

# $L^2$ -Betti numbers of one-relator groups

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## Abstract

We determine the  $L^2$ -Betti numbers of all one-relator groups and all surface-plus-one-relation groups. We also obtain some information about the  $L^2$ -cohomology of left-orderable groups, and deduce the non- $L^2$  result that, in any left-orderable group of homological dimension one, all two-generator subgroups are free.

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## 1 Notation and background

Let  $G$  be a (discrete) group, fixed throughout the article.

We use  $\mathbb{R} \cup \{-\infty, \infty\}$  with the usual conventions; for example,  $\frac{1}{\infty} = 0$ , and  $3 - \infty = -\infty$ . Let  $\mathbb{N}$  denote the set of finite cardinals,  $\{0, 1, 2, \dots\}$ . We call  $\mathbb{N} \cup \{\infty\}$  the set of *vague cardinals*, and, for each set  $X$ , we define its *vague cardinal*  $|X| \in \mathbb{N} \cup \{\infty\}$  to be the cardinal of  $X$  if  $X$  is finite, and to be  $\infty$  if  $X$  is infinite.

Mappings of right modules will be written on the left of their arguments, and mappings of left modules will be written on the right of their arguments.

Let  $\mathbb{C}[[G]]$  denote the set of all functions from  $G$  to  $\mathbb{C}$  expressed as formal sums, that is, a function  $a: G \rightarrow \mathbb{C}$ ,  $g \mapsto a(g)$ , will be written as  $\sum_{g \in G} a(g)g$ . Then  $\mathbb{C}[[G]]$  has a natural  $\mathbb{C}G$ -bimodule structure, and contains a copy of  $\mathbb{C}G$  as  $\mathbb{C}G$ -sub-bimodule. For each  $a \in \mathbb{C}[[G]]$ , we define  $\|a\| := (\sum_{g \in G} |a(g)|^2)^{1/2} \in [0, \infty]$ , and  $\text{tr}(a) := a(1) \in \mathbb{C}$ .

Define

$$l^2(G) := \{a \in \mathbb{C}[[G]] : \|a\| < \infty\}.$$

We view  $\mathbb{C} \subseteq \mathbb{C}G \subseteq l^2(G) \subseteq \mathbb{C}[[G]]$ . There is a well-defined *external multiplication* map

$$l^2(G) \times l^2(G) \rightarrow \mathbb{C}[[G]], \quad (a, b) \mapsto a \cdot b,$$

where, for each  $g \in G$ ,  $(a \cdot b)(g) := \sum_{h \in G} a(h)b(h^{-1}g)$ ; this sum converges in  $\mathbb{C}$ , and, moreover,  $|(a \cdot b)(g)| \leq \|a\| \|b\|$ , by the Cauchy-Schwarz inequality. The external multiplication extends the multiplication of  $\mathbb{C}G$ .

The *group von Neumann algebra* of  $G$ , denoted  $\mathcal{N}(G)$ , is the ring of bounded  $\mathbb{C}G$ -endomorphisms of the right  $\mathbb{C}G$ -module  $l^2(G)$ ; see [19, §1.1]. Thus  $l^2(G)$  is an  $\mathcal{N}(G)$ - $\mathbb{C}G$ -bimodule. We view  $\mathcal{N}(G)$  as a subset of  $l^2(G)$  by the map  $\alpha \mapsto \alpha(1)$ , where 1 denotes the identity element of  $\mathbb{C}G \subseteq l^2(G)$ . It can be shown that

$$\mathcal{N}(G) = \{a \in l^2(G) \mid a \cdot l^2(G) \subseteq l^2(G)\},$$

and that the action of  $\mathcal{N}(G)$  on  $l^2(G)$  is given by the external multiplication. Notice that  $\mathcal{N}(G)$  contains  $\mathbb{C}G$  as a subring and also that we have an induced ‘trace map’  $\text{tr}: \mathcal{N}(G) \rightarrow \mathbb{C}$ . The elements of  $\mathcal{N}(G)$  which are injective, as operators on  $l^2(G)$ , are precisely the (two-sided) non-zero-divisors in  $\mathcal{N}(G)$ , and they form a left and right Ore subset of  $\mathcal{N}(G)$ ; see [19, Theorem 8.22(1)].

Let  $\mathcal{U}(G)$  denote the *ring of unbounded operators affiliated to  $\mathcal{N}(G)$* ; see [19, §8.1]. It can be shown that  $\mathcal{U}(G)$  is the left, and the right, Ore localization of  $\mathcal{N}(G)$  at the set of its non-zero-divisors. For example, it is then clear that,

$$\text{if } x \text{ is an element of } G \text{ of infinite order, then } x - 1 \text{ is invertible in } \mathcal{U}(G). \quad (1.0.1)$$

Moreover,  $\mathcal{U}(G)$  is a von Neumann regular ring in which one-sided inverses are two-sided inverses, and, hence, one-sided zero-divisors are two-sided zero-divisors; see [19, §8.2].

There is a continuous, additive von Neumann dimension that assigns to every left  $\mathcal{U}(G)$ -module  $M$  a value  $\dim_{\mathcal{U}(G)} M \in [0, \infty]$ ; see Definition 8.28 and Theorem 8.29 of [19]. For example,

$$\text{if } e \text{ is an idempotent element of } \mathcal{N}(G), \text{ then } \dim_{\mathcal{U}(G)} \mathcal{U}(G)e = \text{tr}(e); \quad (1.0.2)$$

see Theorem 8.29 and §§6.1-2 of [19].

Consider any subring  $Z$  of  $\mathbb{C}$ , and any resolution of  $Z$  by projective, or, more generally, flat, left  $ZG$ -modules

$$\cdots \longrightarrow P_2 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow Z \longrightarrow 0, \quad (1.0.3)$$

and let  $\mathcal{P}$  denote the unaugmented complex

$$\cdots \longrightarrow P_2 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow 0.$$

By Definition 6.50, Lemma 6.51 and Theorem 8.29 of [19], we can define, for each  $n \in \mathbb{N}$ , the  $n$ th  $L^2$ -Betti number of  $G$  as

$$b_n^{(2)}(G) := \dim_{\mathcal{U}(G)} \mathbb{H}_n(\mathcal{U}(G) \otimes_{ZG} \mathcal{P}),$$

where  $\mathcal{U}(G)$  is to be viewed as a  $\mathcal{U}(G)$ - $ZG$ -bimodule. Of course,

$$\mathbb{H}_n(\mathcal{U}(G) \otimes_{ZG} \mathcal{P}) = \text{Tor}_n^{ZG}(\mathcal{U}(G), Z) \simeq \text{Tor}_n^{ZG}(\mathcal{U}(G), \mathbb{Z}) = \mathbb{H}_n(G; \mathcal{U}(G)),$$

where, for the purposes of this article, it will be convenient to understand that  $\mathbb{H}_n(G; -)$  applies to *right*  $G$ -modules. Thus the  $L^2$ -Betti numbers do not depend on the choice of  $Z$ , nor on the choice of  $\mathcal{P}$ .

**1.1 Remark.** If  $G$  contains an element of infinite order, then (1.0.1) implies that  $\mathcal{U}(G) \otimes_{ZG} Z = 0$ , and  $\mathcal{U}(G) \otimes_{ZG} P_1 \longrightarrow \mathcal{U}(G) \otimes_{ZG} P_0 \longrightarrow 0$  is exact, and  $\mathbb{H}_0(G; \mathcal{U}(G)) = 0$ , and  $b_0^{(2)}(G) = 0$ .  $\square$

**1.2 Remarks.** In general, there is little relation between the  $n$ th  $L^2$ -Betti number,  $b_n^{(2)}(G) = \dim_{\mathcal{U}(G)} \mathbb{H}_n(G; \mathcal{U}(G)) \in [0, \infty]$ , and the  $n$ th (ordinary) Betti number,

$$b_n(G) := \dim_{\mathbb{Q}} \mathbb{H}_n(G; \mathbb{Q}) \in [0, \infty].$$

We say that  $G$  is of *type FL* if, for  $Z = \mathbb{Z}$ , there exists a resolution (1.0.3) such that all the  $P_n$  are finitely generated free left  $\mathbb{Z}G$ -modules and all but finitely many of the  $P_n$  are 0.

If  $G$  is of type FL, then it is easy to see that the  $L^2$ -Euler characteristic

$$\chi^{(2)}(G) := \sum_{n \geq 0} (-1)^n b_n^{(2)}(G)$$

is equal to the (ordinary) Euler characteristic

$$\chi(G) := \sum_{n \geq 0} (-1)^n b_n(G).$$

We say that  $G$  is of *type VFL* if  $G$  has a subgroup  $H$  of finite index such that  $H$  is of type FL. In this event, the (ordinary) Euler characteristic of  $G$  is defined as  $\chi(G) := \frac{1}{[G:H]} \chi(H)$ ; this is sometimes called the virtual Euler characteristic. Here again,  $\chi^{(2)}(G) = \chi(G)$ ; see [19, Remark 6.81].  $\square$

## 2 Summary of results

In outline, the article has the following structure. More detailed definitions can be found in the appropriate sections.

In Section 3, we prove a useful technical result about  $\mathcal{U}(G)$  for special types of groups.

In Section 4, we calculate the  $L^2$ -Betti numbers of one-relator groups. Let us describe the results.

For any element  $x$  of a group  $G$ , we define the *exponent* of  $x$  in  $G$ , denoted  $\exp_G(x)$ , as the supremum in  $\mathbb{Z} \cup \{\infty\}$  of the set of those integers  $m$  such that  $x$  equals the  $m$ th power of some element of  $G$ . Then  $\exp_G(x)$  is a nonzero vague cardinal. We write  $G/\langle\langle x \rangle\rangle$  to denote the quotient group of  $G$  modulo the normal subgroup of  $G$  generated by  $x$ .

Suppose that  $G$  has a one-relator presentation  $\langle X \mid r \rangle$ . Thus  $r$  is an element of the free group  $F$  on  $X$ , and  $G = F/\langle\langle r \rangle\rangle$ .

Set  $d := |X| \in [0, \infty]$ ,  $m := \exp_F(r) \in [1, \infty]$ , and  $\chi := 1 - d + \frac{1}{m} \in [-\infty, 1]$ .

It is known that if  $d < \infty$  then  $G$  is of type VFL and  $\chi(G) = \chi$ . If  $d = \infty$ , then  $G$  is not finitely generated and  $\chi = -\infty$ ; here we *define*  $\chi(G) = -\infty$ , which is non-standard, but it is reasonable.

In general,  $\max\{\chi(G), 0\} = \frac{1}{|G|}$ .

In Theorem 4.2, we will show that,

$$\text{for } n \in \mathbb{N}, \quad b_n^{(2)}(G) = \begin{cases} \max\{\chi(G), 0\} & \text{if } n = 0, \\ \max\{-\chi(G), 0\} & \text{if } n = 1, \\ 0 & \text{if } n \geq 2. \end{cases} \quad (2.0.1)$$

Lück [19, Example 7.19] gave some results and conjectures concerning the  $L^2$ -Betti numbers of torsion-free one-relator groups, and (2.0.1) shows that the conjectured statements are true.

In Section 5, we calculate the  $L^2$ -Betti numbers of an arbitrary surface-plus-one-relation group  $G = \pi_1(\Sigma)/\langle\langle \alpha \rangle\rangle$ . Here  $\Sigma$  is a connected orientable surface, and  $\alpha$  is

an element of the fundamental group,  $\pi_1(\Sigma)$ . The surface-plus-one-relation groups were introduced and studied by Hempel [12], and further investigated by Howie [15]; these authors called the groups ‘one-relator surface groups’, but we are reluctant to adopt this terminology.

If  $\Sigma$  is not closed, then  $\pi_1(\Sigma)$  is a countable free group, see [20], and  $G$  is a countable one-relator group. In light of Theorem 4.2, we may assume that  $\Sigma$  is a closed surface.

Let  $g$  denote the genus of the closed surface  $\Sigma$ , and let  $m = \exp_{\pi_1(\Sigma)}(\alpha)$ . It is not difficult to deduce from known results that  $G$  is of type VFL and

$$\chi(G) = \begin{cases} 1 & \text{if } g = 0, \\ 0 & \text{if } g = 1, \\ 2 - 2g + \frac{1}{m} & \text{if } g \geq 2. \end{cases}$$

Then  $\chi(G) \in (-\infty, 1]$  and  $\max\{\chi(G), 0\} = \frac{1}{|G|}$ . In Section 5, we will show that (2.0.1) is also valid for surface-plus-one-relation groups.

For any group  $G$ ,  $b_0^{(2)}(G) = \frac{1}{|G|}$ ; see [19, Theorem 6.54(8)(b)]. It is obvious that if  $G$  is finite then  $b_n^{(2)}(G) = 0$  for all  $n \geq 1$ . Thus, in essence, the foregoing results assert that if  $G$  is an infinite one-relator group, or an infinite surface-plus-one-relation group, then

$$b_n^{(2)}(G) = \begin{cases} -\chi(G) & \text{if } n = 1, \\ 0 & \text{if } n \neq 1, \end{cases}$$

and we emphasize that, in this case, we understand that  $\chi(G) = -\infty$  if  $G$  is not finitely generated.

In Section 6, we consider a variety of situations where  $Z$  is a nonzero ring and there exists some positive integer  $n$  such that  $P_n = ZG^2$  in a projective  $ZG$ -resolution (1.0.3) of  ${}_{ZG}Z$ . For example, this happens for two-generator groups and for two-relator groups.

Thus, in Corollary 6.8, we recover Lück’s result [19, Theorem 7.10] that all the  $L^2$ -Betti numbers of Thompson’s group  $F$  vanish; see [6] for a detailed exposition of the definition and main properties of  $F$ .

**2.1 Definitions.** Recall that  $G$  is *left orderable* if there exists a total order  $\leq$  of  $G$  which is left  $G$ -invariant, that is, whenever  $g, x, y \in G$  and  $x \leq y$ , then  $gx \leq gy$ . One then says that  $\leq$  is a *left order* of  $G$ . The reverse order is also a left order. Since every group is isomorphic to its opposite through the inversion map, we see that ‘left-orderable’ is a short form for ‘one-sided-orderable’.

A group is said to be *locally indicable* if every finitely generated subgroup is either trivial or has an infinite cyclic quotient. Burns and Hale [5] showed that every locally indicable group is left orderable. This often provides a convenient way to prove that a given group is left orderable.

Recall that the *cohomological dimension of  $G$  with respect to a ring  $Z$* , denoted  $\text{cd}_Z G$ , is the least  $n \in \mathbb{N}$  such that  $P_{n+1} = 0$  in some projective  $ZG$ -resolution (1.0.3) of  ${}_{ZG}Z$ . The *cohomological dimension of  $G$* , denoted  $\text{cd} G$ , is  $\text{cd}_{\mathbb{Z}} G$ . A classic result of Stallings and Swan says that the groups of cohomological dimension at most one are precisely the free groups.

Similarly, the *homological dimension of  $G$  with respect to a ring  $Z$* , denoted  $\text{hd}_Z G$ , is the least  $n \in \mathbb{N}$  such that  $P_{n+1} = 0$  in some flat  $ZG$ -resolution (1.0.3) of  ${}_Z Z$ . The *homological dimension of  $G$* , denoted  $\text{hd} G$ , is  $\text{hd}_{\mathbb{Z}} G$ .  $\square$

We understand that Robert Bieri, in the 1970's, first raised the question as to whether the groups of homological dimension at most one are precisely the locally free groups. Notice that a locally free group has homological dimension at most one, since the augmentation ideal of a locally free group is a directed union of finitely generated free left submodules. Recently, in [16], it was proved that if the homological dimension of  $G$  is at most one and  $G$  satisfies the Atiyah conjecture (or, more generally, the group ring  $\mathbb{Z}G$  embeds in a one-sided Noetherian ring), then  $G$  is locally free. In Corollary 6.12, we show that if  $G$  is locally indicable, or, more generally, left orderable, and the homological dimension of  $G$  is at most one, then every *two-generator* subgroup of  $G$  is free.

Finally, in Proposition 6.13, we calculate the first three  $L^2$ -Betti numbers of an arbitrary left-orderable two-relator group of cohomological dimension at least three.

**2.2 Notation.** We will frequently consider maps between free modules over a ring  $U$ , and we will use the following format.

Let  $X$  and  $Y$  be sets.

By an  $X \times Y$  *row-finite matrix* over  $U$  we mean a function  $(u_{x,y}): X \times Y \rightarrow U$ ,  $(x, y) \mapsto u_{x,y}$  such that, for each  $x \in X$ ,  $\{y \in Y \mid u_{x,y} \neq 0\}$  is finite.

We write  $\oplus_X U$  to denote the direct sum of copies of  $U$  indexed by  $X$ . If  $n \in \mathbb{N}$ , and  $X = \{1, \dots, n\}$ , we identify  $X = n$  and also write  $\oplus_n U$  as  $U^n$ . An element of  $\oplus_X U$  will be viewed as a  $1 \times X$  row-finite matrix  $(u_{1,x})$  over  $U$ . Then  $\oplus_X U$  is a left  $U$ -module in a natural way.

A map  $\oplus_X U \rightarrow \oplus_Y U$  of left  $U$ -modules will be thought of as right multiplication by a row-finite  $X \times Y$  matrix  $(u_{x,y})$  in a natural way, and we will write  $\oplus_X U \xrightarrow{(u_{x,y})} \oplus_Y U$ .  $\square$

### 3 Preliminary results about $\mathcal{U}(G)$

For  $a = \sum_{g \in G} a(g)g \in \mathbb{C}[[G]]$ , we let  $a^* = \sum_{g \in G} \overline{a(g^{-1})}g$  where  $\bar{z}$  indicates the complex conjugate of  $z$ . This involution restricts to  $\mathbb{C}(G)$  and  $\mathcal{N}(G)$ , and extends in a unique way to  $\mathcal{U}(G)$ . Furthermore, if  $a, b \in \mathcal{N}(G)$ , then  $(ab)^* = b^*a^*$  and  $a^*a = 0$  if and only if  $a = 0$ .

In Sections 4 and 5, we shall see that the narrow hypotheses of the following result hold whenever  $G$  is a one-relator group or a surface-plus-one-relation group.

**3.1 Theorem.** *Suppose that  $G$  has a normal subgroup  $H$  such that  $H$  is the semidirect product  $F \rtimes C$  of a free subgroup  $F$  by a finite subgroup  $C$ , and that  $G/H$  is locally indicable, or, more generally, left orderable.*

*Let  $m = |C|$ , and let  $e = \frac{1}{m} \sum_{c \in C} c \in \mathbb{C}G$ .*

*Then the following hold.*

- (i) *Each torsion subgroup of  $G$  embeds in  $C$ .*
- (ii) *Each nonzero element of  $e\mathbb{C}Ge$  is invertible in  $e\mathcal{U}(G)e$ .*
- (iii) *For all  $x \in \mathcal{U}(G)e$  and  $y \in e\mathbb{C}G$ , if  $xy = 0$  then  $x = 0$  or  $y = 0$ .*

*Proof.* (i) Each torsion subgroup of  $G$  lies in  $H$  and has trivial intersection with  $F$ , and therefore embeds in  $C$ .

(ii) Notice that  $e$  is a projection, that is,  $e$  is idempotent and  $e^* = e$ . Clearly,  $\text{tr}(e) = \frac{1}{m}$ . Also,  $e\mathcal{U}(G)e$  is a ring and  $e\mathbb{C}Ge$  is a subring of  $e\mathcal{U}(G)e$ . Moreover, in  $e\mathcal{U}(G)e$ , one-sided inverses are two-sided inverses.

Let  $a \in e\mathbb{C}Ge - \{0\}$ . We want to show that  $a$  is left invertible in  $e\mathcal{U}(G)e$ .

Let  $T$  be a transversal for the right (or left)  $H$ -action on  $G$ , and suppose that  $T$  contains 1. Write  $a = t_1a_1 + \cdots + t_na_n$  where the  $t_i$  are distinct elements of  $T$ , and, for each  $i$ ,  $a_i \in \mathbb{C}(H)e - \{0\}$ .

Let  $\preceq$  be a left order for  $G/H$ . We may assume that  $t_1H \prec \cdots \prec t_nH$ . To show that  $a$  is left invertible in  $e\mathcal{U}(G)e$ , it suffices to show that  $(ea_1^*t_1^{-1}e)a$  is left invertible in  $e\mathcal{U}(G)e$ . On replacing  $a$  with  $(ea_1^*t_1^{-1}e)a = a_1^*t_1^{-1}a$ , we see that we may assume that  $t_1 = 1$  and  $a_1 \in e\mathbb{C}He - \{0\}$ .

By (i),  $m$  is the least common multiple of the orders of the finite subgroups of  $H$ . Now the strong Atiyah conjecture holds for  $H$ ; see [18] or [19, Chapter 10]. Hence  $\dim_{\mathcal{U}(H)}\mathcal{U}(H)a_1 \geq \frac{1}{m} = \text{tr}(e)$ . Of course,  $\mathcal{U}(H)a_1 \subseteq \mathcal{U}(H)e$ , and thus  $\dim_{\mathcal{U}(H)}\mathcal{U}(H)a_1 \leq \dim_{\mathcal{U}(H)}\mathcal{U}(H)e = \text{tr}(e)$ . Hence  $\dim_{\mathcal{U}(H)}\mathcal{U}(H)a_1 = \text{tr}(e)$ .

Also,  $\mathcal{U}(H)(a_1 + 1 - e) = \mathcal{U}(H)a_1 \oplus \mathcal{U}(H)(1 - e)$ . Hence

$$\begin{aligned} \dim_{\mathcal{U}(H)}\mathcal{U}(H)(a_1 + 1 - e) &= \dim_{\mathcal{U}(H)}\mathcal{U}(H)a_1 + \dim_{\mathcal{U}(H)}\mathcal{U}(H)(1 - e) \\ &= \text{tr}(e) + \text{tr}(1 - e) = 1. \end{aligned}$$

This implies that  $a_1 + 1 - e$  is invertible in  $\mathcal{U}(H)$ . The  $*$ -dual of [17, Theorem 4] now implies that  $a + 1 - e = 1(a_1 + 1 - e) + t_2a_2 + \cdots + t_na_n$  is invertible in  $\mathcal{U}(G)$ . It is then straightforward to show that  $a$  is invertible in  $e\mathcal{U}(G)e$ .

(iii) Suppose that  $y \neq 0$ . Then  $x^*xyy^* = 0$ ,  $yy^* \in e\mathbb{C}Ge - \{0\}$  and  $x^*x \in e\mathcal{U}(G)e$ . By (ii),  $yy^*$  is invertible in  $e\mathcal{U}(G)e$ . Hence  $x^*x = 0$  and  $x = 0$ .  $\square$

**3.2 Remark.** The above proof shows that the conclusions of Theorem 3.1(ii) and (iii) hold under the following hypotheses:  $H$  is a normal subgroup of  $G$ ;  $G/H$  is left orderable; the strong Atiyah conjecture holds for  $H$ ; and,  $e$  is a nonzero projection in  $\mathbb{C}H$  such that  $\frac{1}{\text{tr}(e)}$  is the least common multiple of the orders of the finite subgroups of  $H$ .  $\square$

The degenerate case of Theorem 3.1(ii) where  $H = F = C = 1$  follows directly from [17, Theorem 2].

**3.3 Theorem.** *If  $G$  is locally indicable, or, more generally, left orderable, then every nonzero element of  $\mathbb{C}G$  is invertible in  $\mathcal{U}(G)$ .*  $\square$

## 4 One-relator groups

We shall now calculate the  $L^2$ -Betti numbers of one-relator groups.

**4.1 Notation.** Suppose that  $G$  is a one-relator group, and let  $\langle X \mid r \rangle$  be a one-relator presentation of  $G$ .

Here  $r$  is an element of the free group  $F$  on  $X$  and  $G = F/\langle\langle r \rangle\rangle$ .

Let  $m = \exp_F(r)$  and let  $d = |X|$ . These are vague cardinals. Here  $m \neq 0$ ; moreover,  $m = \infty$  if and only if  $r = 1$ , in which case  $G = F$ .

If  $m < \infty$ , then  $r = q^m$  for some  $q \in F$ . Let  $c$  denote the image of  $q$  in  $G$ , and let  $C = \langle c \rangle \leq G$ . Then  $C$  has order  $m$ . Let  $e = \frac{1}{m} \sum_{x \in C} x \in \mathbb{C}G$ .

If  $m = \infty$ , we define  $e = 0 \in \mathbb{C}G$ .

In any event  $e$  is a projection and  $\text{tr}(e) = \frac{1}{m}$ .

There is an exact sequence of left  $\mathbb{Z}G$ -modules

$$\begin{aligned} 0 &\longrightarrow \oplus_X \mathbb{Z}G \longrightarrow \mathbb{Z}G \longrightarrow \mathbb{Z} \longrightarrow 0 && \text{if } m = \infty, \\ & & & 0 \longrightarrow \mathbb{Z}[G/C] \longrightarrow \mathbb{Z} \longrightarrow 0 && \text{if } d = 1 \text{ and } m < \infty, \\ 0 &\longrightarrow \mathbb{Z}[G/C] \longrightarrow \oplus_X \mathbb{Z}G \longrightarrow \mathbb{Z}G \longrightarrow \mathbb{Z} \longrightarrow 0 && \text{if } d \geq 2 \text{ and } m < \infty. \end{aligned}$$

see [7], specifically, Lemma 6.21 and (\*) on p. 167 in the proof of Theorem 6.22. In all cases, there is then an exact sequence of left  $\mathbb{C}G$ -modules

$$0 \longrightarrow \mathbb{C}G e \xrightarrow{(a_{1,x})} \oplus_X \mathbb{C}G \xrightarrow{(b_{x,1})} \mathbb{C}G \longrightarrow \mathbb{C} \longrightarrow 0; \quad (4.1.1)$$

for each  $x \in X$ ,  $b_{x,1}$  is the image of  $x - 1$  in  $\mathbb{C}G$ , and  $a_{1,x}$  is the left Fox derivative  $\frac{\partial r}{\partial x} = (me) \frac{\partial q}{\partial x} \in e\mathbb{C}G$ .

If  $d < \infty$ , then  $G$  is of type VFL and

$$\chi(G) = 1 - d + \frac{1}{m} \in (-\infty, 1]; \quad (4.1.2)$$

see Theorem 6.22 and Corollary 6.15 of [7], for the cases where  $m < \infty$  and  $m = \infty$ , respectively.

In the case where  $d = \infty$ , that is,  $G$  is a non-finitely-generated one-relator group, we define  $\chi(G) := -\infty$ . This is non-standard, but it extends (4.1.2).

It is easy to verify that  $\frac{1}{|G|} = \max\{\chi(G), 0\}$ . In fact, by abelianizing  $G$ , we see that  $G$  is finite if and only if either  $d = 1$  and  $m < \infty$ , or  $d = 0$  (and hence  $m = \infty$ ).  $\square$

We shall now prove the following.

**4.2 Theorem.** *If  $G$  is a one-relator group, then, for  $n \in \mathbb{N}$ ,*

$$b_n^{(2)}(G) = \begin{cases} \max\{\chi(G), 0\} (= \frac{1}{|G|}) & \text{if } n = 0, \\ \max\{-\chi(G), 0\} & \text{if } n = 1, \\ 0 & \text{if } n \geq 2. \end{cases} \quad (4.2.1)$$

*Proof.* Suppose that Notation 4.1 holds.

Unaugmenting (4.1.1) and applying  $\mathcal{U}(G) \otimes_{\mathbb{C}G} -$  gives

$$0 \longrightarrow \mathcal{U}(G)e \xrightarrow{(a_{1,x})} \oplus_X \mathcal{U}(G) \xrightarrow{(b_{x,1})} \mathcal{U}(G) \longrightarrow 0; \quad (4.2.2)$$

the homology of (4.2.2) is then  $H_*(G; \mathcal{U}(G))$ .

We claim that

$$\text{if } y \in \mathcal{U}(G)e - \{0\} \text{ and } a \in e\mathbb{C}G - \{0\}, \text{ then } ya \neq 0. \quad (4.2.3)$$

This is vacuous if  $m = \infty$ .

If  $m < \infty$ , let  $H$  denote the normal subgroup of  $G$  generated by  $c$ . Then  $G/H = \langle X \mid q \rangle$  is a torsion-free one-relator group. Hence  $G/H$  is locally indicable

by [3, Theorem 3], [13, Theorem 4.2] or [14, Corollary 3.2]. Also  $H$  is the free product of certain  $G$ -conjugates of  $C$ , by [11, Theorem 1]. By mapping each of these conjugates of  $C$  isomorphically to  $C$ , we obtain an epimorphism  $H \rightarrow C$ . Applying [8, Proposition I.4.6] to this epimorphism, we see that its kernel  $F$  is free. Clearly,  $H = F \rtimes C$ . Now (4.2.3) holds by Theorem 3.1(iii).

Since  $(a_{1,x})$  is injective in (4.1.1), either  $e = 0$  or there is some  $x_0 \in X$  such that  $a_{1,x_0} \neq 0$ . It follows from (4.2.3) that  $(a_{1,x})$  is injective in (4.2.2), and hence  $H_2(G; \mathcal{U}(G)) = 0$ . On taking  $\mathcal{U}(G)$ -dimensions, we find  $b_2^{(2)}(G) = 0$ , and  $\dim_{\mathcal{U}(G)} \text{im}((a_{1,x})) = \frac{1}{m}$ .

If either  $d \geq 2$ , or  $d = 1$  and  $m = \infty$ , then, by abelianizing, we see that there is some  $x_1 \in X$  whose image in  $G$  has infinite order. By (1.0.1), we see that  $(b_{x,1})$  is surjective in (4.2.2), and hence  $H_0(G; \mathcal{U}(G)) = 0$ . On taking  $\mathcal{U}(G)$ -dimensions, we find that  $b_0^{(2)}(G) = 0$ ,  $\dim_{\mathcal{U}(G)} \text{im}((b_{x,1})) = 1$ , and  $\dim_{\mathcal{U}(G)} \ker((b_{x,1})) = d - 1$ . Now

$$b_1^{(2)}(G) = \dim_{\mathcal{U}(G)} \ker((b_{x,1})) - \dim_{\mathcal{U}(G)} \text{im}((a_{1,x})) = d - 1 - \frac{1}{m} = -\chi(G).$$

Thus (4.2.1) holds.

This leaves the cases where either  $d = 0$  or  $d = 1$  and  $m < \infty$ . Here  $G$  is finite cyclic, and again (4.2.1) holds.  $\square$

## 5 Surface-plus-one-relation groups

We next calculate the  $L^2$ -Betti numbers for an arbitrary surface-plus-one-relation group  $G = \pi_1(\Sigma)/\langle\langle \alpha \rangle\rangle$ , where  $\Sigma$  is a connected orientable surface, possibly with boundary and not necessarily compact, and  $\langle\langle \alpha \rangle\rangle$  is the normal closure of a single element  $\alpha \in \pi_1(S)$ .

By the results of the previous section, we may assume that the implicit presentation of  $G$  has more than one relator. As explained in Section 2,  $\Sigma$  must be a closed surface. Let  $g$  denote the genus of  $\Sigma$ . Then  $g \in \mathbb{N}$  and

$$\pi_1(\Sigma) = \langle x_1, x_2, \dots, x_{2g-1}, x_{2g} \mid [x_1, x_2][x_3, x_4] \cdots [x_{2g-1}, x_{2g}] \rangle,$$

where  $[x, y]$  denotes  $xyx^{-1}y^{-1}$ . Since this is a one-relator presentation, we have  $\alpha \neq 1$ . In particular,  $g$  is nonzero. The non one-relator cases are included in the following.

**5.1 Theorem.** *Let  $\Sigma$  be a closed orientable surface of genus at least one, let  $S = \pi_1(\Sigma)$ , let  $\alpha$  be a nontrivial element of  $S$ , and let  $G = S/\langle\langle \alpha \rangle\rangle$ .*

*Let  $g$  denote the genus of  $\Sigma$ , let  $m = \exp_S(\alpha)$ , and let  $Q$  be a nonzero ring in which  $\frac{1}{m}$  is defined, that is, if  $m < \infty$  then  $mQ = Q$ . Then the following hold.*

- (i)  $G$  is of type VFL and  $\chi(G) = \min\{2 - 2g + \frac{1}{m}, 0\} = \begin{cases} 0 & \text{if } g = 1, \\ 2 - 2g + \frac{1}{m} & \text{if } g \geq 2. \end{cases}$
- (ii)  $\text{cd}_Q G = \min\{2, g\} = \begin{cases} 1 & \text{if } g = 1, \\ 2 & \text{if } g \geq 2. \end{cases}$
- (iii) For  $n \in \mathbb{N}$ ,  $b_n^{(2)}(G) = -\delta_{n,1}\chi(G) = \begin{cases} -\chi(G) & \text{if } n = 1, \\ 0 & \text{if } n \neq 1. \end{cases}$



*Proof.* We break the proof up into a series of lemmas and summaries of notation.

**5.2 Notation.** As in [8, Examples I.3.5(v)], the expression  $S_1 *_{S_0} s$  will denote an HNN extension, where it is understood that  $S_1$  is a group,  $S_0$  is a subgroup of  $S_1$  and  $s$  is an injective group homomorphism  $s: S_0 \rightarrow S_1$ ,  $a \mapsto a^s$ . The image of this homomorphism is denoted  $S_0^s$ .  $\square$

**5.3 Lemma (Hempel).** *If  $g \geq 2$ , then there exists an HNN-decomposition  $S = S_1 *_{S_0} s$  such that  $S_1$  is a free group,  $\alpha$  lies in  $S_1$ , and the normal subgroup of  $S_1$  generated by  $\alpha$  intersects both  $S_0$  and  $S_0^s$  trivially.*

*Hence,  $G = S/\langle\langle\alpha\rangle\rangle$  has a matching HNN-decomposition  $S/\langle\langle\alpha\rangle\rangle = S_1/\langle\langle\alpha\rangle\rangle *_{S_0} s$ .*

*Proof.* This was implicit in the proof of [12, Theorem 2.2], and was made explicit in [15, Proposition 2.1].  $\square$

**5.4 Lemma (Hempel).** *If  $m < \infty$ , there exists  $\beta \in S$  such that  $\beta^m = \alpha$ , and the image of  $\beta$  in  $G$  has order  $m$ .*

*Proof.* As this is obvious for  $g = 1$ , we may assume that  $g \geq 2$ . Thus we have matching HNN-decompositions  $S = S_1 *_{S_0} s$  and  $G = S/\langle\langle\alpha\rangle\rangle = S_1/\langle\langle\alpha\rangle\rangle *_{S_0} s$ , as in Lemma 5.3.

Let  $m' = \exp_{S_1} \alpha$ . Since  $\alpha \neq 1$  and  $S_1$  is free, we see that  $m' < \infty$ . Choose  $\beta \in S_1$  such that  $\beta^{m'} = \alpha$ . Let  $c$  denote the image of  $\beta$  in  $G$ , and let  $C = \langle c \rangle \leq G$ . Then  $C$  has order  $m'$ , and every torsion subgroup of  $S_1/\langle\langle\alpha\rangle\rangle$  embeds in  $C$ . From the HNN decomposition for  $G$ , we see that any finite subgroup of  $G$  is conjugate to a subgroup of  $S_1/\langle\langle\alpha\rangle\rangle$ , and hence has order dividing  $m'$ .

A similar argument shows that for any positive integer  $i$ ,  $S/\langle\langle\alpha^i\rangle\rangle$  has a matching HNN decomposition, and therefore has a subgroup of order  $m'i$  and a subgroup of order  $i$ . It follows that if  $\alpha = \gamma^j$  for some positive integer  $j$  then  $S/\langle\langle\alpha\rangle\rangle$  has a subgroup of order  $j$ , and hence  $j$  divides  $m'$ . It now follows that  $m = m' < \infty$ .  $\square$

**5.5 Notation.** Let  $\beta$  denote an element of  $S$  such that  $\beta^m = \alpha$ .

Let  $c$  denote the image of  $\beta$  in  $G$ . Let  $C = \langle c \rangle$ , a cyclic subgroup of  $G$  of order  $m$ . Let  $e = \frac{1}{m} \sum_{x \in C} x$ , an idempotent element of  $\mathbb{C}G$  with  $\text{tr}(e) = \frac{1}{m}$ ; we shall also view  $e$  as an idempotent element of  $QG$ .

Let  $H$  denote the normal subgroup of  $G$  generated by  $c$ ; thus,  $G/H \simeq S/\langle\langle\beta\rangle\rangle$ .  $\square$

**5.6 Lemma.** (i)  $H$  has a free subgroup  $F$  such that  $H = F \rtimes C$ .

(ii)  $G/H$  is locally indicable.

(iii) Every torsion subgroup of  $G$  embeds in  $C$ .

(iv) If  $x \in \mathcal{U}(G)e - \{0\}$  and  $y \in e\mathbb{C}G - \{0\}$ , then  $xy \neq 0$ .

*Proof.* (i). As this is clear for  $g = 1$ , we may assume that  $g \geq 2$ .

By Lemma 5.3 with  $\beta$  in place of  $\alpha$ , there exists an HNN-decomposition  $S = S_1 *_{S_0} s$  where  $S_1$  is a free group,  $\beta$  lies in  $S_1$ , and the normal subgroup of  $S_1$  generated by  $\beta$  intersects both  $S_0$  and  $S_0^s$  trivially. Hence  $\alpha$  lies in  $S_1$ , and the normal subgroup of  $S_1$  generated by  $\alpha$  intersects both  $S_0$  and  $S_0^s$  trivially. It follows that we can make identifications

$$G = S/\langle\langle\alpha\rangle\rangle = S_1/\langle\langle\alpha\rangle\rangle *_{S_0} s \quad \text{and} \quad G/H = S/\langle\langle\beta\rangle\rangle = S_1/\langle\langle\beta\rangle\rangle *_{S_0} s.$$

Thus we have matching HNN-decompositions for  $S$ ,  $G$  and  $G/H$ .

Let us apply Bass-Serre theory, following, for example, [8, Chapter 1]. Consider the action of  $H$  on the Bass-Serre tree for the above HNN-decomposition of  $G$ . Then  $H$  acts freely on the edges. Let  $H_0$  denote the normal subgroup of  $S_1/\langle\langle\alpha\rangle\rangle$  generated by  $c$ . Then  $H_0$  is a vertex stabilizer for the  $H$ -action, and the other vertex stabilizers are  $G$ -conjugates of  $H_0$ . By Bass-Serre theory, or the Kurosh Subgroup Theorem,  $H$  is the free product of a free group and various  $G$ -conjugates of  $H_0$ .

By [11, Theorem 1],  $H_0$  itself is a free product of certain  $S_1/\langle\langle\alpha\rangle\rangle$ -conjugates of  $C$ .

Thus  $H$  is the free product a free group and various  $G$ -conjugates of  $C$ . If we map each of these  $G$ -conjugates of  $C$  isomorphically to  $C$ , and map the free group to 1, we obtain an epimorphism  $H \rightarrow C$ . Applying [8, Proposition I.4.6] to this epimorphism, we see that its kernel  $F$  is free. Clearly,  $H = F \rtimes C$ . This proves (i).

(ii). Since  $G/H = S/\langle\langle\beta\rangle\rangle$  and  $\beta$  is not a proper power in  $S$ ,  $G/H$  is locally indicable by [12, Theorem 2.2].

(iii) and (iv) hold by Theorem 3.1.  $\square$

Let us dispose of the case where  $g = 1$ , which is well known and included only for completeness.

**5.7 Lemma.** *If  $g = 1$ , then the following hold.*

(i)  $H = C$  and  $G/C$  is infinite cyclic generated by  $xC$  for some  $x \in G$ .

(ii)  $0 \rightarrow \mathbb{Z}[G/C] \xrightarrow{x-1} \mathbb{Z}[G/C] \rightarrow \mathbb{Z} \rightarrow 0$  is an exact sequence of left  $\mathbb{Z}G$ -modules.

(iii)  $0 \rightarrow QGe \xrightarrow{x-1} QGe \rightarrow Q \rightarrow 0$  is an exact sequence of left  $QG$ -modules.

(iv)  $\langle x \rangle$  is an infinite cyclic subgroup of  $G$  of finite index,  $G$  is of type VFL,  $\chi(G) = 0$  and  $\text{cd}_Q G = 1$ .

(v) The homology of  $0 \rightarrow \mathcal{U}(G)e \xrightarrow{x-1} \mathcal{U}(G)e \rightarrow 0$  is  $H_*(G; \mathcal{U}(G))$ .

(vi) For each  $n \in \mathbb{N}$ ,  $b_n^{(2)}(G) = 0$ .  $\square$

**5.8 Remark.** For  $g = 1$ , Lemma 5.7(ii) gives the augmented cellular chain complex of a one-dimensional  $\underline{E}(G)$  which resembles the real line.  $\square$

**5.9 Notation.** Henceforth we assume that  $g \geq 2$ .

Let  $X = \{x_1, x_2, \dots, x_{2g-1}, x_{2g}\}$ , let  $F$  be the free group on  $X$ , and let  $r_1 = [x_1, x_2] \cdots [x_{2g-1}, x_{2g}] \in F$ . Then  $S = \langle X \mid r_1 \rangle$ .

Let  $q_2$  be any element of  $F$  which maps to  $\beta$  in  $S$ , and let  $r_2 = q_2^m$ . Then  $G = \langle X \mid r_1, r_2 \rangle$ .

For  $i \in \{1, 2\}$ ,  $j \in \{1, \dots, 2g\}$ , we set  $a_{i,j} := \frac{\partial r_i}{\partial x_j} \in \mathbb{Z}G$ , the left Fox derivatives, and  $b_{j,1} := x_j - 1 \in \mathbb{Z}G$ .

Notice that  $me = \sum_{x \in C} x \in \mathbb{Z}G$  and  $a_{2,j} = \frac{\partial r_2}{\partial x_j} = (me) \frac{\partial q_2}{\partial x_j}$ .  $\square$

**5.10 Lemma (Howie).** *The sequence of left  $\mathbb{Z}G$ -modules*

$$0 \rightarrow \mathbb{Z}G \oplus \mathbb{Z}[G/C] \xrightarrow{(a_{i,j})} \mathbb{Z}G^{2g} \xrightarrow{(b_{j,1})} \mathbb{Z}G \rightarrow \mathbb{Z} \rightarrow 0 \quad (5.10.1)$$

*is exact.*

*Proof.* Howie [15, Theorem 3.5] describes a  $K(G, 1)$ , and it is straightforward to give it a CW-structure as follows.

We take a  $K(S, 1)$  with one zero-cell,  $2g$  one-cells, and a two-cell which is a  $2g$ -gon, and then the exact sequence of left  $\mathbb{Z}S$ -modules arising from the augmented cellular chain complex of the universal cover of the  $K(S, 1)$  is

$$0 \longrightarrow \mathbb{Z}S \xrightarrow{(a_{1,j})} \mathbb{Z}S^{2g} \xrightarrow{(b_{j,1})} \mathbb{Z}S \longrightarrow \mathbb{Z} \longrightarrow 0,$$

where we view the  $a_{1,j}$  and  $b_{j,1}$  as elements of  $\mathbb{Z}S$ .

We take a  $K(C, 1)$  with one cell in each dimension such that the infinitely repeating exact sequence of left  $\mathbb{Z}C$ -modules arising from the augmented cellular chain complex of the universal cover of the  $K(C, 1)$  is

$$\dots \longrightarrow \mathbb{Z}C \xrightarrow{me} \mathbb{Z}C \xrightarrow{c-1} \mathbb{Z}C \xrightarrow{me} \mathbb{Z}C \xrightarrow{c-1} \mathbb{Z}C \longrightarrow \mathbb{Z} \longrightarrow 0.$$

By [15, Theorem 3.5], we get a  $K(G, 1)$  by melding the one-skeleton of our  $K(C, 1)$  into the one-skeleton of our  $K(S, 1)$  in the natural way; the attaching map of the two-cell at the homology level is then  $(a_{2,j})$ . The exact sequence of left  $\mathbb{Z}G$ -modules arising from the augmented cellular chain complex of the three-skeleton of the universal cover of the  $K(G, 1)$  is

$$\mathbb{Z}G \xrightarrow{(0,1-c)} \mathbb{Z}G^2 \xrightarrow{(a_{i,j})} \mathbb{Z}G^{2g} \xrightarrow{(b_{j,1})} \mathbb{Z}G \longrightarrow \mathbb{Z} \longrightarrow 0.$$

The lemma now follows easily. □

We now imitate the proof of [11, Theorem 2].

**5.11 Lemma.**  *$G$  is of type VFL and  $\chi(G) = 2 - 2g + \frac{1}{m}$ .*

*Proof.* Let  $p$  be a prime divisor of  $m$ . It was shown in [1] that  $S$  is residually a finite  $p$ -group; see [10, Theorem B] for an alternative proof. Hence there exists a finite  $p$ -group  $P = P(p)$  and a homomorphism  $S \rightarrow P$  whose kernel does not contain  $\beta^{\frac{m}{p}}$ , and we assume that  $P$  has smallest possible order. The centre  $Z(P)$  of  $P$  is nontrivial. By minimality of  $P$ ,  $\beta^{\frac{m}{p}}$  lies in the kernel of the composite  $S \rightarrow P \rightarrow P/Z(P)$ . Thus  $\beta^{\frac{m}{p}}$ , and  $\beta^m$ , are mapped to  $Z(P)$ . By minimality of  $P$ ,  $\beta^m$  is mapped to 1 in  $P$ .

By considering the direct product of such  $P(p)$ , one for each prime divisor  $p$  of  $m$ , we find that there is a finite quotient of  $S$  in which the image of  $\beta$  has order exactly  $m$ .

Hence there exists a normal subgroup  $N$  of  $G$  such that  $N$  has finite index in  $G$  and  $N \cap C = \{1\}$ . It follows that  $N$  acts freely on  $G/C$ . The number of orbits is

$$|N \backslash (G/C)| = |N \backslash G/C| = |(N \backslash G)/C| = [G : N]/m,$$

where the last equality holds since  $C$  acts freely on  $N \backslash G$ , on the right.

Now (5.10.1) is a resolution of  $\mathbb{Z}$  by free left  $\mathbb{Z}N$ -modules. Thus  $N$  is of type FL, and, in particular,  $N$  is torsion-free. It is now a simple matter to calculate  $\chi(G)$  ( $= \frac{1}{[G:N]} \chi(N)$ ). □

Together Lemma 5.7(iv) and Lemma 5.11 give Theorem 5.1(i).

By Lemma 5.10, the following is clear.

**5.12 Corollary.** *The sequence of left  $QG$ -modules*

$$0 \longrightarrow QG \oplus QGe \xrightarrow{(a_{i,j})} QG^{2g} \xrightarrow{(b_{j,1})} QG \longrightarrow Q \longrightarrow 0$$

*is exact.* □

**5.13 Lemma.**  $\text{cd}_Q G = 2$ .

*Proof.* By Corollary 5.12,  $\text{cd}_Q G \leq 2$ . It remains to show that  $\text{cd}_Q G > 1$ . Let us suppose that  $\text{cd}_Q G \leq 1$  and derive a contradiction.

By Notation 5.5 and Lemma 5.6(ii),  $H$  is the (normal) subgroup of  $G$  generated by the elements of finite order. By Dunwoody's Theorem [8, Theorem IV.3.13],  $G$  is the fundamental group of a graph of finite groups; by [8, Proposition I.7.11],  $H$  is the normal subgroup of  $G$  generated by the vertex groups. From the presentation of  $G$  as in [8, Notation I.7.1], it can be seen that  $G/H$  is a free group.

Since  $G/H = S/\langle\langle\beta\rangle\rangle$ , the abelianization of  $G/H$  has  $\mathbb{Z}$ -rank  $2g$  or  $2g - 1$ . Thus the rank of the free group  $G/H$  is  $2g$  or  $2g - 1$ . Hence  $\chi(S/\langle\langle\beta\rangle\rangle)$  is  $1 - 2g$  or  $2 - 2g$ .

But  $\chi(S/\langle\langle\beta\rangle\rangle) = 3 - 2g$  by Lemma 5.11. This is a contradiction. □

Together Lemma 5.7(iv) and Lemma 5.13 give Theorem 5.1(ii).

By Corollary 5.12 with  $Q = \mathbb{C}$ , the following is clear.

**5.14 Corollary.** *The homology of*

$$0 \longrightarrow \mathcal{U}(G) \oplus \mathcal{U}(G)e \xrightarrow{(a_{i,j})} \mathcal{U}(G)^{2g} \xrightarrow{(b_{j,1})} \mathcal{U}(G) \longrightarrow 0$$

*is  $H_*(G; \mathcal{U}(G))$ .* □

We now come to the subtle part of the argument.

**5.15 Lemma.**  $\mathcal{U}(G) \oplus \mathcal{U}(G)e \xrightarrow{(a_{i,j})} \mathcal{U}(G)^{2g}$  *is injective.*

*Proof.* Let  $(u, v)$  be an arbitrary element of the kernel. Thus,  $(u, v) \in \mathcal{U}(G) \oplus \mathcal{U}(G)e$  and

$$\text{for each } j \in \{1, \dots, 2g\}, \quad ua_{1,j} + va_{2,j} = 0 \text{ in } \mathcal{U}(G). \quad (5.15.1)$$

Consider first the case where  $u$  does not lie in  $v\mathbb{C}G$ . We shall obtain a contradiction.

We form the right  $\mathbb{C}G$ -module  $W = \mathcal{U}(G)/(v\mathbb{C}G)$ , and let  $w = u + v\mathbb{C}G \in W$ . By (5.15.1),

$$\text{for each } j \in \{1, \dots, 2g\}, \quad wa_{1,j} = 0 \text{ in } W. \quad (5.15.2)$$

Let  $K = \{x \in G \mid wx = w\}$ . Clearly,  $K$  is a subgroup of  $G$ .

We claim that  $K = G$ ; it suffices to show that  $\{x_1, \dots, x_{2g}\} \subseteq K$ .

We will show by induction that, if  $j \in \{0, 1, \dots, g\}$ , then  $\{x_1, \dots, x_{2j}\} \subseteq K$ . This is clearly true for  $j = 0$ . Suppose that  $j \in \{1, \dots, g\}$  and that it is true for  $j - 1$ . We will show it is true for  $j$ . Let  $k = [x_1, x_2] \cdots [x_{2j-3}, x_{2j-2}]$ ; then  $k$  lies in  $K$  by the induction hypothesis. Recall that  $r_1 = [x_1, x_2] \cdots [x_{2g-1}, x_{2g}]$ . By (5.15.2) and Notation 5.9,

$$0 = wa_{1,2j-1} = w \frac{\partial r_1}{\partial x_{2j-1}} = wk(1 - x_{2j-1}x_{2j}x_{2j-1}^{-1})$$

and

$$0 = wa_{1,2j} = w \frac{\partial r_1}{\partial x_{2j}} = wkx_{2j-1}(1 - x_{2j}x_{2j-1}^{-1}x_{2j}^{-1}).$$

Since  $K = \{x \in G \mid w(1 - x) = 0\}$ , we see that  $K$  contains

$$k(x_{2j-1}x_{2j}x_{2j-1}^{-1})k^{-1} \text{ and } (kx_{2j-1})(x_{2j}x_{2j-1}^{-1}x_{2j}^{-1})(kx_{2j-1})^{-1}.$$

Thus  $K$  contains

$$x_{2j-1}x_{2j}x_{2j-1}^{-1} \text{ and } x_{2j-1}(x_{2j}x_{2j-1}^{-1}x_{2j}^{-1})x_{2j-1}^{-1},$$

and it follows easily that  $K$  contains  $x_{2j}^{-1}x_{2j-1}^{-1}$ ,  $x_{2j-1}$  and  $x_{2j}$ . This completes the proof by induction.

Hence,  $K = G$ , and  $w$  is fixed under the right  $G$ -action on  $W$ . Thus, the subset  $u + v\mathbb{C}G$  of  $\mathcal{U}(G)$  is closed under the right  $G$ -action on  $\mathcal{U}(G)$ . We denote the set  $u + v\mathbb{C}G$  viewed as right  $G$ -set by  $(u + v\mathbb{C}G)_G$ . Notice that  $u + v\mathbb{C}G$  does not contain 0.

By Lemma 5.6(iv), the surjective map  $e\mathbb{C}G \rightarrow v\mathbb{C}G$ ,  $x \mapsto vx$ , is either injective or zero. In either event,  $v\mathbb{C}G$  is a projective right  $\mathbb{C}G$ -module. By the left-right dual of [9, Corollary 5.6] there exists a right  $G$ -tree with finite edge stabilizers and vertex set  $(u + v\mathbb{C}G)_G$ . It follows that there exists a (left)  $G$ -tree  $T$  with finite edge stabilizers and vertex set  ${}_G(u + v\mathbb{C}G)^* \subseteq {}_G(\mathcal{U}(G) - \{0\})$ .

Each vertex stabilizer for  $T$  is torsion, by (1.0.1), and hence embeds in  $C$ , by Lemma 5.6(iii). By [8, Theorem IV.3.13],  $\text{cd}_Q G \leq 1$  which contradicts Lemma 5.13; in essence,  $T$  is a one-dimensional  $\underline{\mathbb{E}}(G)$ . Alternatively, one can use  $T$  to prove that  $b_2^{(2)}(G) = 0$  and deduce that  $(u, v) = (0, 0)$ , which is also a contradiction.

Thus  $u$  lies in  $v\mathbb{C}G$ , and there exists  $y \in e\mathbb{C}G$  such that  $u = vy$ .

We consider first the case where  $v \neq 0$ . For each  $j \in \{1, \dots, 2g\}$ ,

$$v(ya_{1,j} + a_{2,j}) = ua_{1,j} + va_{2,j} = 0$$

by (5.15.1), and, by Lemma 5.6(iv),  $0 = ya_{1,j} + a_{2,j} = ya_{1,j} + ea_{2,j}$ . Hence,  $(y, e)$  lies in the kernel of  $\mathbb{C}G \oplus \mathbb{C}G e \xrightarrow{(a_{i,j})} \mathbb{C}G^{2g}$ ; since this map is injective by Corollary 5.12, we see  $e = 0$ , which is a contradiction.

Thus  $v = 0$ , and hence  $u = 0$ . □

By Lemma 5.15 and Remark 1.1 it is straightforward to obtain the following.

**5.16 Lemma.** *The  $\mathcal{U}(G)$ -dimensions of the kernel and the image of the map  $\mathcal{U}(G) \oplus \mathcal{U}(G)e \xrightarrow{(a_{i,j})} \mathcal{U}(G)^{2g}$  are 0 and  $1 + \frac{1}{m}$ , respectively.*

*The  $\mathcal{U}(G)$ -dimensions of the image and the kernel of the map  $\mathcal{U}(G)^{2g} \xrightarrow{(b_{j,1})} \mathcal{U}(G)$  are 1 and  $2g - 1$ , respectively.*

$$\text{For } n \in \mathbb{N}, b_n^{(2)}(G) = \begin{cases} (2g - 1) - (1 + \frac{1}{m}) & \text{if } n = 1, \\ 0 & \text{if } n \neq 1. \end{cases} \quad \square$$

Together Lemma 5.7(vi) and Lemma 5.16 give Theorem 5.1(iii). This completes the proof of Theorem 5.1. □

## 6 Left-orderable groups

Throughout this section we will frequently make the following assumption.

**6.1 Hypotheses.** There exist nonzero rings  $Z$  and  $U$  such that  $ZG$  is a subring of  $U$  and each nonzero element of  $ZG$  is invertible in  $U$ .

This holds, for example, if  $G$  is locally indicable, or, more generally, left orderable, with  $Z$  being any subring of  $\mathbb{C}$ , and  $U$  being  $\mathcal{U}(G)$ , by Theorem 3.3.

Notice that  $ZG$  has no nonzero zerodivisors, and hence  $G$  is torsion free.  $\square$

**6.2 Lemma.** *Let  $U$  be a ring, and let  $X$  and  $Y$  be sets.*

*Let  $A$  and  $B$  be nonzero row-finite matrices over  $U$  in which each nonzero entry is invertible, such that  $A$  is  $X \times 2$ ,  $B$  is  $2 \times Y$ , and the product  $AB$  is the zero  $X \times Y$  matrix.*

*Then  $\bigoplus_X U \xrightarrow{A} U^2 \xrightarrow{B} \bigoplus_Y U$  is an exact sequence of free left  $U$ -modules.*

*Moreover,  $U^2$  has a left  $U$ -basis  $v_1, v_2$  such that  $\ker B = \operatorname{im} A = Uv_1$  and  $B$  induces an isomorphism  $Uv_2 \simeq \operatorname{im} B$ .*

*Proof.* Write  $A = (a_{x,i})$  and  $B = (b_{i,y})$ .

There exists  $x_0 \in X$  such that  $(a_{x_0,1}, a_{x_0,2}) \neq (0, 0)$ . We take  $v_1 = (a_{x_0,1}, a_{x_0,2})$ . Clearly  $Uv_1 \subseteq \operatorname{im} A \subseteq \ker B$ . Without loss of generality, there exists  $y_0 \in Y$  such that  $b_{1,y_0}$  is invertible in  $U$ . We take  $v_2 = (1, 0)$ .

Since  $AB = 0$ ,  $a_{x_0,1}b_{1,y_0} + a_{x_0,2}b_{2,y_0} = 0$ . Thus  $a_{x_0,1} = -a_{x_0,2}b_{2,y_0}b_{1,y_0}^{-1}$ . Hence  $a_{x_0,2}$  cannot be zero, and is therefore invertible.

Hence  $v_1, v_2$  is a basis of  $U^2$ , and  $b_{2,y_0}b_{1,y_0}^{-1} = -a_{x_0,2}^{-1}a_{x_0,1}$ .

Consider any  $(a_1, a_2) \in \ker B$ . Then  $a_1b_{1,y_0} + a_2b_{2,y_0} = 0$ , and

$$\begin{aligned} (a_1, a_2) &= (-a_2b_{2,y_0}b_{1,y_0}^{-1}, a_2) = a_2(-b_{2,y_0}b_{1,y_0}^{-1}, 1) \\ &= a_2(a_{x_0,2}^{-1}a_{x_0,1}, 1) = a_2a_{x_0,2}^{-1}(a_{x_0,1}, a_{x_0,2}) = a_2a_{x_0,2}^{-1}v_1 \in Uv_1, \end{aligned}$$

as desired. Finally,  $Uv_2 \simeq (Uv_1 + Uv_2)/Uv_1 = U^2/\ker B \simeq \operatorname{im} B$ .  $\square$

**6.3 Remark.** We see from the proof that the hypotheses that  $A$  and  $B$  are nonzero and every nonzero entry in  $A$  and  $B$  is invertible can be replaced with the hypotheses that some element of the first row of  $B$  is invertible, and some element of the second column of  $A$  is invertible.

There are other variations, but the stated form is most convenient for our purposes.  $\square$

**6.4 Proposition.** *Suppose that Hypotheses 6.1 hold, and suppose that there exists a positive integer  $n$  and a resolution (1.0.3) of  $Z$  by projective left  $ZG$ -modules such that  $P_n = ZG^2$ . Then either the map  $P_{n+1} \rightarrow P_n$  in (1.0.3) is the zero map or  $H_n(G; U) = 0$ .*

*Proof.* We may assume that  $P_{n+1} \rightarrow P_n$  is nonzero. Then we have an exact sequence

$$P_{n+1} \rightarrow P_n \rightarrow P_{n-1}, \quad (6.4.1)$$

and we want to deduce that

$$U \otimes_{ZG} P_{n+1} \rightarrow U \otimes_{ZG} P_n \rightarrow U \otimes_{ZG} P_{n-1} \quad (6.4.2)$$

remains exact.

This is clear if  $P_n \rightarrow P_{n-1}$  is the zero map. Thus we may assume that the maps in (6.4.1) are nonzero.

By adding a suitable  $ZG$ -projective summand to  $P_{n+1}$  with a zero map to  $P_n$ , we may assume that  $P_{n+1}$  is  $ZG$ -free without affecting the images. Similarly, we may assume that  $P_{n-1}$  is  $ZG$ -free without affecting the kernels. Thus we may assume that we have specified  $ZG$ -bases of  $P_{n+1}$ ,  $P_n$  and  $P_{n-1}$ , and that the maps in (6.4.1) are represented by nonzero matrices over  $ZG$ .

The maps in (6.4.2) are then represented by nonzero matrices over  $U$  with all coefficients lying in  $ZG$ . Now we may apply Lemma 6.2 to deduce that (6.4.2) is exact, as desired.  $\square$

**6.5 Remark.** In Proposition 6.4, if we replace the hypothesis  $P_n = ZG^2$  with the hypothesis  $P_n = ZG^1$ , then it is easy to see that at least one of the maps  $P_{n+1} \rightarrow P_n$ ,  $P_n \rightarrow P_{n-1}$  is necessarily the zero map.  $\square$

Applying Proposition 6.4 with  $U = \mathcal{U}(G)$ , together with Theorem 3.3, we obtain the following two results.

**6.6 Corollary.** *Let  $G$  be a left-orderable group, and let  $Z$  be a subring of  $\mathbb{C}$ . Suppose that there exists a positive integer  $n$  and a resolution (1.0.3) of  $Z$  by projective left  $ZG$ -modules such that  $P_n = ZG^2$ . Then either  $\text{cd}_Z G \leq n$  or  $b_n^{(2)}(G) = 0$ .  $\square$*

**6.7 Corollary.** *If  $G$  is a left-orderable group, and there exists an exact  $\mathbb{C}G$ -sequence of the form*

$$\dots \xrightarrow{\partial_3} \mathbb{C}G^2 \xrightarrow{\partial_2} \mathbb{C}G^2 \xrightarrow{\partial_1} \mathbb{C}G^2 \xrightarrow{\partial_0} \mathbb{C}G \xrightarrow{\epsilon} \mathbb{C} \longrightarrow 0 \quad (6.7.1)$$

*in which all the  $\partial_n$  are nonzero, then all the  $b_n^{(2)}(G)$  are zero.*

*Proof.* Since  $\partial_0$  is nonzero, we see that  $G$  is nontrivial. Since  $G$  is torsion-free,  $b_0^{(2)}(G) = 0$ . For  $n \geq 1$ ,  $b_n^{(2)}(G) = 0$  by Proposition 6.4.  $\square$

**6.8 Corollary (Lück [19, Theorem 7.10]).** *All the  $L^2$ -Betti numbers of Thompson's group  $F$  vanish.*

*Proof.* This follows from Corollary 6.7 since  $F$  is orderable, see [6], and has a resolution as in (6.7.1), see [4].  $\square$

We now look at situations where we can deduce that a two-generator group is free.

**6.9 Proposition.** *Suppose that Hypotheses 6.1 hold. The following are equivalent.*

- (a)  $G$  is a two-generator group, and  $H_1(G; U) \simeq U$ .
- (b)  $G$  is a two-generator group, and  $H_1(G; U) \neq 0$ .
- (c)  $G$  is free of rank two.

*Proof.* (a)  $\Rightarrow$  (b) is obvious.

(b)  $\Rightarrow$  (c). Let  $\{x, y\}$  be a generating set of  $G$ . Then we have an exact sequence of left  $ZG$ -modules

$$\oplus_R ZG \longrightarrow ZG^2 \xrightarrow{\begin{pmatrix} x-1 \\ y-1 \end{pmatrix}} ZG \longrightarrow Z \longrightarrow 0,$$

where  $R$  is the set of relators which have a nonzero left Fox derivative in  $ZG$ . By Proposition 6.4 with  $n = 1$ , we see that  $R$  is empty, and that the augmentation ideal is left  $ZG$ -free on  $x - 1$  and  $y - 1$ .

A result of Bass-Nakayama [21, Proposition 1.6] then says that  $G$  is freely generated by  $x$  and  $y$ . This can be seen geometrically, as follows. Let  $\Gamma = \Gamma(G, \{x, y\})$  denote the Cayley graph of  $G$  with respect to the subset  $\{x, y\}$ . The above exact sequence is precisely the augmented cellular  $Z$ -chain complex of  $\Gamma$ . It is then straightforward to show that  $\Gamma$  is a tree, and that  $G$  is freely generated by  $x$  and  $y$ .

(c)  $\Rightarrow$  (a) is straightforward.  $\square$

**6.10 Corollary.** *The following are equivalent.*

(a)  $G$  is a two-generator left-orderable group and  $b_1^{(2)}(G) \neq 0$ .

(b)  $G$  is free of rank two.  $\square$

**6.11 Theorem.** *Suppose that Hypotheses 6.1 hold. If  $\text{hd}_Z G \leq 1$  then every two-generator subgroup of  $G$  is free.*

*Proof.* Since the hypotheses pass to subgroups, we may assume that  $G$  itself is generated by two elements, and it remains to show that  $G$  is free.

We calculate  $H_*(G; U)$  in the case where  $G$  is not free.

By Hypotheses 6.1,  $G$  is torsion free. As in Remark 1.1, if  $H_0(G; U) \neq 0$ , then  $G$  is free of rank zero. Thus we may assume that  $H_0(G; U) = 0$ .

By Proposition 6.9, if  $H_1(G, U) \neq 0$ , then  $G$  is free of rank two. Thus we may assume that  $H_1(G; U) = 0$ .

Since  $\text{hd}_Z G \leq 1$ ,  $H_n(G; U) = 0$  for all  $n \geq 2$ .

In summary, we may assume that  $H_*(G; U) = 0$ .

By [2, Theorem 4.6(b)], since  $G$  is countable and  $\text{hd}_Z G \leq 1$ , we have  $\text{cd}_Z G \leq 2$ ; in essence, the augmentation ideal  $\omega$  of  $ZG$  is a countably-related flat left  $ZG$ -module, hence the projective dimension of  ${}_Z G \omega$  is at most one. Since  $G$  is a two-generator group, we have a resolution of  $Z$  by projective left  $ZG$ -modules

$$0 \longrightarrow P \longrightarrow ZG^2 \longrightarrow ZG \longrightarrow Z \longrightarrow 0.$$

Since  $H_*(G; U) = 0$ , we have an exact sequence of projective left  $U$ -modules

$$0 \longrightarrow U \otimes_{ZG} P \longrightarrow U^2 \longrightarrow U \longrightarrow 0.$$

This sequence splits, and we see that  ${}_U(U \otimes_{ZG} P)$  is finitely generated.

Hence  ${}_Z G P$  is finitely generated, by the following standard argument. Let  $R$  be a set such that  $P$  is a  $ZG$ -summand of  $\oplus_R ZG$ , that is,  $P$  is a  $ZG$ -submodule of  $\oplus_R ZG$  and we have a  $ZG$ -linear retraction of  $\oplus_R ZG$  onto  $P$ . We may assume that  $R$  is minimal, that is, for each  $r \in R$ , the image of  $P$  under projection onto the  $r$ th coordinate is nonzero. Then  $U \otimes_{ZG} P$  is a  $U$ -submodule of  $\oplus_R U$ , and here also  $R$  is minimal. Since  ${}_U(U \otimes_{ZG} P)$  is finitely generated,  $R$  is finite, as desired.

Now  ${}_Z G Z$  has a resolution by finitely generated projective left  $ZG$ -modules. By [2, Theorem 4.6(c)],  $\text{cd}_Z G \leq 1$ ; in essence,  ${}_Z G \omega$  is finitely related and flat, and is therefore projective. Since  $G$  is torsion free,  $G$  is free by Stallings' Theorem; see Remark II.2.3(ii) (or Corollary IV.3.14) in [8].  $\square$

**6.12 Corollary.** *Suppose that  $G$  is locally indicable, or, more generally, that  $G$  is left orderable. If  $\text{hd} G \leq 1$  then every two-generator subgroup of  $G$  is free.*  $\square$



We now turn from two-generator groups to two-relator groups.

**6.13 Proposition.** *Suppose that  $G$  is left orderable, that  $G$  has a presentation  $\langle X \mid R \rangle$  with  $|R| = 2$ , and that  $\text{cd } G \geq 3$ .*

*Then  $b_0^{(2)}(G) = 0$ ,  $b_1^{(2)}(G) = |X| - 2$ , and  $b_2^{(2)}(G) = 0$ .*

*Proof.* The given presentation of  $G$  yields an exact sequence of  $\mathbb{Z}G$ -modules

$$\cdots \longrightarrow \oplus_Y \mathbb{Z}G \xrightarrow{A} \mathbb{Z}G^2 \xrightarrow{B} \oplus_X \mathbb{Z}G \xrightarrow{C} \mathbb{Z}G \longrightarrow \mathbb{Z} \longrightarrow 0.$$

Then  $H_*(G, \mathcal{U}(G))$  is the homology of the sequence

$$\cdots \longrightarrow \oplus_Y \mathcal{U}(G) \xrightarrow{A} \mathcal{U}(G)^2 \xrightarrow{B} \oplus_X \mathcal{U}(G) \xrightarrow{C} \mathcal{U}(G) \longrightarrow 0. \quad (6.13.1)$$

Since  $G$  is left orderable,  $G$  is torsion free. Since  $\text{cd } G \neq 0$ ,  $G$  is non-trivial. Hence  $G$  has an element of infinite order. By Remark 1.1,  $b_0^{(2)}(G) = 0$  and the  $\mathcal{U}(G)$ -dimension of  $\ker C$  in (6.13.1) is  $|X| - 1$ .

Since  $G$  is left orderable, all nonzero elements of  $\mathbb{C}G$  are invertible in  $\mathcal{U}(G)$  by Theorem 3.3. Since  $\text{cd } G \geq 3$ ,  $b_2^{(2)}(G) = 0$  by Corollary 6.6. Moreover, by Lemma 6.2, the  $\mathcal{U}(G)$ -dimension of  $\text{im } B$  in (6.13.1) is one.

Finally,  $b_1^{(2)}$  is the difference between the  $\mathcal{U}(G)$ -dimensions of  $\ker C$  and  $\text{im } B$  in (6.13.1), that is,  $|X| - 2$ . Of course, the hypotheses clearly imply that  $|X| \geq 2$ .  $\square$

Suppose that  $G$  is a left-orderable two-relator group. We know the first three  $L^2$ -Betti numbers of  $G$  if  $\text{cd } G \geq 3$  by Proposition 6.13. If  $\text{cd } G \leq 1$ , then  $G$  is free, and again one knows the  $L^2$ -Betti numbers. There remains the case where  $\text{cd } G = 2$ ; here all we know are the  $L^2$ -Betti numbers of torsion-free surface-plus-one-relation groups; these groups are left-orderable by [12, Theorem 2.2] and they are clearly two-relator groups.

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