ is contracting if and only if $\sum_{n\geq 1}(1-|g'_n(0)|)=\infty$.

11 (b) Assume $\{g_n\}_{n\in\mathbb{N}}$ is not contracting. Then any (pointwise) limit function in $\mathbb D$ of 12 a subsequence of ${G_n}_{n\in\mathbb{N}}$ is a non-constant inner function fixing the origin, and 13 any two limit functions H_1 and H_2 satisfy $H_1 = \lambda \cdot H_2$ for some $\lambda \in \partial \mathbb{D}$.

 Much less has been said about non-autonomous dynamics of inner functions in the unit circle. In this paper, we focus on ergodic and mixing properties of non-autonomous dy-16 namics of inner functions fixing the origin (for the "opposite" case where $G_n(0)$ tends to $\partial\mathbb{D}$, see [\[BEF](#page-14-13)⁺24a, [BEF](#page-14-15)⁺24b] for recurrence properties of such non-autonomous sys- tems). In the non-autonomous setting, there exist measure-preserving sequences of transformations which are mixing in the usual sense, but such that time averages do not converge to the space average almost everywhere (see e.g. [\[BS01\]](#page-14-10)). In order to pre- serve the "mixing implies ergodicity" and the "mixing is equivalent to ergodicity of any subsequence" maxims and properly generalise the relevant concepts, we use the follow-23 ing definitions due to Berend and Bergelson [\[BB84\]](#page-14-16). For (X, \mathcal{A}, μ) a probability space, 24 we (here and henceforth) denote by $L^2(\mu)$ the standard Hilbert space of complex valued 25 measurable functions φ defined on X such that

$$
\|\varphi\|_2^2 = \int_X |\varphi|^2 d\mu < \infty.
$$

26 Definition 1.1. Let (X, \mathcal{A}, μ) be a probability space. Let $f_n: X \to X$ be measurable, 27 measure-preserving transformations and let $T_n := f_n \circ \cdots \circ f_1, n \in \mathbb{N}$. We say that the 28 sequence ${T_n}_{n\in\mathbb{N}}$ is ergodic if

$$
\lim_{N \to \infty} \left\| \frac{1}{N} \sum_{n=1}^{N} \varphi \circ T_n - \int_{X} \varphi \, d\mu \right\|_{2} = 0,
$$

29 for every $\varphi \in L^2(\mu)$.

30 The sequence ${T_n}_{n\in\mathbb{N}}$ is called *mixing* if all its subsequences are ergodic.

31 It is not hard to show that if ${T_n}_{n\in\mathbb{N}}$ is ergodic in this sense, then there exist no 32 non-trivial completely invariant sets. Similarly, if $\{T_n\}_{n\in\mathbb{N}}$ is mixing in the sense of the as above definition, then it is also mixing in the usual sense, that is, $\mu(A \cap T_n^{-1}(B)) \to$ 34 $\mu(A)\mu(B)$ as $n \to \infty$, for any pair of measurable sets $A, B \subset X$. Notice that the ³⁵ converse statements do not hold, as evidenced by the examples in [\[BS01\]](#page-14-10). Moreover, the ³⁶ conditions given in Definition [1.1](#page-1-0) properly generalise the usual ones – more specifically, 37 if $T_n = f^n$ for some measure-preserving map $f: X \to X$, then the sequence $\{T_n\}_{n\in\mathbb{N}}$ is 38 ergodic (resp. mixing) if and only if f is ergodic (resp. mixing); see [\[BB84\]](#page-14-16).

1 We now fix some notation. Given inner functions g_n fixing the origin, $n \geq 1$, we ² consider the inner functions

$$
G_n := g_n \circ \cdots \circ g_1, \qquad G^n_m := g_n \circ \cdots \circ g_{m+1}, \ n > m \ge 0. \tag{1}
$$

3 Notice that $G_0^n = G_n$ and $G_{n-1}^n = g_n$ for any $n \ge 1$. We say that the sequence $\{\widehat{G}_n\}_{n \in \mathbb{N}}$

⁴ is ergodic (respectively mixing) if the corresponding condition in Definition [1.1](#page-1-0) holds.

⁵ We can now state our first result.

6 **Theorem 1.2.** Let g_n , $n \in \mathbb{N}$, be inner functions fixing the origin and let G_n , G_m^n be qiven by [\(1\)](#page-2-0). The sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic if and only if

$$
\lim_{N \to \infty} \Re \left(\frac{1}{N^2} \sum_{m=1}^{N-1} \sum_{n=m+1}^{N} \left((G_m^n)'(0) \right)^{\ell} \right) = 0,
$$
\n(2)

8 for any $\ell \in \mathbb{N}$.

9 Since $((G_m^n)'(0))^{\ell}$ are complex numbers, the double sum in Condition [\(2\)](#page-2-1) may have to cancellations, so that [\(2\)](#page-2-1) does not depend solely on the modulus of $(G_m^n)'(0)$. With that ¹¹ in mind, in Section [4](#page-6-0) we give a necessary and a sufficient condition for ergodicity. Using 12 these conditions, in the extreme cases when $g'_n(0) > 0$ for every $n \in \mathbb{N}$ or when $\{g_n\}_{n\in\mathbb{N}}$ ¹³ is not contracting, we obtain the following descriptions.

14 **Theorem 1.3.** Let g_n , $n \in \mathbb{N}$, be inner functions fixing the origin and let G_n , G_m^n be 15 given by (1) .

16 (i) Assume $g'_n(0) > 0$ for all $n \ge 1$. Then, the sequence $\{\widehat{G}_n\}_{n \in \mathbb{N}}$ is ergodic if and ¹⁷ only if

$$
\lim_{N \to \infty} \prod_{k=\lfloor N(1-\varepsilon) \rfloor}^{N} g'_k(0) = 0,
$$
\n(3)

18 for any $0 < \varepsilon < 1$.

19 (ii) Assume $g'_n(0) > 0$ for all $n \ge 1$. Then, the sequence $\{\hat{G}_n\}_{n \in \mathbb{N}}$ is mixing if and 20 only if for every $\epsilon > 0$ there exists M_0 such that, for any $N > M > M_0$, we have

$$
\prod_{k=N-M}^{N} g'_k(0) < \epsilon. \tag{4}
$$

(iii) Assume the sequence $\{g_n\}_{n\in\mathbb{N}}$ is not contracting. Then the sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is errordic if and only if the sequence $\{e^{i \arg G'_n(0)}\}_{n\in\mathbb{N}}$ is equidistributed on $\partial \mathbb{D}$. ergodic if and only if the sequence $\{e^{i\arg G'_n(0)}\}_{n\in\mathbb{N}}$ is equidistributed on $\partial\mathbb{D}$.

²³ Theorem [1.3](#page-2-2) deserves some comments.

First, when $g_n(z) = e^{i\theta} z$, $n \geq 1$, the statement (iii) tells us that $\{\hat{G}_n\}_{n\in\mathbb{N}}$ is ergodic 25 if and only if θ is irrational – in other words, we recover the classical result of ergodic ²⁶ theory that a rotation of the circle is ergodic if and only if it is irrational. Condition [\(3\)](#page-2-3) 27 in (i) is related to the speed of convergence of $G_n(z)$, $z \in \mathbb{D}$, to 0. Roughly speaking, the faster G_n tends to 0 on D, the more expanding \widehat{G}_n is on $\partial \mathbb{D}$; see [\[Mas13,](#page-15-6) Theorem 29 4.8]. Hence, condition (i) is related to the classical existence of ergodic measures for 4.8]. Hence, condition (i) is related to the classical existence of ergodic measures for ³⁰ expanding maps; for related ideas and results, see e.g. [\[VO16,](#page-15-7) Chapter 11], [\[GS09\]](#page-14-17), and ³¹ even [\[TPvS19\]](#page-15-8) for a non-autonomous approach. Thus, roughly speaking, Theorem [1.3](#page-2-2) ¹ says that in our setting there are only two mechanisms for ergodicity, and they are the ² classical ones corresponding to "expanding maps" and "irrational rotations".

 Second, Pommerenke [\[Pom81\]](#page-15-0) showed that contracting sequences of inner functions 4 fixing the origin are mixing in the usual sense on $\partial \mathbb{D}$, that is, $m(A \cap G_n^{-1}(B)) \to$ $m(A)m(B)$ as $n \to \infty$, for any pair of measurable sets $A, B \subset \partial \mathbb{D}$. We discuss a converse to Pommerenke's result in Section [5.](#page-10-0)

7 Third, note that, by Theorem [A,](#page-1-1) ${g_n}_{n\in\mathbb{N}}$ is contracting if and only if

$$
\prod_{j=k}^{\infty} g'_j(0) = 0
$$
 for all $k \in \mathbb{N}$.

⁸ Hence conditions [\(3\)](#page-2-3) and [\(4\)](#page-2-4) can be understood as quantitative versions of contractibility.

9 In particular, condition [\(3\)](#page-2-3) outlined in Theorem [1.3\(](#page-2-2)i) implies that the sequence $\{g_n\}_{n\in\mathbb{N}}$ is contracting, but is strictly stronger; in Section [6,](#page-11-0) we give an example of a contracting 11 sequence ${g_n}_{n\in\mathbb{N}}$ which does not satisfy [\(2\)](#page-2-1), and is therefore not ergodic in the sense of Definition [1.1.](#page-1-0) Notice that, by the results of Pommerenke [\[Pom81\]](#page-15-0) mentioned above, this sequence is also mixing in the usual sense; we see that (as in [\[BS01\]](#page-14-10)) a sequence can be mixing in the usual sense, but not ergodic. From Theorem [1.3](#page-2-2) we derive the following more straightforward sufficient conditions for ergodicity and mixing.

16 Corollary 1.4. Let g_n , $n \in \mathbb{N}$, be inner functions fixing the origin and let G_n be given 17 *by* (1) .

18 (a) Assume that for any $0 < \varepsilon < 1$ we have

$$
\lim_{N \to \infty} \prod_{k=\lfloor N(1-\varepsilon) \rfloor}^{N} g'_k(0) = 0.
$$
\n(5)

- Then the sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic.
20 (b) Assume that for every $\epsilon > 0$ there exist
- (b) Assume that for every $\epsilon > 0$ there exists M_0 such that, for $N > M > M_0$,

$$
\prod_{k=N-M}^{N} |g'_k(0)| < \epsilon. \tag{6}
$$

$$
21 \tThen the sequence $\{\hat{G}_n\}_{n \in \mathbb{N}} \t is mixing.$
$$

²² Combining this result and part (iii) of Theorem [1.3](#page-2-2) we obtain that contracting se-²³ quences are precisely those which have a mixing subsequence. Notice the similarity to ²⁴ Theorem [5.2.](#page-11-1)

25 Corollary 1.5. Let g_n , $n \in \mathbb{N}$, be inner functions fixing the origin and let G_n be given 26 by [\(1\)](#page-2-0). Then $\{g_n\}_{n\in\mathbb{N}}$ is contracting if and only if $\{\hat{G}_n\}_{n\in\mathbb{N}}$ has a mixing subsequence.

²⁷ Finally, we wish to discuss what our results mean for the recurrence of the sequence 28 $\{G_n\}_{n\in\mathbb{N}}$. Let (X, \mathcal{A}, μ) be a measure space. A sequence of measurable, measure-
29 preserving transformations $T_n: X \to X$ is recurrent if for any measurable set $A \subset X$ preserving transformations $T_n : X \to X$ is recurrent if for any measurable set $A \subset X$ 30 we have that $T_n(x) \in A$ for infinitely many $n \geq 1$, for μ -almost every point $x \in A$. In 31 autonomous dynamics, that is when $T_n = T^n$, a classical theorem of Poincaré (see e.g. 32 [\[VO16,](#page-15-7) Theorem 1.2.1]) tells us that if $\mu(X) < \infty$, then the sequence $\{T^n\}$ is recurrent

 for any measure-preserving transformation T. However, this may fail if the preserved 2 measure is infinite, or if the system is non-autonomous; see $[DM91]$ and $[BEF^+24a]$ $[BEF^+24a]$. It turns out, however, that ergodicity in the sense of Definition [1.1](#page-1-0) is sufficient for recur- rence. In fact, the following stronger result, which is well-known in the autonomous case, holds.

6 Theorem 1.6. Let (X, \mathcal{A}, μ) be a probability space. Let $T_n: X \to X, n \geq 1$, be mea-7 surable, measure-preserving transformations. If $\{T_n\}_{n\in\mathbb{N}}$ has an ergodic subsequence, ⁸ then:

9 (i) ${T_n}_{n \in \mathbb{N}}$ is recurrent;

10 (ii) If, furthermore, $\text{supp}(\mu) = X$ and X is a second countable topological space, then 11 μ -almost every point has a dense orbit in X.

¹² For other results on recurrence and topological transitivity of boundary extensions of inner functions and compositions thereof, see [\[DM91\]](#page-14-3) and $[BEF^+24a, BEF^+24b]$ $[BEF^+24a, BEF^+24b]$ $[BEF^+24a, BEF^+24b]$ $[BEF^+24a, BEF^+24b]$.

 The paper is organized as follows. In Section [2](#page-4-0) we collect some standard definitions and classical results which will be used later. Section [3](#page-5-0) is devoted to the proof of Theorem [1.2.](#page-2-5) In Section [4](#page-6-0) we present one necessary and one sufficient condition for ergodicity, and use them to prove Theorem [1.3](#page-2-2) and Corollary [1.4.](#page-3-0) Section [5](#page-10-0) is devoted to prove the converse of Pommerenke's result on mixing sequences of inner functions. Section [6](#page-11-0) contains some relevant examples and the proof of Corollary [1.5.](#page-3-1) Finally, Theorem [1.6](#page-4-1) is proved in Section [7.](#page-13-0)

21 21 22 PRELIMINARIES

22 2.1. Inner functions and the space $L^2(\partial \mathbb{D})$. Let $L^2(\partial \mathbb{D})$ be the usual Hilbert space 23 of measurable complex-valued functions $\varphi: \partial \mathbb{D} \to \mathbb{C}$ such that

$$
\|\varphi\|_2:=\left(\int_{\partial\mathbb{D}}|\varphi|^2\,dm\right)^{1/2}<+\infty,
$$

²⁴ armed with the corresponding inner product

$$
\langle \varphi, \psi \rangle := \int_{\partial \mathbb{D}} \varphi \cdot \overline{\psi} \, dm = \int_0^{2\pi} \varphi(e^{i\theta}) \cdot \overline{\psi(e^{i\theta})} \, \frac{d\theta}{2\pi}.
$$

²⁵ Finite linear combinations of the trigonometric monomials

$$
e_n(e^{i\theta}) \coloneqq e^{in\theta}, \quad n \in \mathbb{Z},
$$

are dense in $L^2(\partial \mathbb{D})$. The boundary extension \hat{g} of an inner function $g: \mathbb{D} \to \mathbb{D}$ satisfies $|g(\xi)| = 1$ for almost every $\xi \in \partial \mathbb{D}$. Cauchy's Formula tells us that the Fourier coefficients 28 of \hat{q} are precisely the coefficients of the power series expansion of q at the origin, that is,

$$
\langle \hat{g}, e_n \rangle = \int_0^{2\pi} \hat{g}(e^{i\theta}) e^{-ni\theta} \frac{d\theta}{2\pi} = \begin{cases} 0, & n < 0, \\ \frac{g^{(n)}(0)}{n!}, & n \ge 0. \end{cases}
$$
(7)

29 Let ω_z denote the harmonic measure on $\partial\mathbb{D}$ with respect to the point $z \in \mathbb{D}$, defined ³⁰ as

$$
\omega_z(E) = \int_E \frac{1 - |z|^2}{|\xi - z|^2} dm(\xi), \quad E \subset \partial \mathbb{D}.
$$

³¹ We recall the following classical result (see [\[DM91,](#page-14-3) Corollary 1.5]):

1 **Lemma 2.1** (Lowner's Lemma). Let $g: \mathbb{D} \to \mathbb{D}$ be an inner function. Then for any 2 $z \in \mathbb{D}$,

$$
\omega_{g(z)}(E) = \omega_z(g^{-1}(E)), \quad E \subset \partial \mathbb{D}.
$$

3 In particular, if $g(0) = 0$ and φ is an integrable function on $\partial \mathbb{D}$, we have

$$
\int_{\partial \mathbb{D}} (\varphi \circ g) dm = \int_{\partial \mathbb{D}} \varphi dm.
$$

4 2.2. **Equidistribution of sequences on** $\partial \mathbb{D}$ **.** This is a much-studied notion with many ⁵ connections. We recall the basic definition:

6 **Definition 2.2.** We say that a sequence $\{z_n\}_{n\in\mathbb{N}}\subset\partial\mathbb{D}$ is equidistributed on $\partial\mathbb{D}$ if for 7 any arc $S \subset \partial \mathbb{D}$, we have

$$
\lim_{n \to \infty} \frac{\#(\{z_1, z_2, \dots, z_n\} \cap S)}{n} = m(S).
$$

⁸ The following classical characterisation, due to Weyl (see, for instance, [\[KN74,](#page-15-9) Theo-⁹ rem 2.1]) will allow us to discuss equidistribution in our setting.

10 Lemma 2.3 (Weyl's Criterion). A sequence $\{z_n\}_{n\in\mathbb{N}}\subset\partial\mathbb{D}$ is equidistributed in $\partial\mathbb{D}$ if ¹¹ and only if

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} (z_n)^{\ell} = 0,
$$

12 for any integer $\ell \geq 1$.

13 3. A GENERAL CHARACTERISATION OF ERGODICITY

¹⁴ In this section, we prove Theorem [1.2.](#page-2-5) We start with the following elementary lemma.

15 Lemma 3.1. Let $g: \mathbb{D} \to \mathbb{D}$ be holomorphic with $g(0) = 0$. Then, for all $n \in \mathbb{N}$,

$$
(g^{n})^{(n)}(0) = n! (g'(0))^{n}.
$$

16 *Proof.* For $n > 0$, we use the notation $O(|z|^n)$ to denote a function h defined on \mathbb{D} for 17 which there exists a constant $C = C(h, n) > 0$ such that $|h(z)| \leq C|z|^n$ for any $|z| < 1/2$. 18 Since $g(z) = g'(0)z + O(|z|^2)$, we have $g(z)^n = g'(0)^n z^n + O(|z|^{n+1})$ and the result follows ¹⁹ by uniqueness of the Taylor series. □

²⁰ Next we show that suitable inner products on the circle can be written as derivatives 21 at the origin. As before, for $\ell \in \mathbb{Z}$, let e_{ℓ} denote the monomial $e_{\ell}(\xi) = \xi^{\ell}, \xi \in \partial \mathbb{D}$.

22 **Lemma 3.2.** Let g_n , $n \geq 1$, be inner functions fixing the origin and G_n , G_m^n be given 23 by [\(1\)](#page-2-0). Then, for any integers $n > m \geq 0$ and $\ell \in \mathbb{N}$,

$$
\left\langle e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle = \left((G_m^n)'(0) \right)^{\ell}.
$$

24 Proof. Fix the integers $n > m \geq 0$ and $\ell \in \mathbb{N}$. By Lemma [2.1,](#page-5-1) we have

$$
\left\langle e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle = \left\langle e_{\ell} \circ \widehat{G}_m^n, e_{\ell} \right\rangle.
$$

- 1 The right-hand side can be read as the ℓ -th Fourier coefficient of the boundary map of
- 2 the holomorphic self-map of \mathbb{D} given by $z \mapsto (G_m^n(z))^{\ell}$, and so by [\(7\)](#page-4-2) we get

$$
\left\langle e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle = \frac{\left((G_m^n)^{\ell} \right)^{(\ell)}(0)}{\ell!}.
$$

- 3 The conclusion follows by applying Lemma [3.1](#page-5-2) to the right-hand side. \Box
- ⁴ We are ready to prove Theorem [1.2.](#page-2-5)

5 *Proof of Theorem [1.2.](#page-2-5)* Since trigonometric polynomials are dense in $L^2(\partial \mathbb{D})$, it is suffi-⁶ cient to show that [\(2\)](#page-2-1) holds if and only if

$$
\lim_{N \to \infty} \left\| \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_{n} \right\|_{2}^{2} = 0, \quad \ell \in \mathbb{Z} \setminus \{0\}.
$$

7 Since $e_{-\ell} = \overline{e_{\ell}}$, we can assume $\ell \geq 1$. Fix $\ell \geq 1$. We have

$$
\left\| \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_{n} \right\|_{2}^{2} = \frac{1}{N^{2}} \sum_{n=1}^{N} \left\| e_{\ell} \circ \widehat{G}_{n} \right\|_{2}^{2} + 2 \Re \left(\frac{1}{N^{2}} \sum_{m=1}^{N-1} \sum_{n=m+1}^{N} \left\langle e_{\ell} \circ \widehat{G}_{n}, e_{\ell} \circ \widehat{G}_{m} \right\rangle \right).
$$

8 Since $|e_\ell \circ \widehat{G}_n| = 1$ m-almost everywhere on ∂D for any $n \geq 1$, we obtain

$$
\left\| \frac{1}{N} \sum_{n=1}^N e_\ell \circ \widehat{G}_n \right\|_2^2 = \frac{1}{N} + 2\Re \left(\frac{1}{N^2} \sum_{m=1}^{N-1} \sum_{n=m+1}^N \left\langle e_\ell \circ \widehat{G}_n, e_\ell \circ \widehat{G}_m \right\rangle \right).
$$

 $\text{Applying Lemma 3.2, we have }\left\langle e_\ell\circ \widehat G_n, e_\ell\circ \widehat G_m\right\rangle =\left((G_m^n)'(0)\right)^\ell\text{, which finishes the proof.}$ $\text{Applying Lemma 3.2, we have }\left\langle e_\ell\circ \widehat G_n, e_\ell\circ \widehat G_m\right\rangle =\left((G_m^n)'(0)\right)^\ell\text{, which finishes the proof.}$ $\text{Applying Lemma 3.2, we have }\left\langle e_\ell\circ \widehat G_n, e_\ell\circ \widehat G_m\right\rangle =\left((G_m^n)'(0)\right)^\ell\text{, which finishes the proof.}$ \sim 0.000 \sim 0.000

11 4. ERGODICITY IN DIFFERENT SCENARIOS

¹² In this section, we prove Theorem [1.3](#page-2-2) and Corollary [1.4.](#page-3-0) The proof of Theorem [1.3](#page-2-2) is ¹³ based on the following result.

¹⁴ Theorem 4.1. With the same notation as Theorem [1.2,](#page-2-5) the following hold:

15 (a) The sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic if, for all $\ell \in \mathbb{N}$,

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{m=1}^{N-1} \left((G_m^N)'(0) \right)^{\ell} = 0.
$$
\n(8)

16 (b) If the sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic, then

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{n=m+1}^{N} ((G_m^n)'(0))^{\ell} = 0,
$$
\n(9)

17 for every pair of integers $m \geq 0$ and $\ell \geq 1$.

¹ Proof of Theorem [4.1.](#page-6-1) We will prove (a) using Theorem [1.2.](#page-2-5) We start by noting that

$$
\Re\left(\frac{1}{N^2}\sum_{m=1}^{N-1}\sum_{n=m+1}^{N}\left((G_m^n)'(0)\right)^{\ell}\right) \le \left|\frac{1}{N^2}\sum_{m=1}^{N-1}\sum_{n=m+1}^{N}\left((G_m^n)'(0)\right)^{\ell}\right|
$$

.

² We rewrite the sum on the right-hand side as

$$
\frac{1}{N^2} \sum_{m=1}^{N-1} \sum_{n=m+1}^{N} \left((G_m^n)'(0) \right)^{\ell} = \frac{1}{N^2} \sum_{n=2}^{N} \sum_{m=1}^{n-1} \left((G_m^n)'(0) \right)^{\ell},
$$

³ and applying the triangle inequality yields

$$
\left|\frac{1}{N^2}\sum_{m=1}^{N-1}\sum_{n=m+1}^N\left((G_m^n)'(0)\right)^{\ell}\right| \leq \frac{1}{N^2}\sum_{n=2}^N\left|\sum_{m=1}^{n-1}\left((G_m^n)'(0)\right)^{\ell}\right| \leq \frac{1}{N}\sum_{n=2}^N\left|\frac{1}{n}\sum_{m=1}^{n-1}\left((G_m^n)'(0)\right)^{\ell}\right|.
$$

4 The right-hand side is now the Cesaro sum of a sequence that, by hypothesis, goes to

⁵ zero. Therefore condition [\(2\)](#page-2-1) of Theorem [1.2](#page-2-5) is satisfied. This completes the proof of $6 \text{ (a)}.$

7 We now prove (b), which is actually independent of Theorem [1.2.](#page-2-5) Fix integers $m \geq 0$ 8 and $\ell \geq 1$. We have by the Cauchy–Schwarz inequality that

$$
\left\langle \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle \leq \left\| \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_n \right\|_2 \left\| e_{\ell} \circ \widehat{G}_m \right\|_2,
$$

9 and since $|e_\ell \circ \hat{G}_m| = 1$ at m-almost every point of the unit circle, this becomes

$$
\left\langle \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle \le \left\| \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_n \right\|_2.
$$
 (10)

10 For $0 \leq m \leq N$, we have

$$
\left\langle \frac{1}{N} \sum_{n=1}^{N} e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle = \frac{1}{N} \left(\sum_{n=1}^{m} \left\langle e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle + \sum_{n=m+1}^{N} \left\langle e_{\ell} \circ \widehat{G}_n, e_{\ell} \circ \widehat{G}_m \right\rangle \right).
$$

¹¹ Applying Lemma [3.2](#page-5-3) and plugging everything back into [\(10\)](#page-7-0), we obtain

$$
\frac{1}{N}\sum_{n=1}^m \left\langle e_\ell \circ \widehat{G}_n, e_\ell \circ \widehat{G}_m \right\rangle + \frac{1}{N}\sum_{n=m+1}^N \left((G_m^n)'(0) \right)^{\ell} \le \left\| \frac{1}{N}\sum_{n=1}^N e_\ell \circ \widehat{G}_n \right\|_2.
$$

12 If we assume that $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic, the right-hand side now goes to zero as $N \to \infty$;
13 the first sum on the left-hand side depends only on m and ℓ , and thus when divided by the first sum on the left-hand side depends only on m and ℓ , and thus when divided by 14 N goes to zero as $N \to \infty$. It follows that

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{n=m+1}^{N} ((G_m^n)'(0))^{\ell} = 0.
$$

16 We will also need the following observation about Cesaro sums.

1 **Lemma 4.2.** Let $\{z_n\}_{n\in\mathbb{N}}$ and $\{w_n\}_{n\in\mathbb{N}}$ be two sequences of complex numbers. Assume 2 that $\sup_n |z_n| < \infty$ and that $\lim_{n\to\infty} w_n = w \in \mathbb{C} \setminus \{0\}$. Then,

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} z_n w_n = w \cdot \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} z_n.
$$

³ The equality is strong in the sense that either both limits exist and the identity holds, or ⁴ neither limit exists.

5 *Proof.* The proof easily follows from the observation

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} z_n (w_n - w) = 0.
$$

⁷ We are ready to prove Theorem [1.3.](#page-2-2)

8 *Proof of Theorem [1.3.](#page-2-2)* We assume now that $g'_n(0) > 0$ for all $n \geq 1$. To show that ⁹ [\(3\)](#page-2-3) implies ergodicity, we will show that it implies the sufficient condition [\(8\)](#page-6-2) given in 10 Theorem [4.1\(](#page-6-1)a). First, notice that since now $(G_m^N)'(0) \in (0,1)$, it suffices to show that 11 the condition [\(8\)](#page-6-2) is satisfied for $\ell = 1$. Fixed $\varepsilon > 0$, we decompose the sum in question ¹² as

$$
\frac{1}{N} \sum_{m=1}^{N-1} (G_m^N)'(0) = \frac{1}{N} \sum_{m=1}^{\lfloor N(1-\varepsilon)\rfloor} \prod_{k=m+1}^N g'_k(0) + \frac{1}{N} \sum_{m=\lfloor N(1-\varepsilon)\rfloor+1}^{N-1} (G_m^N)'(0).
$$

13 For the first sum on the right-hand side, note that, since $m \leq \lfloor N(1-\varepsilon) \rfloor$ and $g'_n(0) \in$ 14 $(0, 1)$, we have

$$
\prod_{k=m+1}^{N} g'_k(0) \le \prod_{k=\lfloor N(1-\varepsilon)\rfloor+1}^{N} g'_k(0).
$$

15 For the second sum, note that each $(G_m^N)'(0)$ is less than one, and the sum itself has at 16 most $N - 1 - (|N(1 - \varepsilon)| + 1) \leq \varepsilon N$ terms. Thus,

$$
\frac{1}{N} \sum_{m=1}^{N-1} (G_m^N)'(0) \le (1 - \varepsilon) \prod_{k=|N(1-\varepsilon)|+1}^N g'_k(0) + \varepsilon.
$$

17 By assumption, the first term on the right-hand side goes to zero as $N \to \infty$, and since 18 $\varepsilon > 0$ was arbitrary it follows that

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{m=1}^{N-1} (G_m^N)'(0) = 0.
$$

19 Ergodicity follows by Theorem [4.1\(](#page-6-1)a). To show the necessity of condition (3) , we use the ²⁰ characterisation of ergodicity given in Theorem [1.2.](#page-2-5) Assume that there exist constants 21 $\varepsilon > 0$, $c > 0$ and a subsequence $\{N_k\}_{k \in \mathbb{N}}$ of positive integers such that

$$
\prod_{j=\lfloor N_k(1-\epsilon)\rfloor+1}^{N_k} g'_j(0) \ge c > 0, \quad k \in \mathbb{N}.
$$

1 We will show that condition [\(2\)](#page-2-1) of Theorem [1.2](#page-2-5) fails for $\ell = 1$. Since all the derivatives 2 are positive and $(G_m^n)'(0) \geq (G_m^{N_k})'(0)$ for any $0 \leq m < n \leq N_k$, we get

$$
\frac{1}{N_k^2} \sum_{m=1}^{N_k-1} \sum_{n=m+1}^{N_k} (G_m^n)'(0) \ge \frac{1}{N_k^2} \sum_{m=1}^{N_k-1} \sum_{n=m+1}^{N_k} (G_m^{N_k})'(0) = \frac{1}{N_k^2} \sum_{m=1}^{N_k-1} (N_k-m)(G_m^{N_k})'(0).
$$

³ Since all terms in the sum are positive, we have

$$
\frac{1}{N_k^2} \sum_{m=1}^{N_k-1} \sum_{n=m+1}^{N_k} (G_m^n)'(0) \ge \frac{1}{N_k^2} \sum_{m=\lfloor N_k(1-\varepsilon)\rfloor+1}^{N_k-1} (N_k-m)(G_m^{N_k})'(0).
$$

4 Now, for $N_k > m > |N_k(1 - \epsilon)|$, we once again have

$$
(G_m^{N_k})'(0) \ge (G_{\lfloor N_k(1-\varepsilon)\rfloor}^{N_k})(0) = \prod_{j=\lfloor N_k(1-\varepsilon)\rfloor+1}^{N_k} g'_j(0) \ge c > 0,
$$

⁵ and therefore

$$
\frac{1}{N_k^2} \sum_{m=1}^{N_k-1} \sum_{n=m+1}^{N_k} (G_m^n)'(0) \ge \frac{c}{N_k^2} \sum_{m=\lfloor N_k(1-\varepsilon)\rfloor+1}^{N_k-1} (N_k - m).
$$

6 The sum on the right-hand side is of order N_k^2 . We conclude that

$$
\liminf_{k \to \infty} \frac{1}{N_k^2} \sum_{m=1}^{N_k-1} \sum_{n=m+1}^{N_k} (G_m^n)'(0) > 0,
$$

⁷ meaning that condition [\(2\)](#page-2-1) fails. This completes the proof of (i).

3 To prove (ii), note that $\{G_n\}_{n\in\mathbb{N}}$ is mixing if and only if $\{G_{n_k}\}_{k\in\mathbb{N}}$ is ergodic for any subsequence of positive integers $\{n_k\}_{k\in\mathbb{N}}$. Since G_{n_k} corresponds to the non-autonomous 10 dynamics of the inner functions $\tilde{g_k} = G_{n_{k-1}}^{n_k} = g_{n_k} \circ \dots \circ g_{n_{k-1}+1}$, part (i) yields that 11 $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is mixing if and only if for any $0 < \varepsilon < 1$, we have

$$
\prod_{k=\lfloor N(1-\varepsilon)\rfloor}^N \prod_{j=n_{k-1}+1}^{n_k} g'_j(0) \to 0,
$$

12 as $N \to \infty$, for any subsequence of positive integers $\{n_k\}_{k\in\mathbb{N}}$. This last statement is ¹³ equivalent to [\(4\)](#page-2-4).

14 To prove (iii), we assume that $\sum (1-|g'_n(0)|) < \infty$ (which, by Theorem [A,](#page-1-1) is equivalent 15 to the sequence $\{g_n\}_{n\in\mathbb{N}}$ not being contracting). In this case, we can assume (by dis-16 carding finitely many g_n) that $g'_n(0) \neq 0$ for all $n \in \mathbb{N}$. Since the sequence $\{|G'_n(0)|\}_{n\in\mathbb{N}}$ 17 is decreasing and by assumption is bounded away from 0, it has a positive limit $c > 0$ as $n \to \infty$. We now assume that $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic and invoke Theorem [4.1\(](#page-6-1)b) with $m = 0$, obtaining $m = 0$, obtaining

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} (G'_n(0))^{\ell} = 0
$$

1 for every $\ell \in \mathbb{N}$. Lemma [4.2](#page-8-0) now says that

$$
0 = \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} |G'_n(0)|^{\ell} (e^{i \arg G'_n(0)})^{\ell} = c^{\ell} \cdot \lim_{N \to \infty} \frac{1}{N} \sum_{n=1}^{N} e^{i \ell \arg G'_n(0)}, \quad \ell \in \mathbb{N},
$$

and it follows from Weyl's criterion that the sequence $\{e^{i \arg G'_n(0)}\}_{n\in\mathbb{N}}$ is equidistributed 3 in $\partial \mathbb{D}$.

4 Conversely, assume that $\{e^{i \arg G'_n(0)}\}_{n\in\mathbb{N}}$ is equidistributed in $\partial \mathbb{D}$. Using the chain rule,

5 we rewrite $(G_m^n)'(0)$ as $(G_m^n)'(0) = G_n'(0) \cdot (G_m'(0))^{-1}$, so that the sum in equation [\(8\)](#page-6-2) of 6 Theorem $4.1(a)$ becomes

$$
\frac{1}{N} \sum_{m=1}^{N} ((G_m^N)'(0))^{\ell} = \frac{G_N'(0)^{\ell}}{N} \sum_{m=1}^{N} (G_m'(0))^{\ell} = \frac{G_N'(0)^{\ell}}{N} \sum_{m=1}^{N} \frac{1}{|G_m'(0)|^{\ell}} e^{-i\ell \arg G_m'(0)}, \quad \ell \in \mathbb{N}.
$$

⁷ By Lemma [4.2,](#page-8-0) we get

$$
\lim_{N \to \infty} \frac{1}{N} \sum_{m=1}^{N} ((G_m^N)'(0))^{\ell} = \lim_{N \to \infty} \frac{1}{N} \sum_{m=1}^{N} e^{-i\ell \arg G_m'(0)}, \quad \ell \in \mathbb{N}
$$

8 Since $\{e^{i \arg G'_n(0)}\}_{n\in\mathbb{N}}$ is equidistributed on $\partial\mathbb{D}$, then by Weyl's criterion this limit is zero, 9 whence ergodicity follows by Theorem [4.1\(](#page-6-1)a). \Box

 Proof of Corollary [1.4.](#page-3-0) The proof mimics the previous argument and we only sketch it. For part (a) we need to show that [\(5\)](#page-3-2) implies the sufficient condition [\(8\)](#page-6-2) given in Theorem [4.1\(](#page-6-1)a). This follows as in the proof of part (a) of Theorem [1.3](#page-2-2) once triangular 13 inequality is applied. Part (b) follows similarly. \Box

14 5. MIXING IN THE USUAL SENSE

15 Recall that a sequence $\{T_n\}_{n\in\mathbb{N}}$ of transformations of the measure space (X, \mathcal{A}, μ) is 16 mixing (in the usual sense) if, for all measurable sets $A, B \subset X$,

$$
\mu
$$
 $(A \cap T_n^{-1}(B)) \to \mu(A)\mu(B)$ as $n \to \infty$.

17 If $T_n = T^n$ and μ is finite, this implies that $\{T^n\}$ is ergodic (see e.g. [\[VO16,](#page-15-7) Proposition ¹⁸ 4.1.3]). However, if the system is non-autonomous, this implication can fail drastically – is see e.g. [\[BS01\]](#page-14-10). Nevertheless, it can be interesting (and useful; see [\[BEF](#page-14-13)+24a, Theorem ²⁰ 7.4]) to study "classical mixing" for compositions of inner functions.

²¹ In this vein, as previously mentioned, Pommerenke [\[Pom81\]](#page-15-0) already showed that, if 22 $g_n: \mathbb{D} \to \mathbb{D}$ are inner functions fixing the origin and the composition $G_n := g_n \circ \cdots \circ g_1$ tends to zero locally uniformly in D as $n \to \infty$, then $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is mixing in the usual sense (in fact, Pommerenke showed the stronger fact that $\{\hat{G}_n\}_{n\in\mathbb{N}}$ is "exact in the usual
25 sense"). Here, we give a converse to his result for non-autonomous dynamics. The proof sense"). Here, we give a converse to his result for non-autonomous dynamics. The proof ²⁶ relies on the following consequence of mixing (see [\[VO16,](#page-15-7) Corollary 7.1.14]), whose short ²⁷ proof is included for completeness.

28 Lemma 5.1. Let (X, \mathcal{A}, μ) be a probability space. Let $f_n: X \to X$ be measurable, 29 measure-preserving transformations. Assume that the sequence $F_n := f_n \circ \cdots \circ f_1$ is 1 mixing in the usual sense. Let ν be a probability measure on X which is absolutely 2 continuous with respect to μ . Then,

$$
\lim_{n \to \infty} \nu\left(F_n^{-1}(B)\right) = \mu(B)
$$

3 for any measurable set $B \subset X$.

4 Proof. Let φ denote the Radon-Nykodim derivative of ν relative to μ , and let $\mathbf{1}_B$ denote 5 the indicator function of the measurable set $B \subset X$. Since φ can be approximated in 6 $L^1(\mu)$ by linear combinations of characteristic functions, the assumption that $\{F_n\}_{n\in\mathbb{N}}$ ⁷ is mixing gives that

$$
\int_X (\mathbf{1}_B \circ F_n) \cdot \varphi \, d\mu \to \int_X \mathbf{1}_B \, d\mu \int_X \varphi \, d\mu
$$

a as $n \to \infty$. The left-hand side is, by the Radon-Nykodim Theorem, equal to $\nu(F_n^{-1}(B))$, 9 while the right-hand side is equal to $\mu(B)$ since ν is a probability measure. \Box

10 **Theorem 5.2.** Let $g_n: \mathbb{D} \to \mathbb{D}$ be inner functions fixing the origin, and let $G_n :=$ 11 $g_n \circ \cdots \circ g_1, n \ge 1$. Then, the sequence $\{\widehat{G}_n\}_{n \in \mathbb{N}}$ is mixing in the usual sense if and only
12 if $\{g_n\}_{n \in \mathbb{N}}$ is contracting. if $\{g_n\}_{n\in\mathbb{N}}$ is contracting.

13 Proof of Theorem [5.2.](#page-11-1) As mentioned before, Pommerenke ([\[Pom81\]](#page-15-0)) proved that $\{G_n\}_{n\in\mathbb{N}}$ is mixing if G_n tend to 0 uniformly on compacts of $\mathbb D$. Conversely, assume that $\{G_n\}_{n\in\mathbb N}$
is is mixing but at the same time $G_n \to G$ pointwise in $\mathbb D$, where G is a non-constant is mixing but at the same time $G_n \to G$ pointwise in \mathbb{D} , where G is a non-constant is inner function. Now, take $z \in \mathbb{D} \setminus G^{-1}(0)$. Since the harmonic measure ω_z is absolutely ¹⁷ continuous with respect to Lebesgue measure, Lemma [5.1](#page-10-1) gives that

$$
\lim_{n \to \infty} \omega_z \left(\widehat{G}_n^{-1}(B) \right) = m(B)
$$

18 for every measurable set $B \subset \partial \mathbb{D}$. However, by Lemma [2.1,](#page-5-1) we have

$$
\omega_z\left(\widehat{G}_n^{-1}(B)\right) = \omega_{G_n(z)}(B),
$$

19 for any measurable set $B \subset \partial \mathbb{D}$. Since $G_n(z) \to G(z)$ we have $\omega_z(\widehat{G}_n^{-1}(B)) \to \omega_{G(z)}(B)$ 20 as $n \to \infty$, for any measurable set $B \subset \partial \mathbb{D}$. Since $G(z) \neq 0$, there exists a measurable 21 set $B \subset \partial \mathbb{D}$ with $w_{G(z)}(B) \neq m(B)$ and we obtain a contradiction, concluding the \Box proof. \Box

23 6. EXAMPLES AND COUNTEREXAMPLES

 In this section, we apply the various necessary and sufficient conditions obtained above to illustrate what ergodic and non-ergodic compositions of inner functions may look like. We start with the example promised in Section [1](#page-0-0) of a sequence that is contracting but not ergodic. This example also serves to show that the necessary condition given in Theorem [4.1\(](#page-6-1)b) cannot be sufficient.

29 Proposition 6.1. There exists a sequence $g_n : \mathbb{D} \to \mathbb{D}$ of inner functions fixing the origin so such that the sequence ${G_n}_{n \in \mathbb{N}}$ generated by $G_n := g_n \circ \cdots \circ g_1$ satisfies the following ³¹ conditions:

32 (1) $G_n \to 0$ locally uniformly in \mathbb{D} ;

- 1 (2) ${G_n}_{n \in \mathbb{N}}$ satisfies the necessary condition [\(9\)](#page-6-3) in Theorem [4.1\(](#page-6-1)b);
- 2 (3) $\{\hat{G}_n\}_{n\in\mathbb{N}}$ is not ergodic.
- 3 Proof. Let $q_n: \mathbb{D} \to \mathbb{D}$ be the Blaschke product of degree 2 given by

$$
g_n(z) = z \cdot \frac{z + a_n}{1 + a_n z},
$$

4 with $a_n = n/(n+1)$. An immediate calculation shows that $g'_n(0) = a_n$, and so

$$
\sum_{n\geq 1} (1 - |g'_n(0)|) = \sum_{n\geq 1} \frac{1}{n+1} = \infty,
$$

5 whence $G_n = g_n \circ \cdots \circ g_1$ converges locally uniformly to zero by Theorem [A.](#page-1-1) Furthermore, ⁶ by the chain rule, we have

$$
(G_m^n)'(0) = \prod_{k=m+1}^n \frac{k}{k+1} = \frac{m+1}{n+1},\tag{11}
$$

and so for any fixed natural numbers ℓ and m , the sequence $((G_m^n)'(0))^{\ell}$ goes to zero 8 as $n \to \infty$. The necessary condition [\(9\)](#page-6-3) in Theorem [4.1\(](#page-6-1)b) is now satisfied, since it becomes the Cesàro sum of a sequence going to zero. We finally show that $\{G_n\}$ is not 10 ergodic. Note that $m + 1 \ge (n + 1)/2$ if $N/2 \le m < n \le N$. Hence [\(11\)](#page-12-0) gives

$$
\frac{1}{N^2} \sum_{m=1}^{N-1} \sum_{n=m+1}^{N} (G_m^n)'(0) \ge \frac{1}{2N^2} \sum_{m=\lfloor N/2 \rfloor}^{N-1} (N-m),
$$

11 which does not tend to 0 as $N \to \infty$. Hence condition [\(2\)](#page-2-1) for $\ell = 1$ in Theorem [1.2](#page-2-5) is not satisfied and consequently $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is not ergodic. \Box

¹³ Next, we use Theorem [1.3](#page-2-2) to provide several explicit examples of mixing and ergodic ¹⁴ compositions of inner functions.

15 Corollary 6.2. Let $g_n: \mathbb{D} \to \mathbb{D}$ be inner functions fixing the origin and let $G_n :=$ 16 $g_n \circ \cdots \circ g_1, n \geq 1$.

- 17 (i) Assume $\sum (1 |g'_n(0)|) = \infty$. Then $\{\hat{G}_n\}_{n \in \mathbb{N}}$ has a mixing subsequence.
- 18 (ii) If, furthermore, there exist constants $0 < \lambda < 1$, $0 < c < 1$ and $M_0 > 0$ such that

19 for any $a, b \in \mathbb{N}$ with $b - a > M_0$ one has

$$
\#\{n \in [a, b] : |g'_n(0)| \le \lambda\} \ge c(b - a),\tag{12}
$$

20 then the sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is mixing.

$$
21 \qquad (iii) \text{ Assume } \sum (1 - |g'_n(0)|) < \infty. \text{ Then:}
$$

- 22 (a) If $\arg g'_n(0) \to \theta$ as $n \to \infty$ for some $\theta \in \mathbb{R} \setminus \mathbb{Q}$, then the sequence $\{\widehat{G}_n\}_{n \in \mathbb{N}}$ ²³ is ergodic.
- 24 (b) If the arguments $\theta_n = \arg g'_n(0)$ are independently and identically distributed according to some non-atomic distribution on $\partial \mathbb{D}$, then $\{\hat{G}_n\}_{n\in\mathbb{N}}$ is ergodic with probability 1. with probability 1.

1 *Proof.* Assume $\sum (1 - |g'_n(0)|) = \infty$. Then there exists an increasing sequence $\{N_k\}_{k \in \mathbb{N}}$ ² of positive integers such that

$$
\prod_{j=N_k+1}^{N_{k+1}} |g'_j(0)| \le 1/2, \quad k = 1, 2, \dots
$$

³ Since

$$
\prod_{k=M}^{N} \prod_{j=N_k+1}^{N_{k+1}} |g'_j(0)| \le 1/2^{N-M},
$$

4 part (b) of Corollary [1.4](#page-3-0) gives that the subsequence $\{G_{N_k}\}_{k\in\mathbb{N}}$ is mixing.

⁵ Assume now that condition [\(12\)](#page-12-1) holds. Then

$$
\prod_{j=M}^{N} |g_j'(0)| \leq \lambda^{c(N-M)}
$$

6 if $N - M \geq M_0$, whence by part (b) of Corollary [1.4](#page-3-0) the sequence $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is mixing. 7 $\sum_{n\geq 1}(1-|g'_n(0)|)<\infty$ by Theorem [A\)](#page-1-1), whence by Theorem [1.3\(](#page-2-2)iii) the sequence Now, assume that $G_n \nrightarrow 0$ locally uniformly on D (which, recall, is equivalent to $\{\widehat{G}_n\}_{n\in\mathbb{N}}$ is ergodic if and only if $\{e^{i\arg G'_n(0)}\}_{n\in\mathbb{N}}$ is equidistributed on $\partial\mathbb{D}$. Thus, we only need to check that the conditions outlined in (a) and (b) imply equidistribution. That (a) does is an immediate consequence of a theorem by van der Corput (see [\[KN74,](#page-15-9) Theorem 3.3]). On the other hand, (b) implies equidistribution almost surely by a result of Robbins [\[Rob53,](#page-15-10) Theorem 2], which says that sums of independent and identically distributed random variables drawn from a non-atomic distribution are equidistributed with probability one. □

¹⁶ We can now prove Corollary [1.5.](#page-3-1)

17 *Proof of Corollary [1.5.](#page-3-1)* That contracting implies having a mixing subsequence is part 18 (a) of the previous result, and the converse follows from part (iii) of Theorem [1.3.](#page-2-2) \Box

19 7. RECURRENCE

²⁰ In this section, we prove Theorem [1.6.](#page-4-1)

21 Proof of Theorem [1.6.](#page-4-1) We can assume without loss of generality that the sequence ${T_n}_{n\in\mathbb{N}}$ ²² is ergodic.

23 To show recurrence, let $A \subset X$ be a measurable set with $\mu(A) > 0$. If $\mathbf{1}_A$ is the

24 characteristic function of A, then by ergodicity the sequence $(f_N)_{N>1}$ given by

$$
f_N(x) = \frac{1}{N} \sum_{n=1}^{N} \mathbf{1}_A \circ T_n(x)
$$

25 converges to the constant function $\mu(A)$ in $L^2(\mu)$. Since a sequence converging in $L^2(\mu)$

26 admits a subsequence converging μ -almost everywhere, recurrence follows.

1 Next, assume that $\text{supp}(\mu) = X$, and let $\{U_k\}_{k\in\mathbb{N}}$ be a countable basis for the topology 2 of X. For $k \in \mathbb{N}$, denote by \hat{U}_k the set of points $x \in X$ such that $T_n(x) \in U_k$ for only 3 finitely many $n \geq 1$. Then, clearly,

$$
\frac{1}{N} \sum_{n=1}^{N} \mathbf{1}_{U_k} \circ T_n(x) \to 0 \text{ as } N \to \infty
$$

4 for all $x \in \tilde{U}_k$. Hence, no subsequence of the time averages at $x \in \tilde{U}_k$ converge to $\mu(U_k) > 0$, and so (by ergodicity) U_k must have measure zero. The set

$$
\tilde{U} = \bigcup_{k \in \mathbb{N}} \tilde{U}_k
$$

also has measure zero, and it is clear that any point $x \in X \setminus \tilde{U}$ has a dense orbit. \Box

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²² Centre de Recerca Matematica, Barcelona, Spain `

23 Email address: grodrigues@crm.cat

24 DEPARTAMENT DE MATEMÀTIQUES, UNIVERSITAT AUTÒNOMA DE BARCELONA, AND CENTRE DE

²⁵ Recerca Matematica, 08193, Barcelona, Spain `

26 Email address: artur.nicolau@uab.cat