

# ON FAKE ES-IRREDUCIBLE COMPONENTS OF CERTAIN STRATA OF SMOOTH PLANE SEXTICS

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ABSTRACT. We construct the first examples of what we call *fake ES-irreducible components*; Definition 2.8. In our way to do so, we classify the automorphism groups of smooth plane sextics that only have automorphisms of order  $\leq 3$ ; Theorems 2.1, 2.4 and 2.5, Corollaries 2.9 and 2.11.

## 1. INTRODUCTION

Let  $\mathcal{M}_g^{\text{Pl}}$  be the set of  $K$ -isomorphism classes of smooth plane curves  $C$  of a fixed degree  $d \geq 4$ . Here  $K$  is an algebraically closed field of characteristic  $p = 0$  or  $p > 2g + 1$ , where  $g = (d - 1)(d - 2)/2 \geq 3$  is the geometric genus of  $C$ .

We can associate to any  $[C] \in \mathcal{M}_g^{\text{Pl}}$  infinitely many non-singular plane models, each of them is given by a homogeneous polynomial equation  $C : F(X, Y, Z) = 0$  of degree  $d$  in  $\mathbb{P}^2(K)$ . Moreover, two such plane models for  $C$  are  $K$ -isomorphic and their automorphism groups are  $\text{PGL}_3(K)$ -conjugated via a projective change of variables  $\phi \in \text{PGL}_3(K)$ .

Now, suppose that  $G$  is a finite non-trivial group that can be embedded into  $\text{PGL}_3(K)$ . We write  $[C] \in \mathcal{M}_g^{\text{Pl}}(G)$  when there exists an injective representation  $\varrho : G \hookrightarrow \text{PGL}_3(K)$  such that  $\varrho(G)$  is a subgroup of  $\text{Aut}(C)$ ; the automorphism group of  $C : F(X, Y, Z) = 0$  inside  $\text{PGL}_3(K)$ . Similarly, we write  $[C] \in \widetilde{\mathcal{M}}_g^{\text{Pl}}(G)$  when  $\varrho(G) = \text{Aut}(C)$ , moreover, in this situation, we say that  $[C]$  belongs to the component  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(\varrho(G))$  of  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(G)$ .

Clearly, if  $\varrho_i : G \hookrightarrow \text{PGL}_3(\bar{k})$ , for  $i = 1, 2$ , are  $\text{PGL}_3(\bar{k})$ -conjugated, then  $\mathcal{M}_g^{\text{Pl}}(\varrho_1(G)) = \mathcal{M}_g^{\text{Pl}}(\varrho_2(G))$  and  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(\varrho_1(G)) = \widetilde{\mathcal{M}}_g^{\text{Pl}}(\varrho_2(G))$ . Accordingly,

$$\mathcal{M}_g^{\text{Pl}}(G) = \bigcup_{[\varrho] \in R_G} \mathcal{M}_g^{\text{Pl}}(\varrho(G)) \quad \text{and} \quad \widetilde{\mathcal{M}}_g^{\text{Pl}}(G) = \bigsqcup_{[\varrho] \in R_G} \widetilde{\mathcal{M}}_g^{\text{Pl}}(\varrho(G)).$$

Here  $R_G := \{\varrho : G \hookrightarrow \text{PGL}_3(K)\} / \sim$ , where  $\varrho_1 \sim \varrho_2$  if and only if  $\varrho_1(G)$  and  $\varrho_2(G)$  are  $\text{PGL}_3(K)$ -conjugated.

**Definition 1.1** (ES-irreducibility [3]). Each  $[\varrho] \in R_G$  such that  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(\varrho(G)) \neq \emptyset$  is called an *ES-irreducible component* for  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(G)$ . We call  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(G)$  *ES-irreducible* if it has exactly one ES-irreducible component.

Clearly, if a non-empty  $\widetilde{\mathcal{M}}_g^{\text{Pl}}(G)$  is not ES-irreducible, then it is not irreducible and the number of its ES-irreducible components is a lower bound for the number of its irreducible components inside the coarse moduli space  $\mathcal{M}_g$  of  $K$ -isomorphism classes of smooth curves of genus  $g$ .

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Now, in the language of ES-irreducibility, one can interpret the results of Henn [9] and Komiya-Kuribayashi [10] for smooth plane quartic curves, which are genus  $g = 3$  curves, as follows: the strata  $\widetilde{\mathcal{M}}_3^{\text{P1}}(G)$  are either empty or ES-irreducible. Thus each non-empty  $\widetilde{\mathcal{M}}_3^{\text{P1}}(G)$  is described by a single *normal form*; a homogenous polynomial equation  $F(X, Y, Z) = 0$  in  $\mathbb{P}^2(K)$  equipped with parameters as its coefficients such that any  $[C] \in \widetilde{\mathcal{M}}_3^{\text{P1}}(G)$  can be described by a smooth plane model through a specialization of those parameters.

**Notations.** Throughout the paper,  $L_{i,B}$  denotes the generic homogeneous polynomial of degree  $i$  in the variables  $\{X, Y, Z\} - \{B\}$ .

By  $\zeta_n$  we mean a fixed primitive  $n$ th root of unity in  $K$ .

A projective linear transformation  $A = (a_{i,j}) \in \text{PGL}_3(K)$  is sometimes written as

$$[a_{1,1}X + a_{1,2}Y + a_{1,3}Z : a_{2,1}X + a_{2,2}Y + a_{2,3}Z : a_{3,1}X + a_{3,2}Y + a_{3,3}Z].$$

For example,  $[X : Z : Y]$  represents the projective change of variables  $X \mapsto X$ ,  $Y \mapsto Z$ ,  $Z \mapsto Y$ , and  $\text{diag}(1, a, b)$  represents  $X \mapsto X$ ,  $Y \mapsto aY$ ,  $Z \mapsto bZ$  with  $a, b \in K^*$ .

We use the formal GAP library notations ‘‘GAP( $n, m$ )’’ to refer the finite group of order  $n$  that appears in the  $m$ -th position of the atlas for small finite groups [7]. See also [GroupNames](#).

Fix the following subgroups in  $\text{PGL}_3(K)$ :

- $\varrho_1(\mathbb{Z}/2\mathbb{Z}) := \langle \text{diag}(1, 1, -1) \rangle$  and  $\varrho_1((\mathbb{Z}/2\mathbb{Z})^2) := \langle \varrho_1(\mathbb{Z}/2\mathbb{Z}), \text{diag}(1, -1, 1) \rangle$ ,
- $\varrho_1(\mathbb{Z}/3\mathbb{Z}) := \langle \text{diag}(1, 1, \zeta_3) \rangle$  and  $\varrho_1((\mathbb{Z}/3\mathbb{Z})^2) := \langle \varrho_1(\mathbb{Z}/3\mathbb{Z}), \text{diag}(1, \zeta_3, 1) \rangle$ ,
- $\varrho_2(\mathbb{Z}/3\mathbb{Z}) := \langle \text{diag}(1, \zeta_3, \zeta_3^{-1}) \rangle$  and  $\varrho_2((\mathbb{Z}/3\mathbb{Z})^2) := \langle \varrho_2(\mathbb{Z}/3\mathbb{Z}), [Y : Z : X] \rangle$ ,
- $\varrho_1(\text{S}_3) := \langle [Y : Z : X], [X : Z : Y] \rangle$  and  $\varrho_2(\text{S}_3) := \langle \varrho_2(\mathbb{Z}/3\mathbb{Z}), [X : Z : Y] \rangle$ ,
- $\varrho_1(\mathbb{Z}/3\mathbb{Z} \rtimes \text{S}_3) := \langle \varrho_1(\text{S}_3), \varrho_2(\mathbb{Z}/3\mathbb{Z}) \rangle$ ,
- $\varrho_1(\text{A}_4) := \langle \varrho_1((\mathbb{Z}/2\mathbb{Z})^2), [Y : Z : X] \rangle$  and  $\varrho_2(\text{A}_4) := \langle \varrho_1((\mathbb{Z}/2\mathbb{Z})^2), [\zeta_6^{-1}Y : Z : X] \rangle$ .

**Remark 1.2.** *P. Henn observed that  $\mathcal{M}_3^{\text{P1}}(\mathbb{Z}/3\mathbb{Z})$  admits two ES-components. One component corresponds to  $\varrho_1(\mathbb{Z}/3\mathbb{Z})$  where any  $[C] \in \mathcal{M}_3^{\text{P1}}(\varrho_1(\mathbb{Z}/3\mathbb{Z}))$  is given by an equation of the form  $Z^3Y + L_{4,Z} = 0$ . The second component corresponds to  $\varrho_2(\mathbb{Z}/3\mathbb{Z})$  such that any  $[C'] \in \mathcal{M}_3^{\text{P1}}(\varrho_2(\mathbb{Z}/3\mathbb{Z}))$  is given by an equation of the form  $X^4 + X(Y^3 + Z^3) + \alpha_{2,1}X^2YZ + \alpha_{1,2}X(YZ)^2 = 0$  for some  $\alpha_{2,1}, \alpha_{1,2} \in K$ . In particular,  $C'$  has  $[X : Z : Y]$  as an extra involution, thus  $C'$  always has the symmetry group  $\text{S}_3$  as a subgroup of automorphisms. Therefore,  $\widetilde{\mathcal{M}}_3^{\text{P1}}(\varrho_2(\mathbb{Z}/3\mathbb{Z})) = \emptyset$  and  $\mathcal{M}_3^{\text{P1}}(\varrho_2(\mathbb{Z}/3\mathbb{Z})) \subseteq \mathcal{M}_3^{\text{P1}}(\text{S}_3)$ .*

Concerning smooth plane quintic curves, which are genus  $g = 6$  curves, Badr-Bars [1] showed that all the strata  $\widetilde{\mathcal{M}}_6^{\text{P1}}(G)$  are either empty or ES-irreducible except when  $G = \mathbb{Z}/4\mathbb{Z}$ . In this case,  $\mathcal{M}_6^{\text{P1}}(\mathbb{Z}/4\mathbb{Z})$  has exactly two ES-irreducible components. Moreover, we generalized this result in [3] for any odd degree  $d \geq 5$ . More precisely, we proved that  $\widetilde{\mathcal{M}}_g^{\text{P1}}(\mathbb{Z}/(d-1)\mathbb{Z})$  has at least two ES-irreducible components for any  $g = (d-1)(d-2)/2$  with  $d \geq 5$  odd. However, each of the strata  $\widetilde{\mathcal{M}}_6^{\text{P1}}(\varrho(G))$  is described again by a single normal form.

Accordingly, we were wondering if this is the situation in general. That is to say, there always exists a single normal form describing the elements of  $\widetilde{\mathcal{M}}_g^{\text{P1}}(\varrho(G))$  for each  $\varrho \in R_G$ . In this article, we will show that this impression is not true at least for smooth plane sextic curves, which are genus  $g = 10$  curves. We establish two counter examples corresponding to  $G = \mathbb{Z}/3\mathbb{Z}$  and  $\text{A}_4$  respectively.

On the other hand, classifying automorphism groups of smooth curves is a long standing problem that receives interest by many people. In the case of hyperelliptic curve, the structure of the automorphism group is quite explicit, see [5, 6, 15, 16]. For non-hyperelliptic curves, we still have a lack of knowledge about the structure, except for some special cases. For example, the cases of low genus and also Hurwitz curves, see [4, 9, 11, 12, 13]. This lack motivates us to do more investigation in this direction, especially for the case of smooth plane curves of degree  $d \geq 4$ . In this paper, we classify the automorphism groups of smooth plane curves  $C$  of degree 6 such that 2 and 3 are the only divisors of  $|\text{Aut}(C)|$ .

## 2. MAIN RESULTS

**Theorem 2.1.** *Let  $C$  be a smooth plane sextic curve that admit an automorphism of maximal order 2. Up to  $K$ -isomorphism,  $C$  is defined by an equation of the form:*

$$C : Z^6 + Z^4 L_{2,Z} + Z^2 L_{4,Z} + L_{6,Z} = 0$$

such that  $L_{6,Z}$  is of degree  $\geq 5$  in both  $X$  and  $Y$ , and at least one of the binary forms  $L_{2,Z}$  and  $L_{4,Z}$  is non-zero. Moreover,  $\text{Aut}(C) = \varrho_1(\mathbb{Z}/2\mathbb{Z})$  unless  $L_{2,Z}$ ,  $L_{4,Z}$  and  $L_{6,Z}$  belong to the ring  $K[X^2, Y^2]$ . In the latter case,  $\text{Aut}(C) = \varrho_1((\mathbb{Z}/2\mathbb{Z})^2)$ .

**Corollary 2.2.** *The strata  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{Z}/2\mathbb{Z})$  and  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}((\mathbb{Z}/2\mathbb{Z})^2)$  are ES-irreducible.*

**Definition 2.3** ([14]). An homology of period  $n$  is a projective linear transformation of the plane  $\mathbb{P}^2(K)$ , which is  $\text{PGL}_3(K)$ -conjugate to  $\text{diag}(1, 1, \zeta_n)$ . Such a transformation fixes pointwise a line  $\mathcal{L}$  (its axis) and a point  $P$  off this line (its center). In its canonical form,  $\mathcal{L} : Z = 0$  and center  $P = (0 : 0 : 1)$ .

Otherwise, it is called a *non-homology*.

**Theorem 2.4.** *Let  $C$  be a smooth plane sextic curve that admits an homology of period 3 as an automorphism of maximal order. Up to  $K$ -isomorphism,  $C$  is defined by an equation of the form  $Z^6 + Z^3 L_{3,Z} + L_{6,Z} = 0$  where neither  $L_{3,Z}$  nor  $L_{6,Z}$  equals 0. Moreover,  $\text{Aut}(C)$  is always  $\varrho_1(\mathbb{Z}/3\mathbb{Z})$  except when  $C$  is  $K$ -isomorphic to  $C'$  of the form  $C' : X^6 + Y^6 + Z^6 + Z^3(\alpha_{3,0}X^3 + \alpha_{0,3}Y^3) + \alpha_{3,3}X^3Y^3 = 0$ , such that  $\alpha_{3,0}, \alpha_{0,3}, \alpha_{3,3}$  are pair-wise distinct modulo  $\{\pm 1\}$ . In this case,  $\text{Aut}(C') = \varrho_1((\mathbb{Z}/3\mathbb{Z})^2)$ .*

**Theorem 2.5.** *Let  $C$  be a smooth plane sextic curve that admits a non-homology of period 3 as an automorphism of maximal order. Up to  $K$ -isomorphism,  $C$  is a member of one of the following families:*

$$\begin{aligned} \mathcal{C}_1 & : X^6 + Y^6 + Z^6 + XYZ(\alpha_{4,1}X^3 + \alpha_{1,4}Y^3 + \alpha_{1,2}Z^3) + \alpha_{2,2}X^2Y^2Z^2 \\ & + \alpha_{3,3}X^3Y^3 + \alpha_{3,0}X^3Z^3 + \alpha_{0,3}Y^3Z^3 = 0 \\ \mathcal{C}_2 & : X^5Y + Y^5Z + XZ^5 + XYZ(\alpha_{3,2}X^2Y + \alpha_{1,3}Y^2Z + \alpha_{2,1}XZ^2) \\ & + \alpha_{2,4}X^2Y^4 + \alpha_{0,2}Y^2Z^4 + \alpha_{4,0}X^4Z^2 = 0. \end{aligned}$$

In either way,  $\sigma = \text{diag}(1, \zeta_3, \zeta_3^{-1})$  is an automorphism of maximal order 3.

(1) *The automorphism group  $\text{Aut}(\mathcal{C}_1) = \varrho_2(\mathbb{Z}/3\mathbb{Z})$  except when one of the following conditions hold.*

(i) *If  $\alpha_{4,1} = \alpha_{1,4} = \alpha_{1,2} = \alpha_{2,2} = 0$ , then  $\mathcal{C}_1$  reduces to*

$$X^6 + Y^6 + Z^6 + X^3(\alpha_{3,3}Y^3 + \alpha_{3,0}Z^3) + \alpha_{0,3}Y^3Z^3 = 0,$$

where  $\text{Aut}(\mathcal{C}_1) = \varrho_1((\mathbb{Z}/3\mathbb{Z})^2)$ .

(ii) If **(a)**  $\alpha_{4,1} = \pm\alpha_{1,4}$  and  $\alpha_{3,0} = \pm\alpha_{0,3}$ , **(b)**  $\alpha_{1,4} = \pm\alpha_{1,2}$  and  $\alpha_{3,3} = \pm\alpha_{3,0}$ , or **(c)**  $\alpha_{4,1} = \pm\alpha_{1,2}$  and  $\alpha_{3,3} = \pm\alpha_{0,3}$ , then  $\mathcal{C}_1$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}'_1 : & X^6 + Y^6 + Z^6 + \alpha'_{4,1}X^4YZ + \alpha'_{3,3}X^3(Y^3 + Z^3) + \alpha'_{2,2}X^2Y^2Z^2 \\ & + \alpha'_{1,2}XYZ(Y^3 + Z^3) + \alpha'_{0,3}Y^3Z^3 = 0, \end{aligned}$$

where  $\text{Aut}(\mathcal{C}'_1) = \varrho_2(S_3)$  if  $\alpha'_{4,1} \neq \alpha'_{1,2}$  or  $\alpha'_{3,3} \neq \alpha'_{0,3}$ , and  $\text{Aut}(\mathcal{C}') = \varrho_1(\mathbb{Z}/3\mathbb{Z} \rtimes S_3)$  otherwise.

**Remark 2.6.**  $(\alpha'_{3,3}, \alpha'_{1,2}) \neq (0, 0)$  or  $\text{diag}(1, \zeta_6, \zeta_6^{-1})$  will be an automorphism of order  $6 > 3$ .

(iii) If **(a)**  $(\alpha_{4,1}, \alpha_{1,2}, \alpha_{1,4})$ ,  $(\alpha_{1,4}, \alpha_{4,1}, \alpha_{1,2})$  or  $(\alpha_{1,2}, \alpha_{1,4}, \alpha_{4,1})$  equals

$$\left( \frac{2(29 - 54\lambda^6 - 54\mu^6)}{27\lambda\mu}, \frac{2(27\mu^6 - 54\lambda^6 - 52)}{27\lambda\mu^4}, \frac{2(27\lambda^6 - 54\mu^6 - 52)}{27\lambda^4\mu} \right),$$

**(b)**  $(\alpha_{3,0}, \alpha_{3,3}, \alpha_{0,3})$ ,  $(\alpha_{3,3}, \alpha_{0,3}, \alpha_{3,0})$  or  $(\alpha_{0,3}, \alpha_{3,0}, \alpha_{3,3})$  equals

$$\left( \frac{2(81\lambda^6 - 27\mu^6 - 26)}{27\mu^3}, \frac{2(81\mu^6 - 27\lambda^6 - 26)}{27\lambda^3}, \frac{2(82 - 27\lambda^6 - 27\mu^6)}{27\lambda^3\mu^3} \right),$$

and **(c)**  $\alpha_{2,2} = \frac{9\lambda^6 + 9\mu^6 + 10}{3\lambda^2\mu^2}$  for some  $\lambda, \mu \in K^*$ , then  $\mathcal{C}_1$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}_{1,\lambda,\mu} : & X^6 + Y^6 + Z^6 + f_1(\lambda, \mu)X^2Y^2Z^2 + f_2(\lambda, \mu)(X^4Y^2 + X^2Z^4 + Y^4Z^2) \\ & + f_2(\mu, \lambda)(X^4Z^2 + X^2Y^4 + Y^2Z^4) = 0, \end{aligned}$$

where

$$\begin{aligned} f_1(\lambda, \mu) & := 3(80 + 81\lambda^6 + 81\mu^6), \\ f_2(\lambda, \mu) & := 81(1 + \zeta_3\lambda^6 + \zeta_3^{-1}\mu^6). \end{aligned}$$

In this case,  $\text{Aut}(\mathcal{C}_{1,\lambda,\mu}) = \varrho_1(A_4)$ .

(2) The automorphism group  $\text{Aut}(\mathcal{C}_2) = \langle \sigma \rangle = \varrho_2(\mathbb{Z}/3\mathbb{Z})$  except when one of the following conditions hold.

(i) If  $\alpha_{0,2} = \zeta_{21}^{-12r}\alpha_{4,0}$ ,  $\alpha_{2,4} = \zeta_{21}^{3r}\alpha_{4,0}$ ,  $\alpha_{1,3} = \zeta_{21}^{-6r}\alpha_{3,2}$ ,  $\alpha_{2,1} = \zeta_{21}^{3r}\alpha_{3,2}$ , then  $\mathcal{C}_2$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}'_2 : & X^5Y + Y^5Z + XZ^5 + \alpha_{4,0}\zeta_{21}^{4r}(X^4Z^2 + X^2Y^4 + Y^2Z^4) \\ & + \alpha_{3,2}\zeta_{21}^{-r}XYZ(X^2Y + XZ^2 + Y^2Z) = 0, \end{aligned}$$

where  $\text{Aut}(\mathcal{C}'_2) = \varrho_2((\mathbb{Z}/3\mathbb{Z})^2)$ .

**Remark 2.7.**  $(\alpha_{2,4}, \alpha_{1,3}) \neq (0, 0)$  or  $\text{diag}(1, \zeta_{21}, \zeta_{21}^{-4})$  will be an automorphism of order  $21 > 3$ .

(ii) If **(a)**  $(\alpha_{2,4}, \alpha_{4,0}, \alpha_{0,2})$ ,  $(\alpha_{0,2}, \alpha_{2,4}, \alpha_{4,0})$  or  $(\alpha_{4,0}, \alpha_{0,2}, \alpha_{2,4})$  equals

$$\left( \frac{\lambda^5\mu + 4\mu^5}{2\lambda^4}, \frac{\lambda + 4\lambda^5\mu}{2\mu^2}, \frac{4\lambda + \mu^5}{2\lambda^2\mu^4} \right)$$

and **(b)**  $(\alpha_{1,3}, \alpha_{3,2}, \alpha_{2,1})$ ,  $(\alpha_{2,1}, \alpha_{1,3}, \alpha_{3,2})$  or  $(\alpha_{3,2}, \alpha_{2,1}, \alpha_{1,3})$  equals

$$\left( \frac{2(2\lambda^5\mu + 2\lambda + \mu^5)}{\lambda^3\mu^2}, \frac{2\lambda^5\mu + 4\lambda + 4\mu^5}{\lambda^2\mu}, \frac{2(2\lambda^5\mu + \lambda + 2\mu^5)}{\lambda\mu^3} \right),$$

then  $\mathcal{C}_2$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}_{2,\lambda,\mu} : X^6 + Y^6 + Z^6 &+ g_1(\lambda, \mu)(\zeta_3^{-1}X^4Y^2 + X^2Z^4 + Y^4Z^2) \\ &+ g_2(\lambda, \mu)(X^4Z^2 + \zeta_3X^2Y^4 + Y^2Z^4) = 0, \end{aligned}$$

where

$$\begin{aligned} g_1(\lambda, \mu) &:= \frac{\sqrt{3}\zeta_9 (\zeta_4\lambda^5\mu + \zeta_{12}\lambda + \zeta_{12}^5\mu^5)}{\lambda^5\mu + \lambda + \mu^5}, \\ g_2(\lambda, \mu) &:= \frac{\sqrt{3}\zeta_{18} (\zeta_{12}^5\lambda^5\mu + \zeta_{12}\lambda + \zeta_4\mu^5)}{\lambda^5\mu + \lambda + \mu^5}. \end{aligned}$$

In this case,  $\text{Aut}(\mathcal{C}_{2,\lambda,\mu}) = \varrho_2(\mathbb{A}_4)$ .

We now introduce the notion of *fake ES-irreducible components*.

**Definition 2.8.** An ES-irreducible component  $\widetilde{\mathcal{M}}_g^{\text{P}^1}(\varrho(G))$  is *fake* if it is not defined by a single normal form.

As a consequence of Theorems 2.4 and 2.5:

**Corollary 2.9.** The strata  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{Z}/3\mathbb{Z})$  and  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}((\mathbb{Z}/3\mathbb{Z})^2)$  are not ES-irreducible and each of them has exactly two ES-irreducible components namely,  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_i(\mathbb{Z}/3\mathbb{Z}))$  and  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_i((\mathbb{Z}/3\mathbb{Z})^2))$  respectively with  $i = 1$  and  $2$ .

On the other hand,  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_2(\mathbb{Z}/3\mathbb{Z}))$  is the first example of fake ES-irreducible components. Any  $[C] \in \widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_2(\mathbb{Z}/3\mathbb{Z}))$  in the family  $\mathcal{C}_2$  has the property that its automorphism group  $\text{Aut}(C) = \varrho_2(\mathbb{Z}/3\mathbb{Z})$  fixes point-wise the three reference points  $P_1 = (1 : 0 : 0)$ ,  $P_2 = (0 : 1 : 0)$  and  $P_3 = (0 : 0 : 1)$  that all lie on  $C$ . This does not hold if  $C$  is in the family  $\mathcal{C}_1$  in the sense that  $\text{Aut}(C) = \varrho_2(\mathbb{Z}/3\mathbb{Z})$  does not fix any points on  $C$ .

**Corollary 2.10.** The strata  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{S}_3)$  and  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{Z}/3\mathbb{Z} \rtimes \mathbb{S}_3)$  are ES-irreducible. More precisely,  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{S}_3) = \widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_2(\mathbb{S}_3))$  and  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{Z}/3\mathbb{Z} \rtimes \mathbb{S}_3) = \widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_1(\mathbb{Z}/3\mathbb{Z} \rtimes \mathbb{S}_3))$ .

**Corollary 2.11.** The stratum  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\mathbb{A}_4)$  is ES-irreducible determined by  $\widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_1(\mathbb{A}_4))$ . It represents the second example of fake ES-irreducible components. Indeed,  $\mathcal{C}_{2,\lambda,\mu}$  is  $K$ -isomorphic, via a change of variables  $\phi = \text{diag}(1, s, t)$  such that  $s = t^2$  and  $t^3 = \zeta_6$ , to  ${}^\phi\mathcal{C}_{2,\lambda,\mu} : X^6 + \zeta_3^{-1}Y^6 + \zeta_3Z^6 + \text{lower order terms}$ , where  $\text{Aut}({}^\phi\mathcal{C}_{2,\lambda,\mu}) = \varrho_1(\mathbb{A}_4)$ . Moreover, any  $[C] \in \widetilde{\mathcal{M}}_{10}^{\text{P}^1}(\varrho_1(\mathbb{A}_4))$  in the family  $\mathcal{C}_{1,\lambda,\mu}$  is a descendant of the Fermat curve  $\mathcal{F}_6$  in the sense of Theorem 3.1 via a change of variables in the normalizer of  $\varrho_1(\mathbb{A}_4)$  in  $\text{PGL}_3(K)$ . This does not hold if  $[C]$  is in the family  ${}^\phi\mathcal{C}_{2,\lambda,\mu}$ .

### 3. PRELIMINARIES ABOUT AUTOMORPHISM GROUPS

Based entirely on geometrical methods, H. Mitchell [14, §1-10] proved that if  $G$  is a finite subgroups of  $\text{PGL}_3(K)$ , then it fixes a point, a line or a triangle unless it is primitive and conjugate to some group in a specific list. However, as a consequence of Maschke's theorem in group representation theory, the first two cases are equivalent, in the sense that if  $G$  fixes a point (respectively a line), then it also fixes a line not passing through the point (respectively a point not lying the line).

**Notations.** For a non-zero monomial  $cX^{i_1}Y^{i_2}Z^{i_3}$  with  $c \in K^*$ , its exponent is defined to be  $\max\{i_1, i_2, i_3\}$ . For a homogenous polynomial  $F(X, Y, Z)$ , the core of

it is defined to be the sum of all terms of  $F$  with the greatest exponent. Now, let  $C_0$  be a non-singular plane curve over  $K$ , a pair  $(C, G)$  with  $G \leq \text{Aut}(C)$  is said to be a descendant of  $C_0$  if  $C$  is defined by a homogenous polynomial whose core is a defining polynomial of  $C_0$  and  $G$  acts on  $C_0$  under a suitable change of the coordinates system, i.e.  $G$  is  $\text{PGL}_3(K)$ -conjugate to a subgroup of  $\text{Aut}(C_0)$ .

An element of  $\text{PGL}_3(K)$  is called *intransitive* if it has the matrix shape

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & * & * \\ 0 & * & * \end{pmatrix}.$$

The subgroup of  $\text{PGL}_3(K)$  of all intransitive elements is denoted by  $\text{PBD}(2, 1)$ . Obviously, there is a natural map  $\Lambda : \text{PBD}(2, 1) \rightarrow \text{PGL}_2(K)$  given by

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & * & * \\ 0 & * & * \end{pmatrix} \in \text{PBD}(2, 1) \mapsto \begin{pmatrix} * & * \\ * & * \end{pmatrix} \in \text{PGL}_2(K).$$

Theorem 3.1 below is very helpful for determining the full automorphism groups of smooth plane curves. For more details, we refer to the work of T. Harui [8, Theroem 2.1].

**Theorem 3.1.** *Let  $C$  be a non-singular plane curve of degree  $d \geq 4$  defined over an algebraically closed field  $K$  of characteristic 0. Then, one of the following situations holds:*

1.  $\text{Aut}(C)$  fixes a point on  $C$  and then it is cyclic.
2.  $\text{Aut}(C)$  fixes a point not lying on  $C$  where we can think about  $\text{Aut}(C)$  in the following commutative diagram, with exact rows and vertical injective morphisms:

$$\begin{array}{ccccccc} 1 & \longrightarrow & K^* & \longrightarrow & \text{PBD}(2, 1) & \xrightarrow{\Lambda} & \text{PGL}_2(K) & \longrightarrow & 1 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ 1 & \longrightarrow & N & \longrightarrow & \text{Aut}(C) & \longrightarrow & G' & \longrightarrow & 1 \end{array}$$

Here,  $N$  is a cyclic group of order dividing the degree  $d$  and  $G'$  is a subgroup of  $\text{PGL}_2(K)$ , which is conjugate to a cyclic group  $\mathbb{Z}/m\mathbb{Z}$  of order  $m$  with  $m \leq d-1$ , a Dihedral group  $D_{2m}$  of order  $2m$  with  $|N| = 1$  or  $m|(d-2)$ , one of the alternating groups  $A_4, A_5$ , or the symmetry group  $S_4$ .

**Remark 3.2.** *We note that  $N$  is viewed as the part of  $\text{Aut}(C)$  acting on the variable  $B \in \{X, Y, Z\}$  and fixing the other two variables, while  $G'$  is the part acting on  $\{X, Y, Z\} - \{B\}$  and fixing  $B$ . For example, if  $B = X$ , then every automorphism in  $N$  has the shape  $\text{diag}(\zeta_n, 1, 1)$  for some  $n$ th root of unity  $\zeta_n$ .*

3.  $\text{Aut}(C)$  is conjugate to a subgroup  $G$  of  $\text{Aut}(\mathcal{F}_d)$ , where  $\mathcal{F}_d$  is the Fermat curve  $X^d + Y^d + Z^d = 0$ . In particular,  $|G|$  divides  $|\text{Aut}(\mathcal{F}_d)| = 6d^2$ , and  $(C, G)$  is a descendant of  $\mathcal{F}_d$ .
4.  $\text{Aut}(C)$  is conjugate to a subgroup  $G$  of  $\text{Aut}(\mathcal{K}_d)$ , where  $\mathcal{K}_d$  is the Klein curve  $X^{d-1}Y + Y^{d-1}Z + XZ^{d-1} = 0$ . In this case,  $|\text{Aut}(C)|$  divides  $|\text{Aut}(\mathcal{K}_d)| = 3(d^2 - 3d + 3)$ , and  $(C, G)$  is a descendant of  $\mathcal{K}_d$ .
5.  $\text{Aut}(C)$  is conjugate to one of the finite primitive subgroup of  $\text{PGL}_3(K)$  namely, the Klein group  $\text{PSL}(2, 7)$ , the icosahedral group  $A_5$ , the alternating group  $A_6$ , or to one of the Hessian groups  $\text{Hess}_*$  with  $* \in \{36, 72, 216\}$ .

Finally, we have:

**Proposition 3.3.** *The automorphism groups of the Fermat sextic curve  $\mathcal{F}_6$  generated by  $[X : Z : Y]$ ,  $[Y : Z : X]$ ,  $\text{diag}(\zeta_6, 1, 1)$  and  $\text{diag}(1, \zeta_6, 1)$  of orders 2, 3, 6 and 6 respectively is isomorphic to  $\text{GAP}(216, 92) = (\mathbb{Z}/6\mathbb{Z})^2 \rtimes S_3$ . On the other hand, the automorphism group of the Klein sextic curve  $\mathcal{K}_6$  generated by  $\text{diag}(1, \zeta_{21}, \zeta_{21}^{-4})$  and  $[Y : Z : X]$  of orders 21 and 3 respectively is isomorphic to  $\text{GAP}(63, 3) = \mathbb{Z}/21\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}$ .*

*Proof.* Regarding the generators of  $\text{Aut}(\mathcal{F}_6)$  and  $\text{Aut}(\mathcal{K}_6)$ , we refer the reader to [8, Propositions 3.3, 3.5]. Now, for the Fermat curve  $\mathcal{F}_6$ , take  $a = [X : Z : Y]$ ,  $b = [Y : Z : X]$ ,  $c = \text{diag}(\zeta_6, 1, 1)$  and  $d = \text{diag}(1, \zeta_6, 1)$ . One verifies that

$$(ab)^2 = (ac)(ca)^{-1} = (cd)(dc)^{-1} = ada(cd)^{-5} = bcb^{-1}(cd)^{-5} = 1.$$

These relations give us the 4th semidirect product of  $(\mathbb{Z}/6\mathbb{Z})^2$  and  $S_3$  acting faithfully, see [semidirect products of  \$\(\mathbb{Z}/6\mathbb{Z}\)^2\$  and  \$S\_3\$](#)  for more details.

For the Klein curve  $\mathcal{K}_6$ , the two generators  $a = \text{diag}(1, \zeta_{21}, \zeta_{21}^{-4})$  and  $b = [Y : Z : X]$  of orders 21 and 3 respectively produce  $\text{GAP}(63, 3) = \mathbb{Z}/21\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}$  as  $ba = (ab)^{-5}$ .  $\square$

#### 4. PROOF OF THEOREM 2.4

In this case,  $C : F(X, Y, Z) = 0$  has an homology  $\sigma$  of period 3 in its automorphism group. The results in [2] allows us to assume that  $\sigma$  acts as

$$(X : Y : Z) \mapsto (X : Y : \zeta_3 Z)$$

up to  $K$ -isomorphism, where  $\zeta_3$  is a fixed primitive 3rd root of unity in  $K$ . In particular,  $C$  is defined over  $K$  by a non-singular plane equation of the form:

$$C : Z^6 + Z^3 L_{3,Z} + L_{6,Z} = 0,$$

where  $\sigma = \text{diag}(1, 1, \zeta_3)$  is an automorphism of maximal order 3. By non-singularity,  $L_{6,Z}$  should be of degree at least 5 in both variables  $X$  and  $Y$ . Also,  $L_{3,Z} \neq 0$  or  $\text{diag}(1, 1, \zeta_6)$  would be an automorphism of order 6  $>$  3.

In the sense of Theorem 3.1, we have the following:

- First,  $\text{Aut}(C)$  is not conjugate to any of the finite primitive subgroups of  $\text{PGL}_3(K)$  since each of them contains elements of order  $>$  3. Also,  $C$  is not a descendant of the Klein sextic curve  $\mathcal{K}_6$  because  $\text{Aut}(\mathcal{K}_6)$  by Proposition 3.3 equals  $\mathbb{Z}/21\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}$  and it does not contains homologies of order 3 similar to  $\sigma$ .
- Secondly, suppose that  $C$  is a descendant of the Fermat curve  $\mathcal{F}_6$ . So there is a  $\phi \in \text{PGL}_3(K)$  such that  $\phi^{-1} \text{Aut}(C) \phi \leq \text{Aut}(\mathcal{F}_6)$  and the transformed equation  $\phi C$  is  $X^6 + Y^6 + Z^6 + \text{lower order terms in } X, Y, Z = 0$ . There is no loss of generality to impose  $\phi^{-1} \langle \sigma \rangle \phi = \langle \sigma \rangle$  since homologies of period 3 inside  $\text{Aut}(\mathcal{F}_6)$  form two conjugacy classes represented by  $\sigma$  and  $\sigma^{-1}$ . Hence  $\phi C$  reduces to

$$\phi C : X^6 + Y^6 + Z^6 + Z^3 L_{3,Z} + \text{lower order terms in } X, Y = 0$$

Furthermore, by assumption, the automorphisms of  $C$  have orders  $\leq 3$ , then the group structure of  $\text{Aut}(\mathcal{F}_6) = (\mathbb{Z}/6\mathbb{Z})^2 \rtimes S_3$  assures that  $\text{Aut}(\phi C)$  would be one of the following groups inside  $\text{Aut}(\mathcal{F}_6)$ :

$$\mathbb{Z}/3\mathbb{Z}, (\mathbb{Z}/3\mathbb{Z})^2, S_3, A_4, \mathbb{Z}/3\mathbb{Z} \rtimes S_3, \text{He}_3.$$

For more details, check the [subgroups lattice of  \$\text{Aut}\(\mathcal{F}\_6\)\$](#) .

Now we tackle each of the above situations.

- Any copy of  $S_3$  (respectively  $A_4$ ) inside  $\text{Aut}(\mathcal{F}_6)$  is  $\text{Aut}(\mathcal{F}_6)$ -conjugate to either  $\varrho_i(S_3)$  (respectively  $\varrho_i(A_4)$ ) with  $i = 1$  or 2. But non of these

subgroups has homologies of period 3 similar to  $\sigma$ . So  $\text{Aut}(\phi C)$  can not be an  $S_3$  or  $A_4$  inside  $\text{Aut}(\mathcal{F}_6)$ .

- If  $\text{Aut}(\phi C)$  equals a  $(\mathbb{Z}/3\mathbb{Z})^2$ ,  $\mathbb{Z}/3\mathbb{Z} \rtimes S_3$  or  $\text{He}_3$  in  $\text{Aut}(\mathcal{F}_6)$ , then there must be  $\sigma' \in \text{Aut}(\mathcal{F}_6) \cap \text{Aut}(\phi C)$  of order 3 that commutes with  $\sigma$  as in any of these groups  $\mathbb{Z}/3\mathbb{Z}$  is always contained in a  $(\mathbb{Z}/3\mathbb{Z})^2$ . By Proposition 3.3, the elements of order 3 in  $\text{Aut}(\mathcal{F}_6)$  are  $\text{diag}(1, s, t)$  with  $s^3 = t^3 = 1$ ,  $[sY : tZ : X]$  and  $[tZ : X : sY]$  with  $s^6 = t^6 = 1$ . One easily verifies that only the diagonal shapes satisfies the description, equivalently,  $\sigma' \in \langle \sigma, \text{diag}(1, \zeta_3, 1) \rangle$ . In any case, we can reduce  $C$  up to  $K$ -isomorphism to

$$\phi C : X^6 + Y^6 + Z^6 + Z^3 (\alpha_{3,0}X^3 + \alpha_{0,3}Y^3) + \alpha_{3,3}X^3Y^3 = 0,$$

where  $\varrho_1((\mathbb{Z}/3\mathbb{Z})^2) \leq \text{Aut}(\phi C)$ .

**Remark 4.1.** *In this scenario, the parameters  $\alpha_{3,0}, \alpha_{0,3}, \alpha_{3,3}$  must be pairwise distinct modulo  $\{\pm 1\}$  or  $\phi C$  will admit automorphisms of order  $> 3$ . For example,  $[\zeta_3 Y : X : Z] \in \text{Aut}(\phi C)$  has order 6 if  $\alpha_{3,0} = \alpha_{0,3}$  and  $[\zeta_3 Y : X : -Z] \in \text{Aut}(\phi C)$  has order 6 if  $\alpha_{3,0} = -\alpha_{0,3}$ .*

A similar discussion shows that any  $\sigma'' \in \text{Aut}(\mathcal{F}_6)$  that commutes with  $\sigma$  or  $\sigma'$  belongs to  $\langle \sigma, \sigma' \rangle$ . Therefore,  $\text{Aut}(\phi C)$  can not be the Heisenberg group  $\text{He}_3$  because this requires another automorphism  $\sigma'' \notin \langle \sigma, \sigma' \rangle$  that commutes with either  $\sigma$  or  $\sigma'$ .

Finally, for  $\text{Aut}(\phi C)$  to be  $\mathbb{Z}/3\mathbb{Z} \rtimes S_3$ , it is necessary that  $\text{Aut}(\mathcal{F}_6) \cap \text{Aut}(\phi C)$  has involutions in it. Proposition 3.3 tells us that the involutions of  $\mathcal{F}_6$  are  $\text{diag}(-1, 1, 1)$ ,  $\text{diag}(1, -1, 1)$ ,  $\text{diag}(1, 1, -1)$ ,  $[X : sZ : s^{-1}Y]$ ,  $[s^{-1}Y : sX : Z]$  and  $[sZ : Y : s^{-1}X]$  with  $s^6 = 1$ . If any of these involutions lies in  $\text{Aut}(\phi C)$ , then two of the parameters are equal modulo  $\{\pm 1\}$ , which is absurd by Remark 4.1. For example,  $\text{diag}(-1, 1, 1) \in \text{Aut}(\phi C)$  only if  $\alpha_{3,0} = \alpha_{3,3} = 0$ ,  $[sY : s^{-1}X : Z] \in \text{Aut}(\phi C)$  only if  $\alpha_{3,0} = \pm \alpha_{0,3}$ , and so on.

- Third, if  $\text{Aut}(C)$  fixes a line  $\mathcal{L}$  and a point  $P$  not lying on  $\mathcal{L}$ , then by Theorem 3.1 we can think about  $\text{Aut}(C)$  in a short exact sequence

$$1 \rightarrow N = \langle \sigma \rangle \rightarrow \text{Aut}(C) \rightarrow \Lambda(\text{Aut}(C)) \rightarrow 1,$$

where  $\Lambda(\text{Aut}(C)) \simeq \mathbb{Z}/3\mathbb{Z}, D_4$  or  $A_4$ .

- Any group of order 36 (respectively 12) that has a normal subgroup isomorphic to  $\mathbb{Z}/3\mathbb{Z}$  contains elements of order  $6 > 3$ , see [Groups of order 12](#) and [Groups of order 36](#) for more details. This allows us to exclude that  $\Lambda(\text{Aut}(C))$  equals  $A_4$  or  $D_4$ .

- On the other hand, if  $\Lambda(\text{Aut}(C))$  equals  $\mathbb{Z}/3\mathbb{Z}$  in  $\text{PGL}_2(K)$ , then  $\text{Aut}(C)$  equals  $(\mathbb{Z}/3\mathbb{Z})^2$  in  $\text{PBD}(2, 1)$ . In particular,  $C : Z^6 + Z^3L_{3,Z} + L_{6,Z} = 0$  admits an automorphism  $\sigma' \in \text{PBD}(2, 1) - \langle \sigma \rangle$  of order 3 that commutes with  $\sigma$ . Depending on whether  $\sigma'$  is an homology or a non-homology, it is conjugate via a change of variables  $\phi \in \text{PBD}(2, 1)$ , the normalizer of  $\langle \sigma \rangle$ , to  $\text{diag}(1, \zeta_3, 1)$  or  $\text{diag}(1, \zeta_3, \zeta_3^{-1})$  respectively. In either way,  $\text{Aut}(\phi C) = \varrho_1((\mathbb{Z}/3\mathbb{Z})^2)$  which appeared earlier.

Summing up, we deduce that  $\text{Aut}(C)$  is always cyclic of order 3 generated by  $\sigma$  except when  $C$  is projectively equivalent to  $C'$  of the form

$$C' : X^6 + Y^6 + Z^6 + Z^3 (\alpha_{3,0}X^3 + \alpha_{0,3}Y^3) + \alpha_{3,3}X^3Y^3 = 0,$$

such that  $\alpha_{3,0}, \alpha_{0,3}, \alpha_{3,3}$  are pair-wise distinct modulo  $\{\pm 1\}$ . In this case,  $\text{Aut}(C)$  is conjugate to  $(\mathbb{Z}/3\mathbb{Z})^2$  generated by  $\text{diag}(1, \zeta_3, 1)$  and  $\text{diag}(1, \zeta_3, 1)$ .

This proves Theorem 2.4.



## 5. PROOF OF THEOREM 2.1

In this case,  $C : F(X, Y, Z) = 0$  has an homology  $\sigma$  of period 2 in its automorphism group. By [2], there is no loss of generality to assume that  $\sigma$  acts as

$$(X : Y : Z) \mapsto (X : Y : -Z)$$

up to  $K$ -isomorphism. In particular,  $C$  is defined over  $K$  by a non-singular plane equation of the form:

$$C : Z^6 + Z^4 L_{2,Z} + Z^2 L_{4,Z} + L_{6,Z} = 0$$

where  $\sigma = \text{diag}(1, 1, -1)$  is an automorphism of maximal order 2. Again  $L_{6,Z}$  is of degree  $\geq 5$  in  $X$  and  $Y$  by non-singularity. Also,  $L_{3,Z}$  or  $L_{4,Z}$  does not vanish or  $\text{diag}(1, 1, \zeta_4)$  will be an automorphism of order  $4 > 3$  otherwise.

- Obviously,  $\text{Aut}(C)$  is not conjugate to any of the finite primitive subgroups of  $\text{PGL}_3(K)$  as each of them contains elements of order  $> 2$ . Also,  $C$  can not be a descendant of the Klein sextic curve  $\mathcal{K}_6$  since  $2 \nmid |\text{Aut}(\mathcal{K}_6)|$ , recall that  $|\text{Aut}(\mathcal{K}_6)| = 63$  by Proposition 3.3.
- Secondly, if  $\text{Aut}(C)$  fixes a line  $\mathcal{L}$  and a point  $P$  off  $\mathcal{L}$ , then, by Theorem 3.1,  $\text{Aut}(C)$  is inside  $\text{PBD}(2, 1)$  and satisfies a short exact sequence

$$1 \rightarrow N = \langle \sigma \rangle \rightarrow \text{Aut}(C) \rightarrow \Lambda(\text{Aut}(C)) \rightarrow 1.$$

Our assumptions that any automorphism of  $C$  has order  $\leq 2$  implies that  $\Lambda(\text{Aut}(C))$  is either  $\mathbb{Z}/2\mathbb{Z}$  or  $D_4$  inside  $\text{PGL}_2(K)$ , so  $\text{Aut}(C)$  is conjugate to either  $(\mathbb{Z}/2\mathbb{Z})^2$  or  $(\mathbb{Z}/2\mathbb{Z})^3$ . In both situations  $\text{Aut}(C)$  has another involution  $\sigma'$  that commutes with  $\sigma$ . Up to projective equivalence via a change of variables  $\phi \in \text{PBD}(2, 1)$ , the normalizer of  $\langle \sigma \rangle$  in  $\text{PGL}_3(K)$ , we can assume that  $\sigma' = \text{diag}(1, -1, 1)$ . Consequently,  $C$  is  $K$ -isomorphic to  $C' : Z^6 + Z^4 L_{2,Z} + Z^2 L_{4,Z} + L_{6,Z} = 0$  for some  $L_{i,Z} \in K[X^2, Y^2]$ . Moreover,  $\text{Aut}(C)$  equals  $(\mathbb{Z}/2\mathbb{Z})^3$  only if there is an involution  $\sigma'' \notin \text{PBD}(2, 1) - \langle \sigma, \sigma' \rangle$  that commutes with both  $\sigma$  and  $\sigma'$ . It is straightforward to check that such  $\sigma''$  does not exist, hence  $\text{Aut}(C)$  is not  $(\mathbb{Z}/2\mathbb{Z})^3$  in this case.

- If  $C$  is a descendant of the Fermat curve  $\mathcal{F}_6$  via a change of variables  $\phi \in \text{PGL}_3(K)$  with bigger automorphism group than  $\langle \sigma \rangle$ , then  $\text{Aut}(\phi C)$  is a copy of  $(\mathbb{Z}/2\mathbb{Z})^2$  inside  $\text{Aut}(\mathcal{F}_6)$ . Indeed any other subgroup of  $\text{Aut}(\mathcal{F}_6)$  has elements of order  $> 2$ , see [subgroups lattice of  \$\text{Aut}\(\mathcal{F}\_6\)\$](#) .

Up to  $\text{Aut}(\mathcal{F}_6)$ -conjugation, there are two copies of  $(\mathbb{Z}/2\mathbb{Z})^2$  inside  $\text{Aut}(\mathcal{F}_6)$  namely,  $\langle \sigma, \sigma' \rangle$  and  $\langle \sigma, \tau \rangle$  with  $\sigma' = \text{diag}(1, -1, 1)$  and  $\tau = [Y : X : Z]$ . However, both groups are  $\text{PGL}_3(K)$ -conjugated via a transformation in  $\text{PBD}(2, 1)$ , the normalizer of  $\langle \sigma \rangle$  in  $\text{PGL}_3(K)$ . Thus there is no loss of generality to assume that  $\text{Aut}(C)$  is conjugate to  $\varrho_1((\mathbb{Z}/2\mathbb{Z})^2)$ , which was treated earlier.

Summing up, we deduce that  $\text{Aut}(C)$  is always cyclic of order 2 generated by  $\sigma$  except when  $L_{i,Z} \in K[X^2, Y^2]$  for  $i = 2, 4, 6$ . In the latter case,  $\text{Aut}(C)$  equals  $\varrho_1((\mathbb{Z}/2\mathbb{Z})^2)$ , which shows Theorem 2.1.

## 6. PROOF OF THEOREM 2.5

In this case,  $C : F(X, Y, Z) = 0$  has a non-homology  $\sigma$  of period 3 in its automorphism group. By [2], one can assume that  $\sigma$  acts as

$$(X : Y : Z) \mapsto (X : \zeta_3 Y : \zeta_3^{-1} Z)$$

up to  $K$ -isomorphism, where  $\zeta_3$  is a fixed primitive 3rd root of unity in  $K$ . In particular,  $C$  is a  $K$ -isomorphic to a non-singular plane model in one of the following

families:

$$\begin{aligned} \mathcal{C}_1 & : X^6 + Y^6 + Z^6 + XYZ(\alpha_{4,1}X^3 + \alpha_{1,4}Y^3 + \alpha_{1,2}Z^3) + \alpha_{2,2}X^2Y^2Z^2 \\ & + \alpha_{3,3}X^3Y^3 + \alpha_{3,0}X^3Z^3 + \alpha_{0,3}Y^3Z^3 = 0 \\ \mathcal{C}_2 & : X^5Y + Y^5Z + XZ^5 + XYZ(\alpha_{3,2}X^2Y + \alpha_{1,3}Y^2Z + \alpha_{2,1}XZ^2) \\ & + \alpha_{2,4}X^2Y^4 + \alpha_{0,2}Y^2Z^4 + \alpha_{4,0}X^4Z^2 = 0. \end{aligned}$$

where  $\sigma := \text{diag}(1, \zeta_3, \zeta_3^{-1})$  is an automorphism of maximal order 3.

- Again  $\text{Aut}(\mathcal{C}_i)$  for  $i = 1$  and  $2$  is not conjugate to any of the finite primitive subgroups of  $\text{PGL}_3(K)$ .
- Suppose that  $\text{Aut}(\mathcal{C}_i)$  fixes a line  $\mathcal{L}$  and a point  $P$  not lying on this line. Since  $\sigma$  is a non-homology inside  $\text{Aut}(\mathcal{C}_i)$  in its canonical form,  $\mathcal{L}$  must be one of the reference lines;  $B = 0$  with  $B = X, Y$  or  $Z$  and  $P$  is the reference point  $(1 : 0 : 0)$ ,  $(0 : 1 : 0)$  or  $(0 : 0 : 1)$  respectively.
  - For  $\mathcal{C}_2$ , the point  $P$  belongs to  $C : F(X, Y, Z) = 0$ . Hence  $\text{Aut}(\mathcal{C}_2)$  is cyclic, generated by  $\langle \sigma \rangle$ .
  - For  $\mathcal{C}_1$ , we can further impose  $\mathcal{L} : X = 0$  and  $P = (1 : 0 : 0)$  (in the worst case scenario, one just needs to permute two of the variables and to fix the third one, which preserves the property that  $\sigma$  remains an automorphism). In particular, by Theorem 3.1,  $\text{Aut}(\mathcal{C}_1) \subseteq \text{PBD}(2, 1)$  and lives in a short exact sequence:  $1 \rightarrow N \rightarrow \text{Aut}(\mathcal{C}_1) \rightarrow \Lambda(\text{Aut}(\mathcal{C}_1)) \rightarrow 1$ , where  $N = \langle \tau \rangle$  has order 1, 2 or 3 and  $\Lambda(\text{Aut}(\mathcal{C}_1))$  is either  $\mathbb{Z}/3\mathbb{Z}$ ,  $S_3$  with  $|N| = 1$  or  $A_4$  in  $\text{PGL}_2(K)$ . First, we easily exclude the case when  $\tau$  has order 2 because  $\sigma\tau$  would be an automorphism of order  $6 > 3$ , a contradiction.

Secondly, we handle each of the remaining cases:

- If  $\Lambda(\text{Aut}(\mathcal{C}_1)) = \mathbb{Z}/3\mathbb{Z}$  and  $N = 1$ , then  $\text{Aut}(\mathcal{C}_1) = \mathbb{Z}/3\mathbb{Z}$  generated by  $\sigma$ .
- If  $\Lambda(\text{Aut}(\mathcal{C}_1)) = \mathbb{Z}/3\mathbb{Z}$  and  $N = \mathbb{Z}/3\mathbb{Z}$ , then  $\text{Aut}(\mathcal{C}_1) = \varrho_1((\mathbb{Z}/3\mathbb{Z})^2)$  generated by  $\sigma$  and  $\tau = \text{diag}(\zeta_3, 1, 1)$ . In particular,  $\alpha_{4,1} = \alpha_{2,2} = \alpha_{1,2} = \alpha_{1,4} = 0$ , and  $\mathcal{C}_1$  reduces to

$$X^6 + Y^6 + Z^6 + Z^3(\alpha_{3,0}X^3 + \alpha_{0,3}Y^3) + \alpha_{3,3}X^3Y^3 = 0,$$

which happened before in Theorem 2.4.

This shows Theorem 2.5, (1)-(i).

- If  $\Lambda(\text{Aut}(\mathcal{C}_1)) = S_3$  and  $N = 1$ , then  $C$  should have an involution  $\tau$  such that  $\tau\sigma\tau = \sigma^{-1}$ . So  $\tau = [X : sZ : s^{-1}Y]$ ,  $[sY : s^{-1}X : Z]$  or  $[sZ : Y : s^{-1}X]$  with  $s^6 = 1$ . This holds if we are in one of the situations:  $\alpha_{3,3} = \pm\alpha_{3,0}$  and  $\alpha_{1,2} = \pm\alpha_{1,4}$ ,  $\alpha_{0,3} = \pm\alpha_{3,0}$  and  $\alpha_{4,1} = \pm\alpha_{1,4}$ , or  $\alpha_{3,3} = \pm\alpha_{0,3}$  and  $\alpha_{1,2} = \pm\alpha_{4,1}$ . Moreover, in all scenarios we can reduce to  $\tau = [X : Z : Y]$  via a change of variables  $\phi$  in the normalizer of  $\langle \sigma \rangle$ , more precisely, via  $\phi = \text{diag}(1, \lambda, s\lambda)$  modulo  $\langle [X : Z : Y], [Y : Z : X] \rangle$  with  $\lambda^6 = 1$ . That is,  $\mathcal{C}_1$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}'_1 & : X^6 + Y^6 + Z^6 + \alpha'_{4,1}X^4YZ + \alpha'_{3,3}X^3(Y^3 + Z^3) + \alpha'_{2,2}X^2Y^2Z^2 \\ & + \alpha'_{1,2}XYZ(Y^3 + Z^3) + \alpha'_{0,3}Y^3Z^3 = 0. \end{aligned}$$

Here  $\text{Aut}(\mathcal{C}'_1) = \langle \sigma, \tau \rangle = \varrho_1(S_3)$ . In particular, we should impose  $\alpha'_{4,1} \neq \alpha'_{1,2}$  or  $\alpha'_{3,3} \neq \alpha'_{0,3}$  to avoid having  $[Y : Z : X]$  as an extra automorphism. Also,  $(\alpha'_{3,3}, \alpha'_{1,2}) \neq (0, 0)$  to avoid having  $\text{diag}(1, \zeta_6, \zeta_6^{-1})$  as an extra automorphism of order  $6 > 3$ .

This shows part of Theorem 2.5, (1)-(ii).

(iv) If  $\Lambda(\text{Aut}(C)) = A_4$ , then the [Group Structure of  \$A\_4\$](#)  assures that  $\Lambda(\text{Aut}(C))$  contains  $\Lambda(\tau)$  and  $\Lambda(\tau')$  both of order 2 such that

$$\Lambda(\sigma)\Lambda(\tau)\Lambda(\sigma)^{-1} = \Lambda(\tau'), \quad \Lambda(\sigma)\Lambda(\tau')\Lambda(\sigma)^{-1} = \Lambda(\tau')\Lambda(\tau) = \Lambda(\tau)\Lambda(\tau').$$

We aim to show that such  $\tau$  and  $\tau'$  do not exist. Write  $\Lambda(\tau) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , then being of order 2 yields  $(a+d)b = (a+d)c = 0$  and

$$a = \pm d. \text{ So } \Lambda(\tau) = \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix} \text{ or } \begin{pmatrix} a & b \\ c & -a \end{pmatrix}.$$

- If  $\Lambda(\tau) = \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix}$ , then

$$\Lambda(\tau') = \Lambda(\sigma)\Lambda(\tau)\Lambda(\sigma)^{-1} = \begin{pmatrix} 0 & \zeta_3^{-1}b \\ \zeta_3^{-1}c & 0 \end{pmatrix} = \Lambda(\tau) \text{ in } \text{PGL}_2(K),$$

a contradiction.

- If  $\Lambda(\tau) = \begin{pmatrix} a & b \\ c & -a \end{pmatrix}$ , then  $\Lambda(\tau') = \Lambda(\sigma)\Lambda(\tau)\Lambda(\sigma)^{-1} = \begin{pmatrix} a & \zeta_3^{-1}b \\ \zeta_3^{-1}c & -a \end{pmatrix}$

such that  $\Lambda(\tau)\Lambda(\tau') = \Lambda(\tau')\Lambda(\tau)$ . That is,

$$\begin{pmatrix} a^2 + \zeta_3 bc & (\zeta_3^{-1} - 1)ab \\ (1 - \zeta_3)ac & a^2 + \zeta_3^{-1}bc \end{pmatrix} = \begin{pmatrix} a^2 + \zeta_3^{-1}bc & -(\zeta_3^{-1} - 1)ab \\ -(1 - \zeta_3)ac & a^2 + \zeta_3 bc \end{pmatrix} \text{ in } \text{PGL}_2(K).$$

For this to be true, either  $ab = ac = 0$  or  $a^2 + \zeta_3 bc = -(a^2 + \zeta_3^{-1}bc)$ . Assuming  $ab = ac = 0$  yields  $\Lambda(\tau') = \begin{pmatrix} 0 & \zeta_3^{-1}b \\ \zeta_3^{-1}c & 0 \end{pmatrix} =$

$\Lambda(\tau)$  in  $\text{PGL}_2(K)$  or  $\Lambda(\tau') = \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix} = \Lambda(\tau)$  in  $\text{PGL}_2(K)$ , which

is again a contradiction. Assuming  $a^2 + \zeta_3 bc = -(a^2 + \zeta_3^{-1}bc)$  yields  $c = 2a^2/b$  with  $ab \neq 0$ . Moreover,  $\Lambda(\sigma)\Lambda(\tau')\Lambda(\sigma)^{-1} = \Lambda(\tau)\Lambda(\tau')$ , hence

$$\begin{pmatrix} a & \zeta_3 b \\ 2a^2/b & -a \end{pmatrix} = \begin{pmatrix} a(\zeta_3 - \zeta_3^{-1}) & (\zeta_3^{-1} - 1)b \\ 2a^2(1 - \zeta_3)/b & -a(\zeta_3 - \zeta_3^{-1}) \end{pmatrix} \text{ in } \text{PGL}_2(K).$$

This is valid only if  $(\zeta_3 - \zeta_3^{-1})\zeta_3 = (\zeta_3^{-1} - 1)$  and  $(\zeta_3 - \zeta_3^{-1}) = (1 - \zeta_3)$ , however, the second equation is never valid. This means that  $\Lambda(\text{Aut}(C)) \neq A_4$ .

- Thirdly, assume that  $\mathcal{C}_i$  is a descendant of the Klein sextic curve  $\mathcal{K}_6$ .

**Claim 1.** For  $\mathcal{C}_1$ ,  $\text{Aut}(\mathcal{C}_1) = \varrho_2(\mathbb{Z}/3\mathbb{Z})$ .

*Proof.* (of Claim 1) If  $\mathcal{C}_1$  is a descendant of  $\mathcal{K}_6$  with bigger automorphism group than  $\langle \sigma \rangle$ , then, from the [Group Structure of  \$\mathbb{Z}/21\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}\$](#)  and since the automorphisms of  $C$  have orders  $\leq 3$ ,  $\text{Aut}(\mathcal{C}_1)$  should be conjugate to a  $(\mathbb{Z}/3\mathbb{Z})^2$  in  $\text{Aut}(\mathcal{K}_6)$ . Thus  $\mathcal{C}_1$  has another automorphism  $\sigma' \notin \langle \sigma \rangle$  of order 3 that commutes with  $\sigma$ . Direct calculations show that we can take  $\sigma' = \text{diag}(1, s, t)$  with  $s^3 = t^3 = 1$  or  $[sY : tZ : X]$  with  $s, t \in K^*$ .

In the first case,  $\sigma'$  reduces to an homology as  $\sigma' \notin \langle \sigma \rangle$ . This is absurd because  $\text{Aut}(\mathcal{K}_6)$  does not contain any homologies of period 3. Regarding the second case, any descendant  $\mathcal{C}'$  of the Klein curve  $\mathcal{C}' : X^5Y + Y^5Z + Z^5X + \text{lower terms in } X, Y, Z$  satisfies the property that its automorphism group fixes the triangle  $\Delta$  whose vertices are the three reference points  $(1 : 0 : 0)$ ,  $(0 : 1 : 0)$  and  $(0 : 0 : 1)$ , moreover, those points all lie on  $\mathcal{C}'$ . Because  $\Delta$  is the only triangle fixed by  $\langle \sigma, [sY : tZ : X] \rangle$  for any  $s, t$  and

because non of its vertices lies on  $\mathcal{C}_1$ , we conclude that  $\text{Aut}(\mathcal{C}_1)$  can not equal  $\langle \sigma, [sY : tZ : X] \rangle$ . This proves the claim for  $\mathcal{C}_1$ .  $\square$

**Claim 2.** For  $\mathcal{C}_2$ ,  $\text{Aut}(\mathcal{C}_2)$  is either conjugate to  $\varrho_2(\mathbb{Z}/3\mathbb{Z})$  or  $\varrho_2((\mathbb{Z}/3\mathbb{Z})^2)$ .

*Proof.* (of Claim 2) Similarly if  $\mathcal{C}_2$  is a descendant of  $\mathcal{K}_6$  with bigger automorphism group than  $\langle \sigma \rangle$ , then  $\text{Aut}(\mathcal{C}_2) = \langle \sigma, [sY : tZ : X] \rangle$  for some  $s, t \in K^*$ . For  $\sigma' \in \text{Aut}(\mathcal{C}_2)$ ,  $s = \zeta_{21}^r$ ,  $t = \zeta_{21}^{-4r}$ ,  $\alpha_{0,2} = \zeta_{21}^{-12r} \alpha_{4,0}$ ,  $\alpha_{2,4} = \zeta_{21}^{3r} \alpha_{4,0}$ ,  $\alpha_{1,3} = \zeta_{21}^{-6r} \alpha_{3,2}$ ,  $\alpha_{2,1} = \zeta_{21}^{3r} \alpha_{3,2}$ , and  $\mathcal{C}_2$  reduces to

$$\begin{aligned} X^5 Y &+ Y^5 Z + X Z^5 + \alpha_{4,0} (X^4 Z^2 + \zeta_{21}^{3r} X^2 Y^4 + \zeta_{21}^{-12r} Y^2 Z^4) \\ &+ \alpha_{3,2} X Y Z (X^2 Y + \zeta_{21}^{3r} X Z^2 + \zeta_{21}^{-6r} Y^2 Z) = 0. \end{aligned}$$

In any situation, there exists a change of variables  $\phi = \text{diag}(1, \zeta_{21}^{r'}, \zeta_{21}^{17r'}) \in \text{Aut}(\mathcal{K}_6)$  such that  $21 \mid 18r' + r$ ,  $12r' - 4r$  for some  $r' \in \{0, 1, \dots, 20\}$  that transforms  $\mathcal{C}_2$  up to  $K$ -isomorphism to

$$\begin{aligned} \mathcal{C}'_2 : X^5 Y &+ Y^5 Z + X Z^5 + \alpha_{4,0} \zeta_{21}^{4r'} (X^4 Z^2 + X^2 Y^4 + Y^2 Z^4) \\ &+ \alpha_{3,2} \zeta_{21}^{-r'} X Y Z (X^2 Y + X Z^2 + Y^2 Z) = 0, \end{aligned}$$

where  $\text{Aut}(\mathcal{C}'_2) = \varrho_2((\mathbb{Z}/3\mathbb{Z})^2) = \langle \sigma, [Y : Z : X] \rangle$ . In particular, we must have  $(\alpha_{2,4}, \alpha_{1,3}) \neq (0, 0)$  or  $\text{diag}(1, \zeta_{21}, \zeta_{21}^{-4}) \in \text{Aut}(\mathcal{C}'_2)$  of order  $21 > 3$ .

This completes the proof, which in turns shows Theorem 2.5, (2)-(i).  $\square$

- Now, assume that  $\mathcal{C}_i$  is a descendant of the Fermat curve  $\mathcal{F}_6$ . From the [Group structure of  \$\text{Aut}\(\mathcal{F}\_6\)\$](#) , one sees that if  $\mathcal{C}_i$  is a descendant of  $\mathcal{F}_6$  with bigger automorphism group than  $\langle \sigma \rangle$ , then  $\text{Aut}(\mathcal{C}_i)$  is conjugate to one of the following groups inside  $\text{Aut}(\mathcal{F}_6)$ :

$$(\mathbb{Z}/3\mathbb{Z})^2, S_3, A_4, \mathbb{Z}/3\mathbb{Z} \rtimes S_3, \text{He}_3.$$

In what follows, we treat each of these cases for  $\mathcal{C}_1$  and  $\mathcal{C}_2$  respectively, more precisely, Claim 3 and Claim 4 below.

**Claim 3.** For  $\mathcal{C}_1$ ,  $\text{Aut}(\mathcal{C}_1)$  is conjugate to  $\varrho_2(\mathbb{Z}/3\mathbb{Z})$ ,  $\varrho_2(S_3)$ ,  $\varrho_1(\mathbb{Z}/3\mathbb{Z} \rtimes S_3)$ ,  $\varrho_1((\mathbb{Z}/3\mathbb{Z})^2)$  or  $\varrho_1(A_4)$ .

**Claim 4.** For  $\mathcal{C}_2$ ,  $\text{Aut}(\mathcal{C}_2)$  is conjugate to  $\varrho_2(\mathbb{Z}/3\mathbb{Z})$ ,  $\varrho_2((\mathbb{Z}/3\mathbb{Z})^2)$  or  $\varrho_2(A_4)$ .

*Proof.* (of Claim 3) - If  $\text{Aut}(\mathcal{C}_1)$  is conjugate to  $S_3$  or  $\mathbb{Z}/3\mathbb{Z} \rtimes S_3$  inside  $\text{Aut}(\mathcal{F}_6)$ , then  $\mathcal{C}_1$  has an involution  $\tau$  such that  $\tau\sigma\tau = \sigma^{-1}$ . Similarly as before, this holds only if  $\alpha_{3,3} = \pm\alpha_{3,0}$  and  $\alpha_{1,2} = \pm\alpha_{1,4}$ ,  $\alpha_{0,3} = \pm\alpha_{3,0}$  and  $\alpha_{4,1} = \pm\alpha_{1,4}$ , or  $\alpha_{3,3} = \pm\alpha_{0,3}$  and  $\alpha_{1,2} = \pm\alpha_{4,1}$ . In this scenario,  $\mathcal{C}_1$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}'_1 : X^6 &+ Y^6 + Z^6 + \alpha'_{4,1} X^4 Y Z + \alpha'_{3,3} X^3 (Y^3 + Z^3) + \alpha'_{2,2} X^2 Y^2 Z^2 \\ &+ \alpha'_{1,2} X Y Z (Y^3 + Z^3) + \alpha'_{0,3} Y^3 Z^3 = 0, \end{aligned}$$

where  $\varrho_2(S_3)$  generated by  $\sigma = \text{diag}(1, \zeta_3, \zeta_3^{-1})$  and  $\tau = [X : Z : Y]$  is a subgroup of  $\text{Aut}(\mathcal{C}'_1)$ . Furthermore, if  $\text{Aut}(\mathcal{C}'_1)$  equals  $\mathbb{Z}/3\mathbb{Z} \rtimes S_3$ , then it must contain another automorphism  $\sigma' \notin \langle \sigma, \tau \rangle$  of order 3 that commutes with  $\sigma$  and satisfies  $\tau\sigma'\tau = \sigma'^{-1}$ . Thus  $\sigma' = [s'Y : s'^{-1}Z : X]$  and the invariance of the defining equation for  $\mathcal{C}'_1$  under the action of  $\sigma'$  yields  $s'^3 = 1$ ,  $\alpha'_{4,1} = \alpha'_{1,2}$  and  $\alpha'_{3,3} = \alpha'_{0,3}$ . Hence  $\mathcal{C}'_1$  becomes

$$\begin{aligned} X^6 &+ Y^6 + Z^6 + \alpha'_{1,2} X Y Z (X^3 + Y^3 + Z^3) + \alpha'_{3,3} (X^3 Y^3 + Y^3 Z^3 + Z^3 X^3) \\ &+ \alpha'_{2,2} X^2 Y^2 Z^2 = 0 \end{aligned}$$

with  $\text{Aut}(\mathcal{C}'_1) = \varrho_1(\mathbb{Z}/3\mathbb{Z} \rtimes S_3)$ . This shows the rest of Theorem 2.5, (1)-(ii).

- If  $\text{Aut}(\mathcal{C}_1)$  is conjugate to  $(\mathbb{Z}/3\mathbb{Z})^2$  or  $\text{He}_3$  inside  $\text{Aut}(\mathcal{F}_6)$ , then  $\mathcal{C}_1$  would have an automorphism  $\sigma' \notin \langle \sigma \rangle$  of order 3 that commutes with  $\sigma$  since every copy of

$\mathbb{Z}/3\mathbb{Z}$  in any of these groups is contained in a  $(\mathbb{Z}/3\mathbb{Z})^2$ . Moreover, we guarantee that  $\alpha_{2,2} \neq 0$  or  $[X : \zeta_3 Z : \zeta_3^{-1} Y]$  would be an involution in  $\text{Aut}(\mathcal{C}_1)$ , which is not accepted in this case.

Similarly as before, we can take  $\sigma' = \text{diag}(1, s', t')$  with  $s'^3 = t'^3 = 1$  or  $[s'Y : t'Z : X]$  with  $s', t' \in K^*$ .

- (i) Suppose that  $\sigma' = \text{diag}(1, s', t') \in \text{Aut}(\mathcal{C}_1)$ . Because  $\sigma' \notin \langle \sigma \rangle$ , we have  $\sigma' = \text{diag}(1, 1, \zeta_3)$ ,  $\text{diag}(\zeta_3, 1, 1)$  or  $\text{diag}(1, \zeta_3, 1)$ . Consequently,  $\alpha_{4,1} = \alpha_{2,2} = \alpha_{1,2} = \alpha_{1,4} = 0$  and  $\mathcal{C}_1$  reduces to

$$X^6 + Y^6 + Z^6 + \alpha_{3,3}X^3Y^3 + \alpha_{3,0}X^3Z^3 + \alpha_{0,3}Y^3Z^3 = 0,$$

with  $\varrho_1((\mathbb{Z}/3\mathbb{Z})^2) \subseteq \text{Aut}(\mathcal{C}_1)$ . On the other hand,  $\text{Aut}(\mathcal{C}_1)$  equals  $\text{He}_3$  only if it contains an extra automorphism  $\sigma'' \notin \langle \sigma, \sigma' \rangle$  of order 3 that commutes with  $\sigma$  and satisfies  $\sigma''\sigma'\sigma''^{-1} = \sigma'\sigma^{-1}$ . This gives us  $\sigma'' = [s''Y : t''Z : X]$  for some  $s'', t'' \in K^*$ . Hence  $s''^6 = t''^6 = 1$ ,  $\alpha_{3,3} = s''^3\alpha_{3,0}$ ,  $\alpha_{0,3} = t''^3\alpha_{3,0}$ , and  $\mathcal{C}_1$  becomes of the form:

$$X^6 + Y^6 + Z^6 + \alpha_{3,0}(\pm X^3Y^3 + X^3Z^3 + t''^3Y^3Z^3) = 0.$$

In particular,  $[Y : X : t''Z]$  is an automorphism for  $\mathcal{C}_1$  of order divisible by 2. This is a contradiction as  $2 \nmid |\text{He}_3| (= 27)$ .

- (ii) Suppose that  $\sigma' = [s'Y : t'Z : X] \in \text{Aut}(\mathcal{C}_1)$ . Thus  $s'^3 = t'^6 = (s't')^2 = 1$ ,  $\alpha_{1,4} = \pm\alpha_{4,1}$ ,  $\alpha_{1,2} = \pm\alpha_{4,1}$ ,  $\alpha_{3,0} = \alpha_{3,3}$ ,  $\alpha_{0,3} = \pm\alpha_{3,3}$ , and  $\mathcal{C}_1$  is defined by

$$\begin{aligned} X^6 + Y^6 + Z^6 + \alpha_{4,1}XYZ(X^3 \pm Y^3 \pm Z^3) + \alpha_{2,2}X^2Y^2Z^2 \\ + \alpha_{3,3}(X^3Y^3 + X^3Z^3 \pm Y^3Z^3) = 0, \end{aligned}$$

Hence  $[X : Z : Y]$  is an involution for  $\mathcal{C}_1$ , which is not true if  $|\text{Aut}(\mathcal{C}_1)| = 9$  or 27.

- If  $\text{Aut}(\mathcal{C}_1)$  is conjugate to an  $A_4$  inside  $\text{Aut}(\mathcal{F}_6)$ , then it should be  $\varrho_i(A_4)$  with  $i = 1$  or 2.

- (i) First, suppose that  $\phi^{-1}\text{Aut}(\mathcal{C}_1)\phi = \varrho_1(A_4)$ . As all subgroups of  $A_4$  of order 3 are  $A_4$ -conjugated, there is no loss of generality to take  $\phi^{-1}\sigma\phi = [Y : Z : X]$  or  $[Z : X : Y]$ . In particular,  $\phi$  has one of the following shapes:

$$\phi_1 := \begin{pmatrix} 1 & 1 & 1 \\ \lambda & \zeta_3^{-1}\lambda & \zeta_3\lambda \\ \mu & \zeta_3\mu & \zeta_3^{-1}\mu \end{pmatrix}, \phi_2 := \begin{pmatrix} \mu & \zeta_3\mu & \zeta_3^{-1}\mu \\ 1 & 1 & 1 \\ \lambda & \zeta_3^{-1}\lambda & \zeta_3\lambda \end{pmatrix}, \phi_3 := \begin{pmatrix} \lambda & \zeta_3^{-1}\lambda & \zeta_3\lambda \\ \mu & \zeta_3\mu & \zeta_3^{-1}\mu \\ 1 & 1 & 1 \end{pmatrix},$$

$$\phi_4 := \begin{pmatrix} 1 & 1 & 1 \\ \lambda & \zeta_3\lambda & \zeta_3^{-1}\lambda \\ \mu & \zeta_3^{-1}\mu & \zeta_3\mu \end{pmatrix}, \phi_5 := \begin{pmatrix} \mu & \zeta_3^{-1}\mu & \zeta_3\mu \\ 1 & 1 & 1 \\ \lambda & \zeta_3\lambda & \zeta_3^{-1}\lambda \end{pmatrix}, \phi_6 := \begin{pmatrix} \lambda & \zeta_3\lambda & \zeta_3^{-1}\lambda \\ \mu & \zeta_3^{-1}\mu & \zeta_3\mu \\ 1 & 1 & 1 \end{pmatrix},$$

for some  $\lambda, \mu \in K^*$ .

Now, we handle each of these situations to determine the restrictions on the defining equation of  $\mathcal{C}_1$  for which this holds.

- For  $\phi_1 \text{diag}(1, 1, -1)\phi_1^{-1}$  (respectively  $\phi_4 \text{diag}(1, 1, -1)\phi_4^{-1}$ ) to be in  $\text{Aut}(\mathcal{C}_1)$ , we must eliminate the coefficients of  $X^5Z$ ,  $X^5Y$ ,  $Y^5Z$ ,  $XZ^5$ ,  $YZ^5$ ,  $X^4Y^2$ ,  $X^4Z^2$  from the transformed equation  $\phi_i \text{diag}(1, 1, -1)\phi_i^{-1}\mathcal{C}_1 =$

$\mathcal{C}_1$  with  $i = 1$  and  $4$  respectively. In this way, we obtain:

$$\begin{aligned}\alpha_{4,1} &= \frac{2(29 - 54\lambda^6 - 54\mu^6)}{27\lambda\mu}, \alpha_{3,3} = \frac{2(81\mu^6 - 27\lambda^6 - 26)}{27\lambda^3}, \\ \alpha_{3,0} &= \frac{2(81\lambda^6 - 27\mu^6 - 26)}{27\mu^3}, \alpha_{1,4} = \frac{2(27\lambda^6 - 54\mu^6 - 52)}{27\lambda^4\mu}, \\ \alpha_{1,2} &= \frac{2(27\mu^6 - 54\lambda^6 - 52)}{27\lambda\mu^4}, \alpha_{0,3} = \frac{2(82 - 27\lambda^6 - 27\mu^6)}{27\lambda^3\mu^3}, \\ \alpha_{2,2} &= \frac{9\lambda^6 + 9\mu^6 + 10}{3\lambda^2\mu^2}.\end{aligned}$$

In particular,  $\mathcal{C}_1$  is  $K$ -isomorphic via  $\phi_1$  (respectively  $\phi_4$  followed by  $Y \leftrightarrow Z$ ) to  $\mathcal{C}_{1,\lambda,\mu}$  described in Theorem 2.5, (1)-(iii).

- For  $\phi_2 \text{diag}(1, 1, -1)\phi_2^{-1}$  (respectively  $\phi_5 \text{diag}(1, 1, -1)\phi_5^{-1}$ ) to be in  $\text{Aut}(\mathcal{C}_1)$ , one notices that  $\phi_2 = [Z : X : Y]\phi_1 = \phi_1 \circ [Z : X : Y]$  (respectively  $\phi_5 = [Z : X : Y]\phi_4 = \phi_4 \circ [Z : X : Y]$ ). This means that we get the same conclusion as above up to a permutation of the parameters, more precisely, after

$$\begin{aligned}(\alpha_{4,1}, \alpha_{1,2}, \alpha_{1,4}) &\mapsto (\alpha_{1,2}, \alpha_{1,4}, \alpha_{4,1}), \\ (\alpha_{0,3}, \alpha_{3,3}, \alpha_{3,0}) &\mapsto (\alpha_{3,3}, \alpha_{3,0}, \alpha_{0,3}).\end{aligned}$$

In other words, we have  $\phi_i \text{diag}(1, 1, -1)\phi_i^{-1}$  with  $i = 2$  or  $5$  inside  $\text{Aut}(\mathcal{C}_1)$  only if

$$\begin{aligned}\alpha_{1,4} &= \frac{2(29 - 54\lambda^6 - 54\mu^6)}{27\lambda\mu}, \alpha_{0,3} = \frac{2(81\mu^6 - 27\lambda^6 - 26)}{27\lambda^3}, \\ \alpha_{3,3} &= \frac{2(81\lambda^6 - 27\mu^6 - 26)}{27\mu^3}, \alpha_{1,2} = \frac{2(27\lambda^6 - 54\mu^6 - 52)}{27\lambda^4\mu}, \\ \alpha_{4,1} &= \frac{2(27\mu^6 - 54\lambda^6 - 52)}{27\lambda\mu^4}, \alpha_{3,0} = \frac{2(82 - 27\lambda^6 - 27\mu^6)}{27\lambda^3\mu^3}, \\ \alpha_{2,2} &= \frac{9\lambda^6 + 9\mu^6 + 10}{3\lambda^2\mu^2}.\end{aligned}$$

Once more  $\mathcal{C}_1$  reduces to  $\mathcal{C}_{1,\lambda,\mu}$  described in Theorem 2.5, (1)-(iii).

Similarly,  $\phi_3 = \phi_1 \circ [Y : Z : X]$  and  $\phi_6 = \phi_4 \circ [Y : Z : X]$ . So  $\phi_i \text{diag}(1, 1, -1)\phi_i^{-1}$  with  $i = 3$  or  $6$  is an automorphism for  $\mathcal{C}_1$  only if

$$\begin{aligned}\alpha_{1,2} &= \frac{2(29 - 54\lambda^6 - 54\mu^6)}{27\lambda\mu}, \alpha_{3,0} = \frac{2(81\mu^6 - 27\lambda^6 - 26)}{27\lambda^3}, \\ \alpha_{0,3} &= \frac{2(81\lambda^6 - 27\mu^6 - 26)}{27\mu^3}, \alpha_{4,1} = \frac{2(27\lambda^6 - 54\mu^6 - 52)}{27\lambda^4\mu}, \\ \alpha_{1,4} &= \frac{2(27\mu^6 - 54\lambda^6 - 52)}{27\lambda\mu^4}, \alpha_{3,3} = \frac{2(82 - 27\lambda^6 - 27\mu^6)}{27\lambda^3\mu^3}, \\ \alpha_{2,2} &= \frac{9\lambda^6 + 9\mu^6 + 10}{3\lambda^2\mu^2},\end{aligned}$$

where  $\mathcal{C}_1$  becomes  $K$ -isomorphism to  $\mathcal{C}_{1,\lambda,\mu}$ .

This shows Theorem 2.5, (1)-(iii).

- (ii) Second, suppose that  $\psi^{-1} \text{Aut}(\mathcal{C}_1)\psi = \varrho_2(A_4)$ . Again, we can impose  $\psi^{-1}\sigma\psi = [\zeta_6^{-1}Y : Z : X]$  or  $[Z : \zeta_6 X : Y]$ , in particular,  $\psi$  has the shape

of  $\psi_i$  below.

$$\begin{aligned} \psi_1 &:= \begin{pmatrix} 1 & \zeta_{18}^{-2} & \zeta_{18}^{-1} \\ \lambda & \zeta_{18}^{-8}\lambda & \zeta_{18}^5\lambda \\ \mu & \zeta_{18}^4\mu & \zeta_{18}^{-7}\mu \end{pmatrix}, \quad \psi_2 := \begin{pmatrix} \mu & \zeta_{18}^4\mu & \zeta_{18}^{-7}\mu \\ 1 & \zeta_{18}^{-2} & \zeta_{18}^{-1} \\ \lambda & \zeta_{18}^{-8}\lambda & \zeta_{18}^5\lambda \end{pmatrix}, \quad \psi_3 := \begin{pmatrix} \lambda & \zeta_{18}^{-8}\lambda & \zeta_{18}^5\lambda \\ \mu & \zeta_{18}^4\mu & \zeta_{18}^{-7}\mu \\ 1 & \zeta_{18}^{-2} & \zeta_{18}^{-1} \end{pmatrix}, \\ \psi_4 &:= \begin{pmatrix} 1 & \zeta_{18}^2 & \zeta_{18} \\ \lambda & \zeta_{18}^{-4}\lambda & \zeta_{18}^7\lambda \\ \mu & \zeta_{18}^8\mu & \zeta_{18}^{-5}\mu \end{pmatrix}, \quad \psi_5 := \begin{pmatrix} \mu & \zeta_{18}^8\mu & \zeta_{18}^{-5}\mu \\ 1 & \zeta_{18}^2 & \zeta_{18} \\ \lambda & \zeta_{18}^{-4}\lambda & \zeta_{18}^7\lambda \end{pmatrix}, \quad \psi_6 := \begin{pmatrix} \lambda & \zeta_{18}^{-4}\lambda & \zeta_{18}^7\lambda \\ \mu & \zeta_{18}^8\mu & \zeta_{18}^{-5}\mu \\ 1 & \zeta_{18}^2 & \zeta_{18} \end{pmatrix}, \end{aligned}$$

for some  $\lambda, \mu \in K^*$ . However, it is straightforward to check that non of these transformation transforms  $\mathcal{C}_1$  to  $\mathcal{C}'$  whose core is  $X^6 + Y^6 + Z^6$ . Consequently,  $\mathcal{C}_1$  is never a descendant of the Fermat curve  $\mathcal{F}_6$  with  $\text{Aut}(\mathcal{C}_1)$  conjugate to  $\varrho_2(\mathbb{A}_4)$ .

This proves Claim 3.  $\square$

It remains to prove Claim 4 for  $\mathcal{C}_2$  that is a descendant of the Fermat curve  $\mathcal{F}_6$ .

*Proof.* (of Claim 4) - We easily discard the cases when  $\text{Aut}(\mathcal{C}_2)$  equals an  $S_3$  or  $\mathbb{Z}/3\mathbb{Z} \rtimes S_3$  inside  $\text{Aut}(\mathcal{F}_6)$  as non of the involutions  $[X : sZ : s^{-1}Y]$ ,  $[sY : s^{-1}X : Z]$  and  $[sZ : Y : s^{-1}X]$  preserves the core  $X^5Y + Y^5Z + Z^5X$  of  $\mathcal{C}_2$ .

- On the other hand, if  $\text{Aut}(\mathcal{C}_2)$  equals  $(\mathbb{Z}/3\mathbb{Z})^2$  or  $\text{He}_3$ , then the discussion we had to show Claim 2 applies to conclude that  $\mathcal{C}_2$  is  $K$ -isomorphic to

$$\begin{aligned} \mathcal{C}' : X^5Y &+ Y^5Z + XZ^5 + \alpha_{4,0}\zeta_{21}^{4r} (X^4Z^2 + X^2Y^4 + Y^2Z^4) \\ &+ \alpha_{3,2}\zeta_{21}^{-r} XYZ (X^2Y + XZ^2 + Y^2Z) = 0, \end{aligned}$$

where  $\varrho_2((\mathbb{Z}/3\mathbb{Z})^2) \subseteq \text{Aut}(\mathcal{C}')$ . Next, if  $\text{Aut}(\mathcal{C}')$  is  $\text{He}_3$ , then there must be another automorphism  $\sigma' \notin \varrho_2((\mathbb{Z}/3\mathbb{Z})^2)$  of order 3 that commutes with  $\sigma$  such that  $\sigma' [Y : Z : X] \sigma'^{-1} = [Y : Z : X] \sigma^{-1}$ . Straightforward calculations show that  $\sigma' = [s'Y : t'Z : X]$  or  $[s'Z : t'X : Y]$  with  $s't' = \zeta_3$  and  $s'^2t'^{-1} = \zeta_3^{-1}$ . So  $\sigma'$  belongs to  $\varrho_1((\mathbb{Z}/3\mathbb{Z})^2)$  modulo  $\langle [Y : Z : X] \rangle$ . Obviously, none of these transformations leaves invariant the core of  $\mathcal{C}'$ . Therefore,  $\text{Aut}(\mathcal{C}_2)$  is never conjugate to  $\text{He}_3$  inside  $\mathcal{F}_6$ .

- Thirdly, following the notations of Claim 3, a change of variables of the form  $\phi = \phi_i$  for  $i = 1, 2, \dots, 6$  does not transform  $\mathcal{C}_2$  to  $\mathcal{C}'_2 : X^6 + Y^6 + Z^6 +$  lower order terms in  $X, Y, Z$ . Thus  $\mathcal{C}_2$  is not a descendant of  $\mathcal{F}_6$  such that  $\phi^{-1} \text{Aut}(\mathcal{C}_2) \phi = \varrho_1(\mathbb{A}_4)$ . On the other hand,  $\psi_i \text{diag}(1, 1, -1) \psi_i^{-1} \in \text{Aut}(\mathcal{C}_2)$  with  $i = 1$  or 4 only if

$$\begin{aligned} \alpha_{2,4} &= \frac{\lambda^5\mu + 4\mu^5}{2\lambda^4}, \quad \alpha_{4,0} = \frac{\lambda + 4\lambda^5\mu}{2\mu^2}, \quad \alpha_{0,2} = \frac{4\lambda + \mu^5}{2\lambda^2\mu^4} \\ \alpha_{1,3} &= \frac{2(2\lambda^5\mu + 2\lambda + \mu^5)}{\lambda^3\mu^2}, \quad \alpha_{3,2} = \frac{2\lambda^5\mu + 4\lambda + 4\mu^5}{\lambda^2\mu}, \quad \alpha_{2,1} = \frac{2(2\lambda^5\mu + \lambda + 2\mu^5)}{\lambda\mu^3}. \end{aligned}$$

The above restrictions are consequences of eliminating the coefficients of  $X^6, Y^6, Z^6, X^5Z, Y^4Z^2, X^4Y^2, X^4Z^2$  from the transformed equation  $\psi_i \text{diag}(1, 1, -1) \psi_i^{-1} \mathcal{C}_2 = \mathcal{C}_2$ . Moreover,  $\mathcal{C}_2$  is  $K$ -isomorphic via  $\psi_1$  (respectively  $\psi_4$  followed by  $Y \leftrightarrow Z$ ) to  $\mathcal{C}_{2,\lambda,\mu}$  described in Theorem 2.5, (2)-(ii). The rest is obvious by noticing that  $\psi_2 = \psi_1 \circ [Z : X : Y]$ ,  $\psi_5 = \phi_4 \circ [Z : X : Y]$ ,  $\psi_3 = \psi_1 \circ [Y : Z : X]$  and  $\psi_6 = \psi_4 \circ [Y : Z : X]$ .

This proves Claim 4.  $\square$

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