

Diophantine Approximation.

(1)

Conjecture (Mordell): Let K be a # field, and C a curve over K of genus ≥ 2 .
Then $\# C(K) < \infty$ (proved).

Example: $\{ [x:y:z] \in \mathbb{P}^2 \mid x^p + y^p = z^p \}$, $p \geq 5$

Goal of the course: prove this conjecture.

We won't follow Faltings's (83) proof, as it's too difficult.

We will instead follow the proof due to Vojta/Faltings/Bombieri.

Step 1: Embed $C \hookrightarrow \mathcal{J}$, its Jacobian variety, assuming $C(K) \neq \emptyset$.

Step 2: For any smooth projective variety X/K and any divisor class $[D]$ on X , there is a height function $h: X(\bar{K}) \rightarrow \mathbb{R}$, that has many nice properties ("height machine").

In particular, if $X = \mathcal{J}$ and $[D] = [H]$, then we obtain the

"Canonical Néron-Tate Height" $\hat{h}_{\mathcal{J}, [H]}: \mathcal{J}(\bar{K}) \rightarrow \mathbb{R}$ with the properties:

a) \hat{h} extends to a positive definite quadratic form on

$$\mathcal{J}(\bar{K}) \otimes_{\mathbb{Z}} \mathbb{R}$$

b) $\{x \in \mathcal{J}(\bar{K}) \mid \hat{h}(x) < C\}$ is finite $\forall C \geq 0$.

We will write $\|x\| = \sqrt{\hat{h}(x)}$, and $\langle x, y \rangle$ for the euclidean metric associated to \hat{h} .

Step 3: Prove that $\frac{\mathcal{J}(K)}{2\mathcal{J}(K)}$ is finite

Together w/ step 2, get the Mordell-Weil theorem: $\mathcal{J}(K)$ is a fgen ab. group.

Step 4: Using techniques from Diophantine Approximation (on $C \times C$) we prove Vojta's inequality: $\exists K_1, K_2 > 0$ (K_1 depends on C , K_2 only on $g(C)$) st. if $x, y \in C(\bar{K})$ w/ $\|x\| > K_1$, $\|y\| > K_2 \cdot \|x\|$, then $\langle x, y \rangle \leq \frac{3}{4} \|x\| \|y\|$.

To prove Vojta's inequality is a hard step.

Step 5: Suppose that $\#C(K) = \infty$.

By Step 2 (b), for each $N \in \mathbb{N}$ we can find $x_1, \dots, x_N \in C(K)$

such that $\|x_i\| > K_1$, $\|x_{i+1}\| > K_2 \|x_i\|$.

By Vojta's inequality, in $\mathcal{F}(K) \otimes \mathbb{R}$, the angle $\angle(x_i, x_j) \geq \frac{\pi}{6} \forall i \neq j$

For $N \gg 0$, this is a contradiction.

Therefore $\#C(K) < \infty$.

To Do:

A) Abelian Varieties, Jacobians, Theta divisor.

B) Heights and height machine, heights on Abelian varieties. Mordell-Weil thm.

C) Diophantine approximation, Roth's theorem. Siegel's subspace thm.

D) Diophantine approximation on $C \times C$. Vojta's inequality.

Abelian Varieties

Let K be a field.

Def: A variety (scheme) G over K is called a group variety (group scheme) if

1) The functor $\frac{K\text{-alg}}{R} \mapsto G(R)$ takes values in groups.

\Leftrightarrow

2) There are algebraic morphisms $G \times_K G \xrightarrow{\mu} G$ and some

diagrams commute

$$G \xrightarrow{i} G$$

$$\text{Spec}(K) \hookrightarrow G$$

$$\left(\begin{array}{ccc} G \times G \times G & \xrightarrow{(\mu, \text{id})} & G \times G \\ \downarrow (\text{id}, \mu) & & \downarrow \mu \\ G \times G & \xrightarrow{\mu} & G \end{array} \right)$$

Def: A group variety, A/k is called abelian if A is complete (proper) (projective)

Fact: The group operation on an abelian variety is commutative.

Example: If $k = \mathbb{C}$, then (as a complex manifold) $A = \mathbb{C}^g / \Lambda$ for $\Lambda \subset \mathbb{C}^g$ a complete lattice. (i.e. $\Lambda \simeq \mathbb{Z}^{2g}$ and $\Lambda \otimes \mathbb{R} \simeq \mathbb{C}^g$).

Warning: if $g > 1$, then \mathbb{C}^g / Λ is not necessarily an algebraic variety!

Example (Jacobson): Let C be a complex algebraic curve (i.e. a compact Riemann surface).

$$\text{Then } \mathcal{J}_C := \frac{H^0(C, \Omega_{\text{hol}}^1)^\vee}{H_1(C, \mathbb{Z})} \simeq H^1(C, \mathcal{O}_{\text{hol}}) \quad \text{is}$$

an abelian variety, called the Jacobian of C .

Ref: Milne, "Abelian Varieties" in Arithmetic Geometry (Cornell & Silverman ed), Mumford, "Abelian Varieties".

Over \mathbb{C} : it's a connected, compact, cpx Lie group A .

So:

→ it's commutative

→ $V = \text{tangent space of } A \text{ at the identity}$, $\exp: V \rightarrow A$ is surjective with kernel Λ , discrete.

$$\text{So } A \simeq V / \Lambda.$$

But, not all complex tori are abelian varieties.

Need an embedding into Projective space.

For this, one needs a positive definite Hermitian form on V , taking integral values on Λ . (Riemann form).

The conditions on the matrix for this form are called the Riemann relations.

Let the N -torsion be the kernel of multiplication by N .

For \mathbb{C}^g/Λ , $\Lambda \cong \mathbb{Z}^{2g}$, so the N -torsion is $\frac{1}{N}\mathbb{Z}^{2g}/\mathbb{Z}^{2g} \cong \left(\frac{\mathbb{Z}}{N\mathbb{Z}}\right)^{2g}$.

Over $k \neq \mathbb{C}$, it's not a "torus" (check for a good definition of torus).

A/k is complete if $A \rightarrow \text{Spec}(k)$ is proper.

It's a group variety: $\exists m: A \times A \rightarrow A$, $i: A \rightarrow A$, $e: \text{Spec } k \rightarrow A$ s.t. ...

Properties

• It's commutative

• If $k = \bar{k}$ (or at least $k = k_s$ (sep. closure)), then N -torsion on A

for char $k \nmid N$ is $\cong \left(\frac{\mathbb{Z}}{N\mathbb{Z}}\right)^{2g}$ where $\dim A = g$.

Let $\text{Pic}(A) :=$ set of algebraic ^{generalization of lin. equiv.} equivalence classes of invertible sheaves on A .

$\text{Pic}^0(A) :=$ sheaves in $\text{Pic}(A)$ alg. equiv. to the trivial bundle.

They both are functors on AbVar .

Fact: $\text{Pic}^0(-)$ is representable: \exists an abelian variety whose points over \bar{k} are in bijection w/ $\text{Pic}^0(A)$.

Def: The dual abelian variety of A is A^\vee not defined, so $\text{Pic}^0(A) =: A^\vee$.

We have a canonical invertible sheaf \mathcal{P} on $A \times A^\vee$ s.t.

if $a \in A^\vee$, then the pullback of \mathcal{P} to $A \times \{a\} \cong A$ is a representative of a on A^\vee . \mathcal{P} is called the Poincaré sheaf.

Def: An isogeny is a surjective morphism of abelian varieties w/ finite kernel.

Example: multiplication by N is an isogeny.

Def The degree of an isogeny is the order of its kernel.

Def: An isogeny $\lambda: A \rightarrow A^v$ is called a polarization.

If $\deg \lambda = 1$, λ is called a principal polarization.

(Note: ppol polarization is an isomorphism $A \xrightarrow{\sim} A^v$).

Polarization "rigidify" ab. var. (eg. there are finitely many automorphisms fixing the given polarization).

Theorem: Any abelian variety is isogenous to a principally polarized ab. var.

(i.e. $(A, \lambda) \mapsto (A', \lambda')$ with $\deg \lambda' = 1$).

Another def of a Polarization: λ is an embedding into projective space.

For jacobians, the polarization associated to the theta divisor is principal

So $J \cong J^v$

For a curve C , we have $C \hookrightarrow J(C)$ by $p \mapsto [p - D]$ where D is a fixed degree- g divisor.

For an elliptic curve (E, ∞) , the map $p \mapsto [p - \infty]$ is an iso:

Proof

• injectivity: if $[p - \infty] \sim [q - \infty]$ then $[p - q] \sim \text{div}(f)$, $f \in K(E)$.

But $f: E \rightarrow \mathbb{P}^1$ has degree 1, or $E \cong \mathbb{P}^1$, contradicting $\text{genus}(E) = 1$.

• Surjectivity: Riemann-Roch says that, for any D with $\deg D = 0$, $l(D + \infty) = 1$.

Let $f \in l(D + \infty)$ generate $l(D + \infty)$. Then $\text{div}(f) \geq -D - \infty$

$\deg(\text{div}(f)) = 0$. So $\text{div}(f) = -D - \infty + P$ for some P .

Hence $D \sim [P - \infty]$ //

Divisors

Let X be a scheme \mathbb{A} .

Def: A divisor (Weil divisor) on X is a formal sum $\sum a_i [Z_i]$, where $Z_i \subseteq X$ are irreducible subvarieties of pure codimension 1, $a_i \in \mathbb{Z}$

The group of Weil divisors is $\text{Div}(X)$.

Assume X is integral (reduced + irreducible), and $k(X)$ the field of rat'l functions on X .
(ie $k(X) = \mathcal{O}_{X, \eta}$): and smooth (at least regular in codim 1).

If $f \in k(X)$, we can define a divisor (f) as

$$(f) = \sum_{Z \in X^{(1)}} v_Z(f) [Z] \quad (X^{(1)} = \text{set of irreducible varieties of pure codim 1})$$

and where v_Z is the valuation corresponding to the DVR \mathcal{O}_{X, η_Z} (as X is reg. in codim 1).

Ex: X/k a smooth curve, then a divisor is a formal sum of closed points.

Ex: eg. if $X = \mathbb{P}^1$, and x is a coordinate function, $(x) = (0) - (\infty)$.

Def: we say that a Weil divisor of the form (f) is rational, or "linearly equiv." to 0.

Def: $\mathcal{C}\ell(X) = \frac{\text{Div}(X)}{\text{rational divisors}}$.

Example: $\mathcal{C}\ell(\mathbb{P}^1) = \mathbb{Z}$ (if $k = \bar{k}$, we have an easy proof by degree)

Def: A Cartier divisor on X is a global section of the quotient sheaf $\mathcal{K}^x / \mathcal{O}^x$ (if X is integral, $\mathcal{K}^x = k(X)^x$).

Equivalently, a Cartier divisor is given by a cover $X = \cup U_i$, for each U_i a function $f_i \in \mathcal{K}^x(U_i)$ (rat'l function) s.t. $\frac{f_i}{f_j} \in \mathcal{O}^x(U_i \cap U_j)$ (invertible morphisms).

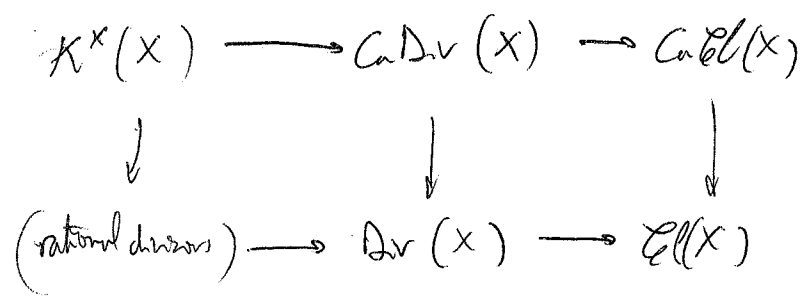
If X is regular in codimension ≥ 1 then we get a homomorphism:

$$\begin{aligned} \text{CaDiv}(X) &\rightarrow \text{Div}(X) \\ ((U_i)_i, \{f_i\}) &\mapsto \sum_{Z \in X^{(1)}} v_Z(\{f_i\}) [Z] \end{aligned} \quad \text{(well-defined!)}$$

↑ this is well-defined!

Def $\text{CaCl}(X) := \frac{\text{CaDiv}(X)}{\dim K^*(X)}$

we get a diagram:



Thm: if X is "nice" (locally factorial...) (e.g. X smooth) then

$$\text{CaCl}(X) \cong \mathcal{C}\ell(X).$$

Line Bundles

Let X be any scheme.

Def A line bundle \mathcal{L} on X is a locally-free coherent sheaf of rank 1 on X .

Line bundles can be described by "transition functions", so they are connected with Cartier divisors:

Fact (i) If $\mathcal{L}, \mathcal{L}'$ are line bundles, so is $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}'$.

(ii) If $\mathcal{L}^{-1} := \text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)$, then $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}^{-1} \xrightarrow{\cong} \mathcal{O}_X$

Def: $\text{Pic}(X) :=$ group of isomorphism classes of line bundles on X .

Example: if $X = \text{Spec } R$, R a Dedekind domain, then

$$\left\{ \text{line bundles on } X \right\} \cong \left\{ \text{fractional ideals of } R \right\} \cong \left\{ \text{fractional principal ideals} \right\}$$

(in the example, we've seen that $\text{Pic}(X) \cong \mathcal{C}\ell(R)$).

Thm: $\text{Pic}(X) \cong H^1(X, \mathcal{O}_X^\times)$

Pf Describe line bundles using transition functions.

Corollary: $\text{Pic}(X) \cong \text{Ca } \mathcal{C}\ell(X)$.

Pf Exact sequence of sheaves:

$$0 \rightarrow \mathcal{O}_X^\times \rightarrow \mathcal{K}_X^\times \rightarrow \frac{\mathcal{K}_X^\times}{\mathcal{O}_X^\times} \rightarrow 0.$$

Taking cohomology, get a long exact seq.

$$\begin{aligned} 0 \rightarrow H^0(X, \frac{\mathcal{K}_X^\times}{\mathcal{O}_X^\times}) &\rightarrow \underbrace{H^0(X, \mathcal{K}_X^\times)}_{\text{rational divisors}} \rightarrow \underbrace{H^0(X, \mathcal{O}_X^\times)}_{\text{Ca Div}(X)} \rightarrow \\ &\rightarrow \underbrace{H^1(X, \mathcal{O}_X^\times)}_{\cong \text{Pic}(X)} \rightarrow H^1(X, \mathcal{K}_X^\times) \end{aligned}$$

↙ because \mathcal{K}_X^\times is flasque. ✓

Curves: A curve X/k will mean a projective, smooth, connected scheme of dimension 1 over k .

Def: Let $D = \sum_{x \in X^{(1)}} n_x [x]$

Then the degree of D is $\sum n_x \cdot [k(x):k] \in \mathbb{Z}$

(note that $[k(x):k]$ is finite by Hilbert's Nullstellensatz).

We get a gp. hom. $\text{deg}: \text{Div}(X) \rightarrow \mathbb{Z}$, and $\text{deg}((f)) = 0$ for $f \in k(X)^\times$.

Therefore, get a homomorphism $\mathcal{C}\ell(X) \rightarrow \mathbb{Z}$.

The kernel of it is $\mathcal{C}\ell^0(X)$.

Using $\text{Pic}(X) \cong \mathcal{C}\ell(X)$, get a group $\text{Pic}^0(X)$.

Assob: X/\mathbb{C} a compact Riemann surface we have the exponential sequence:

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_X \xrightarrow{\exp} \mathcal{O}_X^* \rightarrow 0 \quad (\text{of sheaves on } X^{\text{an}})$$

which induces:
 \swarrow sheaf of holomorphic functions \nearrow

$$H^0(X, \mathcal{O}_X^*) \rightarrow H^1(X^{\text{an}}, \mathbb{Z}) \hookrightarrow H^1(X, \mathcal{O}_X) \rightarrow H^1(X, \mathcal{O}_X^*) \xrightarrow{\delta} H^2(X^{\text{an}}, \mathbb{Z})$$

\uparrow becomes (on H^1) \uparrow M/GABA \uparrow first Chern class
 \uparrow exp is surjective \uparrow Pic(X) \uparrow deg.

$$\text{So } \text{Pic}^0(X) \cong \frac{H^1(X^{\text{an}}, \mathcal{O}_X^{\text{hol}})}{H^1(X^{\text{an}}, \mathbb{Z})}$$

Let $D \in \text{Div}(X)$. we can define a line bundle $\mathcal{L}(D)$ as:

$$\mathcal{L}(D)(U) := \{ f \in \mathcal{K}(U) = \mathcal{K}(X) : (f|_U) \geq -D \} \quad \leftarrow \text{this is a line bundle.}$$

Fact: if $D \sim D'$ (ie $D - D' = (g)$ for some g), then $\mathcal{L}(D) \cong \mathcal{L}(D')$.

Def: Let $L(D) := H^0(X, \mathcal{L}(D))$.

Def: The projective space $\mathbb{P}(L(D))$ is called the complete linear system of D .

$$\mathbb{P}(L(D)) \xleftrightarrow{\text{is}} \{ D' \sim D : D' \geq 0 \}$$

(let $f \in L(D)$. Then $D' := D + (f)$ is effective, $D' \sim D$)

Jacobians

Let C/k be a curve. then J (jacobian) is a variety s.t.

$$J(F) \quad (k \subseteq F) \text{ is isomorphic to } \text{Pic}^0(C \times_k F) = \mathcal{C}\ell^0(C \times_k F)$$

Assume there exists $x \in C(k)$, and let $n \geq 1$. Then we get the map

$$\text{Sym}^n C \rightarrow J \text{ by } x_1 + \dots + x_n \mapsto (x_1) + \dots + (x_n) - n(x)$$

Why is this map algebraic? Because it's a transformation of functors.
 We go now more formal.

Jacobian of a Curve

Def Let C/k be a (smooth, proj.) curve. The Jacobian variety J of C is a variety representing the following functor:

$$T \longmapsto P_c^0(T) = \left\{ [\mathcal{L}] \in \text{Pic}(C \times T) \text{ s.t. } \deg \mathcal{L}_t = 0 \forall t \text{ fibers} \right\} \Leftrightarrow \mathcal{L}_t \in \text{Pic}^0(C \times t)$$

In particular, if $T = \text{Spec } F$, then $P_c^0(F) = \text{Pic}^0(C \times_k F)$.

$\{ \mathcal{L}_t = \mathcal{L} \otimes \mathcal{L}^{-1} \}$
 for some $\mathcal{L} \in \text{Pic}(T)$

line bundles that come from T

$$\text{Pic}^*(\text{Pic}(T))$$

Construction

Assume $C(k) \neq \emptyset$ and that J exists. Choose $x_0 \in C(k)$

Then we can evaluate the functor at J itself.

$$\text{So } P_c^0(J) = \text{Mor}(J, J) \cong \text{id}_J$$

Hence $\text{id}_J \Leftrightarrow [M] \in \text{Pic}(C \times J)$ s.t. $M|_{\{x_0\} \times J}$ is trivial

$$(b) \left. \begin{matrix} M|_{C \times \{x_0\}} \\ M|_{\{x_0\} \times J} \end{matrix} \right\} \in P_c^0(C)$$

(i.e. $M|_{C \times \{x_0\}}$ is trivial)

Let T be a pointed k -scheme w/ base point $t_0 \in T(k)$

Thm: Suppose J exists. There is a 1-1 correspondence

$$P_c^0(T) \xleftrightarrow{1:1} \left\{ [\mathcal{L}] \in \text{Pic}(C \times T) \text{ s.t. } \left. \begin{matrix} \mathcal{L}|_{\{x_0\} \times T} \\ \mathcal{L}|_{C \times \{t_0\}} \end{matrix} \right\} \text{ are trivial} \right\}$$

by sending $[(\text{id}_C \times f)^* \mathcal{M}]$ to $f: T \rightarrow J$.

Construction:

Step 1: $\text{Sym}^r C$ is a smooth variety (take r copies of C , and mod out by the action of the group Sym^r on the components).

Then $T \mapsto \text{Div}_C^r(T) \sim$ relative effective Cartier divisor on $C \times T/T$.

Def: A relative effective Cartier divisor on $C \times T$ of constant degree r over T is a Cartier divisor $D = (U_i, f_i)$ on $C \times T$ s.t.:

- a) $f_i \in \mathcal{O}(U_i) \forall i$ (i.e. D is effective).
- b) $|D| = UV(f_i)$ ($V(f_i) = \text{Spec}(\mathcal{O}_{U_i}/(f_i))$) is flat over T .
- c) $\forall t \in T, D_t = D \times_T \{t\}$ on $C \times \{t\}$ has degree r .

Write $\text{Div}_C^r(T) = \{D \in \text{CaDiv}(C \times T) : D \text{ is rel. effective of degree } r\}$.

Fact: Div_C^r is a contravariant functor on \mathbb{A} -schemes.

Now, let D_{can} be (the canonical) the relative effective Cartier divisor on $\text{Sym}^r C \times C/\text{Sym}^r C$, obtained as follows: $D_{\text{can}} = \left(\sum s_i(C^r) \right) / \sum_r$

where $s_i : C^r \rightarrow C \times C^r$
 $(P_1, \dots, P_r) \mapsto (P_i, P_1, \dots, P_r)$

Note that the fiber $D_{\text{can}, P_1 + \dots + P_r} = (P_1) + \dots + (P_r)$.

Theorem: Let T be a scheme. Then there is a (natural) 1-1 correspondence between morphisms $\varphi : T \rightarrow \text{Sym}^r C$ and relative effective div

$\text{Div}_C^r(T)$, given by $\varphi \mapsto (1 \times \varphi)^*(D_{\text{can}})$

(note $1 \times \varphi : C \times T \rightarrow C \times \text{Sym}^r C$).



Pf (of Thm)

This obviously defines a transformation $\pi: \text{Sym}^r C \rightarrow \text{Div}_C^r$,
and π is injective (exercise). We need to prove surjectivity.

Assume D is split, i.e. $D = \sum n_i s_i(T)$, for some $s_i: T \rightarrow C \times T$
sections to pr_2 .

In this case, define $\tilde{\varphi}: T \rightarrow C^r$ by $\tilde{\varphi} = (\overbrace{s_1, s_1, \dots}^{n_1}, \overbrace{s_2, s_2, \dots}^{n_2}, \dots, \overbrace{s_r, s_r, \dots}^{n_r})$

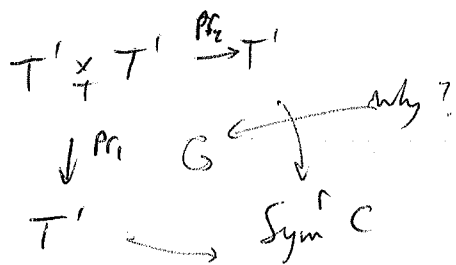
($\sum n_i = r$, and let $\tilde{s}_i := \text{pr}_1 \circ s_i$ ($\tilde{s}_i: T \rightarrow C$)).

Then let $\varphi := (T \xrightarrow{\tilde{\varphi}} C^r \rightarrow \text{Sym}^r C)$

Exercise: $(1 \times \varphi)^*(D_{\text{can}}) = D$. \leftarrow flat surjective morphism

Fact: There is a flat cover $T' \xrightarrow{q} T$ s.t. $(1 \times q)^*(D)$ is split.

So if we believe this, let $\varphi': T' \rightarrow \text{Sym}^r C$ correspond to $(1 \times q)^*(D)$,
constructed as above.



$$(1 \times (\varphi' \circ \text{pr}_1))^*(D_{\text{can}}) = (1 \times (q \circ \text{pr}_1))^*(D)$$

$$(1 \times (\varphi' \circ \text{pr}_2))^*(D_{\text{can}}) = (1 \times (q \circ \text{pr}_2))^*(D)$$

But as π is injective, this gives $\varphi' \circ \text{pr}_1 = \varphi' \circ \text{pr}_2$. \leftarrow flat descent.

By flat descent, $\exists \varphi: T \rightarrow \text{Sym}^r C$ s.t. $(1 \times \varphi)^*(D_{\text{can}}) = D$.

\uparrow
hook it up!

by the univ. property of the fiber product

Let $P_C^r(T) = \{ \mathcal{L} \in \text{Pic}(C \times T) : \text{deg } \mathcal{L}_t = r \} / \sim$

(~~is~~ so that $\mathcal{L} \sim \mathcal{L}' \Leftrightarrow \exists M \text{ st } \mathcal{L} \otimes_{\text{Pr}_2^*} M \cong \mathcal{L}'$ ($\text{Pr}_2: C \times T \rightarrow T$).

We can identify P_C^0 with P_C^r via:
the chosen point $e \in C$.

$$P_C^0 \ni \mathcal{L} \longmapsto \mathcal{L} \otimes_{\text{Pr}_1^*} \mathcal{L}(r \cdot x_0)$$

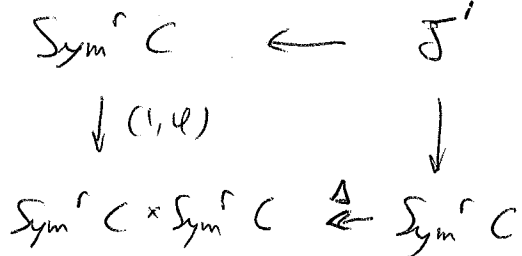
Fact: There is a natural transformation $f: \text{Div}_C^r \rightarrow P_C^r$
 $D \mapsto \mathcal{L}(D)$

Note: $f^{-1}(\mathcal{L}) = \mathbb{P}(H^0(C, \mathcal{L})) \leftarrow$ complete linear system of \mathcal{L}

Lemma: Assume f has a section $g: P_C^r \rightarrow \text{Div}_C^r$. Then P_C^r is representable.

pf Let $\psi = g \circ f$, $\psi: \text{Div}_C^r \rightarrow \text{Div}_C^r \leftarrow \psi: \text{Sym}^r C \rightarrow \text{Sym}^r C$ (Yoneda)

Set J' to be the pull-back:



Exercise: $J' \cong P_C^r$.

Let now $r > 2g$, and let γ be an $(r-g)$ -tuple in $C(k)$.

$$\text{Set } D_\gamma := \sum_{P \in \gamma} P, \quad \mathcal{L}_\gamma := \mathcal{L}(D_\gamma).$$

Theorem: a) $\text{Div}_C^r(T) = \{ D \in \text{Div}_C^r(T) : \ell(D_t - D_\gamma) = 1 \ \forall t \}$.

b) is represented by an open subvariety $C^\gamma \subseteq \text{Sym}^r C$.

Moreover, if $k = k^{\text{sep}}$, then $\text{Sym}^r C = \cup C^\gamma$.

b) Let $P^\gamma(T) = \{ \mathcal{L} \in P_C^r(T) : \ell(\mathcal{L}_t \otimes \mathcal{L}_\gamma^{-1}) = 1 \}$.

Then $P^\gamma \subseteq P_C^r$ is a subfunctor, and $f: C^\gamma \rightarrow P^\gamma$ has a section.

Pf of thm: use semicontinuity. (see it in Cornell-Spreeman)

Now we need to show that the constructed scheme is complete.

Choose $P \in C(L)$.

Observe: $\Delta = \{P\} \times C = C \times \{P\} \in \text{Div}(C \times C)$ defines a divisorial correspondence from (C, P) to itself.

This corresponds to $f^P: C \rightarrow \mathcal{J}$, which on points is $f^P(Q) = \mathcal{L}(Q-P)$.

For any $r \geq 1$, set $f^r: C^r \rightarrow \mathcal{J}$, $f^r = \underbrace{f + f + \dots + f}_{r \text{ times}}$

So on points, $f^r(Q_1, \dots, Q_r) = \mathcal{L}(\sum Q_i - rP)$.

As this map is symmetric, it factors through

$$f^{(r)}: \text{Sym}^{(r)} C \rightarrow \mathcal{J}$$

$$\text{write } W^{(r)} := f^{(r)}(\text{Sym}^{(r)} C) = f^{(r)}(C^r).$$

Thm:

(a) If $r \leq g$, then $f^{(r)}: \text{Sym}^r C \rightarrow W^r$ is birational.

(b) Suppose $D \in \text{Sym}^r C(k)$; let $F := \text{Sym}^r C \times_{\mathcal{J}} \{f^{(r)}(D)\}$

$$\text{Then } 0 \rightarrow T_D(F) \rightarrow T_D(\text{Sym}^r C) \xrightarrow{df^{(r)}} T_{f^{(r)}(D)} \mathcal{J} \rightarrow \text{exact}$$

(as vector spaces).

Pf of (a):

It's not hard to see (using semicontinuity) that there's a nonempty open

$U \subseteq \text{Sym}^r C$ such that $|D| = \text{point}$ for $D \in U(k^{\text{sep}})$ (if $r \leq g$).

So $f^{(r)}$, on U , is purely inseparable. This proves (a) if $\text{char } k = 0$.

If $\text{char } k = p$, then need to prove (b).

⑧

Corollary: $f^{(g)}: \text{Sym}^g C \rightarrow J$ is birational and surjective.

pf want to see $W^g = J$.

As $\dim W^g = g$ (birational to $\text{Sym}^g C$), it's enough to show that $\dim J = g$.

Thm: $T_0 J \cong H^1(C, \mathcal{O}_C)$ has dimension g . $\Rightarrow \checkmark$ (or $\dim J = \dim T_0 J$)

pf For any algebraic group G ,

$$T_e G = \text{Ker} (G(k[\epsilon]) \rightarrow G(k)) \quad \text{where } k[\epsilon] = k[\epsilon]/(\epsilon^2)$$

So we need to compute $\text{Ker} (P_C^0(k[\epsilon]) \rightarrow P_C^0(k))$

$$\text{Now } \mathbb{Z} \times P_C^0(k[\epsilon]) \cong H^1(C_{k[\epsilon]}, \mathcal{O}_{C_{k[\epsilon]}}^{\otimes x}) \quad \left(C_{k[\epsilon]} = \text{pullback of } C \text{ to } \text{Spec } k[\epsilon] \right)$$

$$\text{Note that } \mathcal{O}_{C_{k[\epsilon]}}^{\otimes x} = \mathcal{O}_C^{\otimes x} \oplus \epsilon \mathcal{O}_C \quad (\text{indeed, } R_{k[\epsilon]}^x = R^x \oplus \epsilon R)$$

$$\text{and therefore we are computing } \text{Ker} (H^1(C, \mathcal{O}_C^{\otimes x} \oplus \epsilon \mathcal{O}_C) \rightarrow H^1(C, \mathcal{O}_C^{\otimes x}))$$

$$\cong H^1(C, \epsilon \mathcal{O}_C) \quad //$$

We want to fix an embedding of J into projective space (we know that there's one, we need just to fix it!).

Let (H) be the divisor $[W^{g-1}]$

Theorem: (H) is an ample, irreducible divisor on J .

pf Irreducible is ok. we need to see that it's ample.

↓

Step 1: For an abelian variety A , let $\text{Pic}^0(A) = \{ \mathcal{L} : t_a^* \mathcal{L} \cong \mathcal{L}, a \in A(\bar{k}) \}$
 where $t_a : A_{\bar{k}} \rightarrow A_{\bar{k}}$
 $b \mapsto a+b$

There is an abelian variety A^\vee representing $\text{Pic}^0 A$ (the dual abelian variety).

Suppose $\mathcal{L} \in \text{Pic} A$. Then \mathcal{L} defines a homomorphism

$$\varphi_{\mathcal{L}} : A \rightarrow A^\vee \quad \text{(homomorphism by the thm of the square)} \\ a \mapsto t_a^* \mathcal{L} \otimes \mathcal{L}^{-1} \quad \text{of abelian varieties.}$$

Moreover, \mathcal{L} is ample $\Leftrightarrow \ker \varphi_{\mathcal{L}} = \{ a \in A(\bar{k}) : t_a^* \mathcal{L} \cong \mathcal{L} \}$ is finite.

($\varphi_{\mathcal{L}}$ is an isogeny).

Wt: if \mathcal{L} is ample, $\varphi_{\mathcal{L}}$ is called a polarization.

(There are always polarizations, because A is projective.)

if $\varphi_{\mathcal{L}}$ is an isomorphism, then it is called a principal polarization.

Step 2: want to show that $\varphi_{\mathcal{L}(\mathcal{H})}$ is an isomorphism.

On $A \times A^\vee$, there is a universal line bundle \mathcal{P} (Poincaré bundle)

(i.e. it corresponds to id_{A^\vee} under the representing property of A^\vee).

It's easy to see that $(f^P \times 1)^* \mathcal{P}$ (or $C \times \mathcal{F}^\vee$)

is a dividual correspondence $(C, \rho) \leftrightarrow (\mathcal{F}^\vee, 0)$, which

in turn corresponds to a morphism $f^\vee : (\mathcal{F}^\vee, 0) \rightarrow (\mathcal{J}, 0)$.

Step 3: One proves that $-f^\vee$ is inverse to $\varphi_{\mathcal{L}(\mathcal{H})}$ (Lorv).

Hence \mathcal{H} is ample.

Heights: Let K be a number field, $[K:\mathbb{Q}] < \infty$.

We want a function $h: \mathbb{P}^n(K) \rightarrow \mathbb{R}_{\geq 0}$

such that $\#\{x: h(x) \leq C\} < \infty \quad \forall C \text{ const.}$

Then, if we have a projective variety, by choosing an embedding $X \subseteq \mathbb{P}^n$, we will get a height on $X(K)$.

$h(x)$ depends on embedding \leftrightarrow very ample divisor on X

In fact, it will only depend additively on the rat. equiv. class of X up to $\mathcal{O}(1)$.

If $X = A$ an abelian variety, choose a very ample divisor D s.t.

h_D is a quadratic form on $A(K)$, (up to $\mathcal{O}(1)$)

This can be used to prove:

- $A(K)$ is finitely-generated.
- $A(K) \otimes \mathbb{R}$ into euclidean vector space.

Then can study the lattice $A(K)_{\text{torsion}} \subseteq A(K) \otimes \mathbb{R}$

Absolute values on fields

Let K be a field.

An absolute value is $|\cdot|: K \rightarrow \mathbb{R}_{\geq 0}$ such that:

i) $|x| = 0 \Leftrightarrow x = 0$

ii) $|x+y| \leq |x| + |y|$

iii) $|xy| = |x||y|$

If (ii) can be replaced by

ii') $|x+y| \leq \max\{|x|, |y|\}$

then it is called non-Archimedean (otherwise it is called archimedean).

Recall: If K is a #field with ring of integers \mathcal{O}_K , then we have the following absolute values: ~~applied to~~

• For each maximal prime $\mathfrak{p} \subseteq \mathcal{O}_K$, have $|x|_{\mathfrak{p}} = N(\mathfrak{p})^{-v_{\mathfrak{p}}(x)}$

(where $N(\mathfrak{p}) = \#(\mathcal{O}_K/\mathfrak{p})$, and $(x) = \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}(x)}$)


Note: H.S. calls $| \cdot |_{\mathfrak{p}} = \| \cdot \|_{\mathfrak{p}}$.

• One archimedean for each conjugate pair $\sigma: K \hookrightarrow \mathbb{C}$
 $|x|_{\sigma} := \| \sigma(x) \|$.

Write $M_K = \underbrace{M_K^{\infty}}_{\text{archimedean}} \sqcup \underbrace{M_K^0}_{\text{finite (non-archimedean)}}$

Proposition: Let $x \in K^*$, then:

$$\prod_{v \in M_K} |x|_v = 1$$

pf $|N_{K/\mathbb{Q}}(x)|_v = \prod_{w|v} |x|_w$ for $\sigma \in M_{\mathbb{Q}}$ Hence we can assume $K = \mathbb{Q}$, and then this is easy, using unique factorization. 


• Heights on Projective Space.

Def: Let K be a #field, $x = [x_0 : \dots : x_n] \in \mathbb{P}^n(K)$.

define $H_K(x) := \prod_{v \in M_K} \max \{ |x_0|_v, |x_1|_v, \dots, |x_n|_v \}$

• $h_K(x) := \log H_K(x)$.

Lemma: H_K, h_K are well-defined

pf Use product formula. 

Lemma:

1) $H_k(x) \geq 1$

2) Let $[k':k] = n < \infty$. Then $H_{k'}(x) = H_k(x)^n$

pf
~~Exercise~~

Def: Let $x \in \mathbb{P}^n(\bar{\mathbb{Q}})$. Define then $H(x) := H_k(x)^{\frac{1}{[k:\mathbb{Q}]}}$

(if $x \in \mathbb{P}^n(k)$). This is the absolute multiplicative height.

The absolute logarithmic height is $\frac{1}{[k:\mathbb{Q}]} h_k(x)$.

Corollary of lemma: H, h are well defined, independently of choice of coordinates and k .

Exercise: Find all points $x \in \mathbb{P}^n(\mathbb{Q})$ such that $H(x) = 1$.

Proposition: Let $\sigma \in G_{\mathbb{Q}} = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$, $x \in \mathbb{P}^n(\bar{\mathbb{Q}})$.

Then $H(x) = H(\sigma(x))$.

pf Choose a Galois field k/\mathbb{Q} s.t. $x \in \mathbb{P}^n(k)$. Then σ permutes the absolute values of k , and $|\sigma(x_i)|_{\sigma v} = |x_i|_v$ if $x = (x_0, \dots, x_n)$.

Theorem: Let $B, D \geq 0$. Then the set

$\{x \in \mathbb{P}^n(\bar{\mathbb{Q}}) : H(x) \leq B \text{ and } [Q(x):\mathbb{Q}] \leq D\}$ is finite.

pf Choose coordinates $[x_0 : \dots : x_n]$ s.t. $x_0 = 1$, say. Then:

(a) $H([1 : x_i]) \leq H(x) \quad \forall i$
(b) $[Q(x_i):\mathbb{Q}] \leq [Q(x):\mathbb{Q}]$ } \Rightarrow may assume $n=1$.

So we will show that $\{x \in \bar{\mathbb{Q}} : H([1:x]) \leq B, [Q(x):\mathbb{Q}] = d\} < \infty$

Let x_1, \dots, x_d be the conjugates of $x = x_i$, and,

$$\prod_{i=1}^d (T - x_i) = \sum_{r=0}^d (-1)^r S_r(x_1, \dots, x_d) T^{d-r}$$

Let $v \in M_K$, where $K = \mathbb{Q}(x)$.

We have

$$|S_r(x_1, \dots, x_d)|_v = \left| \sum_{1 \leq i_1 < \dots < i_r \leq d} x_{i_1} \dots x_{i_r} \right|_v \leq C(v, r, d) \cdot \max_{1 \leq i_1 < \dots < i_r \leq d} |x_{i_1} \dots x_{i_r}|_v \leq$$

$$\leq C(v, r, d) \max_{1 \leq i \leq d} |x_i|_v^r \quad \text{with } C(v, r, d) \leq \begin{cases} 1 & \text{if } v \in M_K^0 \\ C(d) & \text{if } v \in M_K^\infty \end{cases}$$

Hence:

$$\max \left\{ |S_0(\bar{x})|_v, \dots, |S_d(\bar{x})|_v \right\} \leq C(v, d) \prod_{i=1}^d \max \left\{ |x_i|_v, 1 \right\}^d$$

$$\Rightarrow H([S_0, \dots, S_d]) \leq \prod_v C(v, d) \prod_{i=1}^d H([x_i, 1])^d \leq C \cdot H([x, 1])^{d^2}$$

So we are reduced to the case $K = \mathbb{Q}$ which is an easy exercise. //

Corollary: If K is a field, and $P = [x_0, \dots, x_n] \in \mathbb{P}^n(K)$.

Fix $x_i \neq 0$. Then $H(P) = 1 \Leftrightarrow \frac{x_j}{x_i} = \zeta$ root of unity $\forall j$.

pf \Leftarrow is obvious

\Rightarrow Suppose $H(P) = 1$. For $r \in \mathbb{N}$, set $P^r := [x_0^r, \dots, x_n^r]$.

Then $H(P^r) = H(P)^r = 1$.

As there are only finitely many points over K of ht 1 (by Thm), then

$P^r = P^s$ for some $r \neq s$ //

We want, given X projective variety / $\bar{\mathcal{A}}$, and D a divisor on X ,
define a height $h_D : X(\bar{\mathcal{A}}) \rightarrow \mathbb{R}$ such that:

- $h_D \approx h_E$ if $D \sim_{rat} E$
 \uparrow
 i.e. $h_D = h_E + \mathcal{O}(1)$ independent of $P \in X(\bar{\mathcal{A}})$. (so $|h_D(P) - h_E(P)| \leq C$).
- $h_{D+E} \approx h_D + h_E$
- $h_H \approx h$ on \mathbb{P}^n with $H =$ hyperplane.
- + more properties!

Theorem 1: Let $S_{n,m} : \mathbb{P}^n \times \mathbb{P}^m \hookrightarrow \mathbb{P}^N$ be the Segre embedding

mapping $([x_0 : \dots : x_n], [y_0 : \dots : y_m]) \mapsto ([x_i y_j]_{i,j})$.

Then (a) $S_{n,m}^* \mathcal{O}_{\mathbb{P}^N}(1) \cong \mathcal{O}_{\mathbb{P}^n}(1) \otimes \mathcal{O}_{\mathbb{P}^m}(1)$ $\left\{ \begin{array}{l} p: \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^n \\ q: \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m \end{array} \right.$

(b) $h(S_{n,m}(x,y)) = h(x) + h(y)$

(c) If $\Phi_d : \mathbb{P}^n \rightarrow \mathbb{P}^N$ is the d -uple embedding,
then $h(\Phi_d(x)) = dh(x)$

Pf Exercise, or look up in book.

Theorem 2: Let $\Phi : \mathbb{P}^n \rightarrow \mathbb{P}^m$ be a rational map, given by homogeneous polynomials (f_0, \dots, f_m) of degree d .

Let $Z := V(f_0, \dots, f_m)$ (so Φ is defined on $\mathbb{P}^n \setminus Z$).

Then: (a) $\forall P \in (\mathbb{P}^n \setminus Z)(\bar{\mathcal{A}})$, $h(\Phi(P)) \leq dh(P) + \mathcal{O}(1)$ \leftarrow indep. of P .

(b) If $X \subset \mathbb{P}^n$ is closed and $X \cap Z = \emptyset$, then $\forall P \in X(\bar{\mathcal{A}})$,

$h(\Phi(P)) = dh(P) + \mathcal{O}(1)$ (so bounded above & below!).

$\frac{P}{1}$ (a) write $f_i(\underline{x}) = \sum_{|\underline{e}|=d} a_{i,\underline{e}} \underline{x}^{\underline{e}}$ where $\underline{e} = (e_0, \dots, e_n) \in \mathbb{N}^{n+1}$
 $|\underline{e}| = \sum e_i$

Note that this sum has $\binom{n+d}{n}$ summands. Fix k/\mathbb{Q} , $v \in M_k$

Notation: $|P|_v := \max \{ |x_i|_v \mid i=0 \dots n \}$, for $P = [x_0 : \dots : x_n] \in \mathbb{P}^n(k)$
 (R_k : depends on the choice of coordinates).

- $\|f\|_v := \max \{ |a_{\underline{e}}|_v \mid \underline{e} \text{ multiindices} \}$ for $f(X) = \sum_{\underline{e}} a_{\underline{e}} X^{\underline{e}}$
- $e_v(r) := \begin{cases} r & \text{if } r \in M_k^\infty \\ 1 & \text{if } r \in M_k^0 \end{cases}$ (note $\prod_v e_v(r) < \infty$).

Consider $P \in \mathbb{P}^n(k)$, $P = [x_0 : \dots : x_n]$ such that Φ is defined $/k$.

We have $|f_i(P)|_v = \left| \sum_{\underline{e}} a_{i,\underline{e}} \underline{x}^{\underline{e}} \right|_v \leq e_v\left(\binom{n+d}{n}\right) \cdot \|f_i\|_v |P|_v^d$

whence $|\Phi(P)|_v \leq C \left(\max_i \|f_i\|_v \right) \cdot |P|_v^d$

Multiplying over all $v \in M_k$, we get:

$$H_k(\Phi(P)) \leq C^{\#M_k^\infty} \cdot H_k(\Phi) \cdot H_k(P)^d \quad \text{where } H_k(\Phi) = H_k([a_{i,\underline{e}}]_{i,\underline{e}})$$

Take log and divide by $[k:\mathbb{Q}]$ to get (a). \checkmark

(b) we need an inequality in the opposite direction.

Choose homogeneous equations defining X , $X = V(P_1, \dots, P_s)$

By assumption, $V(f_0, \dots, f_m, P_1, \dots, P_s) = X \cap Z = \emptyset$.

So by Hilbert's Nullstellensatz, $\sqrt{(f_0, \dots, f_m, P_1, \dots, P_s)} = (X_0, \dots, X_n)$

That is, there $\exists t \geq d$, and \exists homogeneous polynomials g_{ij} 's, q_{ij} 's s.t.

$$g_{0j} f_0 + \dots + g_{mj} f_m + q_{1j} P_1 + \dots + q_{sj} P_s = X_j^t \quad \text{for } 0 \leq j \leq n.$$

We may assume that everything is defined over k (enlarge k , if necessary).

(cont p)

Now let $P = [x_0; \dots; x_n] \in X(k)$, (so that $P_i(x) = 0, 1 \leq i \leq n$).

Hence $g_{0j}(x) f_0(x) + \dots + g_{mj}(x) f_m(x) = x_j^t \quad 0 \leq j \leq n$

Then $|P|_v^t = \max_j |g_{0j}(x) f_0(x) + \dots + g_{mj}(x) f_m(x)|_v \leq \dots \leq C \cdot |g|_v \cdot |P|_v^{t-d} \cdot |f|_v$

Take \prod_v , we get, after taking logs:

$dh(P) \leq h(\Phi(P)) + O(1)$.

§§. Height on Projective Varieties.

Def: Let $\Phi: X \rightarrow \mathbb{P}^n$ be a morphism, where $X/\bar{\mathbb{A}}$ is a projective variety.

Then $h_\Phi(P) := h(\Phi(P))$, for $P \in X(\bar{\mathbb{A}})$ (height on X).

Thm 3: X proj. smooth var., $\Phi: X \rightarrow \mathbb{P}^n, \Psi: X \rightarrow \mathbb{P}^m$ s.t. $\Phi^* \mathcal{O}_{\mathbb{P}^n}(1) \cong \Psi^* \mathcal{O}_{\mathbb{P}^m}(1)$

Then $h_\Phi = h_\Psi + O(1)$ (assume Ψ, Φ nonconstant).

Choose $D \geq 0$ s.t. $\mathcal{L}(D) \cong \Psi^* \mathcal{O}_{\mathbb{P}^m}(1) \cong \Phi^* \mathcal{O}_{\mathbb{P}^n}(1)$.

Then we can write $\Phi = (\text{linear map}) \circ f_{|D}$, $\Psi = (\text{linear map}) \circ f_{|D}$ and apply Thm 2 to get the result.

Thm 4 (Height Machine): To each smooth, projective X/k , and $D \in \text{Div}(X)$, we can assign a function $h_{X,D}: X(\bar{k}) \rightarrow \mathbb{R}$ s.t.

a) If $X = \mathbb{P}^n$ and $D = H$ (hyperplane) then $h_{\mathbb{P}^n, H} = h + O(1)$

b) If $D \cong_{\text{rat}} E$, then $h_{X,D} = h_{X,E} + O(1)$

c) If $D, E \in \text{Div}(X)$, then $h_{X, D+E} = h_{X,D} + h_{X,E} + O(1)$

d) If $f: X \rightarrow Y, D \in \text{Div}(X), E \in f^*(\text{Div}(Y))$, then $h_{X,E}(P) = h_{Y,D}(f(P)) + O(1)$

Moreover, $h_{X,D}$ is uniquely determined by (a)-(d), up to $O(1)$.

Proof:

Note that any divisor is the difference of two ample divisors, so we get uniqueness of $h_{X,D}$ given (a)-(c).

Construction: Let D be a divisor whose linear system is base point free, and let $\Phi_D: X \rightarrow \mathbb{P}^n$ be ~~the~~ ^{any} associated morphism (i.e. $\Phi_D^* \mathcal{O}_{\mathbb{P}^n}(1) \cong \mathcal{L}(D)$).

Set now $h_{X,D} := h_{\Phi_D}$, so $h_{X,D}(P) = h(\Phi_D(P))$.

By Thm 3, the choice of Φ_D does not matter, up to a bounded function.

Part (a) follows, by choosing $\Phi_H = \text{id}$.

Moreover, (b) follows from Thm 3 if $|D| = |E|$ is base point free.

If D, E are base point free, part (c) follows from Thm 1, and (d) follows as well.


For general D , we write $D = D_1 - D_2$, D_i ample (so in particular, D_i are base point free), and we set

$$h_{X,D} := h_{X,D_1} - h_{X,D_2}.$$

If $D = E_1 - E_2$ is another such decomposition, then $D_1 + E_2 = D_2 + E_1$, and using (a), (c) for base-point free divisors we get

$$h_{X,D_1 - D_2} = h_{X,E_1 - E_2} + \mathcal{O}(1).$$

Similarly, can check (b)-(d) for general divisors.



Theorem 5: The $h_{X,D}$ satisfy:

a) If $D \geq 0$ (i.e. effective) and B is the base locus of $|D|$, then

$$h_{X,D} \geq O(1) \text{ on } (X \setminus B)(\bar{k})$$

$$\left(B = \bigcap_{E \in |D|} \text{Supp } E \right)$$

b) If D is ample, and $D \approx 0$, then $\lim_{P \in X(\bar{k})} \frac{h_{X,E}(P)}{h_{X,D}(P)} = 0$
 $h_{X,D}(P) \rightarrow \infty$

c) For any k' w/ $[k':k] < \infty$, D ample,
 $\# \{ P \in X(k') \mid h_{X,D}(P) \leq C \} < \infty$.

Pf

(a) write $D = D_1 - D_2$, w/ D_1, D_2 base-point free.

Choose a basis $\{f_0, \dots, f_n\}$ of $H^0(X, \mathcal{L}(D_1)) \subseteq k(X)$

Now $D_1 - D_2$ is effective, so $\{f_0, \dots, f_n\} \in H^0(X, \mathcal{L}(D_1)) \Rightarrow$

\Rightarrow can complete to get a basis $\{f_0, \dots, f_n, f_{n+1}, \dots, f_m\}$ of $H^0(X, \mathcal{L}(D_1))$.

We obtain morphisms

$$X \xrightarrow{\Phi_{D_1}} \mathbb{P}^m(H^0(X, \mathcal{L}(D_1))) \cong \mathbb{P}^m$$

$$x \longmapsto [f_0(x) : \dots : f_m(x)]$$

these isomorphisms change at each $x \in X$!!

and

$$X \xrightarrow{\Phi_{D_2}} \mathbb{P}^n(H^0(X, \mathcal{L}(D_2))) \cong \mathbb{P}^n \text{ using only } f_0, \dots, f_n.$$

If $P \notin \text{Supp}(D_1)$, we conclude $h_{X,D}(P) = h_{X,D_1}(P) - h_{X,D_2}(P) + O(1) =$

$$= h(\Phi_{D_1}(P)) - h(\Phi_{D_2}(P)) + O(1) = h([f_0(P) : \dots : f_m(P)]) - h([f_0(P) : \dots : f_n(P)]) + O(1)$$

because $h_{X,D}$ may be only, only defined w/ some other decomposition

$$\geq O(1)$$

Choose very ample divisors H_1, \dots, H_s on X s.t.:

i) $D + H_i$ is base point free $\forall i$

\Leftarrow easy to do (see book, or think (nuss for $s > \dim X$))

ii) $\bigcap_{i=1}^s H_i = \emptyset$

Doing the previous calculation w/ $D_1 = D + H_1$, $D_2 = H_1$ we see that

$$h_{X,D}(P) \geq O(1) \quad \forall P \notin \text{Supp}(D).$$

Write $B = \bigcap_{i=1}^r D_i$, w/ $D_i \sim D$. Use the same argument $\Rightarrow h_{X,D}(P) \geq O(1)$

for $P \notin B$.

(b) Since D is ample and $E \sim 0$, $\exists m \in \mathbb{N}$ s.t. $\forall n \in \mathbb{Z}$, $nmD + nE$ is base point free (prove it as exercise).

Therefore, for any $n \in \mathbb{Z}$ $\exists \epsilon > 0$ s.t. $mh_{X,D} - nh_{X,E} \geq -\epsilon$

So, for $n \geq 1$, we get: ϵ may depend on n !

$$\frac{m}{n} + \frac{\epsilon}{nh_{X,D}(P)} \geq \frac{h_{X,E}(P)}{h_{X,D}(P)} \geq -\frac{m}{n} - \frac{\epsilon}{nh_{X,D}(P)} \quad \forall P \in X(\bar{k}).$$

Let $h_{X,D}(P) \rightarrow \infty$, giving:

$$\frac{m}{n} \geq \frac{h_{X,E}(P)}{h_{X,D}(P)} \geq -\frac{m}{n} \quad \Rightarrow \quad \left| \frac{h_{X,E}(P)}{h_{X,D}(P)} \right| \leq \frac{m}{n} \quad \forall n \in \mathbb{Z} \quad \checkmark$$

Meryhts on Abelian Varieties.

Thm: Let A/k be an abelian variety, $D \in \text{Div}(A)$. Then:

a) Suppose $m \in \mathbb{Z}$. Then, $\forall P \in A(\bar{k})$,

$$h_{A,D}([m]P) = \frac{m^2 + m}{2} h_{A,D}(P) + \frac{m^2 - m}{2} h_{A,D}(-P) + O(1)$$

b) If $D \sim [-1]^* D$ (i.e. D is symmetric), then

$$h_{A,D}([m]P) = m^2 h_{A,D}(P) + O(1)$$

$$h_{A,D}(P+Q) + h_{A,D}(P-Q) = 2h_{A,D}(P) + 2h_{A,D}(Q) + O(1)$$

c) If $-D \sim [-1]^* D$ (i.e. D is antisymmetric), then:

$$h_{A,D}([m]P) = m h_{A,D}(P) + O(1)$$

$$h_{A,D}(P+Q) = h_{A,D}(P) + h_{A,D}(Q) + O(1).$$

\leftarrow the divisors in $\text{Pic}^0(A)$.

Pf (Thm):

(a) The theorem of the cube implies that $[m]^* D \sim \frac{m^2+m}{2} D + \frac{m^2-m}{2} [-1]^* D$

Now use the properties of the height machine, noting:

$$h_{A, [m]^* D}(P) = h_{A, D}([m]P) + \mathcal{O}(1)$$

(b) The first statement \Rightarrow clear from (a).

For the second part (parallelogram law), we need to prove: (and use the height machine)

$$s^* D + d^* D \sim 2 pr_1^* D + 2 pr_2^* D \quad (\text{on } A \times A)$$

where $s, d, pr_1, pr_2 : A \times A \rightarrow A$ s.t. $s(p, q) = p + q$, $pr_1(p, q) = p$
 $d(p, q) = p - q$, $pr_2(p, q) = q$

So we need to see that

$$\Gamma_D := s^* D + d^* D - pr_1^* D - pr_2^* D \sim 0 \quad \therefore \mathcal{L}(\Gamma_D) \cong \mathcal{O}_{A \times A}$$

Thm (Seesaw principle): Let X be a complete variety, and T an integral scheme / k . Let \mathcal{L} be a line bundle on $X \times T$.

Write $\mathcal{L}_t = \text{pullback of } \mathcal{L} \text{ to } X \times_T k(t)$, $t \in T$.

Sp. $\mathcal{L}_t \cong \mathcal{O}_{X \times_T k(t)}$ $\forall t \in T$, and that $\exists x \in X(k)$ s.t. $\mathcal{L}_x \cong \mathcal{O}_T$

Then \mathcal{L} is trivial.

Remark: By semicontinuity, it suffices to check it for t in some dense subset.

In our application, $X = T = A$, $t = a \in A(\bar{k})$, and $x = 0 \in A$.

Let $j: A \rightarrow A * A$. Need to check that $j^* (\mathcal{L}(\Gamma_D)) \cong \mathcal{O}_A$
 $a \mapsto (0, a)$

\leftarrow we should pullback the line bundle but abuse notation

$$j^* (s^* D + d^* D - 2pr_1^* D - 2pr_2^* D) = (s \circ j)^* D + (d \circ j)^* D - 2(pr_1 \circ j)^* D - 2(pr_2 \circ j)^* D \sim$$

$$\sim D + [-1]^* D - 2[0] - 2D \sim [-1]^* D - D \sim 0 \quad \checkmark$$

Let $i_a: A \rightarrow A \times A$. Need to check $i_a^* \mathcal{L}(\Gamma_D) \cong \mathcal{O}_{A \times \{a\}}$
 $b \mapsto (b, a)$



We compute:

$$\begin{aligned} \varepsilon_a^* (\Pi_D) &= (s \circ \varepsilon_a)^* D + (d \circ \varepsilon_a)^* D - 2 (p_1 \circ \varepsilon_a)^* D - 2 (p_2 \circ \varepsilon_a)^* D \sim \\ &\sim t_a^* D + t_{-a}^* D - 2D - 2 \cdot [0] \sim 0 \end{aligned}$$

by the theorem of the square.

Hence, applying the seesaw principle, $\Pi_D \sim 0$.

(c) is similar, and we omit it.

Canonical Heights

Let X/k be a smooth projective var., $\Phi: X \rightarrow X$ and $D \in \text{Div}(X)$, such that $\Phi^* D \sim \alpha D$ for some $\alpha > 1$.

Then:

Thm: There exists a unique function $\hat{h}_{X,D,\Phi}: X(\bar{k}) \rightarrow \mathbb{R}$ such that:

a) $\hat{h}_{X,D,\Phi} = h_{X,D} + \mathcal{O}(1)$

b) $\hat{h}_{X,D,\Phi}(\Phi(P)) = \alpha \cdot \hat{h}_{X,D,\Phi}(P) \quad \forall P \in X$.

c) $\hat{h}_{X,D,\Phi}$ depends only on $[D]$.

Proof: (c) is obvious from the others

We define $\hat{h}_{X,D,\Phi}(P) := \lim_{n \rightarrow \infty} \frac{1}{\alpha^n} h_{X,D}(\Phi^n(P))$

Exercise: the limit exists, and (a), (b), (c) hold.

Example: $X = A$, D a symmetric divisor, $\Phi = [m]$, $m \geq 2$.

• $X = \mathbb{P}^n$, $[D] = [H]$, $\Phi: \mathbb{P}^n \rightarrow \mathbb{P}^n$ a morphism of degree $d \geq 2$,

so that $\Phi^* [D] = d \cdot [D]$.

Def: Let $\Phi: X \rightarrow X$, $P \in X(\bar{k})$ is pre-periodic if $\{P, \Phi(P), \Phi^2(P), \dots\}$ is finite.

Prop: Suppose that D is ample, and that $\Phi^*[D] = \alpha[D]$, $\alpha > 1$.

Then: (a) $\hat{h}_{X, D, \Phi} \geq 0$ and $\hat{h}_{X, D, \Phi}(P) = 0 \iff P$ is preperiodic.

(b) If k'/k is a finite extension of k , then there are finitely many ~~all~~ preperiodic points in $X(k')$.

pf (b) follows from (a) and our finiteness theorem.

The first part of (a) is immediate, as D is ample.

If P is preperiodic, $\hat{h}_{X, D, \Phi}(P) = 0$ because $\alpha > 1$.

Conversely, if $\hat{h}_{X, D, \Phi}(P) = 0$, then $\Phi^n(P)$ is defined over $k(P) \forall n \geq 0$ extension of k obtained by adjoining coords of P

therefore, $\{P, \Phi(P), \dots\}$ is finite as $\hat{h} \approx h$.

Application: $X = A$, $\Phi = [m]$ $m > 1$, D an ample symmetric divisor.

(start with an ample divisor D' , then $[-1]^* D'$ is ample, and also $[-1]^* D' + D'$ is ample and symmetric.)

Now $P \in A(k')$ is $[m]$ -preperiodic $\iff \exists i > j > 0$ s.t. $[m^i]P = [m^j]P \iff [m^i - m^j]P = 0$

Note that for any $r \in \mathbb{Z}$, $\exists i > j > 0$ s.t. $r \mid m^i - m^j$ (exercise)

So P is $[m]$ -preperiodic $\iff P$ is torsion.

Canonical heights on abelian varieties

Thm: Let A/k be an abelian variety, and $D \in \text{Div}(A)$ s.t. $[1]^\ast[D] = [D]$.

Then $\exists \hat{h}_{A,D}: A(\bar{k}) \rightarrow \mathbb{R}$ s.t.

a) $\hat{h}_{A,D} = h_{A,D} + \mathcal{O}(1)$

b) $\hat{h}_{A,D}([m]P) = m^2 \hat{h}_{A,D}(P) \quad \forall m, \forall P.$

c) $\hat{h}_{A,D}(P+Q) + \hat{h}_{A,D}(P-Q) = 2\hat{h}_{A,D}(P) + 2\hat{h}_{A,D}(Q)$

d) $\hat{h}_{A,D}$ is a quadratic form, with associated pairing $\langle P, Q \rangle_D = \frac{1}{2}(\hat{h}_{A,D}(P+Q) - \hat{h}_{A,D}(P) - \hat{h}_{A,D}(Q))$

e) ~~(d)~~ determines $\hat{h}_{A,D}$ uniquely (even knowing only one $m \geq 2$), and only depends on A and $[D]$.

Pl $\hat{h}_{A,D} = \hat{h}_{A,D,\Phi}$ where $\Phi := [2]: A \rightarrow A.$

This proves (a) and uniqueness.

For part (b), note first $h_{A,D}([m]Q) = m^2 h_{A,D}(Q) + \mathcal{O}(1).$

Then $\hat{h}_{A,D}([m]P) = \lim_{n \rightarrow \infty} \frac{1}{4^n} h_{A,D}([2^n m]P) = \lim_{n \rightarrow \infty} \frac{1}{4^n} (m^2 h_{A,D}([2^n]P) + \mathcal{O}(1)) = m^2 \hat{h}_{A,D}(P).$

Part (c) is done similarly, using the law with $\mathcal{O}(1)$.

Part (d) follows from (c) by linear algebra. //

Proposition: Let A/k be an abelian variety, D an ample symmetric divisor.

Then a) $\hat{h}_{A,D} \geq 0$, and $\hat{h}_{A,D}(P) = 0 \Leftrightarrow P \in A(\bar{k})_{\text{tors}}$

b) $\langle \cdot, \cdot \rangle_D$ extends to a positive definite quadratic form on $A(\bar{k}) \otimes \mathbb{R}$

Proof:

(a) follows from general properties of canonical heights & what we saw last time.

For (b) suppose that $\hat{h}_{A,D}(P) = 0$ for some $P \in A(\bar{k}) \otimes \mathbb{R}$. Write $P = \sum_{i=1}^r a_i p_i$, $a_i \in \mathbb{R}$, $p_i \in A(\bar{k})$. We may assume, after extending the ground field k , that all $p_i \in A(k)$. J

(cont pf)

we will show that $q \circ \hat{h}_{A,0} \upharpoonright_{\mathbb{R}\langle P_1, \dots, P_s \rangle} \subset \text{span of } P_i \text{ as } \mathbb{R}\text{-vector space}$ is positive definite.

Let $V := \mathbb{R}\langle P_1, \dots, P_s \rangle$, $\Lambda := \mathbb{Z}\langle P_1, \dots, P_s \rangle \subseteq V$.

$q \upharpoonright_{\Lambda}$ is positive definite

It could happen, though, that $q \upharpoonright_{\Lambda, \mathbb{Q}}$ wasn't bounded below, and then after allowing real coefficients we might lose definiteness.

we will show that $q \upharpoonright_{\Lambda, \mathbb{Q}}$ is bounded below.

Let $B > 0$. Claim: $\{ \lambda \in \Lambda \mid q(\lambda) \leq B \}$ is finite.

It follows from standard properties of heights for ample D , only that $\Delta \subseteq A(k)_{\text{torsion}}$.

One then applies Minkowski's theorem on fundamental domains of lattices in symmetric vector spaces, which implies that q is positive definite. //

Theorem: Let A/k be an abelian variety, D a divisor in A s.t. $[-1]^* [D] = [-D]$.

Then $\exists \hat{h}_{A,0} : A(\bar{k}) \rightarrow \mathbb{R}$ s.t.

(a) $\hat{h}_{A,0} = \hat{h}_{A,D} + O(1)$

(b) $\hat{h}_{A,0}(P \pm Q) = \hat{h}_{A,0}(P) \pm \hat{h}_{A,0}(Q)$

Moreover, $\hat{h}_{A,0}$ is uniquely determined by (a), (b) and $[D]$.

pf Like in the symmetric case. //

Recall that $[-1]^* D = [-D] \Leftrightarrow [D] \in \text{Pic}^0(A)$. We obtain, writing $\hat{A} = \text{Pic}^0(A)$,

↓

Theorem: The assignment $[D] \mapsto \hat{h}_{A,D}$ defines a pairing

$$[\cdot, \cdot] : A(\bar{k}) \times \hat{A}(\bar{k}) \rightarrow \mathbb{R} \quad \text{such that}$$

a) $[\cdot, \cdot]$ is bilinear, with kernel on either side being the torsion subgroup.

b) $[\cdot, \cdot]_A = \langle \cdot, \cdot \rangle_{\mathcal{D}}$ on $A \times \hat{A}$, where \mathcal{D} = Néron divisor.

pl omitted

Mordell-Weil Theorem.

Thm: Let k be a number field (or any field finitely generated (as a field) over its prime field) (includes function fields in any finite number of variables).

Let A be an abelian variety / k . Then $A(k)$ is a finitely-generated abelian group.

(Note: $A(\bar{k})$ is not finite-generated, because it's divisible!).

How to prove it:

Step 1: $\frac{A(k)}{2A(k)}$ is finitely-generated. (Rec: $\left(\frac{A}{2A}\right)(k) = 0$ as A is divisible!).

Step 2: Infinite descent using $\hat{h}_{A,D} > 0$:

• $\{x \in A(k) : \hat{h}_{A,D}(x) \leq C\}$ is finite

• $\hat{h}_{A,D}([2]x) = 4\hat{h}_{A,D}(x)$ (see next page)

~~So choose generators $\{\bar{p}_1, \dots, \bar{p}_r\}$ of $\frac{A(k)}{2A(k)}$, $\bar{p}_i \in A(k)$~~

• Infinite descent:

Assume $A(k)/_m A(k)$, for some $n \geq 2$, is finite.

Choose an ample symmetric divisor $D \in \text{Div}(A)$, and let

$$\| \cdot \| := \sqrt{h_{A,D}^{\wedge}} : A(\bar{k}) \rightarrow \mathbb{R}.$$

Choose lifts P_1, \dots, P_s of elements in $A(k)/_m A(k)$

Set $C := \max \{ \|P_1\|, \dots, \|P_s\| \}$.

Claim: $A(k)$ is generated by $\{P_1, \dots, P_s\} \cup \{R \in A(k) : \|R\| \leq C\}$.

Pf Let $Q_0 \in A(k)$, $Q_0 = m Q_1 + R_1$
 \vdots
 $Q_r = m Q_{r+1} + P_{i_r}$

and note $\|Q_{r+1}\| = \frac{1}{m} \|Q_r - P_{i_r}\| \leq \frac{1}{m} (\|Q_r\| + C) \quad (*)$.

If $\|Q_r\| \leq C$, we are done.

Else, $(*) < \frac{2}{m} \|Q_r\|$ and, since $n \geq 2$, $\|Q_{r+1}\| < \|Q_r\|$.

As there are only finitely many points of bounded norm, $\|Q_i\| \leq C$ for some i .

Theorem (Weak Mordell-Weil): Let k be a number field, A/k an abelian variety, and $n \geq 2$. Then: $A(k)/_n A(k)$ is finite.

Proof:

Consider the sequence of $G_k (= \text{Gal}(\bar{k}/k))$ -modules:

$$0 \rightarrow A[n] \rightarrow A \xrightarrow{[n]} A \rightarrow 0 \quad (A = A(\bar{k})!).$$

Taking Galois cohomology (see Cassels-Frohlich, for example), we get:

$$0 \rightarrow A(k)[n] \rightarrow A(k) \rightarrow A(k) \rightarrow H^1(G_k, A[n]) \rightarrow H^1(G_k, A) \xrightarrow{[n]} H^1(G_k, A) \rightarrow \dots$$

We get a short exact sequence: \downarrow

$$0 \rightarrow A(k) / {}_n A(k) \xrightarrow{\delta} H^1(G_k, A[n]) \rightarrow H^1(G_k, A)[n] \rightarrow 0$$

$$x \longmapsto \left[\sigma \mapsto \sigma(y) - y \right]$$

w/ $[n]y = x$

Unfortunately, $H^1(G_k, A[n])$ is not finite in general.

Write $G = G_k$, and let $v \in M_k$. Write $G_v \subseteq G$ be the decomposition group of v . (i.e. $G_v \cong G_{K_v}$), and write $I_v \subseteq G_v$ for an inertia subgroup (well-defined up to conjugation).

Remark: Let K/k be a finite extension. Then the image of I_v in $G(K/k)$ is trivial iff K/k is unramified at v .

The image of G_v is trivial \iff K/k is completely decomposed at v .

By restriction, we get diagrams (one for each v):

$$\begin{array}{ccccccc} 0 & \rightarrow & A(k) / {}_n A(k) & \rightarrow & H^1(G, A[n]) & \rightarrow & H^1(G, A)[n] \rightarrow 0 \\ & & \downarrow \text{res} & & \downarrow \text{res} & \searrow \varphi & \downarrow \text{res} \\ 0 & \rightarrow & A(K_v) / {}_n A(K_v) & \rightarrow & H^1(G_v, A[n]) & \rightarrow & H^1(G_v, A)[n] \rightarrow 0 \end{array}$$

Def: The n^{th} Selmer group of A/k is $\text{Sel}^{(n)}(A/k) = \bigcap_v \text{Ker} \left(\overbrace{H^1(G, A[n]) \rightarrow H^1(G_v, A)[n]}^{\varphi} \right)$

Clearly, $A(k) / {}_n A(k) \hookrightarrow \text{Sel}^{(n)}(A/k)$.

So we need to show that $\text{Sel}^{(n)}(A/k)$ is finite.

Suppose that $A[n](\bar{k}) \subseteq A(k)$ (we will deal with this later).

By the Weil pairing - it implies that $\mu_n(\bar{k}) \subseteq A(k)$.

We have $H^1(G, A[n]) \cong \bigoplus_{zg} H^1(G, \mathbb{Z}/n\mathbb{Z}) \cong \bigoplus_{zg} \left(\frac{k^*}{(k^*)^n} \right)^n$.
↑ Kummer theory
↑ classifying deg- n cyclic extensions of k .
There are only finitely many ~~unramified~~ extensions of degree n which are unramified outside a finite number of places.

Def Let M be a continuous G_k -module, $\alpha \in H^r(G_k, M)$. We say that α is unramified at $v \iff \alpha|_{I_v} = 0 \in H^r(I_v, M)$.

Write $H_S^r(G_k, M) =$ Subgroup of classes unramified outside at some $S \subseteq M_k$.

Theorem: Let $S = M_k^{\text{po}} \cup \{v : v|n\} \cup \{v : A \text{ has bad reduction at } v\}$. ← finite set!

Then: (a) $H_S^1(G, A[n]) \cong$ finite.

(b) $\text{Sel}^{(n)}(A/k) \subseteq H_S^1(G, A[n])$.

Proof:

(a) There's a finite ^{galois} extension K/k s.t. $A[n](\bar{k}) \subseteq A(K)$

We have an exact sequence:

$$0 \rightarrow H^1(G(K/k), A[n]^{G_K}) \xrightarrow{\text{inf}} H^1(G, A[n]) \xrightarrow{\text{res}} H^1(G_k, A[n]).$$

finite \uparrow gp (both $G(K/k)$ and $A[n]^{G_K}$ are finite).

This implies (letting \tilde{S} be set containing all places above the ones in S).

$$0 \rightarrow H_{\tilde{S}}^1(G(K/k), A[n]^{G_K}) \rightarrow H_S^1(G, A[n]) \rightarrow H_S^1(G_k, A[n])$$

So we may assume $A[n](\bar{k}) \subseteq A(k)$, and then Kummer theory proves (a).



In part (a) of $\kappa \cong A[n]$, then

$$H_S^1(G_{\kappa}, \mathbb{Z}/n) \cong \text{Hom}_S(G_{\kappa}, \mathbb{Z}/n) \cong \text{Hom}(G(L/\kappa), \mathbb{Z}/n)$$

where $L = \text{max unram outside } \bar{S} \text{ extension of exponent } n.$

For part (b), let $\Phi \in \text{Sel}^{(n)}(A/\kappa)$, $v \notin S.$

$$\text{Choose } y \in A(\bar{\kappa}_v) \text{ s.t. } \Phi(\sigma) = \sigma(y) - y \text{ for } \sigma \in G_v (= G_{\kappa_v})$$

(this is possible because $\text{Sel}^{(n)}(A/\kappa) \rightarrow H^1(G_{\kappa_v}, A_{\kappa_v})[n] \rightarrow 0$ is the 0 map).

Then, if $\sigma \in I_v$, reduction mod $\mathfrak{p}_v \in \mathcal{O}_\kappa$ is:

$$\overline{\sigma(y) - y} = \overline{\sigma(y)} - \bar{y} = \bar{0} \text{ because } \sigma \text{ acts trivially on the } n\text{-torsion of } \bar{A}[\bar{\kappa}_v](\kappa(v)) \text{ where } \kappa(v) = \mathcal{O}_{\kappa}/\mathfrak{p}_v.$$

However, $\Phi(\sigma) \in A[n](\kappa)$, so $\overline{\Phi(\sigma)} = \bar{0}.$

We now have the theorem:

Thm^(*): Let v be a place of good reduction, $v \notin S.$ Then the reduction map

$$A[\kappa][n] \hookrightarrow A(\kappa(v))[n] \text{ is } \underline{\text{injective}}.$$

And so $\Phi(\sigma) = 0$ for $\sigma \in I_v \forall v \notin S \Rightarrow \Phi \in H_S^1(G_\kappa, A[n]).$

Proof of Thm^(*): We do a scheme-theoretic proof (not using formal groups).

Let A be our abelian variety over $\kappa \cong \mathcal{O}_\kappa$ (its ring of integers).

A model for A over $\mathcal{O}_\kappa[S^{-1}]$ is a scheme $\mathcal{A} \rightarrow \mathcal{B} = \text{Spec}(\mathcal{O}_\kappa[S^{-1}])$

such that the fiber $\mathcal{A}_\kappa \cong A.$

↓

Lemma 1: Suppose that A is a proper model of A (i.e. $A \rightarrow B$ is proper).
Then $A(\mathcal{O}_K[S^{-1}]) \cong A(K)$ (as sets)

Pf: Use the valuative criterion of properness.

Def: Let $\mathfrak{p} \in \mathcal{O}_K[S^{-1}]$ be a prime, $\kappa = \mathcal{O}_K[S^{-1}]_{\mathfrak{p}} / \mathfrak{p}$ (a finite field), and

$$A_{\kappa} = A \times_{\mathcal{O}_K[S^{-1}]} \kappa. \quad (\text{Assume } A \text{ is a proper model})$$

Then we get a map $A(\kappa) \rightarrow A_{\kappa}(\kappa)$ as follows:

Given $x \in A(\kappa)$, x corresponds to a section $x \in A(\mathcal{O}_K[S^{-1}])$, i.e.

$$\begin{array}{ccc} x: B \rightarrow A & \text{can pull back to} & \text{Spec } \kappa \xrightarrow{\bar{x}} A_{\kappa} \in A_{\kappa}(\kappa) \\ \text{id} \downarrow B & \checkmark & \downarrow \text{Spec } \kappa \end{array}$$

Proposition 2: There exists a finite set of places S and a model A over $\mathcal{O}_K[S^{-1}]$ of A such that:

- A is proper
- A is flat
- A is a group scheme over B (i.e. we have $A \times_B A \rightarrow A$
 $B \xrightarrow{\circ} A$)

(A proper flat group scheme is called "Abelian scheme over B ". They are commutative.)

- For any geometric point $\text{Spec } (\bar{F}) \rightarrow B$, $A_{\bar{F}}$ is an abelian variety.

(In particular, $A \rightarrow B$ is smooth!).

Remark: The primes outside S are those where A "has good reduction".

A has bad reduction at a prime $\mathfrak{p} \in \mathcal{O}_K$ if there is no model like this in Prop 2 over $\mathcal{O}_{K, \mathfrak{p}}$.

Note: The reduction map $A(k) \rightarrow A_{\kappa(\mathfrak{p})}(\kappa(\mathfrak{p}))$ is a homomorphism, for $\mathfrak{p} \notin S$.

Pf of Prop:

Embed $A \hookrightarrow \mathbb{P}_k^N$ given by equations f_1, \dots, f_s such that

$\left(\frac{\partial f_i}{\partial x_j} \right)_{i,j}$ has maximal rank along A , and can assume (by scaling)

that $\frac{\partial f_i}{\partial x_j}$ have coefficients in \mathcal{O}_k .

Throw away sufficiently many primes so that the projective scheme defined by f_1, \dots, f_s is smooth over $\mathcal{O}_k[S_1^{-1}]$.

Enlarge S_1 to S such that the group structure is defined over $\mathcal{O}_k[S^{-1}]$.

Lemma 3: Let $A \rightarrow B$ be an abelian scheme (ie proper flat gp scheme).

Then $[n]_A: A \rightarrow A$ is a flat morphism, and $A[n]$ is a finite

and flat B -group scheme, of order n^{2g} .

(ie. $A[n] = \text{Spec}(R)$, R a sheaf of \mathcal{O}_B -algebras, locally free of rank n^{2g}).

Warning: There are flat finite group schemes over $\overline{\mathbb{F}}_p$ that are not reduced.

(e.g. μ_p has order p , but $\mu_p = \text{Spec } \overline{\mathbb{F}}_p[X] / (X^p - 1)$).

Pf

(1) Do it over fields

(2) Use that A itself is flat.

(3) $A[n] \rightarrow B$ is proper + quasifinite \Rightarrow finite.

} \Rightarrow flatness



Corollary 4: \mathbb{Z}_f , with the same set-up, we assume in addition that $\frac{1}{n} \in \mathcal{O}_B$, then $A[n] \rightarrow B$ is étale, and therefore the reduction map $A[n](\bar{k}) \rightarrow A_{\bar{k}}[n](\bar{k})$ is an isomorphism for any residue field \bar{k} of B .

(Assume for this that $B = \text{Spec}(\text{Dedekind Domain})$.)



Diophantine Approximation

Q: Given $\alpha \in \mathbb{R}$, how easy is it to approximate α with $\frac{p}{q} \in \mathbb{Q}$, i.e. are there lots of numbers $\frac{p}{q}$ s.t. $|\alpha - \frac{p}{q}| \leq \frac{1}{q^N}$, $N \gg 0$?

Example: Let $\alpha = \sum_{n \geq 0} 2^{-n!}$. Then, $\forall \epsilon > 0$, $\exists \infty$ -ly many $\frac{p}{q} \in \mathbb{Q}$ s.t. $|\alpha - \frac{p}{q}| \leq \frac{1}{q^\epsilon}$

Thm 1 (Dirichlet): For $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, there are ∞ -ly many $\frac{p}{q} \in \mathbb{Q}$ s.t. $|\alpha - \frac{p}{q}| \leq \frac{1}{q^2}$

Thm 2 (Roth's Thm): For $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, α algebraic and $\epsilon > 0$, there are only finitely many $\frac{p}{q} \in \mathbb{Q}$ s.t. $|\alpha - \frac{p}{q}| \leq \frac{1}{q^{2+\epsilon}}$

Thm 3 (Liouville): Let $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, α algebraic of degree $d \geq 2$ and $\epsilon > 0$, there are only finitely many $\frac{p}{q} \in \mathbb{Q}$ s.t. $|\alpha - \frac{p}{q}| \leq \frac{1}{q^{d+\epsilon}}$

Pf (Thm 3):

Step 1: Construct an auxiliary polynomial, vanishing at α . We'll use the minimal polynomial $p(x)$ of α .

Step 2: Show that $p(\frac{p}{q}) = 0$ if $|\alpha - \frac{p}{q}| \leq \frac{1}{q^{d+\epsilon}}$ and $q \gg 0$. (later)

Step 3: $p(\frac{p}{q}) \neq 0$ because $p(x)$ is irreducible in $\mathbb{Q}[x]$!

Step 4: Contradiction because, if ∞ -ly many approximations, we can find one with $q \gg 0$.

So we need to prove step 3.

$$P\left(\frac{p}{q}\right) = \frac{N}{q^d} \quad (\text{as } P(x) \text{ has degree } d), \quad N \in \mathbb{Z}$$

$$\text{Now, } P(x) = \sum_{i=0}^d \frac{1}{i!} P^{(i)}(\alpha) (x-\alpha)^i \quad (\text{no constant term as } P(\alpha) = 0)$$

$$\leq \left| \frac{N}{q^d} \right| = \left| P\left(\frac{p}{q}\right) \right| \leq \left| \frac{p}{q} - \alpha \right| \cdot \sum_{i=1}^d \frac{1}{i!} |P^{(i)}(\alpha)| \overbrace{\left| \frac{p}{q} - \alpha \right|^{i-1}}^{\leq 1} \leq \left| \frac{p}{q} - \alpha \right| \cdot d \cdot \underbrace{C(\alpha)}_{\text{some constant}} \leq$$

$$\leq \frac{B(\alpha)}{q^{d+\epsilon}}$$

$$\leq |N| \leq \frac{B(\alpha)}{q^\epsilon}. \quad \text{If } q \gg 0, |N| < 1, \text{ so } N=0 \Rightarrow \sqrt{\quad}$$

We now state (and prove) a more general result than Roth's:

Theorem 4: Let K be a number field, $S \subseteq M_K$ a finite set, and extend each $v \in M_K$ to \bar{K} (choose one such extension for each v). Let $\alpha \in \bar{K}$, $\epsilon > 0$. Then there are only finitely many $\beta \in K$ s.t.

$$\prod_{v \in S} \min \{ \|\beta - \alpha\|_v, 1 \} \leq \frac{1}{H_K(\beta)^{2+\epsilon}} \quad (*)$$

Pl (sketch):

Step 1: Construct $P(x_1, \dots, x_m)$ s.t. P vanishes to high degree at $(\alpha, \alpha, \dots, \alpha)$.

Choose β_1, \dots, β_m s.t. $(*)$ holds and $H_K(\beta_i) \gg 0$, $H_K(\beta_{i+1}) \gg H_K(\beta_i)$

Step 2: Show that P vanishes to fairly high order at $(\beta_1, \dots, \beta_m)$.

Step 3: Roth's Lemma: P cannot vanish to a high order at $(\beta_1, \dots, \beta_m)$

Step 4: Contradiction.

Proof of Thm 1 (Dirichlet)

Let $Q \geq 1$. Consider $\{q\alpha - \lfloor q\alpha \rfloor : q = 0, 1, \dots, Q\} \subseteq [0, 1]$.

Δ , $\alpha \notin \mathbb{Q}$, # set = $Q+1$.

By pigeonhole pple, $\exists q_1, q_2, 0 \leq q_1 < q_2 \leq Q$ s.t. $|(q_1\alpha - \lfloor q_1\alpha \rfloor) - (q_2\alpha - \lfloor q_2\alpha \rfloor)| \leq \frac{1}{Q}$

$$\text{So } \left| \frac{\lfloor q_2\alpha \rfloor - \lfloor q_1\alpha \rfloor}{q_2 - q_1} - \alpha \right| \leq \frac{1}{(q_2 - q_1)Q} \leq \frac{1}{(q_2 - q_1)^2}$$

Maxing Q increase to ∞ , thus yields only very approximations. 

Proposition 5: (for the proof of Thm 4 (Roth's)) : It suffices to look at algebraic integers $\alpha \in \bar{\mathbb{K}}$.

Prop 6: Thm 4 \Leftrightarrow Thm 7, where:

Thm 7: Let K be a #field, $S \subseteq M_K$ finite set of places, $\epsilon > 0$, $\alpha \in \bar{K}$.

Suppose $\xi : S \rightarrow [0, 1]$ s.t. $\sum_{v \in S} \xi_v = 1$.

Then there are only finitely-many $\beta \in K$ s.t.:

$$(**) \quad \|\beta - \alpha\|_v \leq \frac{1}{H_K(\beta)^{(2+\epsilon)\xi_v}} \quad \forall v \in S.$$

Pf of Prop 6 (Thm 4 \Rightarrow Thm 7):

a) Thm 4 \Rightarrow Thm 7:

$$\text{If } \|\beta - \alpha\|_v \leq \frac{1}{H_K(\beta)^{(2+\epsilon)\xi_v}} \quad \forall v \in S \quad \Rightarrow \prod_{v \in S} \min\{\|\beta - \alpha\|_v, 1\} \leq \frac{1}{H_K(\beta)^{2+\epsilon}}$$

b) Thm 7 \Rightarrow Thm 4:

First, as there are only finitely-many β w/ $H_K(\beta) = 1$ (roots of unity), we can wlog assume $H_K(\beta) > 1$.

Now, $(**)$ with $\min\{1, \|\beta - \alpha\|_v\}$ (and possibly different S) is equivalent to $(**)$ (because $1 \leq \frac{1}{H_K(\beta)^{(2+\epsilon)\xi_v} \Leftrightarrow \xi_v = 0$).

Now, suppose $\beta \in K$ satisfies (A), i.e. $\prod_v \min\{1, \|\beta - \alpha\|_v\} \leq \frac{1}{M_K(\beta)^{2+\epsilon}}$. (for ϵ)

Fix $\epsilon' < \epsilon$, and for $v \in S$, let $\lambda_v(\beta) \geq 0$ be defined by:

$$\min\{\|\beta - \alpha\|_v, 1\} = \frac{1}{M_K(\beta)^{(2+\epsilon')} \lambda_v(\beta)}$$

~~Note that $\prod_v (M_K(\beta)^{(2+\epsilon')} \lambda_v(\beta)) \leq \frac{1}{M_K(\beta)^{(2+\epsilon)}}$~~

Note: Since $\epsilon' < \epsilon$, there exists $N \in \mathbb{N}$, not depending on β , s.t. (and $M_K(\beta) \geq 1 + \delta$ for some $\delta > 0$)

$$\sum_{v \in S} \lambda_v(\beta) \geq 1 + \frac{1}{N}$$

Then $\exists \xi: S \rightarrow [0, 1] \cap \mathbb{Z} \left[\frac{1}{N \cdot |S|} \right]$ s.t.

a) $\xi_v \leq \lambda_v(\beta) \quad \forall v \in S$

b) $\sum_{v \in S} \xi_v = 1$

that is, β satisfies (A) for $(\alpha, \epsilon) \Rightarrow \beta$ satisfies (A) for (α, ϵ', ξ)

Note: there are only finitely many possible functions ξ , as they have bounded denominator.

The proof of Roth's theorem.

(1) Preliminaries:

Def: $\partial_{i_1, \dots, i_r} := \frac{1}{i_1! i_2! \dots i_r!} \frac{\partial^{i_1 + \dots + i_r}}{\partial x_1^{i_1} \dots \partial x_r^{i_r}}$

Lemma 1: Let $P(x_1, \dots, x_m) \in \mathbb{Z}[X]$, $\underline{i} := (i_1, \dots, i_m)$. Assume $\deg_{x_j} P \leq r_j$

Then: a) $\partial_{\underline{i}} P \in \mathbb{Z}[X]$

b) $|\partial_{\underline{i}} P| \leq 2^{r_1 + \dots + r_m} |P|$ where $|P| = \max\{|\text{coeff}|\}$.

(cont pt)

Remark: The Taylor series of P around (a_1, \dots, a_m) is:

$$\sum_{0 \leq i_1 \leq r_1, \dots, i_m \leq r_m} \partial_{\underline{i}} P(a_1, \dots, a_m) (x_1 - a_1)^{i_1} \dots (x_m - a_m)^{i_m}$$

Def: Let α be a field, $P \in k[x_1, \dots, x_m]$, $(a_1, \dots, a_m) \in k^m$, and $r_1, \dots, r_m > 0$ be integers.

Then the "index of P at α relative to r " is:

$$\text{Ind}_{\alpha, \underline{r}}(P) := \min \left\{ \frac{i_1}{r_1} + \dots + \frac{i_m}{r_m} : \partial_{\underline{i}} P(\alpha) \neq 0 \right\}$$

Lemma 2: Let $P, P' \in k[\underline{x}]$, \underline{r}, α fixed.

a) $\text{Ind}(\partial_{\underline{i}} P) \geq \text{Ind}(P) - \left(\frac{i_1}{r_1} + \dots + \frac{i_m}{r_m} \right)$

b) $\text{Ind}(P + P') \geq \min \{ \text{Ind}(P), \text{Ind}(P') \}$

c) $\text{Ind}(P - P') = \text{Ind} P + \text{Ind} P'$

Note: $\text{Ind} P \leq m$ if $P \neq 0$ and $\underline{r} \geq \text{deg} P$.

$$\text{Ind} P = 0 \iff P(\alpha) \neq 0.$$

Lemma 3: Let K/\mathbb{Q} be a field, $\alpha \in K^x$, $S \in M_K$.

Then $\prod_{v \in S} \min \{ \| \alpha \|_v, 1 \} \geq \frac{1}{M_K(\alpha)}$

Lemma 4: Let α be an algebraic integer, $Q(x) = x^d + a_1 x^{d-1} + \dots + a_d$ its minimal polynomial (so $Q(x) \in \mathbb{Z}[x]$). Then, for $l \geq 0$, write

$$\alpha^l = a_1^{(l)} \alpha^{d-1} + \dots + d a_d^{(l)}, \quad \text{and} \quad |a_i^{(l)}| \leq (|Q| + 1)^l.$$

Lemma 5: Let $m \geq 1$, $r \geq 0$. Then there are exactly $\binom{r+m-1}{r}$ m -partitions of r (i.e. $(i_1, \dots, i_m) \in \mathbb{N}_0^m : \sum_{j=1}^m i_j = r$).

Lemma 6: Let $r_1, \dots, r_m \geq 0$, $0 < \epsilon < 1$.

Then there are at most $(r_1+1) \dots (r_m+1) \cdot e^{-\epsilon^2 m/4}$ m -tuples of integers (i_1, \dots, i_m) , with $0 \leq i_k \leq r_k$, s.t.:

$$\frac{i_1}{r_1} + \dots + \frac{i_m}{r_m} \leq \frac{m}{2} - \epsilon \cdot m$$

Lemma (Siegel): Let $N > M \in \mathbb{N}$, and $A\vec{T} = 0$ be a system of M linear equations in N variables ($A \in M_{M \times N}(\mathbb{Z})$)

Let $|A|_\infty = |A| = \max \{ |a_{ij}| \}$

Then there is a $\vec{T} \in \mathbb{Z}^N$, $A\vec{T} = 0$ and $|\vec{T}| \leq (N|A|_\infty)^{\frac{M}{N-M}}$

~~Pl~~ $\# \{ \vec{T} : |\vec{T}| \leq B \} = (2B+1)^N$

$$|A\vec{T}| \leq N|A| \cdot |\vec{T}|$$

$$\# \{ \vec{T} : |\vec{T}| \leq N|A|B \} = (2N|A|B+1)^M$$

For $a \in \mathbb{R}$, let $a^+ = \max\{a, 0\}$, $a^- = \max\{-a, 0\}$ (so $a = a^+ - a^-$, $|a| = a^+ + a^-$).

Set $L_j = (a_{j1}, \dots, a_{jN})$, a linear form, $L_j^+ = \sum_i a_{ji}^+$, $L_j^- = \sum_i a_{ji}^-$

Then $\|L_j\| = L_j^+ + L_j^-$ (where $\|\cdot\|$ is the 1-norm).

Let $B \geq 0$. If $0 \leq t_i \leq B \forall i$, then

$$-L_j^- B \leq L_j(\vec{t}) \leq L_j^+ B$$

We have $\# \{ \vec{t} : 0 \leq t_i \leq B \forall i \} = (B+1)^N$, while

the image $A \cdot \{ \vec{t} : 0 \leq t_i \leq B \} \subseteq \prod_{j=1}^M [-L_j^- B, L_j^+ B]$, hence has at most $\prod_{j=1}^M (2L_j B + 1)$

If $(B+1)^N \geq \prod_{j=1}^M (2L_j B + 1)$, then $\exists t_1, t_2$ s.t. $A(\vec{t}_1 - \vec{t}_2) = 0$, $|\vec{t}_1 - \vec{t}_2| \leq B$.

(cont. pf of Siegel's lemma).

So just need to check that $(B+1)^N \geq \prod_{j=1}^m (N_j \|B+1\|)$, for

$$B = \lfloor (N/A)^{\frac{M}{N-M}} \rfloor$$

Indeed, $(B+1)^{N-M} > (N/A)^{\frac{M}{N-M}} \Rightarrow (B+1)^N > (N/A)^M \Rightarrow (B+1)^N \geq (N/A)B+1$
 $\geq \prod_{j=1}^m (N_j \|B+1\|)$

Prop 8:

Let α be an algebraic integer of degree d , $0 < \epsilon < 1$, and $m \geq 1$ s.t. $e^{\frac{\epsilon^2 m}{16}} > 2d$.

Let $r_1, \dots, r_m \in \mathbb{N}$. Then $\exists p(x_1, \dots, x_m) \in \mathbb{Z}[x]$ s.t.

a) $\deg_{x_i} P \leq r_i$ (with $\deg_x P \leq r$)

b) $\text{Ind}_{\alpha, \mathbb{Z}} \geq \frac{m}{2} (1-\epsilon)$

c) $|P| \leq B(\alpha)^{r_1 + \dots + r_m}$ ($B(\alpha)$ only depends on α)

pf

wrt $P(x) = \sum_{0 \leq j \leq r} P_j X^j$ ($X^j = x_1^{j_1} \dots x_m^{j_m}$) $P_j \in \mathbb{Z}$.

(there are $N = (r_1+1) \dots (r_m+1)$ coefficients P_j)

Let $\underline{i} = (i_1, \dots, i_m)$.

Then $\partial_{\underline{i}} P = \sum_{\underline{j} \leq \underline{i}} P_j \binom{\underline{i}}{\underline{j}} X^{\underline{j}-\underline{i}}$ where $\binom{\underline{i}}{\underline{j}} = \binom{i_1}{j_1} \dots \binom{i_m}{j_m}$.

(cont p1)

As in lemma 4, write $\alpha^l = a_1^{(l)} \alpha^{d-1} + \dots + a_d^{(l)}$, and

$$\begin{aligned} \text{evaluate } \partial_{\underline{i}} P(\alpha) &= \sum_{\substack{i \leq j \leq r \\ i \leq i \leq r}} p_j \binom{j}{i} \alpha^{\|j-i\|} = \sum_{\substack{i \leq j \leq r \\ i \leq i \leq r}} p_j \binom{j}{i} \left(\sum_{k=1}^d a_k^{(j-i)} \alpha^{d-k} \right) \\ &= \sum_{k=1}^d \left[\sum_{\substack{i \leq j \leq r \\ i \leq i \leq r}} \binom{j}{i} a_k^{(j-i)} p_j \right] \alpha^{d-k}. \end{aligned}$$

This is 0 iff all the inner terms are 0 \Rightarrow d. linear-equations in the a_k 's.

So, for each $i \leq r$ we can set up d equations ensuring $\partial_{\underline{i}} P(\alpha) = 0$.

$$\text{For (a) to hold, we need } \partial_{\underline{i}} P(\alpha) = 0 \quad \forall \underline{i} \text{ s.t. } \frac{i_1}{r_1} + \dots + \frac{i_m}{r_m} < \frac{m}{2} (1-\epsilon) \\ = \frac{m}{2} - \frac{\epsilon}{2} m$$

By lemma 6, there are at most $(r_1+1) \dots (r_m+1) e^{-\frac{\epsilon m}{16}}$ such \underline{i} .

By our choice of N , this means that we get at most $d \frac{N}{2d} = \frac{N}{2}$ such equations.

Note that the coeffs of the equations are bounded using lemma 4:

$$\left| \binom{j}{i} a_k^{(j-i)} \right| \leq 2^{\|j\|} (|Q|+1)^{\|j\|} = (2|Q|+2)^{\|j\|} \leq (2(|Q|+2))^{\|r\|},$$

where $Q = \max p_j$ of α .

By Siegel's lemma, $\exists P(X)$ satisfying (a), (b), such that

$$\begin{aligned} |P| &\leq N (2|Q|+2)^{\|r\|} \frac{M}{N-M} \leq N (2|Q|+2)^{\|r\|} \quad (\text{because } M \leq \frac{N}{2} \Rightarrow \frac{M}{N-M} \leq 1) \\ &= (r_1+1) \dots (r_m+1) (2|Q|+2)^{\|r\|} \leq 2^{r_1} \dots 2^{r_m} (2|Q|+2)^{\|r\|} \\ &= 2^{\|r\|} (2|Q|+2)^{\|r\|} = B(\alpha)^{\|r\|} \quad \text{with } B(\alpha) = 4|Q|+4 \text{ giving (c)} \end{aligned}$$

Lemma 11: Let $r \geq 0$, $P \in \mathcal{P}(K)$, $\deg P \leq r$. Let $\theta = \text{Ind}_{\alpha, r}(P)$.

Let $0 < \delta \leq 1$, $0 < \theta_0 < \theta$. Let $S \subset M_K$ be a finite set of places.

Let $\underline{\varepsilon} : S \rightarrow [0, 1]$ s.t. $\sum_{\nu \in S} \varepsilon_{\nu} = 1$, $\beta_1, \dots, \beta_m \in K : \|\beta_i - \alpha\|_{\nu} \leq \frac{r}{M_K(\beta_i)^{(2+\delta)\varepsilon_{\nu}}}$ (*)

Set $D := \min \{ M_K(\beta_i)^{\varepsilon_i} \}$, $\underline{j} = (j_1, \dots, j_m)$ such that

$$\sum_{i=1}^m \frac{j_i}{r_i} \leq \theta. \quad (\text{write } \|\underline{j}/r\| := \sum_{i=1}^m \frac{j_i}{r_i}).$$

Then: $\prod_{\nu \in S} \|\partial_{\underline{j}} P(\underline{\beta})\|_{\nu} \leq (\delta H(\alpha))^{\lfloor K:Q \rfloor \|\underline{j}/r\|} \cdot M_K(P) \cdot D^{-(2+\delta)(\theta - \theta_0)}$

Pf

Let \underline{j} be as in the statement, and write $Q := \partial_{\underline{j}} P$.

We need to estimate $Q(\underline{\beta})$. Let $v \in M_K$, extended to $K(\alpha)$.

Then $|\partial_{\underline{j}} Q(\underline{\alpha})| \leq 4 \max\{|\alpha|_v, 1\}^{\|\underline{j}\|} |P|_v$ (****)
if $v \in M_K^{\text{no}}$

From Lemma 2, $\text{Ind}_{\alpha, r}(Q) = \text{Ind}(\partial_{\underline{j}} P) \geq \text{Ind}(P) - \|\underline{j}/r\| \geq \theta - \theta_0$.

So the Taylor expansion of Q at $\underline{\alpha}$ is

$$Q(\underline{x}) = \sum_{\substack{0 \leq \underline{i} \leq \underline{\varepsilon} \\ \|\underline{i}/r\| \geq \theta - \theta_0}} \partial_{\underline{i}} Q(\underline{\alpha}) (\underline{x} - \underline{\alpha})^{\underline{i}}$$

Setting $\underline{x} := \underline{\beta}$, using (**) & (****) we estimate: only at α -places.

$$\begin{aligned} |Q(\underline{\beta})|_v &\leq \sum_{\substack{0 \leq \underline{i} \leq \underline{\varepsilon} \\ \|\underline{i}/r\| \geq \theta - \theta_0}} |\partial_{\underline{i}} Q(\underline{\alpha})|_v |\underline{\beta} - \underline{\alpha}|_v^{\underline{i}} \leq \overbrace{(\varepsilon_1 + 1) \dots (\varepsilon_{m+1} + 1)}^{\substack{\text{only at } \alpha \text{-places} \\ \downarrow \\ \|\underline{j}/r\|}} \cdot \max_{\substack{0 \leq \underline{i} \leq \underline{\varepsilon} \\ \|\underline{i}/r\| \geq \theta - \theta_0}} \{ |\partial_{\underline{i}} Q(\underline{\alpha})| \} \\ &\leq \delta |P|_v \max\{|\alpha|_v, 1\}^{\|\underline{j}\|} \cdot \max_{\substack{\|\underline{i}/r\| \geq \theta - \theta_0}} \left\{ \frac{1}{(M_K(\underline{\beta}))^{(2+\delta)\varepsilon}} \right\} \end{aligned}$$

Step 2: Bounding the index below.

Proposition 9: Let $0 < \delta < 1$, $0 < \epsilon < \delta$ (*).

Suppose α is an alg. integer of degree d , and $m \in \mathbb{N}$ st $e^{\frac{\epsilon^2 m}{16}} > 2d$
 Let $\underline{r} \geq \underline{1}$. Using Prop 8, choose a polynomial satisfying (a), (b), (c),
 say $P(X)$. Let $S \subseteq M_K$ be a finite set of valuations.

Let $\xi: S \rightarrow [0, 1]$ st. $\sum_v \xi_v = 1$, $\beta_1, \dots, \beta_m \in K$

Such that $\|\beta_i - \alpha\|_v \leq \frac{\epsilon}{M_K(\beta_i)^{r_i}} \quad \forall v \in S \quad (**)$.

In addition, suppose that $\max_i \{M_K(\beta_i)^{r_i}\} \leq \min_i \{M_K(\beta_i)^{r_i}\}^{1+\epsilon} \quad (***)$

Then: $\exists C = C(\alpha, \delta)$ st. $[C \leq M_K(\beta_i) \quad \forall i] \Rightarrow [\text{ind}_{\underline{r}, \underline{1}}(P) \geq \epsilon \cdot m]$

Lemma 10: Let $P \in \mathbb{Z}[X]$ w/ $\deg P \leq \underline{r}$, and let $\underline{\beta} \in K^m$.

$\forall \underline{j} = (j_1, \dots, j_m) \geq \underline{0}$ we have:

$$M_K(\partial_{\underline{j}} P(\underline{\beta})) \leq 4^{||\underline{r}||} [K:\mathbb{Q}] M_K(P) \cdot \prod_i M_K(\beta_i)^{r_i}$$

we may write $\underline{\beta}$ as $M_K(\underline{\beta})^{\underline{r}}$

pf $|\partial_{\underline{j}} P|_v \leq 2^{||\underline{r}||} |P|_v$ by Lemma 1, $\forall v \in M_K$.

Let $v \in M_K^{\text{oo}}$. Then $|\partial_{\underline{j}} P(\underline{\beta})|_v \leq (r_1+1) \dots (r_m+1) |P|_v \cdot \max\{|\beta_1|_v, 1\}^{r_1} \dots \max\{|\beta_m|_v, 1\}^{r_m}$
 $\leq \frac{2^{||\underline{r}||} \cdot 2^{||\underline{r}||}}{4^{||\underline{r}||}} |P|_v \max\{|\beta_1|_v, 1\}^{r_1} \dots \max\{|\beta_m|_v, 1\}^{r_m}$

If $v \in M_K^0$, we get a similar bound, but without the factor of $4^{||\underline{r}||}$.


Taking the product over all v , we get the result,



(cont of of lemma 11)

To finish the proof we need to estimate

$$\max_{\substack{i \in S \\ \|z_i/\varepsilon\| > \theta_0}} \left\{ \frac{1}{(H_K(\underline{\beta}))^i (2+\delta)^{\varepsilon_i}} \right\} \leq \left(\frac{1}{D^{\theta-\theta_0}} \right)^{(2+\delta)\varepsilon}$$

Multiplying over all $v \in S$ and using $\sum \varepsilon_v = 1$ we get the result. 

Proof of Prop 9.

Let \underline{j} be such that $\|z_{\underline{j}}/\varepsilon\| \leq \varepsilon \cdot m$. We want to show that

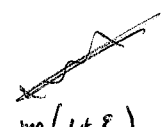
then $\partial_{\underline{j}} P(\underline{\beta}) = 0$

From Lemma 11, $\prod_{v \in S} \|\partial_{\underline{j}} P(\underline{\beta})\|_v \leq (8 H(\alpha))^{[K:\mathbb{Q}]\|z\|} M_K(P) \frac{1}{D^{(2+\delta)(\theta-\theta_0)}}$

(with $\theta \geq \frac{m}{2}(1+\varepsilon)$, $\theta_0 \leq \varepsilon m$) $\Rightarrow \prod_{v \in S} \|\partial_{\underline{j}} P(\underline{\beta})\|_v \leq \frac{(8 \tilde{B}(\alpha))^{[K:\mathbb{Q}]}}{D^{((\frac{m}{2}(1-\varepsilon) - \varepsilon m)(2+\delta))}}$ (S)

$(\tilde{B}(\alpha) = (\text{const from Prop 8}) \cdot M(\alpha))$

On the other hand, by Lemma 10,

(SS) $H_K(\partial_{\underline{j}} P(\underline{\beta})) \leq 4^{[K:\mathbb{Q}]\|z\|} M_K(P) \prod_i H_K(\beta_i)^{\varepsilon_i} \stackrel{\text{assumption}}{\leq} (4B(\alpha))^{[K:\mathbb{Q}]} D^{m(1+\varepsilon)}$ 


Now, use Liouville's inequality (Lemma 3), so either $\partial_{\underline{j}} P(\underline{\beta}) = 0$ or

$\prod_{v \in S} \|\partial_{\underline{j}} P(\underline{\beta})\|_v \geq \frac{1}{H_K(\partial_{\underline{j}} P(\underline{\beta}))}$ (SSS)

Comparing (S) and (SS) and (SSS), we get $\frac{(8 \tilde{B}(\alpha))^{[K:\mathbb{Q}]}}{D^{[(\frac{m}{2}(1-\varepsilon) - \varepsilon m)(2+\delta)]}} \geq \frac{1}{(4B(\alpha))^{[K:\mathbb{Q}]} D^{m(1+\varepsilon)}}$

or equivalently, $2^{[K:\mathbb{Q}]} (4B(\alpha))^{2[K:\mathbb{Q}]} \geq D^{m[(1+\frac{\varepsilon}{2})(1-3\varepsilon) - (1+\varepsilon)]}$

If $\varepsilon \ll \delta$, the exponent on the RHS $\rightarrow > 0$. Making then $C \gg 0$

leads to a contradiction. So $\partial_{\underline{j}} P(\underline{\beta}) = 0$ as desired. 

Step 3: The index is small.

Def Let $f(\underline{x}) \in K[\underline{x}]$, K/\mathbb{Q} a number field. The affine height of f over K is $H_K(f) := H_K([1: \text{coeffs of } f])$, $h_K(f) := \log H_K(f)$.

The projective height is $H_K^{pr}(f) = H_K([\text{coeffs of } f])$ if $f \neq 0$.

Lemma 12: Let $\tilde{F} = \{f_1, \dots, f_n\} \in K[\underline{x}]$ ^{m variables}. Let $H_K(\tilde{F}) := \max\{H_K(f_i)\}$.

Let $\deg f_i :=$ total degree of f_i .

a) $h(f_1 \cdots f_n) \leq \sum_{i=1}^n [h(f_i) + (\deg f_i + m) \cdot \log 2]$

b) $h(f_1 + \cdots + f_n) \leq \sum_{i=1}^n h(f_i) + \log n$.

c) Suppose that $f_i \in \mathcal{O}_K(\underline{x}) \forall i$. Then

$$h(f_1 + \cdots + f_n) \leq [K:\mathbb{Q}] \cdot h(\tilde{F}) + \log n.$$

Lemma 13 (Gelfand's Inequality): Let d_1, \dots, d_m ^{$\in \mathbb{Z}$} , $f_1, \dots, f_n \in \overline{\mathbb{Q}}[\underline{x}]$ s.t.

$$\deg_{x_i}(f_1 \cdots f_n) \leq d_i \quad \forall i.$$

Then, $\sum_{i=1}^n h^{pr}(f_i) \leq h^{pr}(f_1 \cdots f_n) + \sum_{i=1}^m d_i$

Prop 14 (Roth's Lemma): Let $m \geq 1$, $P \in \overline{\mathbb{Q}}[x_1, \dots, x_m]$, s.t. $\deg P \leq \underline{r}$

Let $\underline{\beta} = (\beta_1, \dots, \beta_m) \in \overline{\mathbb{Q}}^m$. Fix $\eta > 0$ s.t.

i) $\frac{\beta_{i+1}}{\beta_i} \leq \eta^{2^{m-i}} \quad \forall i=1, \dots, m-1$ ← degrees get smaller fast

ii) $\eta^{2^{m-1}} = \min_{1 \leq i \leq m} \{ \beta_i \log H(\beta_i) \} \geq \log H(P) + 2m r_i$ ← $H(\beta_i)$ gets longer fast.

Then $\text{Shd}_{\underline{\beta}, \underline{r}}(P) \leq 2m\eta$

Proof: We work by induction on m . Suppose that all β_i and P are defined over k , with $[k:\mathbb{Q}] = d$.

Ⓐ Case $m=1$: Write $\beta = \beta_1$, $r = r_1$.

Let ℓ be the exact degree of vanishing at $P(X)$ at β , so that

$$\text{Ind}_\beta(P) = \frac{\ell}{r}. \text{ So } P(x) = (x - \beta)^\ell \cdot Q(x), \quad Q(\beta) \neq 0.$$

Using Lemma 13, we estimate:

$$\begin{aligned}
H(\beta)^{r \cdot \text{Ind } P} &= H(\beta)^\ell = M^{pr} (x - \beta)^\ell \leq M^{pr} (x - \beta)^\ell H^{pr}(Q(x)) \stackrel{\text{Gelfand's Ineq}}{\leq} \\
&\leq M^{pr} ((x - \beta)^\ell Q(x)) e^r \leq M(P) e^r \quad \leftarrow \text{the proj. height is } \leq \text{the affine height}
\end{aligned}$$

$$\text{So that } \text{Ind } P \leq \frac{\log H(P) + r}{r \log H(\beta)} \leq \eta \stackrel{\text{by (ii)}}{\leq} 2\eta$$

Note: The bound we've found is η (instead of 2η), and instead of (ii) we used $\eta r \log H(\beta) \geq \log H(P) + r$ instead of $2r$.

Ⓑ Induction Step: We need to factor $P(x)$

Def: Let $f_1, \dots, f_n \in K(x_1, \dots, x_m)$. A generalized Wronski determinant of (f_1, \dots, f_n) is a function
$$W := \det [\Delta_i f_j]_{1 \leq i, j \leq n}$$

where Δ_i is a linear differential of order $\leq i-1$
take it to be monomial

Lemma 15: (f_1, \dots, f_n) are l.i. over K \iff there exists a generalized Wronski determinant st. $\det (\Delta_i f_j) \neq 0$.

\implies If f_1, \dots, f_n are linearly dependent, then the columns of the Wronski det are l. dep. $\implies 0$.



(cont. of Lemma 15)

\Rightarrow Suppose $\lambda \neq 0$ in $K(\underline{X})$. Then $\{f_1, \dots, f_n\}$ l.i. $\Leftrightarrow \{\lambda f_1, \dots, \lambda f_n\}$ l.i.

Also, $W(f_1, \dots, f_n) \neq 0 \Leftrightarrow \exists W'$ s.t. $W'(f_1, \dots, \lambda f_n) \neq 0$.

In particular, we may assume $f_1 = 1$.

We use induction on n , and note $n=1$ is trivial.

Suppose the assertion true for all sets of functions w/ $1 \leq k < n$ elements.

Let $V = K \langle f_1, \dots, f_n \rangle$, an n -dim vector space $\subseteq K(\underline{X})$.

Claim: If V has any basis ψ_1, \dots, ψ_n having a nonzero wronskian, then

$W(f_1, \dots, f_n) \neq 0$ (as $\{f_1, \dots, f_n\}$ is another basis). \leftarrow easy!

We may assume wlog that $\frac{\partial f_2}{\partial x_1} \neq 0$. Let $U = \{f \in V : \frac{\partial f}{\partial x_1} = 0\} \subseteq V$.

Then $\{0\} \subsetneq U \subsetneq V$.

Choose a basis $\{\psi_1, \dots, \psi_k\}$ of U , and extend it w/ $\psi_{k+1}, \dots, \psi_n$ to a basis of V .

Note that $\left\{ \frac{\partial \psi_{k+1}}{\partial x_1}, \dots, \frac{\partial \psi_n}{\partial x_1} \right\}$ is l.i. over K .

By induction, \exists

(a) $\Delta_1^* \dots \Delta_k^*$ w/ $\text{ord}(\Delta_i^*) \leq i-1$

s.t. $W_1(\psi_1, \dots, \psi_k) = \det \left(\overbrace{\Delta_i^* \psi_j}^{W_1} \right) \neq 0$

(b) $\Delta_{k+1}^* \dots \Delta_n^*$ w/ $\text{ord}(\Delta_i^*) \leq i-k-1$ s.t.

$\det \left(\overbrace{\Delta_i^* \frac{\partial}{\partial x_1} \psi_j}^{W_2} \right)_{k+1 \leq i, j \leq n} \neq 0$

Let now $\Delta_i = \begin{cases} \Delta_i^* & 1 \leq i \leq k \\ \Delta_i^* \frac{\partial}{\partial x_1} & k+1 \leq i \leq n \end{cases}$

\leftarrow note that $\text{ord}(\Delta_i) \leq i-1$

So $\Delta_i \psi_j = 0$ if $i \geq k+1, j \leq k$. Hence $\left| (\Delta_i \psi_j)_{1 \leq i, j \leq n} \right| = \left| \begin{bmatrix} W_1 & * \\ 0 & W_2 \end{bmatrix} \right| \neq 0$

Back to the proof of Roth's lemma,

Let $m > 1$ and assume it true for $< m$ variables.

Write $P(x_1, \dots, x_m) = \sum_{j=1}^k \Phi_j(x_1, \dots, x_{m-1}) \Psi_j(x_m)$ (so view as in $K[x_1, \dots, x_{m-1}][x_m]$)

Do it in such a way such that K is minimal. Note $K \leq r_{m+1}$

Claim: $\{\Phi_1, \dots, \Phi_k\}$ is K -linearly independent, as is $\{\Psi_1, \dots, \Psi_k\}$.

Pf Suppose $\Phi_k = \sum_{i=1}^{k-1} a_i \Phi_i$, $a_i \in K$. Then $P = \sum_{j=1}^{k-1} \Phi_j \Psi_j + \sum_{i=1}^{k-1} a_i \Phi_i = \sum_{j=1}^{k-1} \Phi_j (\Psi_j + a_j \Psi_k) \Rightarrow$

Similarly for $\{\Psi_j\}$

Let now $U(x_m) = \det \left(\partial_{(0, \dots, i-1)} \Psi_j(x_m) \right)_{1 \leq i, j \leq k}$ the classical wronskian $\neq 0$ because $\{\Psi_j\}$ is li.

Also, by Lemma 15 $\exists \Delta'_i = \partial_{\underline{s}_i}$ w/ $\|\underline{s}_i\| \leq i-1$ such that

$$V(x_1, \dots, x_{m-1}) = \det \left(\Delta'_i \Phi_j \right)_{1 \leq i, j \leq k}$$

Now define $W(x_1, \dots, x_m) := \det \left(\Delta'_i \partial_{(0, \dots, j-1)} P \right)_{1 \leq i, j \leq k}$

$$\begin{aligned} \text{Note that } W(x_1, \dots, x_m) &= \det \left(\left[\Delta'_i \partial_{(0, \dots, j-1)} \right] \sum_{t=1}^k \Phi_t \Psi_t \right)_{1 \leq i, j \leq k} = \\ &= \det \left(\sum_{t=1}^k \Delta'_i \Phi_t \cdot \partial_{(0, \dots, j-1)} \Psi_t \right)_{1 \leq i, j \leq k} = \det \left(\Delta'_i \Phi_t \right)_{1 \leq i, t \leq k} \cdot \det \left(\partial_{(0, \dots, j-1)} \Psi_t \right)_{j, t} \end{aligned}$$

$$= V \cdot U. (= V(x_1, \dots, x_{m-1}) \cdot U(x_m))$$

To perform the induction we will:

Ⓒ \rightarrow Use induction hypothesis to bound $\text{Ind}(U)$, $\text{Drd}(V)$, $\text{Ind}(W)$ (above).

Ⓓ \rightarrow Bound the order of W below in terms of $\text{Ind}(P)$.

To do (c), we may assume $\eta \leq \frac{1}{2}$, otherwise the conclusion is trivial.

Note that $h(W) = h(U \cdot V) = h(U) + h(V)$
 \uparrow disjoint sets of variables.

Claim: (a) $\deg_{X_m} U \leq k r_m$, $\deg_{X_j} V \leq k r_j$, $j \in m-1$.

(b) $h(W) = h(U) + h(V) \leq k (h(P) + 2r_1)$

Prf (a) is clear.

(b) W -being a determinant is a sum of $k!$ terms, each being a product of k polynomials of $\deg \leq \Gamma$, satisfying:

$$H(\Delta_i' \mathcal{O}_{(0, \dots, i)} P) \leq 2^{\|\Sigma\|} H(P)$$

By Lemma 12 (3.7.2 in book) we conclude

$$h(W) \leq k \cdot (h(P) + \|\Sigma\| \log 2) + \log(k!)$$

Now $\|\Sigma\| \leq r_1 (1 + \eta + \eta^2 + \dots + \eta^{m-1})$ where $\eta' = \eta^{2^{m-1}}$ (by (i))

Since $\eta \leq \frac{1}{2}$, then $\eta' \leq \frac{1}{4}$ ($m \geq 2$). $\Rightarrow \|\Sigma\| \leq \frac{4}{3} r_1$.

Also, $\frac{\log(k!)}{k} \leq \log k \leq k-1 \leq r_m \leq \frac{1}{2} r_1$. So

$$h(W) \leq k \left(h(P) + \left(\frac{4}{3} \log 2 + \frac{1}{2} \right) r_1 \right) \leq k (h(P) + 2r_1)$$

$c_1 \approx 1.424 < 2$

Now, for (d): ~~note~~ Claim: $\text{Ind}_{\beta_m, r_m}(U) \leq k \eta^{2^{m-1}}$, $\text{Ind}_{(\beta_1, \dots, \beta_{m-1}), (r_1, \dots, r_{m-1})}(V) \leq 2k(m-1)\eta^2$.

So $\text{Ind}_{\beta, \Sigma}(W) \leq 2k(m-1)\eta^2 + k\eta^{2^{m-1}}$

Proof (of claim): Let $\Sigma' := k \cdot (r_1, \dots, r_{m-1})$, $\eta' := \eta^2$, $m' := m-1$. We need to check:

i) $\deg V \leq \Sigma'$ (by claim (a)).

ii) $\frac{r_{i+1}}{r_i} \leq \eta' 2^{m'-1}$ but LHS = $\frac{r_{i+1}}{r_i}$, and RHS = $\eta' 2^{m'-1}$ - so it's hypothesis (i).

iii) $\eta' 2^{m'-1} = \min_{1 \leq i \leq m-1} \left\{ \frac{r_{i+1}}{r_i} \log H(\beta_i) \right\} \geq \log H(V) + 2m' r_1' \rightarrow$

To check (ii), we do:

$$\eta^{2^{m-1}} \cdot \min_{1 \leq i \leq m-1} \{ r_i' \log H(\beta_i) \} \geq \eta^{2^{m-1}} \cdot \underset{1 \leq i \leq m}{\min} \{ r_i \log H(\beta_i) \} \stackrel{(ii)}{\geq} k (\log H(P) + 2m r_1) \geq k \log H(P) + 2m r_1$$

$$\geq k \log H(P) + 2k r_1 + 2m' k r_1 \geq \log H(V) + 2m' r_1.$$

This gives the ~~first inequality~~ second inequality (i.e. for V).

For U, we use the stronger version of Roth's thm (m=1), with

$$\eta'' = \eta^{2^{m-1}}, \quad r_m'' = k r_m, \quad m'' = 1.$$

Again, (i) $\deg_{x_m} U \leq r_m''$

(ii) empty condition.

$$(ii) \quad \eta'' r_m'' \log H(\beta_m) \stackrel{?}{\geq} \log H(U) + r_m''$$

To check (ii), note:

$$k \eta^{2^{m-1}} r_m \log H(\beta_m) \stackrel{(ii)}{\geq} k (\log H(P) + 2m r_1) \geq k (\log H(P) + 2r_1) + 2(m-1) k r_1 \geq$$

$$\geq \log H(U) + 2k r_m \geq \log H(U) + r_m'' \quad \text{// (and of } \textcircled{C})$$

↑
chunks
m > 1

Part ①: (need to bound below the order of W in terms of that of E)

Claim 1: $\text{Ind}_{\mathbb{Q}, \mathbb{R}} W \geq \frac{k}{2} \min \{ \text{Ind}(P), \text{Ind}(P)^2 \} - k \frac{r_m}{r_{m-1}}$

Once we prove the claim, we can finish the proof of Roth's lemma, by:

$$(\text{Ind}_{\mathbb{Q}, \mathbb{R}}(P))^2 \stackrel{?}{\leq} m \cdot \min_{\text{Ind}(P) \leq m} \{ \text{Ind}(P), \text{Ind}(P)^2 \} \stackrel{\text{d.l.}}{\leq} \frac{2m}{k} \text{Ind}(W) + 2m \frac{r_m}{r_{m-1}} \leq \text{c.z.}$$

$$\leq 4m(m-1) \eta^2 + 2m \eta^{2^{m-1}} + 2m \frac{r_m}{r_{m-1}} \stackrel{(i)}{\leq} 4m^2 \eta^2 + 4m \eta^{2^{m-1}} - 4m \eta^2 \leq 4m^2 \eta^2 \quad \Rightarrow //$$

Proof of Claim 1:

Recall that $\text{Ind} = \det \left(\alpha_{i, j}^{(s)}, j=1, \dots, s-1 \right)_{1 \leq i \leq s}^P$ for $\| \underline{i}^{(s)} \| \leq s-1$.



Now, estimate:

$$1) \text{Ind} \left(\mathcal{O}_{\left(\begin{smallmatrix} i^{(s)} \\ j-1 \end{smallmatrix} \right)} P \right) \geq \text{Ind}(P) - \frac{\binom{i^{(s)}}{j-1}}{\Gamma} \geq \text{Ind}(P) - \frac{\|i^{(s)}\|}{r_{m-1}} - \frac{j-1}{r_m} \geq$$

$$\geq \text{Ind}(P) - \frac{r_m}{r_{m-1}} - \frac{j-1}{r_m}$$

$\|i^{(s)}\| \leq k-1 \leq r_m$

2) $W = \text{sum of } k! \text{ summands, each of which is a product of } k \text{ terms with one factor as in (1), for each } 1 \leq j \leq k.$

Therefore, $\text{Ind}(W) \geq \min \left\{ \text{Ind} \left(\text{product of } k\text{-terms as in (1) for each } 1 \leq j \leq k \right) \right\} \geq$

$$\geq \sum_{j=1}^k \max \left\{ \text{Ind} P - \frac{r_m}{r_{m-1}} - \frac{j-1}{r_m}, 0 \right\} \geq \sum_{j=1}^k \max \left\{ \text{Ind} P - \frac{j-1}{r_m}, 0 \right\} - \frac{k r_m}{r_{m-1}}$$

\uparrow
 $\text{Ind}(P)$

Let $\text{LHS} := \sum_{j=1}^k \max \left\{ \text{Ind} P - \frac{j-1}{r_m}, 0 \right\} \geq \frac{k}{2} \min \left\{ \text{Ind} P, (\text{Ind} P)^2 \right\}$

Case 1: $\text{Ind} P \geq \frac{k-1}{r_m}$.

Then $\text{LHS} = \sum_{j=1}^k \text{Ind} P - \frac{j-1}{r_m} = k \text{Ind} P - \frac{k(k-1)}{2 r_m} \geq \frac{k}{2} \text{Ind} P$ ✓

because $\text{Ind} P \geq \frac{k-1}{r_m}$

Case 2: $\text{Ind} P \leq \frac{k-1}{r_m} \leq 1$, and $k \leq r_m$

Let $N := \lfloor r_m \text{Ind} P \rfloor$; then $N+1 < k$, and $\text{LHS} = \sum_{j=1}^{N+1} \left(\text{Ind} P - \frac{j-1}{r_m} \right) =$

$$= (N+1) \text{Ind} P - \frac{N(N+1)}{2 r_m} = (N+1) \left(\text{Ind} P - \frac{\lfloor r_m \text{Ind} P \rfloor}{2 r_m} \right) \geq (N+1) \text{Ind} P \cdot \frac{1}{2} \text{Ind} P$$

$$\geq \frac{1}{2} r_m (\text{Ind} P)^2 \geq \frac{k}{2} (\text{Ind} P)^2. \quad \checkmark$$

Case 3: $k = r_m + 1$.

$\text{LHS} \stackrel{\text{case 2}}{\geq} (N+1) \text{Ind} P - \frac{N(N+1)}{2(k-1)} =: \varphi(N)$

Since $(k-1) \text{Ind} P - 1 \in N \leq (k-1) \text{Ind} P$, then $\varphi(N) \geq \min \left\{ \varphi((k-1) \text{Ind} P), \varphi((k-1) \text{Ind} P - 1) \right\}$

$$= (k-1)(\text{Ind} P)^2 - \frac{1}{2} (k-1) (\text{Ind} P)^2 + \frac{1}{2} \text{Ind} P = \frac{(k-1)(\text{Ind} P)^2 + \text{Ind} P}{2} \geq \frac{k}{2} (\text{Ind} P)^2. \quad \checkmark$$

$\text{Ind} P < 1$

(13/14)

Now, with Roth's lemma we can go on with the proof of Roth's Theorem.

Thm: Let K be a # field, $\alpha \in \bar{K}$, $S \subseteq M_K$, $\delta > 0$, $\xi: S \rightarrow [0,1]$ s.t. $\sum \xi_v = 1$

Then there are only finitely many $\beta \in K$ s.t.

$$\|\beta - \alpha\|_v \leq \frac{1}{H_K(\beta)^{(2+\delta)\xi_v}} \quad \forall v \in S \quad (**)$$

pf

Step 1

$$\left\{ \begin{array}{l} (1) \quad e^{\xi m/16} > 2d \quad (\text{where } d = \deg(\alpha)) \\ (2) \quad \text{Ind}_{\alpha, \xi} P \geq \frac{m}{2}(1-\epsilon) \quad \deg P \leq \xi \\ (3) \quad |P| = H(P) \leq B(\alpha)^{|P|} \end{array} \right.$$

Step 2

$$\left\{ \begin{array}{l} (4) \quad 0 < \epsilon \ll \delta \quad (\text{how small } \epsilon \text{ depends only on } \delta) \\ (5) \quad \|\beta_i - \alpha\|_v \leq \frac{1}{H_K(\beta_i)^{(2+\delta)\xi_v}} \quad \forall v, \forall i \in \{1, \dots, m\} \\ (6) \quad D := \min \{H(\beta_i)^{r_i}\} \leq \max \{H(\beta_i)^{r_i}\} \leq D^{1+\epsilon} \\ (7) \quad H(\beta_i) \geq C(\alpha, \delta) \quad \forall i \end{array} \right.$$

Step 3

$$\left\{ \begin{array}{l} (8) \quad r_{i+1} \leq \eta^{2^{m-1}} r_i \\ (9) \quad \log H(P) + 2m r_1 \leq \eta^{2^{m-1}} \log D \end{array} \right.$$

We are going to choose, in that order, $\epsilon, m, \eta, \rho, \xi, P(x)$, assuming the existence of only very approximations β .

a) Choose $\epsilon \ll \delta$ s.t. (4) is satisfied.

b) Choose m s.t. (1) holds.

c) Set $\eta := \frac{\epsilon}{16}$, note that $2\eta < \epsilon$

d) Choose β_1 s.t. $H(\beta_1) \geq C(\alpha, \delta)$ and $\log H(\beta_1) \geq \frac{m(\log B(\alpha) + 2)}{\eta^{2^{m-1}}}$ and (***) is satisfied.

So (7) is now satisfied for β_1 .

e) Choose β_2, \dots, β_m so that $\eta^{2^{m-1}} \log H(\beta_{i+1}) \geq 2 \log H(\beta_i)$ and (***) .

Note now that (7) is completely satisfied.

f) Choose r_1 s.t. $r_1 \eta^{2^{m-1}} \log H(\beta_1) \geq 2 \log H(\beta_m)$ (or $r_1 \gg 0$).

(Choose r_1 large enough so that (6) is satisfied).

g) We can now choose r_2, \dots, r_m s.t. (6) and (8) hold, and s.t. $D = H(\beta_1)^{r_1}$

h) Props 8 & 9 (step 1 & step 2) allow us to choose $P(x_1, \dots, x_m)$ s.t. (2) & (3) hold, and $\text{Ind}_{\beta_i} P \geq \epsilon^m$.

We want to apply Roth's Lemm. So we need to make sure that (9) is satisfied.

In that case, $\text{Ind}_{\beta_i} P \leq 2 \eta^m \stackrel{(c)}{\leq} \epsilon^m \Rightarrow !!$

Because of (8), we need to check that

$$\eta^{2^{m-1}} r_1 \log H(\beta_1) \geq \log H(P) + 2mr_1$$

Note that $P \in \mathbb{Z}[\underline{x}]$, so $H(P) = |P|$. We estimate:

$$\log H(P) + 2mr_1 \stackrel{(3)}{\leq} \|\underline{\alpha}\| \log B(\alpha) + 2mr_1 \leq m r_1 \log B(\alpha) + 2mr_1 = m r_1 (\log B(\alpha) + 2)$$

Looking at how we chose (d), this is $\leq \eta^{2^{m-1}} r_1 \log H(\beta_1)$

~~Roth's Thm.~~

Applications:

Theorem 1: Let K be a number field, $S \subseteq M_K$ finite set of places containing M_K^∞ .

Let $R_S := \mathcal{O}_K[S^{-1}]$ ($R_S = \{x \in K : |x|_v \leq 1 \forall v \notin S\}$).

Then the equation $U+V=1$ has only finitely many solutions $U, V \in R_S^*$

Pf Let $s := |S|$, $m \gg s$ (i.e. $m \gg 2s$). The group $R_S^* / (R_S^*)^m$ is finite.

(because R_S^* is finitely-generated abelian).

Fix a set \mathcal{A} of coset representatives in R_S^* .

↓

Let $T := \{(U, V) : U+V=1\} \rightarrow A \times A$

$$(U, V) \longmapsto (a, b)$$

where $U = aX^m$ - $V = bY^m$, $a, b \in A$, $X, Y \in R_S^x$.

If we had only many solutions, then $\exists a, b \in A$ s.t

$$aX^m + bY^m = 1 \text{ has only many solution in } X, Y \in R_S^x.$$

Since S is finite, $\exists w \in S$ s.t.

$$\left\{ (X, Y) : \begin{matrix} aX^m + bY^m = 1 \\ \text{and } \|Y\|_w \geq \|X\|_w \forall w \in S \end{matrix} \right\} \text{ is infinite.}$$

Fix $\alpha \in \bar{K}$ s.t $\alpha^m = -\frac{b}{a}$. Then $\frac{1}{aY^m} = \frac{X^m}{Y^m} + \frac{b}{a} = \frac{X^m}{Y^m} - \alpha^m =$

$$= \prod_{\zeta \in \mu_m} \left(\frac{X}{Y} - \zeta \alpha \right)$$

There is a constant $C = C(K, S, m) \geq 0$ s.t.

$$\frac{1}{\|Y\|_w^m} \geq C \min_{\zeta} \left\| \frac{X}{Y} - \zeta \alpha \right\|_w \quad (*)$$

A priori, C depends on a, b , but we can force $C = \min_{(a,b) \in A \times A} C_{a,b}$ finite!

As there are finitely many $\zeta \in \mu_m$, $\exists \zeta$ s.t $\min \| \frac{X}{Y} - \zeta \alpha \|_w = \| \frac{X}{Y} - \zeta \alpha \|_w$.

So for only many X, Y , for only many (X, Y) .

$$\frac{1}{\|Y\|_w^m} \geq C \left\| \frac{X}{Y} - \zeta \alpha \right\|_w \quad Y \in R_S^x$$

$$\text{how } \|Y\|_w \leq \max_{v \in S} \|Y\|_v \geq \left[\prod_{v \in S} \|Y\|_v \right]^{\frac{1}{s}} = \left[\prod_{v \in M_K} \|Y\|_v \right]^{\frac{1}{s}} = M_K(Y)^{\frac{1}{s}}$$

Hence we can estimate

$$M_K \left(\frac{X^m}{Y^m} \right) = M_K \left(\frac{1}{aY^m} - \frac{b}{a} \right) \leq 2 \overset{[K:\mathbb{Q}]}{M_K} \left(\frac{1}{aY^m} \right) M_K \left(\frac{1}{a} \right) \leq \tilde{C} M_K \left(\frac{1}{Y^m} \right)$$

for canonical height, one has same this other bound
↑
depends on K, S, m

That is, $\exists c' > 0$ s.t. $M_k\left(\frac{x}{y}\right) \leq c' H_k(y)$
↑ taking mth roots.

Setting $c'' := (c')^{\frac{1}{s}}$, we get $c'' H_k\left(\frac{x}{y}\right)^{\frac{1}{s}} \leq \|y\|_w$.

Combined with (*), we get that there are only many x, y s.t.

$$\left\| \frac{x}{y} - \zeta \alpha \right\|_w \leq \frac{c'''}{H_k\left(\frac{x}{y}\right)^{n/s}} \quad \text{Since } n > 2s \text{ this contradicts Roth's Theorem.}$$

Theorem 2: k/\mathbb{Q} a number field, C/k a smooth projective curve of genus $g > 1$.

Let $S \subseteq M_k$ finite, $f \in k(C)$

Then $\{x \in C(k) : f(x) \in R_S \text{ is finite}\}$.

Proof Let $s = \#S$.

Prop 2.1: Let $e = e(f)$ be the maximal order of any zero of f , $E > 0$,

and let $t \in C(k)$ regular and non-zero at all zeros and poles of f and unramified

(i.e. if Q is a zero or pole of f , then $(t - t(Q))$ is a local parameter at Q)

Then: $\exists c = c(f, t, e, S)$ s.t.

$$\prod_{v \in S} \min\{\|f(P)\|_v, 1\} \geq \frac{c}{M_k(t(P))^{(2+E)se}} \quad \forall P \in C(k) \text{ s.t. } f(P) \in R_S \setminus \{0\}$$

wouldn't matter for the stated

Proof: write $dv(f) = (f) = e_1 Q_1 + \dots + e_r Q_r - E$, $e_i > 0$, $E > 0$.

The proof is by contradiction.

So suppose we have a sequence $(P_n)_{n \in \mathbb{N}}$ s.t. $f(P_n) \in R_S$

$$\text{and } \lim_{n \rightarrow \infty} \left[M_k(t(P_n))^{(2+E)se} \prod_{v \in S} \min\{\|f(P_n)\|_v, 1\} \right] = 0$$

↓

Prop 2.2 (Strengthening): As above, but now assume $g \geq 1$, and let $p > 0$.

Then ~~\exists~~ $C = C(t, \epsilon, C, p, S) > 0$ s.t.

$$\prod_{s \in S} \min \{ \|f(p)\|_v, 1 \} \geq \frac{C}{H_K(t(p))^p} \quad \forall p \in C(K) : f(p) \in R_S \setminus \{0\}.$$

Proof: By contradiction.

We'll reduce to 2.1 by:

• Find $\Phi: C' \rightarrow C$ unramified, such that $e(f \circ \Phi) = e(f)$. For this, we use that $g \geq 1$.

• Find a corresponding $t' \in K(C')$, and bound $H_K(t'(p'))$ in terms

$$\hookrightarrow H_K(t(p)) \frac{1}{\deg \Phi} \quad (\text{for } \Phi(p') = p, \text{ and } p' \in C'(K)).$$

Missing $\deg \Phi > 0$, we will get the result.

So fix $C > 0$. Suppose there is $(p_n)_{n \in \mathbb{N}} \notin C(K)$, $f(p_n) \in R_S$

$$\text{s.t.} \quad H_K(t(p_n))^p \prod_v \min \{ \|f(p_n)\|_v, 1 \} \leq C \quad \forall n.$$

Embed $C \hookrightarrow J = J(C)$. Since $\frac{J(K)}{mJ(K)}$ is finite (weak M-W),

by replacing (p_n) by a subsequence we may assume that

$$p_n \equiv p_k \pmod{mJ(K)} \quad \forall n, \forall k. \quad \text{i.e. } \exists (p'_n) \in J(K), R \in J(K)$$

$$\text{s.t. } \cancel{p_n} \quad p_n = m p'_n + R \quad (\forall n).$$

$$\text{Let } \Phi: \mathcal{F} \rightarrow \mathcal{F} \\ a \mapsto ma + R$$

$$\begin{array}{ccc} C' & \xrightarrow{f'} & \mathcal{F} \\ \downarrow \Phi & \longleftarrow & \downarrow \Phi \\ C & \xrightarrow{f} & \mathcal{J} \end{array}$$

The obtained map $\Phi: C' \rightarrow C$ is unramified (becomes $\mathcal{F} \rightarrow \mathcal{F}$ is étale).

The terms in the limit $\geq H_K(t(P))^{(2+\epsilon)se}$ $\cdot \min_{v \in S} \{ \|f(P_n)\|_w, 1 \}^s$

So this converges also to 0, and so it does its s th root \neq hence

$$\lim_{n \rightarrow \infty} H_K(t(P))^{(2+\epsilon)e} \min_{v \in S} \{ \|f(P_n)\|_w, 1 \} = 0.$$

Replacing $(P_n)_n$ by a subsequence (we call it $(P_n)_n$ anyway), and noting that $H_K(t(P)) \rightarrow \infty$ (because finite points of P' of bounded height),

we have that $\exists w \in S$ st $H_K(t(P_n))^{(2+\epsilon)e} \|f(P_n)\|_w \rightarrow 0$.

$$\left(\Rightarrow \|f(P_n)\|_w \rightarrow 0 \right).$$

$C(K_w)$ can be endowed with an analytic topology (use $C(\mathbb{C})$).

As f is rational, it defines a continuous function $f_w: C(K_w) \rightarrow K_w$.

As f has finitely many zeros, $\exists Q_j$ (one of them) st a subsequence of the P_n is st $f(P_n) \rightarrow 0$ $P_n \rightarrow Q_j$.

Recall that $t - t(Q_j)$ is a uniformizer at Q_j , and

$(t - t(Q_j))^{-e_j} f$ has no zero/pole at Q_j .

Hence $\exists 0 < c_1 < c_2$ st $c_1 \leq \| (t(P_n) - t(Q_j))^{-e_j} f(P_n) \|_w \leq c_2$ ($n \gg 0$).

As $e \geq e_j$, $\lim_{n \rightarrow \infty} H_K(t(P_n))^{(2+\epsilon)e} \| (t(P_n) - t(Q_j))^e \|_w = 0$

Taking e th roots, get $\lim_{n \rightarrow \infty} H_K(t(P_n))^{(2+\epsilon)} \| t(P_n) - t(Q_j) \|_w = 0$

That is, $t(P_n) \in K$ approximate $t(Q_j) \in \bar{K}$ well in the sense of Roth's thm.

which is a contradiction. \nearrow

(cont of 2.2)

Choose a very ample symmetric D on X , and let $t: C \rightarrow P^1$ st.

(i) $t^{-1}(\infty) \sim j^*(D)$, $t'^{-1}(\infty) \sim j'^*(D)$.

$t': C \rightarrow P^1$

(ii) $|t| \cap |f| = \emptyset$, t is unramified at (f)

$|t'| \cap |(f \circ \Phi)| = \emptyset$, t' is unramified at $(f \circ \Phi)$.

So for $P \in C(\bar{K})$, $P' \in C'(\bar{K})$ we have:

$h(t(P)) = \hat{h}_{X,D}(j(P)) + O(1)$

$h(t'(P')) = \hat{h}_{X,D}(j'(P')) + O(1)$

$\Rightarrow h(t \circ \Phi(P')) = \frac{m^2}{2} h(t'(P')) + O(m^2)$

$\Rightarrow H_K(t(P_n)) \geq \kappa_m H_K(t'(P'_n))^{\frac{m^2}{2}}$ (κ_m dep on m , not on n)

So $c \geq H_K(t(P_n))^p \prod_v \min\{\|f(P_n)\|_v, 1\} \geq \kappa_m H_K(t'(P'_n))^{\frac{p m^2}{2}} \prod_{v \in S} \min\{\|f(\Phi(P'_n))\|_v, 1\}$

$\geq \kappa_m H_K(t'(P'_n))^{\frac{p m^2}{2}} \cdot c' \cdot H_K(t'(P'_n))^{-(2+\epsilon)se(f \circ \Phi)}$

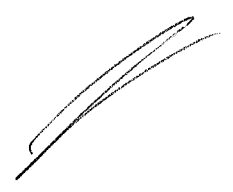
for $\epsilon > 0$
(some $c' > 0$)
by prop 2.1.

Since $e(f \circ \Phi) = e$, $\exists c''$ (indep of n) st.

$c'' \geq H_K(t'(P'_n))^{\frac{p m^2}{2} - (2+\epsilon)se}$

As the height goes to ∞ and c'' does not depend on n , the exponent is ≤ 0 .

So $\frac{p m^2}{2} \leq (2+\epsilon)se \quad \forall m \geq 0 \Rightarrow !!$



Proof of Thm 2: Assume $\{p \in C(K) : \|p\|_{\infty} \in R_S\}$ is infinite.

Fix t as before, set $P := \frac{\deg f}{2 \deg t}$

By 2.2, $\exists c_1 > 0$ s.t.:

$$\prod_{w \in S} \max \{ \|V_f(p)\|_w, 1 \} \geq \frac{c_1}{H_K(f(p))^P} \quad \forall \{p \in C(K) : \|p\|_{\infty} \in R_S\}.$$

$$\Rightarrow H_K(f(p))^P \geq c_1 \prod_{w \in S} \max \{ \|V_f(p)\|_w, 1 \} \quad \forall p \dots$$

If $\|f(p)\|_{\infty} \in R_S \Rightarrow \|f(p)\|_w \leq 1 \quad \forall w \in S$, so that:

$$H_K(f(p)) = \prod_{w \in S} \max \{ \|f(p)\|_w, 1 \} \quad \text{in that case.}$$

So if $\|f(p)\|_{\infty} \in R_S$, then $H_K(f(p))^P \geq c_1 H_K(f(p)) \Rightarrow$

$$\Rightarrow P h(t(p)) \geq h(f(p)) - c_2 \quad (\|f(p)\|_{\infty} \in R_S) \Rightarrow$$

$$\Rightarrow \frac{\deg f}{2 \deg t} \geq \frac{h(f(p))}{h(t(p))} - \frac{c_2}{h(t(p))} \quad \|f(p)\|_{\infty} \in R_S.$$

By assumption, we can let $h(t(p)) \rightarrow \infty$, and so:

$$\frac{\deg f}{2 \deg t} \geq \frac{\deg f}{\deg t} \Rightarrow \text{contradiction.}$$

Faltings' Theorem.

Thm: Let K be a number field, and C/K a curve of genus $g \geq 2$.

Then $C(K)$ is finite.

really, torsion doesn't matter, as it is finite

Recall: To prove it, we embed $C \hookrightarrow \mathbb{P}^g$, then $C(K) \subseteq \mathbb{P}^g(K) \otimes \mathbb{R}$,

which is a finite-dim euclidean vector space wrt the canonical height associated to the \mathbb{Q} -divisor $\underbrace{j(C) + \dots + j(C)}_{(g-1) \text{ copies}}$

we will prove:

Thm (Vojta's inequality): There are constants $k_1 = k_1(C)$, $k_2 = k_2(g)$ s.t.

if $z, w \in C(\bar{K})$ satisfying $|z| \geq k_1, |w| \geq k_2 |z|$ (*),

then $\langle z, w \rangle \leq \frac{3}{4} |z| \cdot |w|$.

Recall: This implies Faltings', through the discussion done at the beginning of the course.

Idea of Proof of Vojta's:

We are going to produce a height on $C \times C$, associated to a divisor Ω (called a Vojta divisor) and use standard properties of heights to estimate:

$$(\text{const}) \cdot |z|^2 + (\text{const}) \cdot |w|^2 - (\text{const}) \langle z, w \rangle \gg F(h_{\Omega}(z, w)).$$

Warning: The choice of Ω will have to depend on (z, w) .

Next, choosing Ω to be linearly equivalent to an effective divisor, we can estimate $h_{\Omega}(z, w) \gg O(1)$ away from the base locus of $|\Omega|$.

Then, assuming $|z|, |w| \gg 0$ and some algebra, we will conclude that

$$(z, w) \text{ satisfy } \langle z, w \rangle \leq \frac{|z||w|}{\sqrt{g}} \Rightarrow (*)$$



Problems with the idea:

- 1) to get our estimates, we have to choose $D \in |\Omega|$ defined by "small sections" of $\mathcal{O}(\Omega)$.
- 2) we'll really be able to work away from D , but can't be sure that $(z, w) \notin \text{supp}(D)$. We'll use Roth's lemma to show that D does not go through (z, w) with a high multiplicity. In this way, we'll still get a lower bound $h_{\Omega}(z, w)$.

Step 0: Vojta divisor and associated heights

Canonical divisor of C .

Fix: A divisor $A \in \text{Div}(C)$ of deg 1, such that $(2g-2)A \in [K_C]$ (possibly extending K).

Using A , get an embedding $j_A: C \hookrightarrow \mathcal{F}$
 $P \mapsto [(P)-A]$

We also get a \mathbb{Q} -divisor $\mathbb{Q}_A := \frac{j_A(C) + \dots + j_A(C)}{(g-1)}$

Lemma 0.1: (a) $\mathbb{Q}_A^- \sim \mathbb{Q}_A$ ($\mathbb{Q}_A^- = [-j_A^*(\mathbb{Q}_A)]$)

(b) $j_A^*(\mathbb{Q}_A) \sim g \cdot A$

$\mathcal{F}_2(a, b) = a + b$
 $\hookrightarrow p_1, p_2: \mathcal{F} \times \mathcal{F} \rightarrow \mathcal{F}$ the projections

(c) $(j_A \times j_A)^* (\mathcal{F}_2^*(\mathbb{Q}_A) - p_1^*(\mathbb{Q}_A) - p_2^*(\mathbb{Q}_A)) \sim -\Delta_C + A \times C + C \times A$

Pf Observe that $\mathbb{Q}_A = \mathbb{Q}_P \xrightarrow[\text{operation on } \mathcal{F}, \text{ translate}]{\tau} j_P(\mathbb{Q}_A)$ (when we choose $P \in C(K)$, $j_P: C \hookrightarrow \mathcal{F}$, corresponding \mathbb{Q}_P)

Use standard properties of \mathbb{Q} -divisor, and that $(2g-2)A \in [K_C]$.

Remark 0.3: If $d \gg 0$, then the map $L(B)^{\otimes d} \rightarrow L(dB)$ is surjective.
 $(y_{i_1} \otimes \dots \otimes y_{i_d}) \mapsto y_{i_1} \dots y_{i_d}$

Likewise, if $\delta_1, \delta_2 \gg 0$, then the map

$$\Delta(NA)^{\otimes \delta_1} \otimes \Delta(NA)^{\otimes \delta_2} \rightarrow L(\delta_1(NA \times C) + \delta_2(C \times NA))$$

sending $(x_{i_1} \otimes \dots \otimes x_{i_{\delta_1}}) \otimes (x'_{j_1} \otimes \dots \otimes x'_{j_{\delta_2}}) \mapsto x_{i_1} \dots x_{i_{\delta_1}} \otimes x'_{j_1} \dots x'_{j_{\delta_2}}$

is also surjective.

(if $\begin{matrix} X \times Y \\ \swarrow \quad \searrow \\ X \quad Y \end{matrix}$ and $\begin{matrix} L & M \\ \downarrow & \downarrow \\ X & Y \end{matrix}$ are line bundles, then $\begin{matrix} L \otimes M \\ \downarrow \\ X \times Y \end{matrix}$ is defined to be $p_1^* L \otimes p_2^* M$)

Fix: $h_{C \times C, \delta_1(NA \times C) + \delta_2(C \times NA)}(z, w) := \delta_1 h(\Phi_{NA}(z)) + \delta_2 h(\Phi_{NA}(w)).$

Now let $d, d_1, d_2 \geq 0$ be integers, set

$$\Omega := \Omega(d, d_1, d_2) := (d_1 - d)(A \times C) + (d_2 - d)(C \times A) + d \Delta \in \text{Div}(C \times C).$$

Def: A divisor Ω is a Vojta divisor if $g d^2 < d, d_2 < g^2 d^2$ (**)

(note: for $g \leq 1$, there are no Vojta divisors!)

We will also assume that $N \mid d, d_1, d_2, d$ (we can always make them as large as we want)

Set $\delta_1 := \frac{d_1 + Md}{N}$ $\delta_2 := \frac{d_2 + Md}{N}$ and assume $d, d_1, d_2 \gg 0$ s.t (0.3) holds.

We can write

$$(0.4) \quad \Omega(d_1, d_2, d) = \overbrace{\delta_1(NA \times C) + \delta_2(C \times NA)}^{\text{very ample}} - \overbrace{dB}^{\text{very ample}}$$

Hence we can define

$$(0.5) \quad h_{C \times C, \Omega}(z, w) := \delta_1 h_{e, NA}(z) + \delta_2 h_{e, NA}(w) - d h_{C \times C, B}(z, w).$$

Fix: $N > 0$ s.t. NA is very ample (possible by Riemann-Roch, as any effective divisor is ample).

Fix: $\Phi_{NA}: \mathbb{C}^n \hookrightarrow \mathbb{P}^n$ the corresponding embedding to NA (by choosing coordinates).

Note: $\Phi_{NA}(\mathbb{C})$ is not contained in any hyperplane.

Choose the coordinates $[x_0: \dots: x_n]$ s.t.

(i) $\Phi_{NA}(\mathbb{C}) \cap [x_i = x_j = 0, i \neq j] = \emptyset$, so that the rational

map $[x_0: \dots: x_n] \mapsto [x_i: x_j]$ give a morphism

$\mathbb{C} \xrightarrow{\Phi_{NA}} \mathbb{P}^n \rightarrow \mathbb{P}^1$ of degree N

(ii) The projection $\mathbb{P}^n \rightarrow \mathbb{P}^2$ induces a birational map

$$[x_0: \dots: x_n] \mapsto [x_i: x_j: x_k]$$

from $\Phi_{NA}(\mathbb{C})$ onto its image.

That is, $K(\mathbb{C}) \cong K\left(\frac{x_j}{x_i}, \frac{x_k}{x_i}\right)$ and $\frac{x_j}{x_i}$ is integral of deg N over $K\left[\frac{x_j}{x_i}\right]$

Remark: the choices of coordinates on \mathbb{P}^n are parametrised by $PGL(n+1)$.

One can show that (i), (ii) are closed conditions;

Since K is infinite, we can choose coordinates as asserted.

Fix: $M > 0$ s.t. $B := (M+1)(A \times C) + (M-1)(C \times A) - \Delta$ is very ample on $C \times C$.

• An embedding $\Phi_B: C \times C \rightarrow \mathbb{P}^m$ coming from the divisor B .

$$[y_0: \dots: y_m]$$

• $h_{C \times C, B}(z, w) = h(\Phi_B(z, w))$ (so that $h_{C \times C, B} = h \circ [y_0: \dots: y_m]$).

• $h_{C \times C, dB} = dh_{C \times C, B}$

• Constants appearing in the proof:

→ γ, ϵ, ν : small positive numbers.

→ C_1, C_2, \dots : constants depending on C, A and the choices of the various height functions we have made.

→ M, N (fixed)

d_1, d_2, d (not fixed) large integers s.t. $\circ g d^2 < d_1, d_2 < g^2 d^2$
 $\bullet d_1, d_2 - g d^2 \geq \gamma d_1, d_2$

→ δ_1, δ_2 just defined (depending on d_1, d_2, d, M, N).

Step 1: Bounding h_{Σ} above:

Prop 1.1: There is $C_1 > 0$, depending on the choice of height function, s.t. $\forall d, d_1, d_2 \gg 0$ and $\forall (z, w) \in C(\bar{K})$ we have:

$$h_{CXC, \Sigma(d, d_1, d_2)}(z, w) \leq \frac{d_1}{g} |z|^2 + \frac{d_2}{g} |w|^2 - 2d \langle z, w \rangle + C_1 (d_1 + d_2 + d)$$

Note = C_1 does not depend on d_1, d_2, d, z, w .

pf Recall that $h_{CXC, \Sigma}(z, w) = \delta_1 h_{C, NA}(P_1(z, w)) + \delta_2 h_{C, NA}(P_2(z, w)) - d h_{CXC, B}(z, w)$

where $B = M P_1^* A + M P_2^* A + (-\Delta + P_1^* A + P_2^* A)$.

Now $h_{C, NA} = N h_{C, A} + O(1)$

$$h_{CXC, B} = M h_{C, A} \circ P_1 + M h_{C, A} \circ P_2 + h_{CXC, -\Delta + P_1^* A + P_2^* A} + O(1)$$

Recalling $\delta_i = \frac{d_i + Md}{N}$ and substituting, get:

$$h_{CXC, \Sigma}(z, w) = d_1 h_{C, A}(z) + d_2 h_{C, A}(w) - d h_{CXC, -\Delta + P_1^* A + P_2^* A}(z, w) + O(d_1 + d_2 + d)$$



By lemma 0.1, $j_A^* \mathcal{O}_A \sim gA$ and $(j \times j_A)^* (s_{1,2}^* \mathcal{O}_A - p_1^* \mathcal{O}_A - p_2^* \mathcal{O}_A) \sim -\Delta + p_1^* A + p_2^* A$
 \mathbb{R} on $C \times C$

Using functoriality for $j_A, s_{1,2}, p_1, p_2$ we get:

$$h_{C,A}(u) = \frac{1}{g} |u|^2 + \mathcal{O}(1) \quad \forall u \in C(\bar{k}).$$

$$h_{C \times C, -\Delta + p_1^* A + p_2^* A}(z, w) = |z+w|^2 - |z|^2 - |w|^2 + \mathcal{O}(1) = 2 \langle z, w \rangle + \mathcal{O}(1).$$

Plug this in to get:

$$h_{C \times C, \Omega}(z, w) = \frac{d_1}{g} |z|^2 + \frac{d_2}{g} |w|^2 - 2d \langle z, w \rangle + \mathcal{O}(d_1 + d_2 + d)$$

Step 2: Estimating heights below (away from zeroes of sections).

To estimate $h_{C \times C, \Omega}(z, w)$ below, we need to find an effective divisor $D \in |\Omega|$.

Now $D \leftrightarrow s \in L(\Omega)$ (up to constant multiples, this is 1:1).

$$L(\Omega) = L(\delta_1(N_A \times C) + \delta_2(C \times N_A) - dB).$$

So s can be described as $\frac{F_1 - F_2}{s''}$ (ie $\frac{s'}{s''} = \frac{F_1 - F_2}{s''}$),
 $s' = s \cdot s''$

where F_1 - homogeneous polynomial in x_0, \dots, x_n of degree δ_1 .
 F_2 - homogeneous polynomial in x'_0, \dots, x'_n of degree δ_2 .

s' is a homogeneous bidegree (δ_1, δ_2) in $(x_0, \dots, x_n, x'_0, \dots, x'_n)$

s'' is a homogeneous degree d poly. in (y_0, \dots, y_m)

Actually, as this is a local description, fixing the open where $y_i \neq 0$, we

can assume $s'' = y_i^d$, and so:

$$s \xrightarrow{1:1} \text{collection } \mathcal{F} = \left\{ F_i(x, x') : \deg_{(x, x')} F_i = (\delta_1, \delta_2) \text{ and } \frac{F_i}{y_i^d} \Big|_{C \times C} = \frac{F_j}{y_j^d} \Big|_{C \times C} \text{ where } y_i \neq 0 \text{ and } y_j \neq 0 \right\}$$

Proposition 1.1: Let $s \in L(\Omega)$, $F \in \{F_i\}$ as above.

Then $\forall (z, w) \in (C \times C)(\bar{K})$ s.t. $s(z, w) \neq 0$,

we have
$$h_{C \times C, \Omega}(z, w) \geq -h(F) - n \log((\delta_1 + n)(\delta_2 + n)) \cdot |M_K^\infty|.$$

Pf. We write $x := \Phi_{NA}(z)$, $x' := \Phi_{NA}(w)$, $y := \Phi_B(z, w)$.

Then
$$h_{C \times C, \Omega}(z, w) = \delta_1 h(x) + \delta_2 h(x') - d h(y) =$$

$$\begin{aligned} &= \delta_1 \sum_v \max_j \log |x_j|_v + \delta_2 \sum_v \max_{j'} \log |x'_{j'}|_v - d \sum_v \max_i \log |y_i|_v \\ &= - \left(\delta_1 \sum_v \min_j \log |x_j^{-1}|_v + \delta_2 \sum_v \min_{j'} \log |x'_{j'}^{-1}|_v + d \sum_v \max_i \log |y_i|_v \right) \\ &= - \sum_v \max_i \min_{j, j'} \left| \frac{y_i^d}{x_j^{\delta_1} x'_{j'}^{\delta_2}} \right|_v \end{aligned}$$

write $s(z, w) = \frac{F_i(x, x')}{y_i^d}$ for some i s.t. $y_i^d \neq 0$ ($\in \bar{K}$)

By the product formula, since $s(z, w) \neq 0$,

$$\sum_v \log |s(z, w)|_v = 0$$

Therefore, we can write:

$$\begin{aligned} h_{C \times C, \Omega}(z, w) &= - \sum_v \max_i \min_{j, j'} \log \left| \frac{s(z, w) y_i^d}{x_j^{\delta_1} x'_{j'}^{\delta_2}} \right|_v = \checkmark \begin{matrix} \text{can avoid the} \\ \text{terms } y_i^d, \text{ or} \\ \text{the max will not} \\ \text{be attained there!} \end{matrix} \\ &= - \sum_v \max_i \min_{j, j'} \log \left| \frac{F_i(x, x')}{x_j^{\delta_1} x'_{j'}^{\delta_2}} \right|_v = - \sum_v \max_i \min_{j, j'} \log |F_i(\frac{x}{x_j}, \frac{x'}{x'_{j'}})|_v \end{aligned}$$

The $\min_{j, j'}$ will be attained by $\log \max_j |x_j|_v, \max_{j'} |x'_{j'}|_v$

So $\min_{j, j'} \log |F_i(\frac{x}{x_j}, \frac{x'}{x'_{j'}})| \leq \log \sum_v | \text{coeffs of } F_i |_v \leq (\log(\# \text{ monomials})) (\max | \text{coeff} |_v)$

If v is not archimedean, we don't get the (#nonzero) term:

$$\min \log |F_i \left(\frac{x}{x_j^d}, \frac{x'}{x'_j} \right)|_v \leq \begin{cases} \log \sum \log (\# \text{nonzero}) (\max |coeff|_v) & v \text{ arch} \\ \log (\max |coeff|_v) & v \text{ non arch} \end{cases}$$

So summary over all v , we get:

$$h_{\text{exc}, \mathbb{Q}}(z, w) \geq -h(\mathcal{F}) - |M_K^\infty| \cdot n \cdot \log((\sigma_1 + n)(\sigma_2 + n))$$

Next: bound $h(\mathcal{F})$ and make explicit how it depends on (d, d_1, d_2) .

so find $S \in L(\mathcal{L})$ s.t. $h(\mathcal{F})$ is small.

For this, we ~~want~~ to use a variant of Siegel's lemma for \mathcal{O}_K (the proof is similar to the one for \mathbb{Z}).

Write them $\frac{y_i}{y_0}$ in terms of $\underline{x}, \underline{x}'$. Then $\frac{F_i}{y_i^d} = \frac{F_j}{y_j^d}$

$$\Rightarrow P_i \cdot Q_j = P_j \cdot Q_i \cdot F_j, \quad P_i, Q_j \in K[\underline{x}, \underline{x}']$$

We will need the following version of Riemann-Roch:

Thm (Riemann-Roch for surfaces):

Let S be a smooth surface, K_S the canonical divisor on S , $D \in \text{Div}(S)$.

$$\text{Then: } \ell(D) - s(D) + \ell(K_S - D) = \frac{1}{2} D \cdot (D - K_S) + 1 + p_g(S)$$

• $\ell(D) = \dim H^0$, which by Serre's duality $\Rightarrow \dim H^0(S, \mathcal{O}_S(D))$.

• $s(D) = \dim H^1(S, \mathcal{O}_S(D))$ called superabundance. (write $s(D) = h^1(S, \mathcal{O}_S(D))$).

• $p_g(S) = \chi(\mathcal{O}_S) - 1 = h^2(S, \mathcal{O}_S) - h^1(S, \mathcal{O}_S)$.

Recall also that Segre's lemma tells us:

"If we have a system of linear equations \mathcal{L} of height $h(\mathcal{L})$, with \tilde{M} equations, in \tilde{N} unknowns, then there is a solution \mathcal{F} s.t.

$$h(\mathcal{F}) \leq \frac{\tilde{M}}{\tilde{N}-\tilde{M}} h(\mathcal{L}) \leq \frac{\tilde{N}}{\tilde{N}-\tilde{M}} h(\mathcal{L})$$

Note: $\tilde{N}-\tilde{M}$ = dimension of the space of solutions.

In our case, $\tilde{N}-\tilde{M} = \dim L(-2) \leftarrow$ need a lower bound for $l(\Omega)$.

$$\tilde{N} = \dim \text{ of space of } \{F_i\}_{0 \leq i \leq m} = (m+1) \cdot \dim L(\sigma_1(N \times C) + \sigma_2(C \times NA))$$

For these estimates, we use Riemann-Roch.

Lemma 1.2: For all $d, d_1, d_2 \gg 0$, we have:

a) $l(\mathcal{L}(d_1, d_2, d)) \geq d_1 d_2 - g d^2 - (g-1)(d_1 + d_2)$.

b) $l(\sigma_1(N \times C) + \sigma_2(C \times NA)) = (N \sigma_1 - g + 1)(N \sigma_2 - g + 1)$

Pf write $A_1 := N \times C, A_2 := C \times NA$.

Then recall (i) $K_C \sim (2g-2) \cdot A$

(ii) $K_{C \times C} \sim p_1^* K_C + p_2^* K_C \sim (2g-2) A_1 + (2g-2) A_2$.

Also, recall that $\Omega = (d_1 - d) A_1 + (d_2 - d) A_2 + d \Delta$.

Have the following table of intersection numbers (which we will use for R-R):

| | A_1 | A_2 | Δ |
|----------|-------|-------|----------|
| A_1 | 0 | 1 | 1 |
| A_2 | 1 | 0 | 1 |
| Δ | 1 | 1 | $2-2g$ |

← this can be seen from the rest of the table, (i), (ii), and the fact that, for any $C' \in X, (2g_C - 2) = C'_d(C' + K)$

So $\frac{1}{2} \Omega \cdot (\Omega - K) \stackrel{\text{exercise}}{=} d_1 d_2 - g d^2 - (g-1)(d_1 + d_2)$. $P_2(C)$

By R-R: $l(\Omega) - s(\Omega) + l(K - \Omega) = d_1 d_2 - g d^2 - (g-1)(d_1 + d_2) + 1 + \overbrace{(g-1)^2}^{11}$

From this, that $s(\Omega) \geq 0$ and $1 + (g-1)^2 \geq 0$, we need only to prove that $l(K - \Omega) = 0$.

We'll show that $(K - \Omega) = \emptyset$, by showing that there's some divisor sit $K - \Omega$ intersects negatively with some ample divisor.

$(K - \Omega) \cdot \overbrace{(A_1 + A_2)}^{\text{ample}} = (4g - 4) \cdot (d_1 + d_2) < 0$ for $d_1, d_2 \geq 0$ ~~(a)~~

For part (b), we get $l(N(\delta_1 A_1 + \delta_2 A_2)) - s(\dots) + l(K - \dots) =$
 $= \frac{1}{2} (\dots) \cdot [(\dots) - K] + 1 + (g-1)^2 = (N\delta_1 - g + 1)(N\delta_2 - g + 1)$
↖ exercise, computing with intersection numbers.

~~As before,~~ we are reduced to show

now we want to compute $s(N(\delta_1 A_1 + \delta_2 A_2))$.

If $\delta_1, \delta_2 \gg 0$ then $N(\delta_1 A_1 + \delta_2 A_2)$ becomes very ample. \Rightarrow

$\Rightarrow s(N(\delta_1 A_1 + \delta_2 A_2)) = 0$ for some $\delta_1, \delta_2 \gg 0$.

We can write $K(C \times C) = K\left(\frac{x}{x_0}, \frac{x'}{x'_0}\right)$ (lemma)
← restricted, of course to $C \times C$.

Then $\frac{y_i}{y_0} = \frac{P_i(x, x')}{Q_i(x, x')}$, and the condition $\frac{F_i(x, x')}{y_i^d} = \frac{F_j(x, x')}{y_j^d}$ translates

into $(*) (P_i Q_j)^d F_j|_{C \times C} = (P_j Q_i)^d F_i|_{C \times C} \quad 0 \leq i, j \leq m$.

Proposition 1.3: Let $\gamma > 0$, $d_1, d_2, d \gg 0$, s.t. $d_1 d_2 - \gamma d^2 \geq \delta d_1 d_2$.

Then $\exists s \in \mathcal{L}(\mathcal{R}(d_1, d_2, d))$ given by \mathcal{F} , with

$$h(\mathcal{F}) \leq c \frac{d_1 + d_2}{\gamma} + o(d_1 + d_2) \quad \left(\frac{o(d_1 + d_2)}{(d_1 + d_2)} \rightarrow 0 \text{ when } (d_1 + d_2) \rightarrow \infty \right)$$

(c depending on $c, k, \Phi_{NA}, \Phi_{NB}$ but not on d_1, d_2, d).

Pf we need to choose affine coordinates

(A)
$$\begin{array}{ccc} & \xrightarrow{\pi} & \\ \mathbb{C} & \xrightarrow{\Phi_{NA}} \mathbb{P}^n & \dashrightarrow \mathbb{P}^1 \\ & \xrightarrow{[x_0 : \dots : x_n]} & \xrightarrow{[x_0 : x_1]} \end{array}$$
 (π is a morphism of degree N on \mathbb{C})

(B) The map $\pi_j : \mathbb{C} \hookrightarrow \mathbb{P}^n \rightarrow \mathbb{P}^2$ is a morphism,
 $[x] \mapsto [x_0 : x_1 : x_2]$
 birational onto its image $\pi_j(\mathbb{C}) \subseteq \mathbb{P}^2$.

Also,
$$\begin{array}{ccc} \mathbb{C} & \xleftarrow{\text{bimor}} & \pi_j(\mathbb{C}) \subseteq \mathbb{P}^2 \\ & \xrightarrow{\pi_j} & \\ N \swarrow \pi & & \searrow \text{deg } N \\ \mathbb{P}^1 & & \mathbb{P}^2 \\ [x_0, x_1] & & \end{array}$$
 $\Rightarrow \pi_j(\mathbb{C})$ is a degree- N curve inside \mathbb{P}^2 .

We will use only π_2 ($j=2$).

$K(\mathbb{C}) = K(\xi_1, \xi_2)$, where $\xi_i = \frac{x_i}{x_0}|_{\mathbb{C}}$, $i \geq 1$.

We can get $\mathbb{C} \setminus \text{supp } A$ as the Spec of $K[\xi_1, \dots, \xi_n]$.

WLOG may assume that $\pi_2(\mathbb{C})$ is given by an equation

$$\xi_2^N = \sum a_{i2}(\xi_1) \xi_2^i, \quad \text{w/ } a_{i2}(\xi_1) \in K[\xi_1], \quad \text{deg}_{\xi_1} a_{i2} \leq N-i$$

Now, $K(\xi_1, \xi_2)$ has a $K(\xi_1)$ -basis $\{1, \xi_2, \dots, \xi_2^{N-1}\}$

and in fact $K[\xi_1, \xi_2]$ is a free $K[\xi_1]$ -module on N generators.

Likewise, $K(C \times C) = K(\xi_1, \xi_2, \xi_1', \xi_2')$

(using the embedding $C \times C \xrightarrow[\mathbb{P}^N \times \mathbb{P}^N]{} \mathbb{P}^n \times \mathbb{P}^n$)

$K(C \times C)$ has basis $\mathcal{B} = \{ \xi_2^i \xi_2'^j, 0 \leq i, j \leq N-1 \}$ over $K(\xi_1, \xi_1')$.

Finally, using $\Phi_B: C \times C \rightarrow \mathbb{P}^m$ we can write

$$\frac{y_i}{y_0} = \frac{P_i(\xi_1, \xi_2, \xi_1', \xi_2')}{Q_i(\xi_1, \xi_2, \xi_1', \xi_2')} \quad \text{with } P_i, Q_i \in \mathcal{O}_K[\xi_1, \xi_2, \xi_1', \xi_2'].$$

We are looking for $F_i(\underline{\xi}, \underline{\xi}') \in K[\underline{\xi}, \underline{\xi}']$ s.t.:

$$(P_i Q_j)^d F_i = (P_j Q_i)^d F_j \quad 0 \leq i, j \leq m \quad \text{and } \deg_{\underline{\xi}, \underline{\xi}'} F_i \leq (\delta_1, \delta_2).$$

Let $V_1 := \{ F \in K(C \times C) : F \in K[\underline{\xi}, \underline{\xi}'] \text{ of } \deg \leq (\delta_1, \delta_2) \}$.

$$V_3 := \left\{ (F_0, \dots, F_m) \in K[\underline{\xi}, \underline{\xi}']^{m+1} : \begin{array}{l} \deg F_i \leq (\delta_1, \delta_2) \\ (P_i Q_j)^d F_i = (P_j Q_i)^d F_j \end{array} \right\}$$

$$V_2 := V_1 \cap K[\xi_1, \xi_2, \xi_1', \xi_2']$$

We will only look for solutions in V_2 .

$$\dim V_1 = (N\delta_1 - g + 1)(N\delta_2 - g + 1)$$

$$\dim V_2 = \left(N\delta_1 - \frac{1}{2}N(N-3) \right) \left(N\delta_2 - \frac{1}{2}N(N-3) \right) \quad (\text{f.z. (a)})$$

$$\dim (V_2^{m+1} \cap V_3) \geq \dim V_3 - (\dim V_1^{m-1} - \dim V_2^{m-1}) \geq [d_1 d_2 - g d^2 - (g-1)(d_1 + d_2)] -$$

$$- (m+1)(N\delta_1 - g + 1)(N\delta_2 - g + 1) + (m+1) \left(N\delta_1 - \frac{1}{2}N(N-3) \right) \left(N\delta_2 - \frac{1}{2}N(N-3) \right) \geq$$

$$\geq d_1 d_2 - g d^2 + \mathcal{O}(d_1 + d_2) \underset{\text{assumption}}{\geq} \gamma d_1 d_2 + \mathcal{O}(d_1 + d_2)$$

We can now apply Siegel's lemma with:

$$"N" \leq (m+1)N^2 \delta_1 \delta_2 + O(d_1 + d_2 + d)$$

$$"N-M" \geq \gamma d_1 d_2 + O(d_1 + d_2)$$

$$h(\text{constraints}) \leq C_2 \cdot d$$

So we can find \mathcal{F} ($\Leftrightarrow s \in L(SL)$)

$$\text{with } h(\mathcal{F}) \leq C_4 \frac{(m+1)N^2 \delta_1 \delta_2 + O(d_1 + d_2 + d)}{\gamma d_1 d_2 + O(d_1 + d_2)} \cdot d$$

Recall now that $J_i = \frac{d_i + Md}{N}$, $d_1, d_2 \gg d^2$ and we calculate:

$$\delta_1 \delta_2 \approx d_1 d_2, \quad M, m, N \text{ fixed}, \quad d_1, d_2, d \gg 0, \quad d_1 + d_2 \gg d.$$

$$\text{So } h(\mathcal{F}) \leq C_5 \frac{d_1 + d_2}{\gamma} + O(d_1 + d_2)$$



Step 2: Case when $s(z, w) = 0$.

- (A) Bound $h_{\infty}(z, w) \geq -h(\mathcal{F}) - O(\text{index of } s \text{ at } (z, w)) - \text{(expression depending on the leading coeff of } s \text{ at } (z, w))$
- (B) $h(\text{leading coeffs}) \leq \text{expression of } |z|^2, |w|^2, \text{Ind}_{(z, w)}(s)$
- (C) Roth's lemma, to bound $\text{Ind}_{(z, w)}(s)$.

A) Choose local parameters ξ, ξ' of C at z resp. w , and define

$$\partial_i := \frac{1}{i!} \frac{\partial^i}{\partial \xi^i}, \quad \partial'_i := \frac{1}{i!} \frac{\partial^i}{\partial \xi'^i} \leftarrow \text{diff-operators at } (z, w).$$

We'll assume

$$\begin{cases} x_j(z) \neq 0 & 0 \leq j \leq n \\ x'_j(w) \neq 0 & 0 \leq j \leq n \\ y_0(z, w) \neq 0 \end{cases}$$

(because this \rightarrow wrong at finitely-many points of $(C \times C)(\bar{\mathbb{R}})$)

(cont of)

We are looking $\tilde{F} \in V_3 \cap V_2^{m-1} \subset \text{of dim} \geq rd, d_2 + O(d_1 + d_2)$

inside V_2^{m-1} , which has dimension $\leq (m+1)N^2\delta_1\delta_2 + O(d_1 + d_2 + d)$.

We want linear constraints describing $V_3 \cap V_2^{m+1} \in V_2^{m+1}$, and bound the height of their coefficients.

Let $F_i \in V_2$. We can write (uniquely) $F_i = \sum_{\nu \in \mathcal{B}} u_{i, \nu} z_i^{\nu}$

We view $u_{i, \nu}$ as our variables.

$$\begin{aligned} & k, k' > 0 \\ & \deg(z_i^{\nu}) + k \leq \delta_1 \\ & \deg(z_i^{\nu}) + k' \leq \delta_2 \end{aligned}$$

Lemma 1.4: The constraints on the $(u_{i, \nu})_{i, \nu}$ for any $F = \left\{ \left(\sum_{\nu, k, k'} u_{i, \nu} z_i^{\nu} \right)_i \right\}$ that lie in $V_2^{m+1} \cap V_3$ have coefficients of

$$\text{height } h(\text{coeff}) \leq C_2 \cdot d$$

(where C_2 depends on C, K, Φ_{NA}, Φ_B , but NOT on d_1, d_2, d).

Pf (Sketch):

The coeffs of the constraints "come from" $(P_i Q_j)^d$. More precisely,

$$\text{we write } (P_i Q_j)^d = \sum_{\nu \in \mathcal{B}} P_{i, \nu} Q_{j, \nu} z_i^{\nu} \quad (\text{in the basis } \mathcal{B}).$$

It turns out that $h(P_{i, \nu}) \leq C_3 \cdot d$

$$\begin{aligned} & (C_3 = C_3(P_i, Q_j, \pi_2(C))) \\ & (\text{and also depends on the structure constants of } K[z_1, z_2, z_3]) \\ & \text{in terms of } \mathcal{B} \end{aligned}$$

Apply algorithm results to get the lemma.

Let $s \in L(\Omega(d_1, d_2, d))$ given by $\mathcal{F} = (F_i)_{0 \leq i \leq m}$.

Set $f := \frac{y_0^d s}{x_0^{\delta_1} x_0^{\delta_2}} = \left(\frac{y_0}{g_i}\right)^d F_i\left(\frac{x}{x_0}, \frac{x'}{x_0'}\right) \in K(CXC)$ (independent of i).

We can apply $\partial_{i_1}^{i_1^*}, \partial_{i_2}^{i_2^*}$ to f at (z, w)

Def: $\text{Ind}_{(z,w)}(s) = \min \left\{ \frac{i_1}{\delta_1} + \frac{i_2}{\delta_2} \mid i_1, i_2 \geq 0 \text{ and } \partial_{i_1}^{i_1} \partial_{i_2}^{i_2} f(z, w) \neq 0 \right\}$.

A point (i_1^*, i_2^*) is called "admissible" if it realizes the index,

i.e. $\frac{i_1^*}{\delta_1} + \frac{i_2^*}{\delta_2} = \text{Ind}_{(z,w)}(s)$ and $\partial_{i_1^*}^{i_1^*} \partial_{i_2^*}^{i_2^*} f(z, w) \neq 0$.

Note: if $(i_1, i_2) < (i_1^*, i_2^*)$, then $\partial_{i_1}^{i_1} \partial_{i_2}^{i_2} f(z, w) = 0$
 (i.e. $i_1 \leq i_1^*, i_2 \leq i_2^*$ and $i_1 + i_2 < i_1^* + i_2^*$).

Lemma 2.1: Suppose (i_1^*, i_2^*) is admissible, and $g \in K(CXC)$ s.t. $g(z, w) \notin \{0, \infty\}$.

Then $\partial_{i_1^*}^{i_1^*} \partial_{i_2^*}^{i_2^*} f(z, w) = \left(\frac{\partial_{i_1^*}^{i_1^*} \partial_{i_2^*}^{i_2^*} (fg)}{g} \right) (z, w)$

Pf Leibniz rule + previous note. //

Prop 2.2: Let $s \in L(\Omega) \rightsquigarrow \mathcal{F}$. Let (i_1^*, i_2^*) be admissible.

Then $h_{CXC, \Omega}(z, w) \geq -h(\mathcal{F}) - (i_1^* + i_2^* + 2\delta_1 + 2\delta_2 + 2n) |M_K^\infty| -$

$$- \sum_{\nu} \max_{i_1 + i_2 = i_1^*} \sum_{k=1}^{\delta_1} \max_{0 \leq \ell \leq n} \min_j \log \left| \left(\partial_{i_k}^{i_k} \frac{x_k}{x_k'} \right) (z) \right|_{\nu} -$$

$$- \sum_{\nu} \max_{i_1' + i_2' = i_2^*} \sum_{k=1}^{\delta_2} \max_{0 \leq \ell \leq n} \min_j \log \left| \left(\partial_{i_k'}^{i_k'} \frac{x_k'}{x_k} \right) (z) \right|_{\nu}.$$



Pf of long-statement lemma

$$\text{Recall } h_{\Omega}(z, w) = \delta_1 h(\mathbb{P}_{N_1}(z)) + \delta_2 h(\mathbb{P}_{N_2}(w)) - dh(\mathbb{P}_0(z, w)). =$$

$$= - \sum_v \max_i \max_{j, j'} \log \left| \left(\frac{y_i^d}{x_j^{\delta_1} x_{j'}^{\delta_2}} \right) (z, w) \right|_v$$

Since $\partial_{i_1} \partial_{i_2} f(z, w) \neq 0$, we have $\sum_v \log |\partial_{i_1} \partial_{i_2} f(z, w)|_v = 0$.

So by subtracting 0, we get:

$$h_{\Omega}(z, w) \geq - \sum_v \max_i \min_{j, j'} \log \left| \left(\frac{y_i^d \partial_{i_1} \partial_{i_2} f}{x_j^{\delta_1} x_{j'}^{\delta_2}} \right) (z, w) \right|_v$$

equality, actually

Since $x_0(z) \neq 0$, $x_0'(z) \neq 0$, $y_0(z, w) \neq 0$, the product formula also

implies that $\sum_v \log \left| \frac{y_0^d}{x_0^{\delta_1} x_0'^{\delta_2}} (z, w) \right|_v$

Adding this, we get:

$$h_{\Omega}(z, w) \geq - \sum_v \max_i \min_{j, j'} \log \left| \frac{\left(\frac{y_i}{y_0} \right)^d \partial_{i_1} \partial_{i_2} f (z, w)}{\left(\frac{x_j}{x_0} \right)^{\delta_1} \left(\frac{x_{j'}}{x_0'} \right)^{\delta_2}} \right|_v$$

Apply now lemma 2.1, with $y := \left[\frac{\left(\frac{y_i}{y_0} \right)^d}{\left(\frac{x_j}{x_0} \right)^{\delta_1} \left(\frac{x_{j'}}{x_0'} \right)^{\delta_2}} \right]^{-1}$

at (v, i, j, j') , to get:

$$\frac{\left(\frac{y_i}{y_0} \right)^d \partial_{i_1} \partial_{i_2} f}{\left(\frac{x_j}{x_0} \right)^{\delta_1} \left(\frac{x_{j'}}{x_0'} \right)^{\delta_2}} (z, w) = \partial_{i_1} \partial_{i_2} \left(\frac{\left(\frac{y_i}{y_0} \right)^d f}{\left(\frac{x_j}{x_0} \right)^{\delta_1} \left(\frac{x_{j'}}{x_0'} \right)^{\delta_2}} \right) (z, w) =$$

$$= \left[\partial_{i_1} \partial_{i_2} F_i \left(\frac{x}{x_j}, \frac{x'}{x_{j'}} \right) \right] (z, w).$$

f, F_i are bilinear



(cont pt)

we plug this in, to get

$$h_{\infty}(z, w) \leq \sum_v \max_i \min_{j,j'} \log \left| \partial_{i_1}^{i_1^*} \partial_{i_2}^{i_2^*} F_i \left(\frac{x}{x_j}, \frac{x'}{x_{j'}} \right) \right|_v$$

we need to estimate the $\log|\dots|_v$, and this will prove the proposition.

Lemma 2.3: Let $\xi_0, \dots, \xi_n \in K(C)$ be regular at z ,
and $\xi'_0, \dots, \xi'_n \in K(C)$ be regular at w .

Let $F(\underline{z}, \underline{z}')$ be bihomogeneous of $\text{deg} = (\delta_1, \delta_2)$, and suppose that $\underline{z}, \underline{z}'$ are uniformizers at z, w respectively.

Then: $\forall v \in M_K, \left| \partial_{i_1}^{i_1^*} \partial_{i_2}^{i_2^*} F(\underline{z}, \underline{z}') (z, w) \right|_v \leq 2_v^{i_1^* + i_2^* + 2\delta_1 + 2\delta_2 + 2n} \cdot |F|_v \times$

$$\times \max_{i_1^* + i_2^* = i_1^*} \prod_{k=1}^{\delta_1} \max_{0 \leq \ell \leq n} \left| \partial_{i_k}^{i_k^*} \xi_{\ell} \right|_v \times \max_{i_1'^* + i_2'^* = i_2'^*} \prod_{k=1}^{\delta_2} \max_{0 \leq \ell \leq n} \left| \partial_{i_k}^{i_k'^*} \xi'_{\ell} \right|_v$$

where $2_v = \begin{cases} 2 & v \in M_K^{\infty} \\ 1 & \text{else} \end{cases}$

pl

$\frac{\delta_1 + \delta_2 + 2n}{2} \log |F|_v$ from replacing each coeff of F by the v -maximal.

$\hat{=}$ number of monomials of bidegree (δ_1, δ_2) is $\leq 2^{\delta_1 + \delta_2 + 2n}$
(+ use the ultrametric prop.).

~~Use chain rule and~~

Exercise for the reader.



(Prop)

We now want to estimate $\left| \partial_{i_k} \frac{x_{\ell}}{x_j} (z) \right|_v$ above,
as they appear in the statement of the Prop 2.2.

$\frac{x_0}{x_3} \in K(C)$, $\partial_i = \frac{1}{i!} \frac{\partial^i}{\partial \zeta^i}$. Recall that ζ is a local parameter at \bar{z} .

So $\zeta: C \rightarrow \mathbb{P}^1$ is a morphism of some degree (locally).

If $\bar{z} \in K(C)$, $\exists p(x, y)$ over K of degree $\leq [K(C):K(\bar{z})]$

st $p(\zeta(z), \zeta(z)) = 0$ for \mathbb{I} $p_\zeta(\zeta(z), \zeta(z)) \neq 0$ (where $p_\zeta = \frac{\partial}{\partial \zeta} p$)

Then the implicit function theorem implies that $\xi(z) = \sum_{j \geq 0} \left(\partial_i \xi(z) \right) \zeta^i$

Prop 2.4: Let $p(\xi, \zeta) \in K[\xi, \zeta]$ of degree D ; let $a \in K: p(a, 0) = 0$,

and $p_\zeta(a, 0) \neq 0$. Let $\xi = \xi(z)$ be the function defined by

$$p(\xi(z), \zeta) = 0 \text{ and } \xi(0) = a.$$

Then for each valuation $v \in M_K$, we have:

$$|\partial_i \xi(0)|_v \leq (2D)_v^{i!} \left(\frac{|p|_v}{|p_\zeta(a, 0)|_v} \right)^{z^{i-1}} \cdot \max\{1, |a|_v\}^{z^i D}$$

(where for $N \in \mathbb{N}$, $N_v = \begin{cases} N & \text{if } v \in M_K^\infty \\ 1 & \text{if } v \in M_K^0 \end{cases}$)

Plf (Sketch):

For any $i \geq 1$, there is a polynomial $q_i(\xi, \zeta)$ st $q_i + (p_\zeta)^{z^{i-1}} \frac{\partial^i \xi}{\partial \zeta^i} = 0$

The q_i are defined as follows:

$$q_1 = p_\zeta \text{ (using } \frac{\partial}{\partial \zeta} (p(\xi, \zeta) = 0) \text{)}$$

$$\text{and } q_{i+1} = -(q_i)_\zeta p_\zeta p_\xi + (q_i)_\xi p_\zeta^2 + (2i-1) q_i (-p_{\zeta\zeta} p_\xi + p_{\zeta\xi} p_\zeta) \quad (i \geq 1).$$

To obtain the desired estimates, we use:

- (0) A bound on the degree of q_i (in terms of the degree of p , which is D)
- (i) $\prod_{i=1}^r |f_i|_v \leq (\text{expression in deg } f_i \text{ for } i \geq 2) \cdot \prod_{i=1}^r |f_i|_v$ (B.7.44) in Book.
- (ii) $\left| \frac{\partial f}{\partial x_j} \right|_v \leq (\text{deg } f)_v |f|_v$

Proposition 2.5: Let $s \in L(\mathbb{Z}(d_1, d_2, d))$ be given by $\mathcal{F} = (F_i)_{0 \leq i \leq m}$,
 and let (i_1^*, i_2^*) be an admissible pair for s at (z, w) .

Then there is a finite set $E \subseteq C(\bar{k})$ s.t. $\forall (z, w) \notin E$ we have

$$h_{C \times C, \mathbb{Z}}(z, w) \geq -h(\mathcal{F}) - C_1 \cdot (i_1^*(z)^2 + i_2^*(w))^2 - C_2 \cdot (i_1^* + i_2^* + \delta_1 + \delta_2 + 1)$$

pf (Sketch):

Exclude all points $u \in C(\bar{k})$ where $\frac{x_1}{x_0} - \frac{x_1}{x_0}(u)$ is not a local parameter

(only finitely many such points).

Look at the composite

$$\begin{aligned} C &\xrightarrow{\Phi_{NA}} \mathbb{P}^n \xrightarrow{\text{Segre}} \mathbb{P}^1 \times \mathbb{P}^1 \xrightarrow{\text{Segre}} \mathbb{P}^3 \xrightarrow{\text{proj}^1} \mathbb{P}^2 \\ &\cong \longmapsto ([x_e:x_s], [x_0:x_1]) \\ &\quad ([a:b], [c:d]) \longmapsto [a:c:bd:bc:ad] \\ &\quad [x,y,z,w] \longmapsto [x:y^1:z]. \end{aligned}$$

The map $C \rightarrow \mathbb{P}^2$, given

by $[x_e x_0 : x_s x_1 : x_s x_0]$ is a birational morphism onto its image, a degree $2N$ curve in \mathbb{P}^2 .

Exclude points of C for which this is not an isomorphism.

So on $\text{im}(C)$, we have $G_{e_j}(x_e x_0, x_s x_1, x_s x_0) = 0$ for

some G_{e_j} of degree $2N$ (and homogeneous).

Dehomogenizing by $\frac{1}{(x_s x_0)^{2N}}$, get $g_{e_j}\left(\frac{x_e}{x_s}, \frac{x_1}{x_0}\right) = 0$ of deg $\leq 2N$.

Set $P_{e_j}(ST) := g_{e_j}\left(S, T + \frac{x_1}{x_0}(z)\right)$. Note that $|P_{e_j}|_v \leq C_3(v) \cdot \max\left\{1, \left|\frac{x_1}{x_0}(z)\right|_v\right\}^{2N}$.

where $C_3(v) \approx 1$ for almost all v .

Now, apply 2.4 w/ $i = i_k$; $\xi = \frac{x_e}{x_s}$; $\zeta = \frac{x_1}{x_0} - \frac{x_1}{x_0}(z)$; $D = P_{e_j}$; $\alpha = \xi(0) = \frac{x_e}{x_s}(z)$

and $D = \text{deg } P_{e_j} \leq 2N$.



we also exclude the points where $(P_{\xi}(a, v))|_v = 0$ (in the denom. of the estimate)

i.e. points for which $(g_{\xi})_{\xi} \left(\frac{x_0}{x_j}(u), \frac{x_1}{x_0}(u) \right) = 0$.

With this, we get $\left| \left(\partial_{i_k} \frac{x_0}{x_j} \right) (z) \right|_v \leq (4N)_v^{H_{i_k}} \cdot \left(\frac{|P_{\xi}|_v}{|(P_{\xi})_{\xi}(a, v)|_v} \right)^{Z_{i_k}-1} \max_j \left\{ 1, \left| \frac{x_0}{x_j}(z) \right|_v^{H_{i_k}} \right\}$

Using that $\min_j a_j b_j \leq \max_j a_j \min_j b_j$, we get:

$$\left| \partial_{i_k} \frac{x_0}{x_j} (z) \right|_v \leq (4N)_v^{H_{i_k}} \max_{0 \leq j \leq n} \left(\frac{|P_{\xi}|_v}{|(P_{\xi})_{\xi}(a, v)|_v} \right)^{Z_{i_k}-1} \leq (4N)_v^{H_{i_k}} \max_j \left(\frac{C_4(v)}{|(P_{\xi})_{\xi}(a, v)|_v} \right)^{Z_{i_k}-1} \quad (*)$$

↙ replace $|P_{\xi}|_v$ by a constant

(where $C_4(v) = 1$ for almost all v).

Moreover, $(P_{\xi})_{\xi}(a, v) = (g_{\xi})_{\xi} \left(\frac{x_0}{x_j}(z), \frac{x_1}{x_0}(z) \right)$, so

$$(*) \leq (4N)_v^{H_{i_k}} \max_{0 \leq j \leq n} \left(\frac{C_4(v)}{\left| (g_{\xi})_{\xi} \left(\frac{x_0}{x_j}(z), \frac{x_1}{x_0}(z) \right) \right|_v} \right)^{Z_{i_k}-1}$$

Taking log and $\max_{i,k}$ we get:

$$\max_{0 \leq l \leq n} \min_j \log \left| \left(\partial_{i_k} \frac{x_0}{x_j} \right) (z) \right|_v \leq \max_{l,j} \log \left((4N)_v^{H_{i_k}} \left(\frac{C_4(v)}{|(g_{\xi})_{\xi}(z)|_v} \right)^{Z_{i_k}-1} \right) \leq$$

$$\leq C_5(v) i_1^* + (Z_{i_k}-1) \left\{ \sum_{0 \leq j \leq n} \log^+ \left| (g_{\xi})_{\xi} \left(\frac{x_0}{x_j}(z), \frac{x_1}{x_0}(z) \right) \right|_v^{-1} \right\} \leftarrow (5)$$

↖ for almost all v

↖ $\max\{0, \log\}$

$\sum_v (5)$ can be estimated using

$$= h \left((g_{\xi})_{\xi} \right), \left(\deg \left((g_{\xi})_{\xi} \right) \right)_v$$

$$= h \left(\left[\frac{x_0}{x_j}(z) : \frac{x_1}{x_0}(z) : 1 \right] \right) \leq 2 h \left(\Phi_{N_A}(z) \right) \leq \tilde{C} \cdot |z|^2$$

↙ using functionality

$\Rightarrow \sum_v (5) \leq C_6 i_1^* |z|^2 + C_7 i_1^*$ Putting it all together, get the estimate

Recall:

1) There's $c_1 > 0$ s.t. $\forall d_1, d_2, d \gg 0$ + condition, and $(z, w) \in C(K)$,

$$h_{\text{exc, sc}}(d_1, d_2, d)(z, w) \leq \frac{d_1}{g} |z|^2 + \frac{d_2}{g} |w|^2 - 2d \langle z, w \rangle + c_1 \cdot (d_1 + d_2 + d).$$

2) For $s \in L(\Omega)$ given by $\mathcal{F} = (F_i)_{0 \leq i \leq m}$, and (i_1^*, i_2^*) admissible for s at (z, w) ,

then $\exists c_2, c_3$ s.t

$$h_{\mathcal{F}}(z, w) \geq -h(\mathcal{F}) - c_2 (i_1^* |z|^2 + i_2^* |w|^2) - c_3 (i_1^* + i_2^* + \delta_1 + \delta_2 + 1)$$

3) For $\delta > 0, d_1, d_2, d \gg 0$ and $d_1 d_2 - g d^2 \geq \delta d_1 d_2$, then $\exists c_4$ and we can find a global section $s \in L(\Omega)$ s.t

$$h(\mathcal{F}) \leq c_4 \frac{d_1 + d_2}{\gamma} + o(d_1 + d_2) \quad (c_4 \text{ not depending on } d\text{'s and on } \gamma)$$

Step 3: Bounding the index.

Lemma 3.1 (Restatement of Roth's Lemma): Let $P \in \mathbb{C}[X_1, X_2]$ be non-zero, $\deg P \leq (r_1, r_2)$ and let $\beta_1, \beta_2 \in \overline{\mathbb{C}}$

Suppose $1 \geq \omega > 0$ s.t

- (i) $r_2 \leq \omega r_1$
- (ii) $h(P) + 4r_1 \leq \omega \cdot \min \{ r_1 h(\beta_1), r_2 h(\beta_2) \}$

then $\exists i_1, i_2 \geq 0$ s.t $\frac{i_1}{r_1} + \frac{i_2}{r_2} \leq 4\sqrt{\omega}$ and $\partial_{i_1} P(\beta_1, \beta_2) \neq 0$

Prop 3.2: There is a constant $c_5 > 0$ s.t for $0 < \epsilon, \delta \leq 1$ w/ $\epsilon^2 d_1 \geq d_2$ and $\min \{ d_2 |w|^2, d_1 |z|^2 \} \geq \frac{c_5}{\gamma \epsilon^2} d_1$ and s.t $d_1 d_2 - g d^2 \geq \delta d_1 d_2$.

Let $s \in L(\Omega)$ s.t $h(\mathcal{F}) \leq c_4 \frac{d_1 + d_2}{\gamma} + o(d_1 + d_2)$.

Then $\exists (i_1^*, i_2^*)$ admissible for s at (z, w) s.t

$$\frac{i_1^*}{d_1} + \frac{i_2^*}{d_2} \leq 12N\epsilon.$$

pf (of 3.2): Let $\xi_i = \frac{x_i}{x_0}$, $\xi_i' = \frac{x_i'}{x_0}$.

we are going to produce $\Phi(\xi, \xi') = P(x_1, x_2)$, and

$$\beta_1 = \xi_1(z), \beta_2 = \xi_1'(w).$$

If $x_0(u) \neq 0$, we have:

$$h_{C, \Lambda}(u) = \frac{1}{g} |u|^2 + O(1) \quad (\text{by finiteness} + \text{linearity}).$$

$$\text{So } h_{C, \Lambda}(u) = h(\mathbb{P}_{\Lambda}(u)) = h([1: \xi_1(u): \dots: \xi_n(u)]) \leq$$

$$\leq \sum_{i=1}^n h(\xi_i(u)) \stackrel{wlog}{\leq} h(\xi_1(u))$$

$$\text{Then, } h(\xi_1(z)) \geq \frac{N}{ng} |z|^2 + O(1)$$

$$h(\xi_1'(z)) \geq \frac{N}{ng} |w|^2 + O(1)$$

$$\text{As } [K(C \times C) : K(\xi, \xi')] = N^2 < \infty,$$

$$\text{can set } Q(\xi, \xi') := \text{Norm}_{K(C \times C)/K(\xi, \xi')} \left(\frac{F_i(\xi, \xi')}{(y_i/y_0)^d} \right)$$

Note: does not depend on ε , because $\frac{F_i}{y_i^d} = \frac{F_j}{y_j^d}$.

Since $x_0(z) \neq 0$, $x_0'(w) \neq 0$, we get that Q is regular at

$$A' \times A' \in \mathbb{P}^1 \times \mathbb{P}^1, \text{ so } Q \text{ is a polynomial in } \xi, \xi' \quad (\in K[\xi, \xi'])$$

We compute: $\deg_{\xi_i}(Q) \leq N \cdot d_i$ (why?)

Moreover, $h(Q) \leq N^2 h(F)$ (by the formula for the height of the product)

$$\text{So } h(Q) \leq N^2 h(F) \leq N^2 \left(c_4 \frac{d_1 + d_2}{\gamma} + o(d_1 + d_2) \right) \stackrel{d_1 \geq d_2}{\leq} c_6 \frac{d_1}{\gamma}$$



(cont of)

Now we can apply (3.1), with $P \in Q$, $r_1 \in Nd_1$, $r_2 \in Nd_2$, $\beta_1 \in \mathcal{Z}_1(z)$, $\beta_2 \in \mathcal{Z}_1(w)$, $\omega \in \mathcal{E}^2$.

we have to check that

(i) $r_2 = Nd_2 \stackrel{\text{assumption}}{\leq} N\mathcal{E}^2 d_1 = \omega r_1 \quad \checkmark$

(ii) $h(P) + 4r_1 \leq N^2 h(\tilde{F}) + 4r_1 \leq C_6 \frac{d_1}{\delta} + Nd_1 \leq C_7 \frac{d_1}{\delta}$

and $\omega r_1 h(\beta_1) = \mathcal{E}^2 Nd_1 h(\mathcal{Z}_1(z)) \geq \mathcal{E}^2 Nd_1 \left(\frac{N}{ng} |z|^2 + \mathcal{O}(1) \right) \geq \frac{N^2 d_1}{ng} (\mathcal{E}^2 |z|^2 + \mathcal{O}(1)) \geq \frac{C_8 d_1}{\delta}$
 \leftarrow because $d_1 |z|^2 \geq \frac{C_5}{8\mathcal{E}^2} d_1$
 and $\omega r_2 h(\beta_2) \geq \frac{C_8 d_1}{\delta}$

By choosing $C_5 \gg 0$, we'll get $C_8 > C_7$, s.t

$h(P) + 4r_1 \leq C_7 \frac{d_1}{\delta} \leq C_8 \frac{d_1}{\delta} \leq \omega \min \{ r_1 h(\beta_1), r_2 h(\beta_2) \} \quad \checkmark$

By (3.1), we conclude that one can find (i_1, i_2) st

$\frac{i_1}{r_1} + \frac{i_2}{r_2} \leq 4\sqrt{\omega} \quad \text{and} \quad \partial_{i_1} P(\beta_1, \beta_2) \neq 0$

i.e. $\frac{i_1}{d_1} + \frac{i_2}{d_2} \leq 4N\mathcal{E} \leq 12N\mathcal{E} \quad \text{and} \quad \partial_{i_1}^{\mathcal{E}} \partial_{i_2}^{\mathcal{Z}_1'} S(z, w) \neq 0$

Hence $\exists (i_1^*, i_2^*)$ admissible and $\leq (i_1, i_2)$.

In particular, $\frac{i_1^*}{d_1} + \frac{i_2^*}{d_2} \leq 12N\mathcal{E}$ as well.

we have then

4) $\frac{i_1^*}{d_1} + \frac{i_2^*}{d_2} \leq 12N\mathcal{E}; \quad \text{So} \quad i_1^* + i_2^* \leq C_5 \mathcal{E} (d_1 + d_2)$

Next: (1) - (4) \rightarrow Cojta's inequality.

Recall the statement of Vojta's inequality, which we are going to prove.

Thm: $\exists k_1 = k_1(C), k_2 = k_2(g)$ s.t. $\nexists z, w \in C(\bar{K})$ with

$$|z| \geq k_1, |w| \geq k_2 \cdot |z|, \text{ then } \langle z, w \rangle \leq \frac{3}{4} |z| \cdot |w|.$$

Proof: Choose $k_1, k_2 \geq 1$, and k_1 large enough s.t.

$$\mathcal{Z} \cap \{x \in C(\bar{K}) : |x| \geq k_2\} = \emptyset$$

(where \mathcal{Z} = all points u s.t.
 $\bullet \xi_1 - \xi_1(u)$ is not a uniformizer
 or
 $\bullet (g_{e_j})_{\mathbb{F}_q}(u) = 0$ for some $0 \leq j, l \leq n$)

Choose $1 \geq \epsilon, \nu > 0$, and $D \gg 0$ (D will be sent to ∞ at the end).

Ensure that $D > |w|^2$.

$$\text{Set } \begin{cases} d_1 = N \cdot \lfloor \sqrt{8+\nu} \frac{D}{|z|^2} \rfloor \\ d_2 = N \cdot \lfloor \sqrt{9+\nu} \frac{D}{|w|^2} \rfloor \\ d = N \cdot \lfloor \frac{D}{|z||w|} \rfloor \end{cases}$$

and let $\Omega = \Omega(d_1, d_2, d)$. This is a Vojta divisor:

$$\left(g d^2 \leq d_1, d_2 \leq g^2 d^2 \quad (\text{easy to check}). \right)$$

We'll estimate $h_{\Omega}(z, w)$. First choose D large enough so that

the sections in $L(\Omega) \iff \mathcal{F} = \{F_i\}_{0 \leq i \leq m}$

By estimate (2), $h_{\Omega}(z, w) \geq -h(\mathcal{F}) - c_2(i_1^* |z|^2 + i_2^* |w|^2) - c_3(i_1^* + i_2^* + \delta_1 + \delta_2 + 1)$
 for (i_1^*, i_2^*) admissible for $s \in \mathcal{F}$ at (z, w) .

Since $k_1, k_2 \geq 1$, then $|z| \geq 1$ and $|w| \geq 1$, so we get:

$$(*) \quad h_{\Omega}(z, w) \geq -h(\mathcal{F}) - c_{11}(i_1^* |z|^2 + i_2^* |w|^2) - c_{12}(d_1 + d_2 + d)$$

Now, let $k_1 > \frac{1}{\sqrt{\epsilon}}$ and use (*) to obtain:

$$d_1 + d_2 + d \leq N \cdot D \cdot \left(\frac{\sqrt{g+2}}{|z|^2} + \frac{\sqrt{g+2}}{|w|^2} + \frac{1}{|z||w|} \right) \leq_{|z|, |w| \geq k} C_{13} \frac{D}{k_1^2} \leq C_{15} \epsilon D$$

So,

(A) $h_{\Omega}(z, w) \geq -h(\mathbb{F}) - C_{11} (i_1^* |z|^2 + i_2^* |w|^2) - C_{14} \epsilon D$

To estimate $h(\mathbb{F})$ above, we need $\delta > 0$, wd. of z, w s.t.:

$$d_1 d_2 - g d^2 \geq \delta d_1 d_2$$

Note that $\frac{d_1 d_2 - g d^2}{d_1 d_2} = 1 - \frac{g d^2}{d_1 d_2} \geq 1 - \frac{g \left(\frac{D}{|z||w|} \right)^2}{\left(\frac{\sqrt{g+2}}{|z|^2} - 1 \right) \left(\frac{\sqrt{g+2}}{|w|^2} - 1 \right)} =$
 $= 1 - \frac{g}{g+2} \cdot \frac{1}{1 - \frac{|z|^2}{D\sqrt{g+2}}} \cdot \frac{1}{1 - \frac{|w|^2}{D\sqrt{g+2}}} \geq 1 - \frac{g}{g+2} (1-\epsilon) \geq \frac{2}{3g}$

For $D \gg 0$, we can choose $\delta = \frac{2}{3g}$, say. we've bounded $d_1, d_2 + d$

From this we can get some \mathbb{F} with $h(\mathbb{F}) \leq C_{15} (d_1 + d_2) \leq C_{16} \epsilon D$ (B)

Substitute (B) into (A), to get: using (3)-estimate.

(C) $h_{\Omega}(z, w) \geq -C_{11} (i_1^* |z|^2 + i_2^* |w|^2) - C_{17} \epsilon D$

Next, we use estimate (4) (Prop 3.2) to estimate i_1^*, i_2^* :

Let $k_2 \geq \frac{\sqrt{2}}{\epsilon}$, to obtain $\frac{d_2}{d_1} \leq \frac{N\sqrt{g+2} \frac{D}{|w|^2}}{N\left(\frac{\sqrt{g+2}}{|z|^2} - 1\right)} \stackrel{D \gg 0}{\leq} \frac{2|z|^2}{|w|^2} \leq \frac{2}{k_2^2} \leq \epsilon^2$

The second condition for (Prop 3.2) was that for some constant C_{18} ,

$$\min \left\{ d_2 |w|^2, d_1 |z|^2 \right\} \geq \frac{C_{18}}{\delta \epsilon^2} d_1$$

Note that $\frac{d_2 |w|^2}{d_1 |z|^2} = \frac{\left[\sqrt{g+2} \frac{D}{|w|^2} \right] |w|^2}{\left[\sqrt{g+2} \frac{D}{|z|^2} \right] |z|^2} = \left(1 - \frac{\eta_2 |w|^2}{D\sqrt{g+2}} \right) \left(1 - \frac{\eta_1 |z|^2}{D\sqrt{g+2}} \right)$ for

some $0 \leq \eta_1, \eta_2 \leq 1$.

Hence, if $D \gg 0$, $\frac{1}{2} \leq \frac{d_2 |w|^2}{d_1 |z|^2} \leq 2$

So to check the second condition of Prop 3.2, we need to

check $d_1 |z|^2 \geq \frac{2c_{18}}{\gamma \epsilon^2} d_1$, which we can for $k_1 \geq \sqrt{\frac{2c_{18}}{\gamma \epsilon^2}}$.

Now, (3.2) $\Rightarrow \frac{i_1^*}{d_1} + \frac{i_2^*}{d_2} \leq 4N\epsilon \Rightarrow i_1^* \leq 4N\epsilon d_1, i_2^* \leq 4N\epsilon d_2$

Substitute this into (c), to get:

(D) $\underbrace{\left[h_{\Omega}(z,w) \right]}_{\text{order of } \epsilon^2 w} \geq -C_{19} \epsilon \cdot (d_1 |z|^2 + d_2 |w|^2) - C_{17} \epsilon \cdot D \geq \underline{-C_{20} \epsilon D}$

because $d_1 |z|^2, d_2 |w|^2 \leq c'D$.

Now we use (1), to see that $h_{\Omega}(z,w) \leq \frac{d_1}{g} |z|^2 + \frac{d_2}{g} |w|^2 - 2d \langle z,w \rangle + C_1(d_1 + d_2 + d) \leq$

Combining it with (D) and noting that $C_1(d_1 + d_2 + d) \leq C_{21} \epsilon D$,

get (F) $\frac{d_1}{g} |z|^2 + \frac{d_2}{g} |w|^2 - 2d \langle z,w \rangle \geq -C_{22} \epsilon D$

Multiply by $\frac{1}{D}$, and then let $D \rightarrow \infty$. Note that $\lim_{D \rightarrow \infty} \frac{1}{D} \lfloor \alpha D \rfloor = \alpha \forall \alpha \in \mathbb{R}$

and use def (*), to get:

$\frac{\sqrt{g+d}}{|z|} \geq \frac{\sqrt{g+d}}{g} - 2 \frac{\langle z,w \rangle}{|z||w|} \geq -C_{23} \epsilon$

or equivalently, $\langle z,w \rangle \leq \left(\frac{\sqrt{g+d}}{g} + \frac{1}{2} C_{23} \epsilon \right) |z||w|$.

As $g \geq 2$, ~~we~~ get $\frac{\sqrt{g+d}}{g} < \frac{3}{4}$, and so, as ϵ is arbitrary,

we get $\langle z,w \rangle \leq \frac{3}{4} |z||w|$.

Theorem: Let K be a number field, and A/K an abelian variety.

If $X \subseteq A$ is a closed subvariety that does not contain any translate of a positive dimensional abelian subvariety,

then $X(K)$ is finite.

↳ Lang's conjecture

Idea involved in the proof:

Choose a line bundle X^m ($m \gg 0$, need that $X^m \rightarrow A^{m-1}$ is finite)

Take "rational" line bundles in $\text{Pic}(A^m) \otimes \mathbb{Q}$ ($\mathcal{L}(-\epsilon, s_1, \dots, s_m)$)
(coming from ample line bundles on A (one of the factors) ...)

Metriize these line bundles.

Try to find lower & upper bounds for $h_{\mathcal{L}}$ (rational points in X^m), to get a contradiction.

For the lower inequality, we need to replace Siegel's lemma (used for Vojta's inequality) ← called Faltings' lemma, also, need to replace Roth's lemma by the called "product theorem".

Metriized Line Bundles

Let X/K be a projective variety, and let $\{\sigma: K \hookrightarrow \mathbb{C}\} \in \text{embeddings}$
(total of $n = [K:\mathbb{Q}]$)

For each σ , we get an analytic space $X_{\sigma} := (X \times_{K, \sigma} \mathbb{C}) (\mathbb{C})^{\text{an}}$, and

if E is a vector bundle on X , we get an analytic vector bundle on X_{σ} .

Def: A hermitian metric on E over X is, for each $\sigma: K \hookrightarrow \mathbb{C}$,

a hermitian metric $\langle \cdot, \cdot \rangle_{\sigma}$ on E_{σ} s.t $\langle \bar{\cdot}, \bar{\cdot} \rangle_{\sigma} = \overline{\langle \cdot, \cdot \rangle_{\sigma}}$

($\bar{\cdot}$ = complex conjugation).

Rk: A hermitian metric on the analytic vector bundle E_σ over X_σ is a continuously varying family of positive-definite hermitian forms on the fiber $(E_\sigma)_x$, $x \in X_\sigma$.

(Equivalently, it's a continuous section of $(\bar{E}_\sigma \otimes E_\sigma)^*$ which is a positive definite hermitian form on each fiber
continuous sections)

Associated to $\langle \cdot, \cdot \rangle_\sigma$ is a norm $\|\cdot\|_\sigma: C(X_\sigma, E_\sigma) \rightarrow C(X_\sigma, \mathbb{R}_{>0})$

Def: A metrized line bundle on X is an algebraic line bundle, with a hermitian metric, written $(\mathcal{L}, \|\cdot\|_\sigma)$

Relation with heights:

Let $X \rightarrow \text{Spec}(\mathbb{O}_K)$ be projective integral.

By a metrized line bundle on X we mean \mathcal{L} on X a line bundle, together with an hermitian metric on \mathcal{L}_K over X_K .

Example: $X = \text{Spec}(\mathbb{O}_K)$.

$\mathcal{L} \leftrightarrow$ invertible ideal I of \mathbb{O}_K .

The metric: pos-def. hermitian form on each $I \otimes_{\mathbb{K}} \mathbb{C}$

Def: Let $\frac{0}{\sigma} \in \Gamma(\text{Spec } \mathbb{O}_K, \mathcal{L}) = I$. $\deg_{\|\cdot\|_\sigma}(\frac{0}{\sigma}) := \log \#(I/SI) - \sum_{\sigma} \|s\|_\sigma$

This is the Arakelov degree of \mathcal{L} wrt $\|\cdot\|_\sigma$.

Let C/\mathbb{F}_p be smooth, projective. Let $\mathbb{F}_p[C] = \mathcal{O}_C(U) \subseteq \mathbb{F}_p(C)$.

A divisor D on C w/ support $\subseteq U \iff$ ideal in $\mathcal{O}_C(U)$, say I .

If $0 \neq s \in I$, then $\deg(D) = \log_p \# I/SI$

Let now $x \in X(\bar{k})$, and $(\mathcal{L}, \|\cdot\|_x)$ on X .

There is k'/k finite s.t. $x \in X(k') = \overbrace{X(\mathcal{O}_{k'})}^{\text{valuative criterion of properness}}$

$$\begin{array}{ccc} \text{i.e. } x : \text{Spec } \mathcal{O}_{k'} & \longrightarrow & X \\ & \searrow \downarrow & \swarrow \uparrow \\ & \text{Spec } \mathcal{O}_k & \end{array}$$

We can pull-back the metrized line bundle on X , to get:

$$x^* (\mathcal{L}, \|\cdot\|_x), \text{ a metrized line bundle on } \text{Spec}(\mathcal{O}_{k'})$$

Define: $h_{(\mathcal{L}, \|\cdot\|_x)}(x) := \frac{1}{[k':k]} \deg(x^* (\mathcal{L}, \|\cdot\|_x)).$

Theorem: $h_{(\mathcal{L}, \|\cdot\|_x)}$ is a height function for \mathcal{L} .

We will prove it now.

First, recall what we've done so far:

$$\begin{array}{ccccc} \mathcal{L} & \longrightarrow & \mathcal{X} & \longrightarrow & \text{Spec } \mathcal{O}_k \\ \uparrow & & \uparrow & & \downarrow \\ & & * & \longrightarrow & \text{Spec } k \\ & & \uparrow & & \uparrow \mathcal{L}^* \\ (\mathcal{L}, \|\cdot\|_x) & \longrightarrow & X_{\mathbb{C}}^{\text{an}} & \longrightarrow & \text{Spec } \mathbb{C} = \text{pt} \end{array}$$

Also, note that $(\mathcal{M}, \|\cdot\|_x)$ over $\text{Spec } \mathcal{O}_k$ is equivalent to the giving of \mathcal{M} a proj. rank-1 module over \mathcal{O}_k , + norms on $M \otimes_{\mathcal{O}_k} \mathbb{C}^{\text{an}}$ (1-dim \mathbb{C}^x vector space)

Let $0 \neq s \in \mathcal{M}$. Then $\deg(\mathcal{M}, \|\cdot\|) = \log \#(M / \langle s \rangle) = \sum_{i: k \hookrightarrow \mathbb{C}} \|s_i\|_i$

If $(\mathcal{L}, \|\cdot\|_L)$ is a metrized line bundle on X ,

and $P \in X(\bar{K})$, then we can extend it to $\bar{P} \in \mathcal{X}(\mathcal{O}_{K'})$. For

some $[K':K] < \infty$, we set

$$h_{(\mathcal{L}, \|\cdot\|_L)}(P) := \frac{1}{[K':K]} \deg(\tilde{P}^*(\mathcal{L}, \|\cdot\|_L)).$$

Proof (that $h_{(\mathcal{L}, \|\cdot\|_L)}$ is a height function for (X, \mathcal{L}) .)

First, X_K^{an} is a compact analytic space, so for any two norms on $\mathcal{L}_L^{\text{an}}$ are equivalent (globally).

In particular, can write $\mathcal{L} = \mathcal{L}_1 \otimes \mathcal{L}_2^{-1}$, w/ $\mathcal{L}_1, \mathcal{L}_2$ are very ample,

and assume that $\|\cdot\| = \|\cdot\|_1 \cdot \|\cdot\|_2^*$ (a norm on a vector space yields another on its dual).

By the height machine, we may as well assume $X = \mathbb{P}^n$, $\mathcal{L} = \mathcal{O}(1)$.

The sections of $\mathcal{O}(1)$ are locally quotients $\frac{F}{G}$, F, G homogeneous poly's in x_0, \dots, x_n , and $\deg F - \deg G = 1$.

$$\text{Define } \left\| \frac{F}{G} \right\|_L([a_0, \dots, a_n]) := \frac{|(GF)(a_0, \dots, a_n)|}{|(LG)(a_0, \dots, a_n)|} \cdot \frac{1}{\max_{0 \leq i \leq n} |a_i|}$$

Claim: $h_{(\mathcal{O}(1), \|\cdot\|_L)} = h_{\mathbb{P}^n}$ (usual logarithmic height).

~~Proof~~ We may (by extending the base field) assume that $P \in \mathbb{P}^n(\bar{K}) = \mathbb{P}^n(\mathcal{O}_K)$

and $P^* X_0 \neq 0$ is a section of $P^* \mathcal{O}(1)$. Here $\mathbb{Z}_p \cong \mathbb{Z} \cdot \frac{1}{p^{\mathbb{N}}}$

$$\frac{P^* \mathcal{O}(1)}{\langle P^* X_0 \rangle} \cong \frac{(\sum \mathcal{O}_K x_i(P))}{\mathcal{O}_K(x_0(P))} \cong \frac{(\sum \mathcal{O}_K \frac{x_i}{x_0}(P))}{\mathcal{O}_K} \subseteq K/\mathcal{O}_K$$

$$\# \frac{P^* \mathcal{O}(1)}{\langle P^* X_0 \rangle} = \prod_{v \in M_K^{\infty}} \max_{i \in \{1, \dots, n\}} \left\| \frac{x_i}{x_0}(P) \right\|_v \stackrel{\text{product formula}}{=} \prod_{v \in M_K^{\infty}} \max_{i \in \{1, \dots, n\}} \|x_i(P)\|_v \cdot \prod_{v \in M_K^{\infty}} \|x_0(P)\|_v$$

We need only to compute the degree of $P^* \mathcal{O}(1)$

$$\deg \left(\mathbb{P}^k(\mathcal{O}(1), \|\cdot\|_v) \right) = \sum_{\sigma \in M_k^0} \log \max_{i \leq n} \|x_i(P)\|_v + \sum_{\nu \in M_k^{\infty}} \log \|x_0(P)\|_v - \sum_{\nu \in M_k^{\infty}} \log \left| \frac{\|x_0(P)\|_v}{\max_{i \leq n} \|x_i(P)\|_v} \right|$$

$$= [k: \mathbb{Q}] h_{pp}(P).$$

Differential operators, index, and the product theorem.

will assume for simplicity $\text{char}(K)=0$.

Def: (1) Let X/K be a variety. A vector field on X is a K -linear derivation

$$D: \mathcal{O}_X \rightarrow \mathcal{O}_X.$$

Equivalently, $D \in \Gamma(X, \mathcal{T}_X)$ where $\mathcal{T}_X = \underline{\text{Hom}}(\Omega_{X/K}^1, \mathcal{O}_X)$.

(2) A differential operator on X of degree $\leq r$ is a K -linear map

$L: \mathcal{O}_X \rightarrow \mathcal{O}_X$ that is locally of the form $\sum \{D_1 \dots D_s, s \leq r$
where D_i 's are vectorfields on X .

Remark: Let $X = X_1 \times \dots \times X_m$. Then any vectorfield D on X can be written uniquely as $D_1 + \dots + D_m$, where D_i is a "vectorfield in the i th-direction", which means that its projection to $\prod_{j \neq i} X_j$ is 0.

This is because $\Omega_{X/K}^1 \cong \bigoplus_{i=1}^m \rho_i^* \Omega_{X_i/K}^1$.

Def: (3) $L: \mathcal{O}_X \rightarrow \mathcal{O}_X$ is a differential operator of multidegree $\leq (r_1, \dots, r_m)$ if it can be locally expressed as $\sum \{D_1 \dots D_s, \text{ where each } D_j \text{ is in the direction of some } X_i, \text{ and at most } r_i \text{ go in the direction of } X_i.$

(4) Let (d_1, \dots, d_m) be integers > 0 , then L is of weighted degree $\text{wt-deg}_d(L) \leq r$ if it is locally of multidegree $\leq r$,

such that $\frac{r_1}{d_1} + \dots + \frac{r_m}{d_m} \leq r$

(5) Let $\mathcal{L} \rightarrow X = X_1 \times \dots \times X_m$ be a line bundle, and $0 \neq f \in \Gamma(X, \mathcal{L})$.

Let $x \in X$ (not necessarily closed), and s a generator of \mathcal{L}_x as a $\mathcal{O}_{X,x}$ -module; then $f = g \cdot s$ for some function $g \in \mathcal{O}_{X,x}$.

We define $\text{Ind}_d(f, x) := \max \left\{ \sigma \in \mathbb{Q}_{\geq 0} : L(g)(x) = 0 \text{ if } \text{wt-deg}(L) < \sigma \right\}$
 (independent of the choice of s) and L is defined on a neighborhood of x

Example: $X_i = \mathbb{A}^1$, $\mathcal{L} = \mathcal{O}_X$. Get the ordinary index w.r.t. d (at closed points).

Def (6) Given $X = X_1 \times \dots \times X_m$, and \mathcal{L} a line bundle on X , and $f \neq 0$, d , and $\sigma \in \mathbb{R}$,

Define $Z_\sigma := \{x \in X : \text{Ind}(f, x) \geq \sigma\} \subseteq X$ is a closed subscheme, with ideal sheaf locally generated by $L(g)$, w/ $\text{wt-deg } L < \sigma$, $f = g \cdot s$, s a local generator of \mathcal{L} .

Remark: if $\sigma' \geq \sigma$, then $Z_{\sigma'} \subseteq Z_\sigma$

Theorem (Product Thm): Suppose $k = \bar{k}$. Let $n_1, \dots, n_m > 0$ be integers.

Let $\mathcal{P} = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_m}$. For every $\epsilon > 0$, $\exists r \in \mathbb{R}$ s.t. If

(1) (d_1, \dots, d_m) satisfies $\frac{d_i}{d_{i+1}} \geq r$

(2) $0 \neq f \in \Gamma(\mathcal{P}, \mathcal{O}(d_1, \dots, d_m))$ $\leftarrow \mathcal{O}(d_1, \dots, d_m) = \mathcal{P}_1^* \mathcal{O}(d_1) \otimes \mathcal{P}_2^* \mathcal{O}(d_2) \otimes \dots \otimes \mathcal{P}_m^* \mathcal{O}(d_m)$

(3) For some σ , Z is an irreducible component of Z_σ and $Z_{\sigma+\epsilon}$

Then: a) $Z = Z_1 \times \dots \times Z_m$, $Z_i \subseteq \mathbb{P}^{n_i}$ a closed subscheme.

b) $\deg(Z_i) \leq \mathcal{O}(\epsilon, n_1, \dots, n_m)$.

Application: Suppose $N > \dim \mathcal{P}$, and $\text{Ind}(f, x) \geq \sigma$.

Then there is a chain $\mathcal{P} \supseteq Z_1 \supseteq Z_2 \supseteq \dots \supseteq Z_N \ni x$,

where Z_i is a component of $(Z_i)_{\sigma/N}$.

Because $N > \dim \mathcal{P}$, $Z_{i+1} = Z_i$ for some i .

We can then apply the product theorem, w/ $E = \sigma/N$

(!! σ depends on d , and d depends on σ !! But this can be taken care of).

