

# Group Theory

①

§0. Intro & Notation.

$H \leq G$  subgroup

$H < G$  proper subgroup

$H \triangleleft G$  normal subgroup.

Def  $H$  is subnormal in  $G$  if  $\exists$  chain  $H = H_0 \triangleleft H_1 \triangleleft \dots \triangleleft H_n = G$ .

The least such  $n$  is called the defect of  $H$ .

If  $\alpha: G \rightarrow H$  is a group homomorphism, write  $g^\alpha$  for the image of  $g$  under  $\alpha$ . (the hom. is then  $(g_1 g_2)^\alpha = g_1^\alpha g_2^\alpha$ ).

Def the center of  $G$  is  $Z(G) := \{g \in G : gx = xg \forall x \in G\}$ .  
(it is an abelian normal subgroup of  $G$ ).

$\text{Aut}(G) :=$  group of all automorphisms of  $G$ .

$\text{Inn}(G) :=$  group of all inner automorphisms of  $G$  (i.e.  $\{g^Z: x \mapsto x^g = g^{-1}xg, g \in G\}$ )  
( $\text{Inn}(G) \triangleleft \text{Aut}(G)$ )

The outer automorphism group is  $\text{Out}(G) = \frac{\text{Aut}(G)}{\text{Inn}(G)}$ .

Obs: there is an exact sequence

$$1 \rightarrow Z(G) \rightarrow G \xrightarrow{Z} \text{Aut}(G) \rightarrow \text{Out}(G) \rightarrow 1$$

$\downarrow \text{Inn}(G) \quad \uparrow$

Def. (semidirect product): Let  $N \triangleleft G, H \leq G, H \cap N = \{1\}, H \cdot N = G$ .  
we say that  $G$  is the semidirect product of  $H$  and  $N$ .

Properties:

i)  $x \in G \Rightarrow x = hn$  for unique  $h \in H, n \in N$ .

ii) Let  $h \in H$ , define  $h^\alpha: N \rightarrow N$  by  $n \mapsto h^{-1}nh = n^h$  ( $\alpha: H \rightarrow \text{Aut}(N)$ ).

iii) If we are given groups  $H, N$  and an hom.  $\alpha: H \rightarrow \text{Aut}(N)$ , we can define the external semidirect product,  $H \rtimes_\alpha N$ .

Def: (of ext. semi-dir. prod).  $G = H \rtimes N$  is a group with underlying set  $H \times N$ , with group operation  $(h_1, n_1)(h_2, n_2) = (h_1, h_2, n_1 h_2^\alpha n_2)$   
 (motivation: in the internal,  $(h_1, n_1)(h_2, n_2) = h_1, h_2 h_2^{-1} n_1, h_2 n_2 = (h_1, h_2) \cdot (n_1, h_2 n_2)$   
 $(1_n, 1_N)$  the identity, and need to check associativity.

$$(hn)^{-1} = n^{-1}h^{-1} = h^{-1}h n^{-1}h^{-1} = h^{-1}(n^{-1})^{h^{-1}} \text{ (internal).}$$

$$\text{In the external, } (h, n)^{-1} = (h^{-1}, (h^{-1})^{(h^{-1})^\alpha}).$$

Rk: if  $\alpha: H \rightarrow \text{Aut } N$  is trivial ( $h^\alpha = 1_{\text{Aut}(N)} \forall h$ ) then  $G \cong H \times N$  as groups!

Now we identify  $G = H \rtimes N$  as an internal semidirect product.

$$\text{Let } \bar{H} := \{ (h, 1_N) : h \in H \} \leq G \quad (\bar{H} \cong H) \text{ and}$$

$$\bar{N} := \{ (1_H, n) : n \in N \} \triangleleft G \quad (\bar{N} \cong N).$$

Note  $\bar{H} \cap \bar{N} = 1$ ,  $G = \bar{H}\bar{N}$  (check everything works).

Example: Let  $N = \langle a \rangle \times \langle b \rangle$ ,  $(a^2 = b^2 = 1)$

$$H = \langle h \rangle.$$

$$\alpha: H \rightarrow \text{Aut}(N) \text{ by } h^\alpha: \begin{cases} a \mapsto b \\ b \mapsto ab \end{cases}$$

Can check that  $G = HKN \cong A_4$

### \* Wreath product:

Let  $H, K$  be any finite groups.

order matters!

Def: (The standard wreath product) of  $H$  and  $K$  is  $W := H \wr K$ .

First, define  $B := \{ \text{restricted functions } f: K \rightarrow H \}$  (i.e.  $f(k) = 1_H$  for all but finite  $k \in K$ )

$$(fg)(k) := f(k) \cdot g(k) \text{ (multiplication)}$$

Write  $f \in B$  as  $(f_k)_{k \in K}$  (as if were a sequence,  $f_k = f(k)$ ).

↓

Let then, for  $k \in K, h \in H$ , define  $f_{k,h} \in B$  by:

$$f_{k,h}(k) = h \text{ and } f_{k,h}(k') = 1_H \text{ for } k' \neq k \text{ (support of } f \text{ of only } k).$$

$$\text{let } H_k = \{ f_{k,h} : h \in H \} \subseteq B.$$

we can see  $H_k \cong H$  by  $f_{k,h} \mapsto h$ , and  $B = \prod_{k \in K} H_k$

( $\prod$  is the restricted direct product, or direct sum  $\oplus$ ).

define  $\alpha: K \rightarrow \text{Aut}(B)$  by  $k^\alpha$  s.t.  $(f^{k^\alpha})_{k_1} = f_{k_1, k^{-1}}$  ( $k \in K$ )

Check that  $k^\alpha \in \text{Aut}(B)$ , and that  $\alpha$  is an homomorphism.

(in fact,  $k^\alpha$  permutes the  $H_k$ 's by right multiplication on  $K$ ).

Let  $k', k_1 \in K$  and let  $f \in H_k$  s.t.

$$(f^{k_1^\alpha})_{k_1} = f_{k_1, (k_1^{-1})} = 1 \text{ iff } k_1 (k_1^{-1})^{-1} \neq k$$

(i.e.  $k_1 \neq k k_1$ .) Thus,  $f^{(k_1^{-1})^\alpha} \in H_{k k_1}$ , and  $H_k^{(k_1^{-1})^\alpha} = H_{k k_1}$ .

For simplicity, write  $f^{k'}$  for  $f^{(k')^\alpha}$ .

The wreath product is  $W := K \rtimes_\alpha B$ .

$$\text{(if } K \text{ and } H \text{ are finite, } |W| = |B| |K| = |H|^{|K|} \cdot |K|.$$

Exercise: identify  $\mathbb{Z}_2$  wr  $\mathbb{Z}_2$ .

Theorem: Suppose  $H \neq 1$  and  $K$  infinite. Then  $Z(H \text{ wr } K) = 1$ .

pf  $W = H \text{ wr } K$ . Then  $W = K B$ ,  $B \triangleleft W$  and  $K \cap B = 1$ .

Let  $z \in Z(W)$ , and write  $z = k f$  ( $k \in K, f \in B$ ). Then

$$H_{kx} = H_{kx}^z = (H_{kx}^k) f = H_{kx}^1 = H_{kx} \text{ since } H_k \triangleleft B. \text{ Thus } k = 1_k \text{ and } z = f \in B.$$

Now, let  $k_1 \in K$ . Then  $f^{k_1} = f$ , so  $f_{x, k_1^{-1}} = f_x \forall k_1 \in K$ .

Thus  $f$  is constant on  $K$ , so (f = a.e)  $f(x) = 1_H \forall x \in K$  and  $f = 1_W$  //

## Application:

Def A (possibly infinite) group  $G$  is a  $p$ -group ( $p$  prime) if each element of  $G$  has order a power of  $p$ .

(for  $G$  finite, this is equivalent to saying that  $|G| = p^\alpha$  for some  $\alpha$ , by Lagrange + Cauchy).

Recall: for finite  $p$ -group of order  $> 1$ ,  $|Z(G)| > 1$ . This is false for infinite  $p$ -groups.

Theorem: There is an infinite (solvable)  $p$ -group  $G$  with  $Z(G) = 1$ .

Pf choose  $H$  cyclic of order  $p$ , and let  $K$  be an infinite elementary abelian  $p$ -group (a direct sum of  $\mathbb{Z}/p\mathbb{Z}$ 's). Let  $G = H \ltimes K$ . Then  $Z(G) = 1$  by previous theorem.

Also,  $B$  is a  $p$ -group, and so is  $G/B \cong K/B \cong K$ .

(a power of  $p$  puts you in  $B$ , another power kills you). (in fact,  $p^2$  is enough)  
It is solvable because  $B$  is abelian and  $G/B$  is also abelian.

• Composition series, Jordan-Hölder theorem, simple groups.

Def: A series in a group  $G$  is a chain of subgroups:

$$S: 1 = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G.$$

The  $G_i$  are the terms of the series, and  $G_{i+1}/G_i$  are called the factors. The length of  $S$  is the number of nontrivial factors.

(also called normal or subnormal series. It can be generalized to admit an  $\infty$ -length series).

If  $S, T$  are two series in  $G$  and if each term of  $S$  is a term of  $T$ , we say that  $T$  is a refinement of  $S$ .

Def a composition series in  $G$  is  $S$  such that it has no proper refinements.

Finite groups have composition series, always.

Lemma: Let  $S$  be a series in  $G$ . Then  $S$  is a composition series iff each non-trivial factor is a simple group.

Schreier's refinement theorem: Any two series in  $G$  have isomorphic refinements (two series are isomorphic if there is a bijection between their sets of factors such that corresponding groups are isomorphic).

Proof: use Zassenhaus's lemma.

Jordan-Hölder theorem: Any two composition series in a group  $G$  are isomorphic

Def: The composition factors of a group  $G$  (with a composition series) are the factors of a composition series (unique up to permutation and isomorphism).

RR:  $S_3$  and  $\mathbb{Z}/6\mathbb{Z}$  have the same composition factors.

Def: (acc): a group  $G$  satisfies the ascending chain condition for subnormal groups if there is no infinite ascending chain. (i.e.  $\nexists H_1 < H_2 < \dots$  where each  $H_i$  is a subnormal subgroup of  $G$ .)  
(dcc): the same but with descending chains.

Examples:

- i) Any finite group satisfies both acc and dcc.
- ii)  $\mathbb{Z}$  satisfies acc but not dcc.
- iii) Simple groups satisfy dcc and acc.
- iv) Let  $G$  be the mult. group of all complex  $2^{\text{th}}, 4^{\text{th}}, 8^{\text{th}}$  roots of unity.  $\exists H < G$ , then  $H >$  finite. Thus  $G$  satisfies dcc, but not acc.

Theorem: A group  $G$  has a composition series iff it satisfies both acc and dcc for subnormal subgroups.  
(sbl)

⇒) Assume  $G$  has a comp. series of length  $l$ .

Suppose  $\exists$  a chain of subnormal sgs with length  $l+1$ .

$$H_1 < H_2 < \dots < H_{l+1} \quad (\text{each } H_i \text{ subnormal in } G)$$

Note that  $H_i \text{ sbl } G \Rightarrow H_i \text{ sbl } H_{i+1}$  (intersect with  $H_{i+1}$ !).

So we can refine the chain to a series of length  $\geq l+1$ .

By the refinement theorem, this series and the comp. series have isomorphic refinements, so  $\Rightarrow$  !!. Hence  $G$  satisfies acc & dcc.

⇐) Assume  $G$  satisfies acc & dcc.

Consider the set of proper normal subgroups of  $G$ . This has a maximal element (otherwise  $\exists$  infinite a.c. of normal subgroups!).

Pick  $H_1$  maximal. So  $G/H_1$  is simple.

Repeat the argument for  $H_1$  (if it is trivial, we are done).

This procedure leads to a descending chain

$$G = H_0 \supsetneq H_1 \supsetneq H_2 \supsetneq H_3 \supsetneq \dots \quad \text{with } \frac{H_i}{H_{i+1}} \text{ sbl. By dcc, it terminates.}$$

### Holder program

To classify all finite groups we need to:

- (i) Find all simple groups.
- (ii) Solve the extension problem:

Let  $N, Q$  be finite groups  $Q$  simple.

Describe all extensions of  $N$  by  $Q$ :  $1 \rightarrow N \xrightarrow{\alpha} G \xrightarrow{\beta} Q \rightarrow 1$

Some simple groups

- i) The abelian <sup>simple</sup> groups are just the groups of prime order.
- ii) The alternating groups,  $n \geq 5$  are simple.
- iii) Infinite simple groups:

Lemma: Let  $\{G_\lambda : \lambda \in \Lambda\}$  be a chain of simple subgroups of  $G$ .

Then  $\bigcup_{\lambda \in \Lambda} G_\lambda$  is simple.

~~Pl~~ Put  $U := \bigcup_{\lambda \in \Lambda} G_\lambda$ ,  $1 \neq N \triangleleft U$ . want to show  $N=U$ .

Let  $x \in N$ ,  $x \neq 1$ . So  $x \in G_{\lambda_0}$  for some  $\lambda_0 \in \Lambda$ .

So  $x \in G_\lambda \forall G_\lambda \supseteq G_{\lambda_0}$   $G_\lambda$  simple

Then  $1 \neq x \in N \cap G_\lambda \triangleleft G_\lambda \Rightarrow N \cap G_\lambda = G_\lambda \Rightarrow G_\lambda \subseteq N$  for all  $G_\lambda \supseteq G_{\lambda_0}$

So  $N=U$ .

Application:

Define  $\mathfrak{S} = \text{Sym} \{1, 2, 3, \dots\}$ .

Regard  $A_n$  as a subgroup of  $\mathfrak{S}$  (fixing all  $m > n$ ).

We get  $A_5 \triangleleft A_6 \triangleleft \dots \triangleleft A_n \triangleleft A_{n+1} \triangleleft \dots$

but  $U = \bigcup_{n \geq 5} A_n$ , then  $U$  is simple (called the infinite Alternating gp) and infinite.

iv) Projective groups:

Recall: Let  $F$  be a field, then  $GL_n(F)$  is the general linear group of deg  $n$  over  $F$ .  
(nonsingular  $n \times n$  matrices over  $F$ ).

The map  $A \mapsto \det A$  is a gp homomorphism (surjective to  $F^* = F \setminus \{0\}$ ).  $\det AB = \det A \cdot \det B$

The kernel is  $SL_n(F) = \{A \in GL_n(F) : \det A = 1_F\}$ .

is called the special linear group. ( $SL_n(F) \triangleleft GL_n(F)$ ).

Exercise:  $Z(SL_n(F))$  is the group of scalar matrices  $\{c \cdot I_n, c \in F^*, c^n = 1\}$ .

Define  $PSL_n(F) := SL_n(F) / Z(SL_n(F))$

It is called the Projective Special Linear group. (connection with projective geom.)

Theorem: the group  $PSL_n(F)$  is simple if  $n > 2$ , and if  $n=2, |F| > 3$ .

Pf (only for  $n=2, |F| > 3$ )

The key is:

Lemma: Let  $N \triangleleft SL_n(F)$ . If  $N$  contains a transvection

(a matrix  $\begin{pmatrix} 1 & c \\ 0 & 1 \end{pmatrix}, c \neq 0$ ), then  $N = SL_n(F)$ .

Pf It is enough to show that  $N$  contains all transvections  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$ .

If it does,  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}^{-1} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -x & 1 \end{pmatrix} \in N$

$\Rightarrow N$  contains all transvections.

But using elementary matrix operations, can reduce any  $(2 \times 2)$  matrix to its normal form, which is a product of transvections ( $\Rightarrow \in N$ ).

Let  $0 \neq x \in F$ . Then  $N$  contains

$$\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix} \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x^{-1} & 0 \\ 0 & x^{-1} \end{pmatrix} = \begin{pmatrix} 1 & ax^2 \\ 0 & 1 \end{pmatrix}$$

So if  $0 \neq x, y \in F$ ,  $N$  contains

$$\begin{pmatrix} 1 & ax^2 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & ay^2 \\ 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & a(x^2 - y^2) \\ 0 & 1 \end{pmatrix}$$

If  $\text{char}(F) \neq 2$ , take  $b \in F$ .  $b = \left(\frac{b+1}{2}\right)^2 - \left(\frac{b-1}{2}\right)^2 = x^2 - y^2 \Rightarrow \forall$ .

If  $\text{char}(F) = 2$ , then  $F = F^2 \Rightarrow \forall a, ax^2$  is a general element in  $F$  (i.e.  $\forall$ ).

(if  $F$  is not perfect, last line is not true but we can still solve it).

(cont proof):

To prove the theorem, now it is enough to show that,

if  $N \triangleleft SL_2(F)$  and  $N \not\subseteq ZSL_2(F)$ , then  $N = SL_2(F)$ .

Let  $N$  be such a normal subgroup.  $\leftarrow$  can assume  $N$  contains no transvection.  
Take  $A \in N, A \notin Z(SL_2(F))$   
(i.e.  $A$  is not a scalar matrix).

The Rat. Canonical Form of  $A$  is either  $\begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix}$  ( $a \neq a^{-1}$ ) or  $\begin{pmatrix} 0 & 1 \\ -1 & a \end{pmatrix}$   
(because  $\det A = 1$ ).

As  $A$  is similar to its R.C.F., can assume  $A$  is one of the two matrices.

Case  $A = \begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix}$ : put  $B := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in SL_2(F)$ .

Then  $N$  contains  $A^{-1} \cdot (B^{-1}AB) = \begin{pmatrix} 1 & 1-a^2 \\ 0 & 1 \end{pmatrix}$   $\leftarrow$  a transvection  $\Rightarrow !!$

so need  $1-a^2=0, a^2=1$  so  $A = \begin{bmatrix} a^{-1} & 0 \\ 0 & a \end{bmatrix} = a \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \in Z(SL_2(F))$ .

Case  $A = \begin{pmatrix} 0 & 1 \\ -1 & a \end{pmatrix}$ : let  $x \in F$ , put  $B := \begin{pmatrix} 1 & -x^2 \\ 0 & 1 \end{pmatrix}$ .

Then  $N$  contains  $A(B^{-1}A^{-1}B) = \begin{pmatrix} 1 & -x^2 \\ -x^2 & 1+x^4 \end{pmatrix}$  (\*)

Hence  $N$  contains  $\begin{pmatrix} x^{-1} & x^{-1} \\ 0 & x \end{pmatrix} \begin{pmatrix} 1 & -x^2 \\ -x^2 & 1+x^4 \end{pmatrix} \begin{pmatrix} x^{-1} & x^{-1} \\ 0 & x \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 2+x^4 \end{pmatrix} \quad (\forall x \in F)$

So  $N$  contains,  $\forall x, y \in F$ ,

$$\begin{pmatrix} 0 & 1 \\ -1 & 2+x^4 \end{pmatrix}^{-1} \begin{pmatrix} 0 & 1 \\ -1 & 2+y^4 \end{pmatrix} = \begin{pmatrix} 1 & x^4-y^4 \\ 0 & 1 \end{pmatrix} \quad y=1$$

As  $N$  contains no transvection,  $x^4-y^4=0 \quad \forall x, y \in F \Rightarrow x^4=1 \quad \forall x \in F$

~~So  $N = SL_2(F)$~~   $\Rightarrow |F|=5$  (otherwise, it is a contradiction)

Take  $x=1$  in (\*). Get  $X := \begin{pmatrix} 0 & 1 \\ -1 & 3 \end{pmatrix} \in N$ . Let  $Y := \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

So  $N$  contains  $\begin{pmatrix} Y(X^{-1}Y^{-1})X \end{pmatrix} = \begin{bmatrix} 1 & -2 \\ -2 & 0 \end{bmatrix}$  (since  $F = \mathbb{F}_5$ ). Conjugate by  $\begin{pmatrix} 2 & -1 \\ -2 & -1 \end{pmatrix} \in N$  to get  $\begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix} \in N$

Example: Let  $F$  be a finite field of order  $q$ , we write  $GL_n(q)$  instead of  $GL_n(F)$  and so on.

$$\# GL_n(q) = (q^n - 1) \cdot (q^n - q) \cdot (q^n - q^2) \cdots (q^n - q^{n-1})$$

Because  $1 \rightarrow SL_n(q) \rightarrow GL_n(q) \xrightarrow{\alpha} \overbrace{GF(q)^*}^{\# = q-1} \rightarrow 1$

( $\alpha: A \mapsto \det A$ ) so  $\# SL_n(q) = \frac{\# GL_n(q)}{(q-1)}$

$Z(SL_n(q)) =$  set of scalar matrices.

$$\begin{pmatrix} c & & \\ & \ddots & \\ & & c \end{pmatrix} \det(\ ) = c^n = 1. \quad \text{~~many scribbles~~}$$

So  $\# Z(SL_n(q)) = \gcd(q-1, n)$ . (using that  $GF(q)^*$  is cyclic of order  $q-1$ )

Hence  $\# PSL_n(q) = \frac{\# SL_n(q)}{\gcd(q-1, n)}$

For  $n=2$ :

• if  $q$  is even,  $\# PSL_2(q) = (q-1) \cdot (q+1)$ .

• if  $q$  is odd,  $\# PSL_2(q) = \frac{(q-1)q(q+1)}{2}$ .

Can check that  $PSL_2(2) \cong S_3$ ,  $PSL_2(3) \cong A_4$ .

$\# PSL_2(4) = 60$  and is  $A_5$

$\# PSL_2(5) = 60$  and is  $A_5$

$\# PSL_2(7) = 168$ . new!  $\leftarrow$  gp. of projectivities of the proj. plane with 7 points.

$\# PSL_2(8) = 504$ . new!

$\# PSL_2(9) = 360 = \frac{6!}{2}$   $\leftarrow$  it is  $A_6$   $\leftarrow$  Called "coincidence"

# Classification of finite simple groups.

The finite simple groups are:

- groups of prime order.
  - alternating groups  $A_n$ .
  - projective groups
  - symplectic groups
  - orthogonal groups
  - unitary groups
- $\approx$  26 sporadic groups.

## §2. Solvable and Nilpotent groups.

Def A group  $G$  is solvable (or soluble) if it has a series with abelian factors  $(1 = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G$  with  $G_{i+1}/G_i$  abelian).

The length of a shortest such series is called the derived length. (gp of derived length  $\leq 1$  are the abelian groups).

The groups of derived length  $\leq 2$  are called metabelian.

Def A group  $G$  is nilpotent if it has a normal series

$$(1 = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G \text{ and } G_i \triangleleft G \text{ } \forall i)$$

Such that  $G_{i+1}/G_i \leq Z(G/G_i)$

Such a series is called a central series.

The length of a shortest central series is called the nilpotence class of  $G$ .

Note that abelian  $\Rightarrow$  nilpotent  $\Rightarrow$  solvable.

Also:

- 1)  $D_8$  = Dihedral (8) (of order 8) is nilpotent with class 2 ( $\Rightarrow$  not abelian).
- 2)  $S_3$  is solvable, but not nilpotent because  $Z(S_3) = 1$ .

Examples of finite nilpotent groups and solvable groups.

Theorem: Let  $p, q, r$  be primes.

i) if  $|G| = p^m$ , then  $G$  is nilpotent. If  $m > 0$ , then the nilpotence class of  $G$  is  $\leq m-1$ .

ii) if  $|G| = p^m q$ ,  $p^2 q^2$  or  $pqr$ , then  $G$  is solvable.

Pf  
 (i)  $|G| = p^m$ ,  $m > 0$  (for  $m=0$ , trivial).

Construct the upper central series in  $G$ :

Let  $G_0 = 1$ , put  $G_1 = Z(G)$ . Then  $G_1$  is not trivial (from the class equation)

If  $G_1 \neq G$ , define  $G_2/G_1 = Z(G/G_1)$ . Get  $G_2 > G_1 > G_0 = 1$

Eventually - since  $G$  is finite, will find some  $G_n = G$ .

For the nilpotence class, need the following lemma:

Lemma: if  $H/Z(H)$  is cyclic, then  $H$  is abelian.

Suppose  $G_{m-1} \neq G$ . Then  $1 < G_1 < \dots < G_{m-1} < G_m$ , and  $|G_{m-1}| \geq p^{m-1}$

Since  $|G| = p^m$ ,  $|G_{m-1}| = p^{m-1}$ . Now  $Z(G/G_{m-2}) = \frac{G_{m-1}}{G_{m-2}}$ , and

$\therefore \frac{(G/G_{m-2})/Z(G/G_{m-2})}{G/G_{m-1}} \cong G/G_{m-1}$  cyclic  $\Rightarrow$  by lemma,  $G/G_{m-2}$  is abelian.

But then  $\frac{G}{G_{m-2}} = Z(G/G_{m-2}) = \frac{G_{m-1}}{G_{m-2}} \Rightarrow G = G_{m-1} \Rightarrow !!$

Corollary (to (i)): if  $H < G$ , then  $H \leq N_G(H)$ . (if  $|G| = p^m$ ).

Pf Use the series  $1 = G_0 \leq G_1 \leq \dots \leq G_{m-1} = G$ . Since  $H \neq 1$ , there is

a least  $i$  s.t.  $G_i \not\leq H$ . Then  $G_{i-1} \leq H$ .

Note that  $H \triangleleft H G_{i-1}$  (because  $\frac{G}{G_{i-1}} = Z(G/G_{i-1}) \Rightarrow H/G_{i-1} \triangleleft H(G/G_{i-1})$ ).

But at the same time,  $G_i \not\leq H$ . So  $H < N_G(H)$ .

(continues proof of theorem).

(ii) will do the case  $|G| = p^m \cdot q$ .

It's enough to show that each composition factor of  $G$  has prime order.

Each such factor has order  $|p^m \cdot q|$ . We can assume <sup>the</sup> that  $G$  is simple.

Let  $P$  be a Sylow  $p$ -subgroup of  $G$  ( $|P| = p^m$ ).

By Sylow's th., if  $n_p$  is the number of Sylow  $p$ -subgroups,

$$n_p \equiv 1 \pmod{p}, \text{ and also } n_p \mid |G:P| = q.$$

$n_p \neq 1$  because  $G$  is simple, and so  $n_p = q$

Choose  $P_1, P_2$ , two different Sylow  $p$ -sgps s.t.  $|P_1 \cap P_2|$  is maximal.

Write  $I := P_1 \cap P_2$ .

Case  $I=1$ : Then every pair of Sylow  $p$ -sgps intersect at 1. To count

the number of  $p$ -elements (elts of order power of  $p$ ), is  $(p^m - 1)q + 1$

$$= p^m q - q + 1. \text{ This leaves } p^m q - (p^m q - q + 1) = q - 1 \text{ elts of}$$

order power of  $q \Rightarrow$  only one Sylow  $q$ -sgp  $\Rightarrow \triangle G \Rightarrow !!$

Case  $I \neq 1$ .

Let  $N_i := N_{P_i}(I)$ ,  $i=1,2$ . Note  $I \neq P_1, I \neq P_2$  because otherwise would have  $P_i \leq P_j \Rightarrow P_i = P_j \Rightarrow$  it's

Hence  $I < N_1, I < N_2$  (by <sup>previous</sup> corollary).

Put  $J := \langle N_1, N_2 \rangle \leq G$ . Then  $I < J$

Suppose that  $J$  is a  $p$ -group. Then  $J$  is contained in some Sylow  $p$ -sgp.  $P_3$ .

Then  $P_1 \cap P_3 \geq P_1 \cap J \geq P_1 \cap P_2 = I$ . If  $P_1 \cap P_3 = I$ , then leads a contradiction,

and otherwise  $I$  is not maximal. So  $J$  is not a  $p$ -group.

↑  
Note that  $P_1 \cap P_3 \geq P_1 \cap J \geq P_1 \cap P_2 = I$   
 $\geq P_1 \cap N_1 = N_1$   
 $\Rightarrow N_1 \leq I \Rightarrow N_1 = I \Rightarrow !!$



(cont of)

then  $|J|/p^m q \Rightarrow q \mid |J|$ .

Let  $Q$  be a Sylow  $q$ -gp of  $J$ .  $|Q| = q$ .

Next,  $|QP_i| = \frac{|Q||P_i|}{|Q \cap P_i|} = p^m q = |G| \Rightarrow G = QP_i$ .

This means, if we write  $I^G = \langle I^Q : q \in G \rangle$  (normal closure)

then  $1 \notin I^G$ , also  $I^G \triangleleft G$ . And  $G = QP_i$ . As  $I \triangleleft J$ ,  $(Q \leq J)$

then  $I^{QP_i} = I^{P_i} \leq P_i < G \Rightarrow I^G$  is proper normal  $\Rightarrow !!$

Exercise: prove the other cases.

Comments:

1) Every group of order  $p^m q^n$  is solvable. (Burnside).

2) Groups of order  $p^2 q r$  needn't be solvable. (e.g.  $A_5$ ).

Examples (from ring theory):

Let  $R$  be a ring with identity, and let  $S$  be a subring which is nilpotent (as ring) (i.e.  $S^n = 0, n > 0$ , where  $S^n =$  additive subgroup generated by all  $s_1 \cdot s_2 \cdots s_n, s_i \in S$ )  
Define  $U := \{1 + s, s \in S\} \subseteq R$ . (in fact, a subring)

Claim:  $U$  is a group wrt ring multiplication.

$$(1 + s_1)(1 + s_2) = 1 + (s_1 + s_2 + s_1 s_2) \in U.$$

$$(1 + s)^{-1} = 1 - s + s^2 - s^3 + \cdots + (-1)^{n-1} s^{n-1} \quad (\text{because } S^n = 0)$$

Claim:  $U$  is nilpotent (group) (of class  $n-1$ ).

Define  $U_r := \{1 + s, s \in S^r\}$  ( $S^r$ : subgroup generated by  $s_1 \cdots s_r, s_i \in S$ ).

(check  $U_r \leq U$ , and  $U_n = 1$ , and  $1 = U_n \leq U_{n-1} \leq \cdots \leq U_2 \leq U_1 = U$ )

Let  $x \in U^r, y \in U^s$ . we will show that  $[1+x, 1+y] \in U_{r+s}$ .

(continues with example).

$$\text{Compute } [1+x, 1+y] = (1+x)^{-1}(1+y)^{-1}(1+x)(1+y) = \left( (1+x)(1+y) \right)^{-1} (1+x)(1+y)$$

Put  $a := x+y+xy$ ,  $b := x+y+~~yx~~$ .

Then  $(1+x)(1+y) = 1+a$ ,  $(1+y)(1+x) = 1+b$ .

$$\text{So } [1+x, 1+y] = (1+b)^{-1}(1+a) = (1 - b + b^2 - b^3 + \dots + (-1)^{n-2} b^{n-2}) (1+a)$$

Rewrite this as  $[1+x, 1+y] = 1 + (1 - b + b^2 - \dots + (-1)^{n-2} b^{n-2})(a-b) + (-1)^{n-1} b^{n-1} a$   
 Note that  $b^{n-1} a \in \mathbb{S}^n$  ( $a, b \in \mathbb{S}$ ). So  $b^{n-1} a = 0$ .

Also,  $a-b = xy - yx \in \mathbb{S}^{r+s}$ . So  $[1+x, 1+y] \in U_{r+s}$ .

In particular, letting  $s=1$ ,  $1+y$  is a general element of  $U$ , and

$$\underbrace{[1+x, 1+y]}_{\substack{\in U_{r+1} \\ \Downarrow \\ U_{r+1} \triangleleft G \text{ for } r}}$$

$$\text{So } U_r / U_{r+1} \leq Z(G / U_{r+1})$$

So the series  $1 = U_n \leq U_{n-1} \leq \dots \leq U_1 = U$  is a central series of  $G$ , of length  $\leq n-1$ .

So  $G$  is a nilpotent group of class  $\leq n-1$ .

Application: rings of matrices.

Let  $R$  be any ring with identity (not necessarily commutative).

Let  $S := M_n(R)$ , ring of all  $n \times n$  matrices over  $R$ , and let

$N$  be the subring of  $S$ , consisting of all upper zero triangular matrices.

$$\begin{pmatrix} 0 & * & * & * \\ & 0 & * & * \\ & & 0 & * \\ 0 & & & 0 \end{pmatrix} \text{ (Zeros on and below the diagonal).}$$

(note the change in notation used ( $N$  is the previous  $S$ ),  $S$  is the previous  $R$ ).

Note that  $N^n = 0$  ( $N^i$  consists of matrices with  $i-1$  superdiagonals  $= 0$ ).

In this case,  $U$  is the group of <sup>upper</sup>-triangular  $n \times n$  matrices over  $R$ .

$$\begin{pmatrix} 1 & * & \dots & * \\ & \ddots & & \\ & & 1 & * \\ & & & \ddots \\ 0 & & & & 1 \end{pmatrix}. \text{ We write } U \text{ as } U_n(R).$$

Fact:  $U_n(R)$  is nilpotent of class  $= n-1$  ( $\leq$  by previous, but easy to see).

Write  $T_n(R) =$  group of  $n \times n$  invertible triangular  $n \times n$ -matrices over  $R$ .

(i.e.  $\begin{pmatrix} \text{unit} & * & \dots & * \\ & \text{unit} & & \\ & & \text{unit} & * \\ & & & \ddots \\ 0 & & & & \text{unit} \end{pmatrix}$ )

Note that there is a homomorphism  $\theta: T_n(R) \rightarrow \text{Units}(R)$   
 $A \mapsto \det(A)$   
 with  $\ker \theta = U_n(R)$

There is a surjective gp homomorphism  $\theta: T_n(R) \twoheadrightarrow \text{Units}(R) \times \dots \times \text{Units}(R) \quad (n \text{ times})$

$$\begin{pmatrix} u_1 & & & \\ & \ddots & & \\ & & u_n & \\ & & & \ddots \end{pmatrix} = A \longmapsto (u_1, \dots, u_n)$$

And  $\ker \theta = U_n(R)$ .

So  $\frac{T_n(R)}{U_n(R)} \cong (\text{Units}(R))^n \leftarrow$  an abelian gp of  $R$  is commutative.

So ~~that~~  $T_n(R)$  is a soluble group, for any  $R$  commutative with 1.

Example:

(i) Let  $R = \mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ , for  $p$  a prime.

$$\text{Then } \#U_n(p) = \#U_n(\mathbb{F}_p) = p^{\binom{n}{2}},$$

$$\#T_n(p) = p^{\binom{n}{2}} \cdot (p-1)^{n-1}.$$

(ii) Let  $R = \mathbb{Z}$ . Get  $U_n(\mathbb{Z}), T_n(\mathbb{Z})$  are infinite.

$U_n(\mathbb{Z})$  is a torsion-free nilpotent group (no nontrivial elements of finite order).

(Rec it note that  $\mathbb{Z}^n / U_n(\mathbb{Z}) \cong \mathbb{Z}^+ \oplus \dots \oplus \mathbb{Z}^+ \quad (n \text{ times})$  : free torsion free.)

Note that  $T_n(\mathbb{Z})/U_n(\mathbb{Z}) \cong U_n(\mathbb{Z}) \times \underbrace{\mathbb{Z}} \times U_n(\mathbb{Z}) \cong (\pm 1)^n \cong (\mathbb{Z}/2\mathbb{Z})^n$  is finite!

$$\text{So } |T_n(\mathbb{Z})/U_n(\mathbb{Z})| = 2^n.$$

### Commutator Calculus

Let  $x_1, x_2, \dots$  elements of a group  $G$ .

~~Pf~~ The commutator of  $x_1, x_2$  is  $[x_1, x_2] = x_1^{-1} x_2^{-1} x_1 x_2$ .

More generally, one can define a supercommutator of weight  $n$  ( $n \geq 1$ ) by:

$$[x_1] := x_1$$

$$[x_1, x_2, \dots, x_{n+1}] := [[x_1, \dots, x_n], x_{n+1}] \quad (\text{called right-normed commutator})$$

Basic identities:

Let  $x, y, z \in G$ .

$$(i) [x, y]^{-1} = [y, x]$$

$$(ii) [x^y, z] = [x, z]^y [y, z] \quad (a^x = x^{-1} a x)$$

$$[x, y^z] = [x, y]^z [x, y]$$

$$(iii) [x, y^{-1}] = ([x, y]^{(y^{-1})})^{-1}$$

$$(iv) [x, y^{-1}, z]^y [y, z^{-1}, x]^z [z, x^{-1}, y]^x = 1 \quad (\text{Hall-Witt identity}).$$

~~Pf~~ (i) - (iii) exercise.

$$(iv) \text{ Put } u := x z x^{-1} y x$$

$$v := y x y^{-1} z y$$

$$w := z y z^{-1} x z$$

$$\text{Then } u^{-1} v = x^{-1} y^{-1} x z^{-1} x^{-1} y x y^{-1} z y = y^{-1} (y x^{-1} y^{-1} x z^{-1} x^{-1} y x y^{-1} z) y = [x, y^{-1}, z]^y$$

$$[x, y^{-1}]^{-1} z^{-1} [x, y^4] z$$

So we want to prove that  $(u^{-1} v)(v^{-1} w)(w^{-1} u) = 1$  which is obvious.

• Commutators of subgroups

Let  $X_1, X_2, \dots$  be nonempty subsets of a group  $G$ . Define

the commutator subgroup of weight  $n$ ,  $[X_1, \dots, X_n]$  is

$$[X_1] := \langle X_1 \rangle$$

$$[X_1, X_2] := \langle [x_1, x_2] \mid x_1 \in X_1, x_2 \in X_2 \rangle$$

$$\text{And } [X_1, \dots, X_{n+1}] := [[X_1, \dots, X_n], X_{n+1}].$$

The derived chain

Example: define  $[G, G] := G'$ , the derived subgroup of  $G$ .

Def The derived chain of subgroups of  $G$  is  $G^{(i)}$ ,  $i=0, 1, \dots$  by:

$$G^{(0)} = G, \quad G^{(i+1)} := (G^{(i)})'$$

Then  $G = G^{(0)} \supseteq G^{(1)} \supseteq G^{(2)} \supseteq \dots$

Note that  $G^{(i)} \triangleleft G$ .

Lemma: Let  $H, K \triangleleft G$ , with  $K \triangleleft G$  and  $H$  characteristic in  $K$

(i.e.  $H = H^\alpha \quad \forall \alpha \in \text{Aut}(K)$ ). Then  $H \triangleleft G$ .

(characteristic is a stronger form of normality, which is transitive).

pf Let  $g \in G$ . Then  $K = K^g$ , so  $g$  induces an automorphism on  $K$  (by conjugation).

$$\text{So } H^g = H \Rightarrow H \triangleleft G. \quad //$$

Now, if  $K \triangleleft G$ , then  $K' \triangleleft G$ , because  $K'$  is characteristic in  $K$ ,

since  $K' = \langle [x, y] \mid x, y \in K \rangle$ , and  $[x, y]^g = [x^g, y^g] \in K'$ .

Proposition: Let  $G$  be a solvable group (finite or infinite), with a series

$$1 = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G, \text{ with } G_{i+1}/G_i \text{ abelian.}$$

Then  $G^{(i)} \leq G_{n-i}$  (0 ≤ i ≤ n).

(So for a solvable group,  $G^{(n)} = 1$ ).

Corollary: The derived length of  $G$  equals the length of the derived series.

Corollary: A solvable group has a normal series with abelian factors.

Prf (of proposition): induction on  $i$ . ( $i=0$  ok).

Assume that  $G^{(i)} \leq G_{n-i}$ .

$$G^{(i+1)} (= [G^{(i)}, G^{(i)}]) = (G^{(i)})' \leq (G_{n-i})' \leq G_{n-i-1}$$

since  $G_{n-i}/G_{n-i-1}$  is abelian.

The upper and lower central chains

Let  $G$  be a group.

Define the lower central chain:

$$\gamma_1(G) = G; \quad \gamma_{i+1}(G) := [\gamma_i(G), G]$$

$$\text{So } G = \gamma_1(G) \supseteq \gamma_2(G) \supseteq \dots$$

$\uparrow$   
 $G'$

Define the upper central chain:

$$Z_0(G) = 1; \quad \frac{Z_{i+1}(G)}{Z_i(G)} = Z(G/Z_i(G)).$$

$$\text{So } 1 = Z_0(G) \leq \underbrace{Z_1(G)}_{Z(G)} \leq \dots$$

Note:  $\gamma_i(G) \triangleleft G$  and  $Z_i(G) \triangleleft G$ .

Proposition: Let  $G$  be a nilpotent group (a group with a central series).

$$1 = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G \quad \left( \text{so that } G_i \triangleleft G, \text{ and } G_{i+1}/G_i \leq Z(G/G_i) \right)$$

Then:

$$(i) \quad \gamma_i(G) \leq G_{n-i+1} \quad 1 \leq i \leq n+1$$

$$\text{(Hence } \gamma_{n+1}(G) = 1 \text{).}$$

$$(ii) \quad G_i \leq Z_i(G) \quad 0 \leq i \leq n$$

$$\text{(Hence } Z_n(G) = G \text{)}$$

~~Pr~~ Prove (i), and (ii) is done similarly:

Induction on  $i$  (clear if  $i=1$ ).

$$\text{If } \gamma_i(G) \leq G_{n-i+1}$$

$$\text{because } G_{n-i+1}/G_{n-i} \in Z(G/G_{n-i})$$

$$\text{Then } \gamma_{i+1}(G) = [\gamma_i(G), G] \leq [G_{n-i+1}, G] \leq G_{n-i}$$

Corollary: The nilpotence class of  $G$  equals:

- 1) The length of the upper central series.
- 2) The length of the lower central series.

Exercise: The Dihedral group  $Dih(2^r)$  (of order  $2^r$ ) is nilpotent. Find its nilpotence class.

Rk: every finite  $p$ -group is nilpotent (because the upper central series will reach  $G$ ),

$$\text{as } G \neq 1 \Rightarrow Z(G) \neq 1.$$

Proposition:

Let  $G$  be any group,  $X, Y \subseteq G$  (subsets);  $H, K, L \leq G$ .

(i)  $[X, K]^K = [X, K]$  ( $X^Y = \langle x^y \mid x \in X, y \in Y \rangle$ ).

So if  $X$  is a subgroup,  $[H, K] \triangleleft \langle H, K \rangle$ .

(ii) If  $K = \langle Y \rangle$ , then  $[H, K] = [H, Y]^K$

(iii) (Three Subgroup Lemma). If any two of  $[H, K, L], [K, L, H], [L, H, K]$  are contained in some  $N \triangleleft G$ , then so is the third.

pf

(i) Let  $x \in X, k_1, k_2 \in K$ .

$$[x, k_1 k_2] = [x, k_2] [x, k_1]^{k_2} \Rightarrow [x, k_1]^{k_2} = [x, k_2]^{-1} [x, k_1 k_2] \in [X, K]$$

(ii) Let  $h \in H, k \in K$ . Can write  $k$  in terms of  $Y$ , as  $k = y_1^{e_1} y_2^{e_2} \dots y_r^{e_r}$  ( $y_i \in Y, e_i \neq 1$ )  
Show that  $[h, k] \in [H, Y]^K$  by induction on  $r$

r=1:  $[h, y_1] \in [H, Y]^K$ .

$[h, y_1^{-1}] = ([h, y_1]^{-1})^{y_1^{-1}} \in [H, Y]^K$  (induction step)

r>1  $[h, k] = [h, (y_1^{e_1} \dots y_{r-1}^{e_{r-1}}) y_r^{e_r}] = [h, y_r^{e_r}] [h, y_1^{e_1} \dots y_{r-1}^{e_{r-1}}]^{y_r^{e_r}} \in [H, Y]^K$

(iii)  $[H, K, L] = [ [H, K], L ]$  or  $[H, K] = \langle [h, k^{-1}] \mid h \in H, k \in K \rangle$  (compute either  $k$  or  $k^{-1}$ )

By (ii),  $[H, K, L]$  is generated by conjugates of  $[ [h, k^{-1}], l ]$

The same is true for the other two commutators.

$[K, L, H]$  by conjugates of  $[k, l^{-1}, h]$

By the Hall-Witt identity,

$$[h, k^{-1}, l]^* [k, l^{-1}, h]^e [l, h^{-1}, k]^h = 1, \text{ so } [h, k^{-1}, l] \text{ is a product of conjugates of } [k, l^{-1}, h], [l, h^{-1}, k].$$

Now suppose  $[K, L, H]$  and  $[L, H, K] \leq N \triangleleft G$ .

$$\Sigma \quad [h, k^{-1}, l] \in N \quad \forall h \in H, k \in K, l \in L.$$

So all conjugates are in  $N$ , also. As  $[H, K, L]$  is generated by conjugates of these,  $\checkmark$

Corollary: If two of  $[H, K, L], [K, L, H], [L, H, K]$  is trivial ( $= 1$ ), then the third is also. (take  $N = 1$ ).

Corollary: If  $H, K, L \triangleleft G$ , then  $[H, K, L] \leq [K, L, H][L, H, K]$   
(take  $N = [K, L, H][L, H, K]$ )

### Properties of upper and lower central chains

Theorem Let  $G$  be any group.

- (i)  $[\gamma_i(G), \gamma_j(G)] \leq \gamma_{i+j}(G)$ .
- (ii)  $\gamma_i(\gamma_j(G)) \leq \gamma_{ij}(G)$ .
- (iii)  $[\gamma_i(G), Z_j(G)] \leq Z_{j-i}(G)$  ( $i \geq 0$ )
- (iv)  $G^{(i)} \leq \gamma_{2^i}(G)$

Pl  
(i) Use induction on  $j$ :

if  $j=1$ ,  $[\gamma_i(G), G] = \gamma_{i+1}(G)$  by definition.

if true for  $j$ ,  $[\gamma_i(G), \gamma_j(G)] \leq [\gamma_{i+j}(G)]$

$$[\gamma_i(G), \gamma_{j+1}(G)] = [\gamma_i(G), [\gamma_j(G), G]] = [[\gamma_i(G), G], \gamma_j(G)]$$

Apply the 3 step lemma as in 2nd corollary.

So  $[[\gamma_i(G), G], \gamma_j(G)] \leq [G, \gamma_i(G), \gamma_j(G)] [\gamma_i(G), \gamma_j(G), G] =$

$$\stackrel{\text{induction}}{\leq} [\gamma_{i+1}(G), \gamma_j(G)] \cdot [\gamma_{i+j}(G), G] \stackrel{\text{induction}}{\leq} \gamma_{i+1+j}(G). \quad \gamma_{i+j+1}(G) = \gamma_{i+j+1}(G) \checkmark$$

(cont pf)

(ii) & (iii) as exercise.

(iv) want  $G^{(i)} \leq \gamma_{2^i}(G)$ . Note that it is true for  $i=0$ . Induction.

$$G^{(i+1)} = (G^{(i)})' = \gamma_2(G^{(i)}) \leq \gamma_2(\gamma_{2^i}(G)) \stackrel{\text{by (ii)}}{=} \gamma_{2^{i+1}}(G).$$

Corollary: Let  $G$  be a nilpotent gp of class  $c \geq 1$ .

Then the derived length of  $G$  is  $\leq \lceil \log_2 c \rceil + 1$

*pf* By hypothesis,  $\gamma_{c+1} = 1$ .

Let  $i$  be least s.t.  $2^i \geq c+1$ . Then  $G^{(i)} \leq \gamma_{2^i}(G) \leq \gamma_{c+1}(G) = 1$

( $\Rightarrow i = \lceil \log_2 c \rceil + 1$ ).

Example: Let  $R$  be a commutative ring with identity,  $T_n(R)$  the triangular matrices.

We saw that  $T_n(R)$  is solvable, and that  $U_n(R) \triangleleft T_n(R)$  and  $U_n(R)$  nilpotent, and  $T_n(R)/U_n(R)$  is abelian.

We saw that  $U_n(R)$  has nilpotence class  $\leq n-1$  ( $n \geq 2$ )

$\Rightarrow U_n(R)$  has derived length  $\leq \lceil \log_2(n-1) \rceil + 1$  ( $n \geq 2$ )

So the derived length of  $T_n(R)$  will be  $\leq \lceil \log_2(n-1) \rceil + 2$ .

### §3. Nilpotent Groups.

Let  $G$  be any group. Form the lower central series  $G_i := \gamma_i(G)$ .

$$G = G_1 \supseteq G_2 \supseteq \dots, \quad G_i \triangleleft G$$

Put  $F_i := G_i / G_{i+1}$ , the  $i$ th factor.

$$F_1 = G_1 / G_2 = \frac{G}{[G, G]} = \frac{G}{G'} \text{ - the abelianization of } G.$$

Question: is there a relation between  $F_i$  and the subsequent factors?

Theorem: There is a surjective homomorphism from  $F_i \otimes_{\mathbb{Z}} \overset{F_i}{G_{i+1}} \rightarrow F_{i+1}$ ,

$$\text{via the map } (a G_{i+1}) \otimes (g G') \mapsto [a, g] G_{i+2}$$

pf The map  $(a G_{i+1}, g G')$   $\mapsto [a, g] G_{i+2}$  is well defined:

Because of the commutator identities  $([ab, c] \text{ and } [a, bc])$ .

$$[G_{i+1}, G] = G_{i+2}$$

$$[G_i, G'] = [\gamma_i(G), \gamma_2(G)] \leq \gamma_{i+2}(G).$$

Show it is balanced (ie:  $[ab, x] G_{i+2} = ([a, x] G_{i+2}) ([b, x] G_{i+2})$ )

$$[a, xy] G_{i+2} = ([a, x] G_{i+2}) ([a, y] G_{i+2})$$

$$[ab, x] = [a, x]^b [b, x] = [a, x] [a, x, b] [b, x]$$

(the other done similarly).

$$[G_i, G, G_i] \leq G_{i+1+i} \leq G_{i+2} \quad \checkmark$$

By the univ. mapping property, we have an homomorphism.

It is surjective because  $[a, x] G_{i+2}$  generate  $G_{i+1} / G_{i+2} = F_{i+1}$ .

Corollary (1)  $G_i/G_{i+1}$  is a homomorphic image of  $G_{ab} \otimes \dots \otimes G_{ab}$   
(by induction on  $i$ ).

Corollary (2) Let  $P$  be a property of groups which is inherited under:  
→ tensor products (of abelian groups)  
→ homomorphic images (i.e. quotients)  
→ extensions ( $N \triangleleft G$  and  $N$  and  $G/N$  have  $P$ , then  $G$  has  $P$ ).

Let  $G$  be a nilpotent group such that  $G_{ab}$  has  $P$ .  
Then  $G$  has  $P$ .

Proof By corollary (1), each  $G_i/G_{i+1}$  has property  $P$ .

If  $G$  has nilpotent class  $c$ , then  $G_{c+1} = 1$ .

$$1 \triangleleft G_{c+1} \triangleleft G_c \triangleleft \dots \triangleleft G_1 = G$$

hence (by inheritance ~~proved~~ by extensions)  $G$  has  $P$ .

Examples:

1.  $P$ : "being finite": A nilpotent group  $G$  is finite iff  $G_{ab}$  is finite.
2.  $P$ : "being finitely generated": A nilpotent group  $G$  is fg iff  $G_{ab}$  is fg.

• Elements of finite order in nilpotent groups.

Recall: in an abelian group, the set of elements of finite order is a subgroup.  
Not true in general groups (not even for solvable, as  $Dih(\infty)$  is!).

Example: The infinite dicyclic group ( $Dih(\infty)$ ):  $\langle x \rangle \rtimes \langle a \rangle$   
where  $a$  has infinite order,  $|x|=2$  and  $a^x = a^{-1}$ .

Note  $(xa)^2 = xaxa = x^2(x^{-1}ax)a = (x^{-1}ax)a = a^{-1}a = 1$ .

⇒  $|xa|=2$ . But  $G = \langle x, xa \rangle$  (gen. by elts of order 2, but contains an element of infinite order).

However, for nilpotent groups the situation is better.

Def Let  $\pi$  be a nonempty set of primes. A  $\pi$ -number is a positive integer which is a product of primes in  $\pi$ . ~~number not divisible by any prime in  $\pi$~~

An element  $g$  in a group  $G$  is a  $\pi$ -element if its order is a  $\pi$ -number  $\geq 1$ .

If every element of  $G$  is a  $\pi$ -element, then  $G$  is called a  $\pi$ -group.

(borrow the definitions from  $p$ -groups,  $p$ -elements, ...).

(For a finite group  $G$ ,  $G$  is a  $\pi$ -group  $\Leftrightarrow |G|$  is a  $\pi$ -number (by Cauchy's Thm.)).

If  $\pi$  is the set of all primes, then a  $\pi$ -element is an element of finite order. A  $\pi$ -group is then a torsion group. (also called periodic).

If a group has no nontrivial elements of finite order, it is called torsion-free.

Theorem: Let  $G$  be a nilpotent group. Then,

The elements of finite order in  $G$  form a subgroup  $T$ , called the torsion subgroup of  $G$ .

Also,  $T$  is fully-invariant in  $G$  ( $T^\theta \leq T$  for all endomorphisms  $\theta: G \rightarrow G$ ).

Moreover,  $G/T$  is torsion-free.

Furthermore,  $T$  is the direct ~~product~~<sup>sum</sup> of  $p$ -groups (for various primes  $p$ ).

Def Let  $\pi$  be any set of primes, and let  $P$  be the subgroup generated by all  $\pi$ -elements in  $G$ . Note that  $P$  is nilpotent. Also,  $P_{ab}$  is an abelian group generated by  $\pi$ -elements, so  $P_{ab}$  is a  $\pi$ -group.

Apply corollary with  $P = \langle \cdot \rangle$  be a  $\pi$ -group" (check it is admissible). Hence

$P$  is a  $\pi$ -group. So the  $\pi$ -elements in  $G$  ~~generate~~<sup>form</sup> a  $\pi$ -subgroup.

(cont p4)

Take  $\Pi = \{\text{all primes}\}$  - So the elements of finite order form a sgp,  $T$ .

Take  $\Pi = \{p\}$ . Then the  $p$ -elements form a subgroup in  $G$ , call  $T_p \leq T$ .

Claim:  $T = \bigoplus_p T_p$

Let  $g \in T$ . Then  $\langle g \rangle$  is finite abelian, so  $\langle g \rangle =$  direct sum of  $p$ -groups.

So  $g \in \bigoplus_p T_p$ .

Need to show that  $T_p \cap \langle T_q : q \neq p \rangle = 1$ . (easy!).

Finally,  $G/T \cong$  torsion-free.

if  $xT \in G/T$  has finite order  $m$ , then  $x^m \in T \therefore x^m$  has finite order  $\Rightarrow x$  has finite order  $\Rightarrow x \in T$ .

Corollary: A finite group  $G$  is nilpotent iff it is a direct product of  $p$ -groups (for various primes  $p$ ).

~~pf~~  $\Rightarrow$  by thm.

$\Leftarrow$ , since  $p$ -groups are nilpotent.

Characterizations of finite nilpotent groups.

~~Thm~~ Let  $G$  be a finite group. TFAE:

- 1)  $G$  is nilpotent.
- 2) Every subgroup of  $G$  is subnormal.
- 3) Every maximal (proper) subgroup of  $G$  is normal.
- 4)  $G$  is a direct product of (finite)  $p$ -groups, for various primes  $p$ .

~~pf~~ (1)  $\Rightarrow$  (2):  $G$  nilpotent  $\Rightarrow \exists$  a central series  $1 \triangleleft G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G$ ,  $G_{i+1}/G_i \leq Z(G/G_i)$

Let  $H \leq G$ . Note that  $HG_i \triangleleft HG_{i+1}$ , since  $[G_{i+1}, H] \leq G_i$ .

So  $H = HG_0 \triangleleft HG_1 \triangleleft \dots \triangleleft HG_n = G$ .

Also, note that the length of  $H$  in  $G$  is  $\leq$  nilpotence class of  $G$ .

(cont pf)

(2)  $\Rightarrow$  (3): Let  $M$  be a maximal in  $G$ . By hypothesis,  $M$  is subnormal in  $G$ .  
So  $M \triangleleft G$  by maximality.

(3)  $\Rightarrow$  (4): Let  $P \in \text{Syl}_p(G)$ , and  $N := N_G(P)$ . If  $N = G$ , then  $\exists$  only one  $\text{Syl}_p(G)$ . (if  $\forall P$  this is true, then  $G = \prod P$ ):  
Suppose  $N \neq G$ .  $N \leq M$  a maximal  $\text{Syl}_p$  of  $G$ .

$$P \triangleleft N \leq M \triangleleft G$$

Let  $g \in G$ . Then  $P^g \leq M$ .  $P$  &  $P^g$  are two Sylow- $p$  groups in  $M$ .

By Sylow's thm,  $\exists m \in M$  s.t.  $P^g = P^m$ . So  $P^{gm^{-1}} = P$ .

So  $gm^{-1} \in N$ . As  $m^{-1} \in M$ , then  $g \in M \Rightarrow M = G \Rightarrow !!$

(4)  $\Rightarrow$  (1): From previous corollary //

### The Frattini Subgroup.

Def let  $G$  be any group. Define the Frattini subgroup of  $G$ ,  $\Phi(G)$  be the intersection of all maximal subgroups of  $G$ .

If  $G$  has no maximal subgroups (eg  $G = \mathbb{Q}^+$ ), define  $\Phi(G) = G$ .

Note that if  $M$  is maximal and  $\alpha \in \text{Aut}(G)$ , then  $M^\alpha$  is maximal. So  $M \cap \Phi(G)$  is characteristic in  $G$  - and in particular it is normal.

Def Let  $G$  be a group,  $g \in G$ . Then  $g$  is a non-generator of  $G$  if whenever  $G = \langle g, X \rangle$ , then  $G = \langle X \rangle$  for any generating set  $X$ .

||

Theorem: For any group  $G$ ,  $\Phi(G)$  is the subset of (Fratini, 1884) non-generators of  $G$ .

~~pf~~ Spz  $g$  is a non-generator of  $G$ , and spz  $g \notin \Phi(G)$ .  $\Rightarrow \exists M \leq G$  maximal,  $g \notin M$ . Then  $M \langle g, M \rangle = G$ . But  $M \neq G \Rightarrow !!$

Now, spz  $g \in \Phi(G)$  but is not a non-generator. So  $\exists X$  a subset of  $G$  s.t.  $G = \langle g, X \rangle$ , but  $G \neq \langle X \rangle =: H$ .

So  $H$  is proper sgp of  $G$ , and  $g \notin H$ .

Apply Zorn's lemma to find a "maximal" sgp of  $G$  containing  $M$ , but not containing  $g$ , call it  $M$ . ( $M \neq G$ ).

Spz.  $M \leq L \leq G$ . Then  $g \in L$ . So  $L$  contains  $\langle g, X \rangle = G$ .

So  $M$  is a maximal sgp, and it does not contain  $g \Rightarrow !!$

Theorem: If  $G$  is a finite group, then  $\Phi(G)$  is nilpotent.

~~pf~~ Will show that all Sylow- $p$ sgps are normal. Let  $F := \Phi(G)$ .

$P \in \text{Syl}_p(\Phi(G))$ . Will show  $P \triangleleft G$  (and then, in particular  $P \triangleleft \Phi(G)$ ).

Let  $g \in G$ . Then  $P^g \leq \Phi(G)$  since  $P \leq F \triangleleft G$

So  $P, P^g$  are two Sylow- $p$ sgps of  $F$ . By Sylow's thm, they are conjugate in  $F$ ,  $P^g = P^f, f \in F$ . So  $g f^{-1} \in N_G(P) \stackrel{=:= N}{=} N$ . Then  $g \in NF$ . ( $\forall g \in G$ ).

So  $G = NF = \langle N, F \rangle$ . By the non-generator property, and  $F$  being finite, can omit all the elements of  $F$  (one by one) and get  $G = N$ . So

$P \triangleleft G$ .

Theorem:  $G$  a finite group. Then  $G$  is nilpotent iff  $G' \leq \Phi(G)$ .

Pf Let  $M$  be a maximal sgp of  $G$ .

Note that  $M \triangleleft G \Leftrightarrow G' \leq M$ :

$$\left( \begin{array}{l} M \triangleleft G \Rightarrow G/M \text{ is cyclic of prime order} \Rightarrow G' \leq M. \\ \text{If } G' \leq M, \text{ then } M/G' \leq G/G' = \text{Cyclic} \Rightarrow M \triangleleft G \end{array} \right)$$

So  $G' \leq \Phi(G) \Leftrightarrow G' \leq M \forall M \text{ maximal} \Leftrightarrow M \triangleleft G \forall \text{ maximal } M \Leftrightarrow G \text{ nilpotent}$

• Products of Normal Nilpotent Subgroups.

Fitting's Theorem: Let  $H, K \triangleleft G$  and assume  $H, K$  are nilpotent (classes  $c, d$ )  
then  $J := HK$  is nilpotent, with class  $\leq c+d$ .

Lemma:  $H, K, L \triangleleft G$ . Then,  $[HK, L] = [H, L][K, L]$

$$[H, KL] = [H, K][H, L]$$

Pf From  $[hk, l] = [h, l]^k [k, l] = [h^k, l^k] [k, l]$  and so on..

Pf (of theorem): Let  $J := HK \triangleleft G$ . Will show  $J$  nilpotent by computing its LCS.

Claim:  $\gamma_{i+1}(J) = \langle [L_1, \dots, L_{i+1}] : L_j = H \text{ or } K \rangle$ .

Pf (by induction) on  $i \geq 0$ .

$$i=0, \gamma_1(J) = J = HK \quad \checkmark$$

$$i \geq 1: \gamma_{i+1}(J) = [\gamma_i(J), J] \stackrel{\text{lemma}}{=} [\gamma_i(J), H][\gamma_i(J), K] \stackrel{\text{lemma repeatedly}}{=} \langle [L_1, \dots, L_i, H \text{ or } K], \dots \rangle$$

Let now  $i = c+d$ . Then  $\gamma_{c+d+1}$  generated by all  $[L_1, \dots, L_{c+d+1}]$ ,  $L_i = H \text{ or } K$ .

In each of these commutators, there are either at least  $c+1$  H's or at least  $d+1$  K's.

Note that ~~if~~  $x, y \triangleleft G$  then  $[x, y] \leq \langle x, y \rangle$ .

If there are  $c+1$  H's, then  $[L_1, \dots, L_{c+d+1}] \leq \underbrace{[H, H, \dots, H]}_{c+1} = \gamma_{c+1}(H) = 1$ .

## The Fitting Subgroup.

Let  $G$  be any group. Take the subgroup generated by all the nilpotent normal subgroups of  $G$ .

Def The Fitting subgroup is  $\text{Fit}(G)$ , the subgroup generated by all the nilpotent normal. It need not be nilpotent, if  $G$  is infinite.

Rk: Any finite subset of  $\text{Fit}(G)$  is contained in the product of finitely many nilpotent normal subgroups, which is nilpotent (by Fitting's).

Also, if  $G$  is finite, then  $\text{Fit}(G)$  is nilpotent normal (and is the unique maximal such).

Example:  $G = \text{Dih}(8) \times \text{Dih}(16) \times \dots \times \overbrace{\text{Dih}(2^n)}^{\text{nilpotent of class } n-1} \times \dots$

Then  $G = \text{Fit}(G)$ , but  $G$  is not nilpotent (as the sum of classes  $\rightarrow \infty$ ).

## Reminder.

The ascending chain condition in a partially ordered set  $\mathcal{P}$  is

$$\nexists \mathcal{P}_1 < \mathcal{P}_2 < \dots \quad \mathcal{P}_i \in \mathcal{P}.$$

It is equivalent to the maximal condition:

if  $\emptyset \neq \mathcal{L} \subseteq \mathcal{P}$ , then  $\mathcal{L}$  has a maximal element (not unique, in general).

We say that a group  $G$  has the maximal condition on subgroups (max)

$$\nexists \mathcal{L}(G) \text{ satisfies acc} \quad (\mathcal{L}(G) = \{\text{subgroups of } G\}).$$

Exercise: A group  $G$  satisfies max iff every subgroup of  $G$  is finitely generated.

Finitely generated Nilpotent groups.

Thm: Let  $G$  be a nilpotent gp. TFAE:

- i)  $G_{ab}$  is finitely generated.
- ii)  $G$  is finitely generated
- iii)  $G$  satisfies max (all subgroups ~~satisfy~~ <sup>are fin. generated!</sup> max).

Pf (i)  $\Rightarrow$  (ii)  $\checkmark$  (done), and (iii)  $\Rightarrow$  (i) is trivial.

(ii)  $\Rightarrow$  (iii): We know that  $G_{ab}$  is fin. generated. Hence every ~~subset~~ lower central factor of  $G$  is fg. abelian (tensor prod with  $G_{ab}$ ).

Hence in the LCS,

$$1 = \gamma_{c+1}(G) < \gamma_c(G) < \dots < \gamma_1(G) = G.$$

Each factor is fg abelian, and so satisfies max.

Lemma: If  $L \triangleleft K$ ,  $L$  &  $K/L$  have max, then  $K$  has max.

Pf Let  $S \leq K$ , want that  $S$  f.g.

Note  $S \cap L$  is fin gen, and so is  $S L / L$ . But  $S L / L \cong S / (S \cap L) \Rightarrow S$  fin gen.

Example: However,  $\exists$  a f.g. solvable group  $G$ , with a <sup>normal</sup> subgroup which is not finitely generated:

Let  $G := \mathbb{Z} \wr \mathbb{Z}$ . Then  $G = \langle x, y \rangle$

labeled by elements of  $\langle y \rangle$

But the base group  $B$  is the direct sum of  $\infty$   $\mathbb{Z}$ 's.

$B$  is not fin. gen, but  $B \triangleleft G$ .

Upper Central Series in Nilpotent Groups.

Lemma:  $G$  a gp.  $x, y \in G$  s.t  $[x, y] \in Z(G)$ . Then,  $[x^n, y] = [x, y^n] = [x, y]^n$ .

PF induction:  $[x^{n+1}, y] = [xx^n, y] = [x, y]^{x^n} [x^n, y] = [x, y] [x^n, y] = [x, y]^{n+1}$

Lemma:  $G$  a gp, such that  $Z(G)$  is torsion-free. Then, each factor of the upper central chain  $Z_{i+1}(G) / Z_i(G)$  is also torsion-free.

PF It is enough, by induction, to show that  $Z_2(G) / Z_1(G)$  is torsion-free.

$$\frac{Z_2(G)}{Z_1(G)} = Z(G / Z_1(G)) = Z(G / Z(G))$$

Suppose  $z \cdot Z(G) \in \frac{Z_2(G)}{Z_1(G)}$  has finite order  $(n)$ .

Then  $z^n \in Z_1(G) = Z(G)$ . Since  $[z, g] \in Z_1(G) = Z(G)$

Hence, for  $g \in G$ ,  $1 = [z^n, g] = [z, g]^n$ . But  $[z, g] \in Z(G)$  which is torsion free

So  $[z, g] = 1 \Rightarrow z \in Z(G) \Rightarrow z Z_1(G) = Z_1(G)$ .

Corollary: If  $G$  is a finitely generated nilpotent group with torsion-free center, then  $G$  has a central series with each factor infinite cyclic.

PF The U.C.S. of  $G$  has torsion-free factors (and reaches  $G$ ).

Also,  $G$  satisfies max. So each subgroup of  $G$  is finitely generated.

Hence the U.C. factors of  $G$  are finitely torsion-free abelian groups.

So they are free abelian of finite rank ( $\cong \mathbb{Z}^k$ ).

So can refine this series so that one gets  $\mathbb{Z}$  at each factor.

Proposition: Let  $G$  be a nilpotent group.

- (i) If  $Z(G)$  has finite exponent dividing  $e$  (i.e.  $x^e = 1 \forall x \in Z(G)$ ), then  $G$  has finite exponent (dividing  $e^c$ , where  $c$  is the nilpotent class of  $G$ ).
- (ii) Assume  $G$  is finitely-generated. If  $G$  is infinite, then  $Z(G)$  must have an element of infinite order.

Pf  
(i) First, some notation:  $G^n := \langle g^n : g \in G \rangle \triangleleft G$ . Then  $G/G^n$  has exp  $|n|$ .  
~~want to see that~~  $Z(G)^e = 1$ . It is enough (by induction on  $c$ ) to show that  $(Z_2(G)/Z_1(G))^e = 1$ , for then  $G/Z_1(G)$  has class  $c-1$  (so)  
 $(G/Z_1(G))^{e^{c-1}} = 1 \Rightarrow G^{e^{c-1}} \leq Z_1(G) \Rightarrow G^{e^c} \leq Z(G)^e = 1$ .

Let now  $Z \in Z_2(G)$ . want that  $Z^e \in Z(G)$ . Let  $g \in G$ .

$$[Z^e, g] = [Z, g]^e = 1 \text{ because } [Z, g] \in Z(G).$$

(ii) By contradiction, suppose  $Z(G)$  has no elements of infinite order.

So  $Z(G)$  is a torsion group. By max,  $Z(G)$  is also finitely-generated.

So it is a f.g. torsion abelian gp  $\Rightarrow Z(G)$  is finite (of order, say  $m$ ).

So  $Z(G)^m = 1$ . Hence (by part (i))  $G$  has finite exponent.

Then  $G_{ab}$  is f.g. with fin. exponent  $\Rightarrow G_{ab}$  is finite  $\Rightarrow G$  finite  $\Rightarrow$ !!

Def Let  $P$  be any group-theoretic property. A group  $G$  is residually  $P$  if the intersection of all normal subgroups  $N \triangleleft G$  such that  $G/N$  has  $P$  is  $1$ .

Example:  $p$  a prime. Then  $\mathbb{Z}/p^i\mathbb{Z}$  is a finite  $p$ -group, and  $\bigcap p^i\mathbb{Z} = 0$   
 $\hookrightarrow \mathbb{Z}$  is residually finite- $p$  group.

Prop. (equivalent form of the definition):  $G$  is residually  $p \iff$  given  $1 \neq g \in G$ ,  
 $\exists N \triangleleft G$  s.t.  $g \notin N$  and  $G/N$  has  $p$ .

Interesting properties are "finite", or "finite- $p$ ".

Theorem (Gruber, '50): Let  $G$  be a fin. gen. torsion-free nilpotent gp.  
Let  $p$  be any prime. Then  $G$  is residually finite- $p$ .

$\mathcal{P}$  Can assume  $G \neq 1$ . Let  $C := Z(G)$ ,  $c :=$  nilpotent class of  $G$ ,  $c \geq 0$ .  
Use induction on  $c$ .

Note that  $G/C$  is fin. gen, torsion-free, nilpotent of class  $c-1$ . Hence,  
 $G/C$  is residually finite- $p$ . Let  $g \in G$  arbitrary. We'll show that  
 $g \notin N \triangleleft G$ , where  $G/N$  is finite- $p$  group.

If  $g \notin C$ , then  $g \notin N$  as  $C \triangleleft N$  and  $G/C$  is finite- $p$ , we're done.  
So assume from now on that  $g \in C$ .

$C$  is a fin. gen. torsion-free abelian gp  $\Rightarrow C$  free abelian  $\Rightarrow C \cong \mathbb{Z} \oplus \dots \oplus \mathbb{Z}$

Hence  $\bigcap C^{p^i} = 1$ . Hence  $g \notin L = C^{p^i}$  for some  $i \geq 1$

Choose a subgroup  $M$  maximal subject to:  $L \leq M \triangleleft G$  (either by Zorn's or)  
 $g \notin M$  (by max of  $G$ ).  
we'll show that  $G/M$  is a finite  $p$ -group, thus completing the proof.

Suppose  $G/M$  infinite (+fg + nilpotent)  $\xRightarrow{\text{by last prop. (ii)}}$   $Z(G/M)$  contains an element of infinite order.

Call it  $z \in M$

Claim:  $\langle z, M \rangle \triangleleft G$ ,  $g \notin \langle z, M \rangle$  (wtf - (!))

(a subgroup of  $Z(G/M)$  is  $\triangleleft G/M$ ). and  $L \leq \langle z, M \rangle$  also!

f

Suppose now that  $g \in \langle ZM \rangle$ . So  $g = z^r m$ ,  $m \in M$ ,  $r \neq 0$ .

Then  $z^r = g m^{-1} \in CM$ . Also,  $C/L$  is finite ( $C/p^i$  is f.g. ab. torsion  $\Rightarrow$  finite).

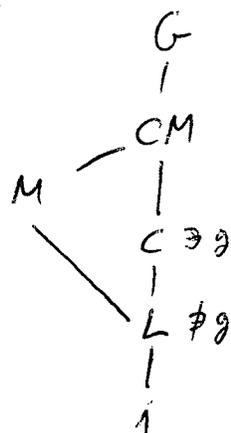
Hence, some power (say  $z^{s \cdot i}$ ) is in  $LM = M$ .

$\Rightarrow |ZM|$  is finite  $\Rightarrow !!$

We only need to show now that  $G/M$  is a  $p$ -group (just proved it was finite).

Note that  $G/M$  is finite nilpotent.  $\Rightarrow$

$G/M \cong \prod H_i$ ,  $H_i$  groups of prime order. Note that  $p \mid |G/M|$



~~Assume that  $G/M$  is a  $p$ -group~~ As  $C/L$  is a  $p$ -group, then  $CM/M$  is also.

Suppose that  $G/M = (P/M) \times (Q/M) \times \dots$  (other  $p$ -group) want to show that  $P/M = G/M$ .

$P/M, Q/M, \dots$  are all normal in  $G/M$ .

Therefore,  $P \triangleleft G, Q \triangleleft G, M \leq P, M \leq Q$ .

So by maximality of  $M, g \in P \cap Q = M \Rightarrow !!$  Hence  $G/M$  is a  $p$ -group.

### Finite $p$ -groups

These form a very complex class of groups. For example, here the following:

Thm (Higman): The number of non-isomorphic groups of order  $p^n$  is

$$p^{A(n)} n^3, \quad A(n) = \frac{2}{27} + O(n^{-1/3}).$$

$$|G| = p^n$$

$n=1$ :  $G$  cyclic of order  $p$ .

$n=2$ :  $G$  is abelian,  $G \cong C_p$  or  $G \cong C_p \oplus C_p$ .

$n=3$ :  $\left\{ \begin{array}{l} \text{abelian gps: } C_p^3, C_p^2 \oplus C_p, C_p \oplus C_p \oplus C_p \\ \text{two nonabelian types: } C_p \rtimes C_p^2, C_p \rtimes (C_p \oplus C_p) \end{array} \right.$

$$\langle X \rangle \times \langle a \rangle, \quad x: a \mapsto a^{1+p}$$

$$x \mapsto \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

For  $p=2, n=3$ , we get  $Dih(8)$  and  $Q_8$  (quaternion gp).

Burnside Basis Theorem.

Let  $G$  be a finite  $p$ -group. Then,

(i)  $\varphi(G) = G' \cdot G^p$  ( $G^p = \langle g^p : g \in G \rangle$ ).

(ii) If  $|G/\varphi(G)| = p^r$  and  $G = \langle X \rangle$  (for some set  $X$ ) then there is a subset  $Y \subseteq X$  with  $\#Y = r$  st.  $G = \langle Y \rangle$

Proof:

(i) If  $M$  is maximal in  $G$ , then  $M \triangleleft G$  (because  $G$  is nilpotent).

Hence  $G/M$  is a group of prime order  $p$ . So  $G' \leq M$  and  $G^p \leq M$   
So  $G'G^p \leq M$ . ( $\forall$  maximal  $M$ ). Thus  $G'G^p \leq \varphi(G)$ .

Look at  $G/G'G^p$ : it is abelian of exponent  $p$  i.e. it's a direct sum of groups of order  $p$ .

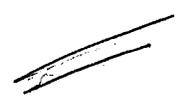
Clearly,  $\varphi(G/G'G^p) = 1 \Rightarrow \varphi(G) \leq G'G^p \Rightarrow \checkmark$ .

(ii)  $|G/\varphi(G)| = p^r$ .  $G/\varphi(G) \Rightarrow$  an elementary abelian  $p$ -group, so it's a vector space <sup>dim  $r$</sup>  over  $GF(p)$ . Call  $V := G/\varphi(G)$ .

Since  $G = \langle X \rangle$ , then  $\langle x + \varphi(G) \mid x \in X \rangle = G/\varphi(G) = V$ .

Hence  $\{x + \varphi(G) \mid x \in X\}$  contains a basis  $\{y + \varphi(G) : y \in Y\}$ . ( $\#Y = r$ ).

Hence  $G = \langle Y, \varphi(G) \rangle$ . As  $\varphi(G) \Rightarrow$  finite & by the non-generator property of  $\varphi(G)$ , we get  $G = \langle Y \rangle$ .



Let  $d(G)$  be the minimum number of generators for a finitely-generated group.

Corollary: if  $G$  is a finite  $p$ -group and  $[G: \Phi(G)] = p^r$ , then  $d(G) = r$ .

Example:  $U_n(p) =: G$  (gp of  $n \times n$  unitriangular matrices over  $GF(p)$ ).

$$\Phi(G) = G'G^p$$

$G' = \{ \text{matrices in } G \text{ whose 1st superdiagonal is } 0 \}$ .

$$\begin{pmatrix} 1 & * & & \\ & \ddots & & \\ & & 1 & \\ 0 & & & \ddots \\ & & & & 1 \end{pmatrix} \in G$$

$|G/G'| = p^{n-1}$ . In fact,  $\frac{G}{G'} \cong \underbrace{C_p \oplus \dots \oplus C_p}_{n-1}$ . Note also  $G^p \leq G'$ .

Thus,  $\Phi(G) = G'$  and then the minimum number of generators is  $n-1$ . In fact,  $E_{ij} =$  elem  $n \times n$  matrix with a 1 in  $(i,j)$  position. (and rest 0).

Then  $G = \langle 1 + E_{12}, 1 + E_{23}, \dots, 1 + E_{n-1, n} \rangle$ .

## § 4. Solvable Groups

(20)

Def: A Chief series in a group  $G$  is a normal series

$$1 = G_0 < G_1 < \dots < G_n = G, \quad G_i \triangleleft G$$

that does not admit any proper refinements which are normal series

There is a Theory of chief series which is similar to that of composition series:

(i) A normal series  $1 = G_0 < G_1 < \dots < G_n = G$  is chief iff each  $G_{i+1}/G_i$  has no proper nontrivial  $G$ -invariant subgroups. called Chief factors

(ii) Two chief series in  $G$  are isomorphic (i.e. there is a bijection between the sets of factors in which corresponding factors are  $G$ -isomorphic (like a module isomorphism)).

(iii) A group  $G$  has a chief series iff the set of normal subgroups of  $G$  satisfies the a.c.c. and d.c.c.

Theorem: Let  $G$  be a finite solvable group. (due to Galois)

(i) Each chief factor of  $G$  is an elementary abelian  $p$ -group (for various primes  $p$ ).

(ii) The index of a maximal subgroup of  $G$  is a power of a prime.

Pr 1) Enough to show that if  $N$  is a minimal normal subgroup of  $G$ , then  $N$  is an elementary abelian  $p$ -group.

Since  $G$  is solvable, so is  $N$ . Also,  $N \neq 1$ . We have  $N' < N$ , and also  $N' \text{ char } N \triangleleft G$ . So  $N' \triangleleft G$ . Hence  $N' = 1$  (by minimality).

This means that  $N$  is abelian. Now want that  $N$  is elementary. Let  $p$

be a prime dividing  $|N|$ , and write  $P := \{a \in N : a^p = 1\}$ . Then  $P \leq N$  (Nabelson!).

Also  $P \neq 1$  (by Cauchy's thm). Also  $P \text{ char } N$ . This means  $P \triangleleft G$ , so  $P = N$ .

(ii) Let  $M$  be a maximal subgroup of  $G$ . There is a ~~least~~ largest integer  $i \geq 0$  such that  $G^{(i)} \not\leq M$  (because  $M \neq G$ ). Let  $N := G^{(i)}$  ( $N \not\leq M$ ). Then  $N' = (G^{(i)})' = G^{(i+1)}$ . Then  $N' \leq M$ . Also,  $N' \triangleleft G$  ( $N'$  char  $N$ ).

So  $M/N'$  is maximal in  $G/N'$ . We might assume then, that  $N' = 1$  (since  $[G/N' : M/N'] = [G : M]$ ).

To assume  $N$  abelian.

Since  $N \not\leq M$ , we have  $M < MN$ . By maximality,  $G = MN$ .

Since  $N \triangleleft G$ ,  $M \cap N \triangleleft M$ . Also,  $N$  abelian, so  $M \cap N \triangleleft N$ .

Since  $G = MN$ , then  $M \cap N \triangleleft G$ .

Once again, use that  $M/M \cap N$  is maximal in  $G/M \cap N$ , so can assume  $M \cap N = 1$ .

Claim:  $N$  is minimal normal in  $G$ .

Suppose  $1 < L < N$  and  $L \triangleleft G$ . Note that  $L \not\leq M$  (or,  $L \leq M \cap N = 1$ ).

Thus  $G = LM$ . Hence,  $N = N \cap (LM)$ .

The modular law says that  $(N =) N \cap (LM) = L \cap (N \cap M) \Rightarrow N = L \Rightarrow !!$

Hence  $N$  is minimal normal in  $G$ .

By part (1),  $|N| = p^r$  for some prime  $p, r \geq 0$ . So  $|G : M| = |MN : M| = |N : M \cap N|$

$$|N| = p^r$$

### Supersolvable groups.

A group  $G$  is called supersolvable if it has a normal series with cyclic factors.

RR: For  $G$  a finite group, it is supersolvable iff each of its chief factors has prime order.

PK  $\Leftarrow$  obvious

$\Rightarrow$  refine the given normal series to a chief series (ok since  $G$  is finite). Its factors will be cyclic, and so of prime order

Note that, for finite groups, we have:

$$\begin{array}{ccc} \text{nilpotent} & \Rightarrow & \text{supersoluble} \Rightarrow \text{soluble} \\ \uparrow \neq & & \uparrow \neq \\ S_3 & & A_4 \end{array}$$

Example:

•  $T_n(p)$ , the group of  $n \times n$  triangular matrices over  $GF(p)$  is supersoluble (exercise)

Theorem: if  $G$  is a supersoluble group (not necessarily finite), then  $G'$  is nilpotent.

Pl There's a normal series  $1 = G_0 < G_1 < \dots < G_n = G$ . ( $G_i \triangleleft G$ ),  $G_{i+1}/G_i$  cyclic.

If  $g \in G$ , conjugation by  $g$  in  $G_{i+1}/G_i$  induces an automorphism on  $G_{i+1}/G_i$ .

This gives a homomorphism  $\theta_i: G \rightarrow \text{Aut}(G_{i+1}/G_i)$

Let  $K_i := \text{Ker}(\theta_i) \triangleleft G$ . Also  $G/K_i \cong \text{Im } \theta_i \leq \text{Aut}(G_{i+1}/G_i)$

Since  $G_{i+1}/G_i$  is cyclic,  $\text{Aut}(G_{i+1}/G_i)$  is abelian.

Hence  $G/K_i$  is abelian, and so  $G' \leq K_i$  ( $\forall i$ ).

Thus  $[G_{i+1}, G'] \leq G_i \quad \forall i=0, \dots, n-1$ .  $[G, \underbrace{G', \dots, G'}_n] \leq G_0 = 1$ .

So  $[\underbrace{G', G', \dots, G'}_{n+1}] = 1$ . Hence  $\gamma_{n+1}(G') = 1$ , and  $G'$  is nilpotent. //

The Schur-Zassenhaus Theorem.

Def: Let  $N \triangleleft G$ . A complement of  $N$  in  $G$  is a subgroup  $H \leq G$ ,

such that  $G = HN$ , and  $H \cap N = 1$ . (So  $G$  is a semidirect product of  $H, N$ .)

We also say that  $G$  splits over  $N$ . ( $1 \rightarrow N \rightarrow G \rightarrow G/N \rightarrow 1$ ).

(and the two definitions are equivalent - exercise -).

Theorem: (Schur - Zassenhaus):

Let  $G$  be a finite group and  $N \triangleleft G$ . Assume that  $\gcd(|N|, |G:N|) = 1$ .  
Then 1)  $G$  splits over  $N$ .

2) All complements of  $N$  in  $G$  are conjugate

Proof: Need to show that  $\exists H \leq G, H \cap N = 1, HN = G$ .

Note that  $|H| = |HN:N| = |G:N|$  since  $HN = G$ .

We need to find a subgroup with order  $|G:N|$ , and that all the subgroups of this order are conjugate.

Let  $n = |N|, m = |G:N|$ .

Case  $N$  is abelian (Schur):

Write  $Q = G/N$ . Note that  $Q$  acts on  $N$  by conjugation:

$a \in N, g \in G$ , then  $a^{gN} := a^g (= g^{-1}ag)$ . Well defined because  $N$  is abelian.

Choose a transversal (a set of coset representatives) to  $N$  in  $G$ .

$\{t_x : x \in Q\}$  (so  $x = t_x N$ ). Note that  $\{t_x : x \in Q\} = |Q| = m$ .

Let  $x, y \in Q$ . Then  $xy = t_x t_y N = t_{xy} N$ . So  $t_x t_y = t_{xy} c(x, y)$  for some  $c(x, y) \in N$ .

Also,  $(t_x t_y) t_z = t_x (t_y t_z) \Rightarrow (t_{xy} c(x, y)) t_z = t_x t_y t_z c(y, z) \Rightarrow$

$\Rightarrow t_{xy} t_z (t_z^{-1} c(x, y) t_z) = t_{xy t_z} c(x, y t_z) c(y, z)$ . By the action by  $Q$  on  $N$ ,

have  $\frac{t_{xy} t_z c(x, y)^z}{t_{xy t_z} c(x, y, z)} = t_{xy t_z} c(x, y t_z) c(y, z) \xrightarrow{\text{cancel by } t_{xy t_z}} c(x, y, z) = c(x, y)^z = c(x, y t_z) c(y, z)$

(note that this is the 2-cocycle condition).

Define now, for  $y \in Q$ ,  $d(y) := \prod_{x \in Q} c(x, y)$  (well defined because  $c(x, y) \in N$  so order is irrelevant)

Form the product of equation (\*) over all  $x \in Q$ , with  $y, z$  fixed:

$$\prod_x c(x, y, z) \cdot \prod_x c(x, y)^z = \left( \prod_x c(x, yz) \right) c(y, z)^{mn}$$

$\begin{matrix} \text{ii} & \text{ii} & \text{ii} \\ d(z) & d(y)^z & d(yz) \end{matrix}$

power, not conjugation!

So we get  $d(z) \cdot d(y)^z = d(yz) \cdot c(y, z)^{mn}$  (\*\*)

Recall that  $|N|=n$  and  $\gcd(m, n)=1$ . Hence, the map  $a \mapsto a^m$  is injective.

As it is  $N \rightarrow N$  it is also surjective. So each element of  $N$  is a  $m$ th power.

Write  $d(y)^{-1} = e(y)^m$  ( $e(y) \in N$ ).

Substituting in (\*\*),  $e(z)^{-m} (e(y)^z)^{-m} = e(yz)^{-m} c(y, z)^{mn}$

So  $c(x, y)^m = e(yz)^m e(z)^{-m} (e(y)^z)^{-m}$ . Taking  $m$ th roots (by bijectivity)

$$c(x, y) = e(yz) e(z)^{-1} (e(y)^z)^{-1} \quad \text{Also, } \boxed{e(yz) = e(z) e(y)^z c(y, z)} \quad (\forall y, z \in Q)$$

Choose now a new transversal  $\{s_x : x \in Q\}$ . ( $s_x N = t_x N$ ), as

$$s_x := t_x e(x) \quad (\text{recall that } e(x)^m = d(x)^{-1}).$$

Claim:  $\{s_x : x \in Q\}$  is a subgroup:

$$\begin{aligned} s_y s_z &= t_y e(y) t_z e(z) = t_y t_z e(y)^{t_z} e(z) = t_y t_z e(y)^z e(z) = \\ &= t_{yz} e(y, z) e(y)^z e(z) \stackrel{c(y, z)}{=} t_{yz} e(y, z) = s_{yz} \end{aligned}$$

Take now two complements  $H, H^*$  for  $N$  in  $G$ . We want to see that  $H$  and  $H^*$  are conjugate. Note that  $|H| = |H^*| = |Q|$

Write  $H = \{s_x : x \in Q\}$ ,  $H^* = \{s_x^* : x \in Q\}$  where  $x = s_x N = s_x^* N$ .

We can write  $s_x^* = s_x a(x)$ ,  $a(x) \in N$ .

$$\text{Now } s_x^* s_y^* = s_{xy}^* \quad \text{So } s_x a(x) s_y a(y) = s_{xy} a(xy) \Rightarrow s_x s_y a(x)^y a(y) = s_{xy} a(xy)$$

$$\Rightarrow s_{xy} a(x)^y a(y) = s_{xy} a(xy) \Rightarrow a(x)^y a(y) = a(xy) \quad (\text{1-cycle condition}).$$

Let  $b := \prod_{x \in Q} a(x) \in N$ .

We get that (product over all  $x \in Q$ ,  $y$  constant)  $b = b^y a(y)^m$

Write  $b = c^m$  for some  $c \in N$ . So  $c^m = (c^m)^y a(y)^m \Rightarrow$

$$\Rightarrow c = c^y a(y) \Rightarrow a(y) = c^{-y} c.$$

$$\text{Then, } S_y^* = S_y a(y) = S_y c^{-y} c = S_y (S_y^{-1} c^{-1} S_y) c = c^{-1} S_y c = S_y c.$$

Hence  $M^*$  and  $M$  are conjugate.

General case ( $N$  not abelian):

1) Existence of complement:

Argue by induction on  $|G|$  that there is a subgroup of order  $m = |G:N|$ .

Let  $p|n = |N|$  ( $> 1$ , if  $|N|=1$  nothing to prove).

Let  $P$  be a Sylow  $p$ -subgroup of  $N$ . Put  $L = N_G(P)$ , and write

$$C := Z(P) \neq 1 \text{ (} P \text{ a finite } p\text{-group), } M := N_G(C). \text{ (Note that } L \leq M)$$

~~The result holds (by induction) for  $G/C$ .~~

(To see  $L \leq M$ , note to see that  $L$  normalizes  $C$ . As  $C$  char  $P$  and the  $\Delta$  elements of  $L$  include actions on  $P$  by conjugation,

$$Z(P)^g = Z(P) \quad \forall g \in L.$$

Apply the Frobenius argument to get  $G = LN$ :

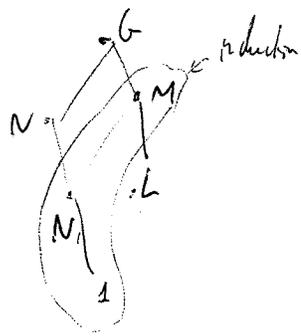
Let  $g \in G$ ; Then  $P, P^g \leq N$  are two Sylow  $p$ -subs of  $N \triangleleft G$ .

Hence  $P^g = P^x$ ,  $x \in N$  (by Sylow's thm).  $\therefore P^{gx^{-1}} = P \Rightarrow gx^{-1} \in L \Rightarrow g \in LN$ .

Hence  $G = LN \Rightarrow G = MN$ .

$$\begin{aligned} \text{Let } N_1 &:= N \cap M \triangleleft M \text{ (because } N \triangleleft G). \quad |N_1| \mid |N| = n \text{ and } [M:N_1] = |M:N \cap M| = \\ &= |MN:N| = |G:N| = m. \end{aligned}$$

(cont of Schur-Zassenhaus)



Note that  $\gcd(|N|, |M:N|) = 1$ .

Apply induction to  $M/C$  with  $N_1 C/C \trianglelefteq M/C$ .

As  $C \neq 1$ , then  $|M/C| < |G|$ .

Hence  $M/C$  has a subgroup of order  $m$ , call it  $Y/C$ .

Recall that  $C$  is a  $p$ -group and  $p \nmid n$ .

Also  $|Y/C| = m$ ,  $C$  is a  $p$ -group and  $p \nmid m$ . As  $C$  is abelian, we've solved this case. So  $Y$  has a subgroup of order  $m$ .  $\Rightarrow \checkmark$

Congruent complements.

Let  $H, H^*$  be complements of  $N$  in  $G$  i.e. syms of order  $m$ . Need to show that  $H, H^*$  are conjugate.

Case (a):  $N$  is solvable. ( $N' \neq 1$ ) if  $N' = 1$ , then  $N$  is abelian and we are done.

Thus,  $N' < N$ . Arguing by induction on  $|G|$ , can say that the result is true for  $G/N'$  ( $|G/N'| < |G|$ ) by the abelian case.

Note that  $H \cap N = 1 = H^* \cap N$ . So  $HN'/N'$  and  $H^*N'/N'$  (are isomorphic to  $H$  and  $H^*$  (resp.))

are complements of  $N'/N'$  and  $G/N'$ . So they are conjugate (by the abelian case), i.e.  $\exists g \in G : \left( \frac{HN'}{N'} \right)^{gN'} = \frac{H^*N'}{N'}$  i.e.  $H^g N' = H^* N' =: T$

Now  $H^g, H^*$  are complements of  $N'$  in  $T$ .  $H^g \cap N = 1 = H^* \cap N$ , so because  $N' \trianglelefteq N$ , apply induction on  $N'$  to see that  $H^g$  and  $H^*$  are conjugate in  $T$ .  $\Rightarrow H, H^*$  are conjugate in  $G$ .

Case (b):  $G/N$  is solvable.

Let  $\pi$  be the set of primes dividing  $m = |G/N| > 1$ .

Define  $R$  to be the subgroup generated by all the normal  $\pi$ -subgroups of  $G$  ( $=: O_\pi(G)$ ).

$\int$

(cont of §-E).

Then  $R$  is the unique largest normal  $\pi$ -subgroup of  $G$ . Suppose  $R \neq 1$ .

Now  $|H|=m$ , so  $HR$  is a  $\pi$ -subgroup (not necessarily normal).

Also,  $|HR:H| \mid |G:H| = |N| = n$ . Therefore,  $|R:H \cap R| \mid n$ . Yet,  $|R:H \cap R|$  is a  $\pi$ -number. So  $R = H \cap R$ , since  $\gcd\{m, n\} = 1$ .

Thus,  $R \leq H$ . In the same way,  $R \leq H^*$ .

So we can look at  $G/R$ , which has smaller order ( $R \neq 1$ ).

By induction,  $H/R$  and  $H^*/R$  are conjugate in  $G/R \Rightarrow H$  and  $H^*$  are conjugate in  $G$  as well.

If  $R=1$ , then let  $L/N$  be a minimal normal subgroup of  $G/N$ .

Since  $G/N$  is solvable,  $L/N$  is an elementary abelian  $p$ -group for some prime  $p \mid n$ . So  $p \in \pi$ .

Now,  $H \cap L \cong (H \cap L)N/N \leq L/N$  (because  $H \cap N = 1$ ).

So  $H \cap L$  is a  $p$ -group.  $HN \geq H \cap L$ ,  $HN = G$ . So  $HN = G$ .

Also,  $|L:H \cap L| = |HL:H| = |G:H| = n$ . Thus, as  $p \nmid n$ ,  $H \cap L$  is a Sylow  $p$ -subgroup of  $L$ . The same holds for  $H^* \cap L$ .

Hence, Sylow's theorem implies that  $H \cap L$  and  $H^* \cap L$  are conjugate.

Write  $H \cap L = (H^* \cap L)^g$  ( $g \in L$ ).  $H \cap L = (H^* \cap L)^g = H^* g \cap L$ .

Next, put  $S = H \cap L = H^* g \cap L$ . Then  $S \triangleleft H$ . Also,  $S \triangleleft M^* g$  (since  $L \triangleleft G$ ).

Let  $J := \langle H, M^* g \rangle$ . So  $S \triangleleft J$ .

If  $J = G$ , then  $S \triangleleft G$ , yet  $S$  is a  $p$ -group. As  $p \in \pi$ ,  $S \leq O_{\pi}(G) = R = 1$ .

Then  $H \cap L = 1 \Rightarrow |L| = n = |N| \Rightarrow !$  because  $L$  was a minimal normal.

Hence,  $J \neq G$ , so  $|J| < |G|$ . By induction on  $|G|$ ,  $H$  and  $M^* g$  are conjugate in  $J$ .  $\therefore H, H^*$  are conjugate in  $G$ .

By Feit-Thompson theorem, a group of odd order is solvable  $\Rightarrow$  whole theorem as one of  $|N|$  or  $|G/N|$  is odd. ~~///~~

Corollary: Let  $N \triangleleft G$ ,  $n = |N|$  and  $m = |G:N|$  relatively prime.

Suppose  $K \leq G$  and  $|K| \mid m$ . Then,

$K$  is contained in a complement of  $N$  (i.e. in a subgroup of order  $m$ ).

Prf By the S-Z theorem,  $\exists H \leq G$  st  $G = HN$ ,  $H \cap N = 1$ ,  $|H| = m$ .

Then  $KN = KN \cap HN \stackrel{\text{modular law}}{=} (KN \cap N)N$

Also  $K$  and  $KN \cap H$  are two complements of  $N$  in  $KN$ .

Also,  $|KN:N| = |K:N \cap K| = |K| \mid m$ .

$\therefore \gcd\{|N|, |KN:N|\} = 1$ . By the conjugacy class of S-Z,  $K$  and  $KN \cap H$  are conjugate in  $KN$ .

So  $K = (KN \cap H)^x \leq H^x$  and  $|H^x| = m$ .

Hall Subgroups of Finite Soluble Groups.

Let  $\pi$  be a set of primes, and  $\pi'$  the set of primes not in  $\pi$ .

By Zorn's lemma, every group has a maximal  $\pi$ -subgroup. Call this a Sylow- $\pi$ -subgroup of  $G$ .

If  $\pi = \{p\}$  and  $G$  is a finite group, this is consistent with usage in finite grp th.

In general, while Sylow- $\pi$ -subgroups exist, they need not be conjugate, even in a finite group. However, if  $G$  is finite soluble, this is true!

Def A Hall  $\pi$ -subgroup of a finite group  $G$  is a  $\pi$ -subgroup  $H$  st.

$|G:H|$  is a  $\pi'$ -~~number~~ number.

Clearly, a Hall  $\pi$ -subgroup is a Sylow  $\pi$ -subgroup.

Example: Hall  $\pi$ -subgroups need not exist.

Let  $G = A_5$ . Choose  $\pi = \{3, 5\}$ .  $\pi' = \{2, 7, \dots\}$ .

Spz that  $H$  is a Hall- $\pi$ -sub. of  $A_5$ .  $|H|$  is a  $\pi'$ -number, so  $3 \nmid |H|, 5 \nmid |H| \Rightarrow$

$\Rightarrow |H| \geq 15 \Rightarrow |G:H| \leq 4 \Rightarrow A_5$  would embed into  $S_4 \Rightarrow !! \quad \downarrow$

Hence  $|H|$  is not divisible by both 3 and 5.

Then  $|G:H|$  is not a  $\pi'$ -number  $\Rightarrow H$  is not Hall.

Conclusion: Hall sgps need not exist. (but observe that  $A_5$  is non-soluble).

Also, let  $K = \langle (123) \rangle$  and  $L = \langle (12345) \rangle$ , then  $K$  and  $L$  are Sylow  $\pi$ -subgroups.  $K, L$  not even isomorphic  $\Rightarrow$  not conjugate.

Conclusion: don't hope for Sylow's thm on  $\pi$ -sylows.

Theorem: (P. Hall, 1927). (Generalized Sylow, for solubles). nonempty

Let  $G$  be a finite soluble group, and  $\pi$  any set of primes. Then, every  $\pi$ -subgroup of  $G$  is contained in a Hall subgroup.

(in particular, they exist).

Also, any two Hall  $\pi$ -subgroups are conjugate.

Alternative version:  $G$  finite soluble

Assume that  $|G| = mn$ ,  $\gcd(m, n) = 1$ .

Then there is a subgroup of order  $m$ , and any two such sgps are conjugate.

(Weak converse of Lagrange).

Equivalence of thms:

Let  $\pi = \{p: p \text{ prime}, p | m\}$ . Let  $H$  be a Hall- $\pi$ -sgp. Then  $|H| |G| = mn \Rightarrow$

$\Rightarrow |H| |m$ . Then,  $|G:H| = \left(\frac{m}{|H|}\right) \cdot n = \frac{m}{|H|} n$ . As  $|G:H|$  is a  $\pi'$ -number

and  $\frac{m}{|H|}$  is a  $\pi$ -number, we have  $m = |H|$ .

Pf (of 1st version):

Every  $\pi$ -subgroup of  $G$  is contained in a maximal  $\pi$ -sgp, i.e. in a Sylow  $\pi$ -subgroup.

We will prove that, if  $P$  is a Sylow  $\pi$ -sgp, then it's a Hall  $\pi$ -sgp.

↓

want to see that  $|G:P|$  is a  $\pi'$ -number, by induction on  $|G|$ .

Let  $R$  be the subgroup generated by all normal  $\pi$ -subgroups of  $G$ ,

so  $R$  is the unique maximal normal  $\pi$ -subgroup of  $G$ .

Then  $PR$  is a  $\pi$ -subgroup, containing  $P$ . As  $P$  is Sylow- $\pi$ ,  $PR = P$ ,  
hence  $R \subseteq P$ .

Suppose that  $R \neq 1$ . Then the theorem is true for  $G/R$  (induction).

Also,  $PR$  is a ~~Sylow~~  $\pi$ -grp of  $G/R$ . By induction,  $P/R$  is a Hall  $\pi$ -grp of  $G/R$ .

But  $|G/R : P/R| = |G:P| \Rightarrow |G:P|$  is a  $\pi'$ -number.

If  $R = 1$ , then since  $G$  is soluble ( $\neq 1$ ), then it has a normal abelian subgroup  $A \neq 1$ . Now the  $\pi$ -primary component of  $A$ ,  $A_\pi$  (elts of order  $\pi$ -numbers).  $A_\pi \text{ char } A \triangleleft G$ . So  $A_\pi \triangleleft G$ .

Hence, as  $R = 1$ ,  $A_\pi = 1$ , so  $A$  is a  $\pi'$ -group.

By induction, the result is true for  $G/A$ .

Hence  $PA/A$  is contained in a Hall  $\pi$ -subgroup of  $G/A$ , say  $Q/A$ .

So  $Q/A$  is a  $\pi$ -grp, with  $|G:Q|$  is a  $\pi'$ -number.

Note that  $P \cap A = 1$ , so  $P \cong PA/A \subseteq Q/A$ . Thus,  $|P| \mid |Q/A|$ .

Apply now the corollary to S-Z thm:



Can say that  $P$  is contained in a subgroup  $P^*$  of  $Q$ ,

with order  $|P^*| = |Q:A|$ , which is a  $\pi$ -number.

Since  $P$  is a Sylow  $\pi$ -grp, must have  $P = P^*$ . Hence  $|P| = |P^*| =$

$$= |Q:A|$$

$\therefore |Q:P| = \frac{|Q|}{|Q:A|} = |A|$ , which is a  $\pi'$ -number.

Finally,  $|G:Q|$  is a  $\pi'$ -number. So  $|G:P|$  is a  $\pi'$ -number  $\Rightarrow \checkmark$

*Just part.*

(cont pf)

• pf that any two Hall  $\pi$ -sgps are conjugate.

Let  $P_1, P_2$  be two Hall  $\pi$ -sgps of  $G$ .

As in (1), we can assume that  $G$  has no nontrivial normal  $\pi$ -sgps.

(by using induction on  $|G|$ ).

Let  $A$  be a nontrivial abelian normal sgp of  $G$ . By induction on  $|G|$ , the theorem is true by  ~~$G/A$~~   $G/A$ .

Next,  $P_1A/A$  and  $P_2A/A$  are Hall  $\pi$ -sgps of  $G/A$ . Clearly, these are  $\pi$ -sgps

$$|G/A : P_iA/A| = |G : P_iA| / |G : P_i| \text{ which is a } \pi' \text{-number.}$$

So  $|G/A : P_iA/A|$  are  $\pi'$ . So  $P_1A/A$  is conjugate of  $P_2A/A$  (in  $G/A$ )

$$\therefore P_1A = (P_2A)^g \text{ for some } g \in G. \Rightarrow P_1A = P_2^g A.$$

$P_1, P_2^g$  are complements of  $A$  in  $P_i$ . So apply SZ to get  $P_1, P_2$  conjugate.

This is a sort of converse to Hall's theorem:

Thm: Let  $G$  be a finite group which has a Hall  $p'$ -subgroup for each prime  $p$  dividing  $|G|$ . Then  $G$  is solvable.

The proof uses the Burnside  $p$ - $q$ -theorem:

(Thm: Any group of order  $p^m q^n$  ( $p, q$  primes) is solvable.  
(Use character theory, otherwise it is a hard proof).)

Note: Let  $H, K \leq G$  finite.

a)  $|G : H \cap K| \leq |G : H| \cdot |G : K|$ .

b) if  $|G : H|$  and  $|G : K|$  are relatively prime, then  $|G : H \cap K| = |G : H| \cdot |G : K|$

pf (of thm):

Step 1: Reduce to the case where  $G$  is simple:

Suppose  $1 \neq N \triangleleft G$ . Check hypothesis for  $N$  and  $G/N$ .

Let  $H$  be a Hall  $p'$ -subgroup of  $G$ .

Then  $H \cap N$  and  $HN/N$  are Hall  $p'$ -subgroups of  $N$ , resp.  $G/N$ :

It is clear that they are  $p'$ -groups.

Also,  $|N:H \cap N| = |HN:H| \mid |G:H| = \text{a power of } p \Rightarrow \checkmark$ .

Similarly,  $|G/N:HN/N| = |G:HN| \mid |G:H| \stackrel{\exists \checkmark}{=} \text{a power of } p \Rightarrow \checkmark$ .

Hence, by induction on  $|G|$ , would have that  $N$  and  $G/N$  solvable  $\Rightarrow \checkmark$ .

Step 2:

Let  $|G| = p_1^{e_1} \dots p_k^{e_k}$  ( $p_i$  distinct,  $e_i \geq 0$ ), we can assume  $k \geq 2$  by Burnside  $p$ - $q$  theorem.

Let  $G_i$  be a Hall  $p_i'$ -subgroup of  $G$ .

Hence,  $|G_i|$  is not divisible by  $p_i$ , and  $|G:G_i|$  is a power of  $p_i$ .

So  $|G:G_i| = p_i^{e_i}$  ( $|G| = |G_i| \cdot |G:G_i|$ ).

Let  $H = G_3 \cap \dots \cap G_k$ , we can see that  $|G:H| = \prod_{i=3}^k |G:G_i| \leq p_3^{e_3} \dots p_k^{e_k}$ .

Hence  $|H| = p_1^{e_1} p_2^{e_2}$ ,  $\Rightarrow H$  is solvable (by Burnside  $p$ - $q$ ).

Choose a minimal normal subgroup of  $H$ , say  $M$ .

Because  $H$  is solvable,  $M$  will be elementary abelian, suppose  $p_2$ -group.

Note that  $|G:H \cap G_2| = |G:H| \cdot |G:G_2| = p_2^{e_2} \dots p_k^{e_k}$ .

$\therefore |H \cap G_2| = p_1^{e_1}$ ,  $\therefore H \cap G_2$  is a Sylow  $p_1$ -subgroup of  $G$  and hence of  $H$ .

Now,  $M \triangleleft H$  and it is a  $p_2$ -subgroup. So  $M_1(H \cap G_2)$  is a  $p_2$ -group.

So  $M_1(H \cap G_2) = H \cap G_2 \Rightarrow M \leq H \cap G_2 \Rightarrow M \leq G_2$ .

In the same way,  $|H \cap G_1| = p_2^{e_2}$ , so  $(H \cap G_1) \cap M_2 = 1$ .

and  $|(H \cap G_1)G_2| = |H \cap G_1| \cdot |G_2| = p_2^{e_2} (p_1^{e_1} p_3^{e_3} \dots p_k^{e_k}) = |G| \Rightarrow (H \cap G_1)G_2 = G$ .

$\downarrow$

Therefore,

$$1 \neq M^G = M^{(H \triangleleft G)_2} = M^{G_2} \quad (\text{since } M \triangleleft H) \subseteq G_2 \subset G.$$

Hence  $G$  is not simple  $\Rightarrow$  !!

Reference: Doerk & Hawkes, "Finite Solvable Groups".

Application:

Let  $G$  be a solvable group of order  $4m$ , where  $2 \nmid m, 3 \nmid m$ . Then  $G$  has a normal subgroup of order  $m$ :

<sup>of</sup> Let  $H$  be a Hall  $2'$ -subgroup.  $\therefore |G:H|=4, |H|=m$ .

$G$  acts on the set of cosets of  $H$ , so get  $\theta: G \rightarrow S_4$ . Let

$K := \ker \theta \subseteq H$ .  $G/K \cong$  a subgroup of  $S_4$ . Also,  $4 \mid |G/K|, 3 \nmid |G/K|$ ,

$$\text{and } 3 \mid |G/K| \Rightarrow |G/K|=4 = |G/H| \Rightarrow H \triangleleft G //$$

Infinite solvable groups.

There are many different types of infinite solvable groups. The most important is the class of polycyclic groups.

Def Let  $P$  be some group-theoretic property. A group  $G$  is a poly- $P$  group if  $\exists$  series  $1 \triangleleft G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G$  where each  $G_i/G_{i-1}$  has  $P$ .

For  $P = \text{"cyclic"}$ , we have the polycyclic groups.

Examples: all finite solvable groups are polycyclic.

• all finite generated nilpotent group is polycyclic.

• all supersolvable groups are polycyclic

fin. gen. nilpotent  $\Rightarrow$  supersolvable  $\Rightarrow$  polycyclic  
 $\neq$   $(Dih(\infty))$   $\neq$   $(A_4)$

Theorem: A soluble group  $G$  satisfies max iff it is polycyclic.

Pl Clearly, cyclic groups satisfy max. Also, max is extension-closed, so ok.

Conversely, if  $G$  is soluble with max, then all subgroups of  $G$  are finitely generated.

Hence,  $G^{(i)}/G^{(i+1)}$  is finitely-generated and abelian, hence  $G^{(i)}/G^{(i+1)}$  is a direct product of finitely-many cyclic groups, so can refine the series to get a polycyclic one. //

Here there is another reason why polycyclic groups are important:

- 1) A soluble subgroup of  $GL_n(\mathbb{Z})$  is polycyclic (Mal'cev).
- 2) Every polycyclic group is isomorphic to a soluble subgroup of some  $GL_n(\mathbb{C})$ . (Auslander-Swan).

Def The Hirsch number  $h(G)$  of a polycyclic group  $G$  is the number of infinite factors in a series with cyclic factors in  $G$  (it is well defined by HW 1).  
(note  $h(G)=0 \Leftrightarrow G$  finite).

Theorem: Let  $G$  be a polycyclic group. Then, there is a normal subgroup  $N$  with finite index in  $G$ , s.t.  $N$  is poly- $\mathbb{Z}$ , (so  $N$  is torsion-free).

Pf Let  $1 \cong G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_n = G$  be a series with cyclic factors.

If  $n \leq 1$ ,  $G$  is cyclic and so the theorem is trivial.

Use induction on  $n$ .

Write  $N := G_{n-1}$ . The theorem is true for  $N$  (by induction), so

$\exists M \triangleleft N$  s.t.  $N/M$  is finite, of order  $m$ , and  $M$  is poly-infinite cyclic. Note that  $N^m \leq M$ .  $N^m$  is also poly- $\mathbb{Z}$ , because poly- $\mathbb{Z}$  is inherited by subgroups (check, easy).

Also,  $N/N^m$  is finite (since poly- $\mathbb{Z}$  & torsion).

Finally,  $N^m \text{ char } N$ , so  $N^m \triangleleft G$ . So by replacing  $M$  by  $N^m$ , we can assume that  $M \triangleleft G$ .

$\begin{array}{l} G \\ \downarrow \\ M \\ \downarrow \\ 1 \end{array} \left\{ \begin{array}{l} \text{cyclic} \\ \text{finite} \\ \text{poly-}\mathbb{Z} \end{array} \right.$

If  $G/N$  is finite, then  $G/M$  is finite, and so we are done.

So assume  $G/N$  is infinite cyclic,  $\frac{G}{N} = \langle xN \rangle$

So  $G = \langle x, N \rangle$  and  $\langle x \rangle \cap N = 1$ .

Since  $N/M$  is finite, then  $\text{Aut}(N/M)$  is finite. Hence, some  $x^r$  ( $r > 0$ ) acts trivially by conjugation on  $N/M$ .

Put  $L := \langle x^r, M \rangle$ . Then,  $L \triangleleft \langle x, N \rangle = G$ ,

since  $[N, x^r] \leq M$ .

Claim:  $L$  is poly- $\mathbb{Z}$  and  $G/L$  is finite. finite since  $N^m = M \leq L$

$\rightarrow G/L$  is finite, because  $\frac{G}{L} = \left( \frac{N}{L} \right)^{\langle x \rangle} \cdot \left( \frac{\langle x \rangle}{L} \right)$  finite because  $x^r \in L$ .

$\rightarrow L/M = \langle x^r \rangle M/M \cong \langle x^r \rangle / \langle x^r \rangle \cap M = \langle x^r \rangle \cong \mathbb{Z}$  and  $M$  is poly- $\mathbb{Z}$ .

Corollary: If  $G$  is an infinite polycyclic, then  $G$  has a non-trivial normal (finitely-generated) free abelian subgroup.

Pf By the Theorem,  $\exists N \triangleleft G$  with  $G/N$  finite and  $N$  torsion-free.

As  $G$  is infinite,  $N \neq 1$ .

If  $d :=$  derived length of  $N$ , then put  $A := N^{(d-1)}$  ← derived:

$A$  is abelian (as  $A' = N^{(d)} = 1$ ), it is torsion free because  $A \leq N$ , and is finitely-generated, so it is free abelian. //

Theorem (Mal'cev): Let  $G$  be a polycyclic group, and  $H \leq G$ .

Then  $H$  is the intersection of some subgroups of finite index in  $G$ .

Pf If  $G$  is abelian, then  $H \triangleleft G$  and  $G/H$  is residually finite (because it is a fin-gen abelian group).

So  $H$  is the intersection of sygs of finite index in  $G$ .

In the general case, let  $l := h(G)$  (# of infinite factors of the poly-series).

If  $l = 0$ ,  $G$  is finite  $\Rightarrow$  clear. For  $l > 0$ , by induction:

As  $G$  is infinite ( $l > 0$ ), then  $\exists 1 \neq A \triangleleft G$  with  $A$  (torsion) free abelian.

It is easy to see that  $h(G) = h(A) + h(G/A)$ , and  $h(A) > 0$ .

So  $h(G/A) < h(G) = l$ , so by induction, the result is true for  $G/A$ .

Now, let  $g \in G \setminus H$ . We need to prove that  $\exists$  a subgroup  $K \leq G$  with  $H \leq K$ ,  $K$  of finite index, and  $g \notin K$ .

Since the result is true for  $G/A$ , then  $\forall g \in HA$  (if  $g \notin HA$ ,  $gA \notin HA/A$  and the result follows by induction).

Write  $g = ha$ ,  $h \in H, a \in A$ . Here  $a \notin H \cap A, a \in A$ . Can apply the result to the abelian group  $A$ .

Hence,  $\exists$  a subgroup  $B$  s.t.  $H \cap A \leq B \leq A, A/B$  finite and  $a \notin B$ . If  $|A/B| = n$ , then  $A^n \leq B, A/A^n$  is finite, and  $A^n \text{ char } A \Rightarrow A^n \triangleleft G$ .

Now,  $h(G/A^m) = h(G/A) + h(A/A^m)^0 = h(G/A)$ .

Hence, the result is true for  $G/A^m$ , with the subgroup  $MA^m/A^m$ .

Now, if  $g \notin MA^m$  we are done.

If in fact  $g \in MA^m$ , write  $g = ha$ ,  $h \in H$ ,  $a \in A^m$ .

As also  $g = hb$ , we get  $ha = hb$ , so  $ab^{-1} \in h^{-1}h \in H \cap A \leq B$

But as  $a \notin B$  and  $b \in B$ , this is a contradiction.

Corollary: If  $G$  is polycyclic, then it is residually finite (case  $H=1$ ), (it is due to Hirsch).

Digression: Let  $G$  be a polycyclic group, and write  $\mathcal{F}$  to be the set of all subgroups of finite index in  $G$ .  
Put a topology on  $G$  by making  $\mathcal{F}$  a base of neighborhoods of the identity.

Since  $G$  is residually-finite, this topology is Hausdorff.

If  $x \neq y \in G$ , then  $x^{-1}y \neq 1$  and hence  $\exists H \leq G$ ,  $|G:H| < \infty$ ,

set  $x^{-1}y \notin H$ , so  $xH \neq yH$ , so these two open sets separate  $x$  and  $y$ .

Also by Malcev's theorem, every subgroup of  $G$  is closed.

To compactify  $G$ , we need to take the inverse limit:

$G \hookrightarrow \varprojlim (G/N) \leftarrow \begin{matrix} N \trianglelefteq G \\ |G:N| < \infty \end{matrix} \right.$  is a profinite soluble group.

Theorem (Hörstik): Let  $G$  be a polycyclic group, not nilpotent.

Then, some finite quotient of  $G$  is not nilpotent.

Pf  
Assume all finite quotients of  $G$ , but not  $G$  itself, are nilpotent.

Then,  $G$  must be infinite, so  $h(G) > 0$ .

Assume that  $G$  is a counterexample with smallest  $h(G)$ .

Then,  $\exists 1 \neq A \triangleleft G$ , with  $A$  free abelian.

Let  $p$  be any prime. Then,  $A^p \triangleleft G$ .

Now,  $h(G/A^p) = h(G/A) + h(A/A^p) \stackrel{h(A/A^p) < \infty}{=} h(G/A) < h(G)$ .

$G/A^p$  satisfies the hypothesis on  $G$ . By minimality of  $h(G)$ ,  $G/A^p$  is nilpotent, say of class  $m$ .

Then,  $[A, G, \dots, G] \leq A^p$ .  $A \geq [A, G]A^p \geq [A, G, G]A^p \geq \dots \geq [A, G, \dots, G]A^pA^p$

Denote  $r := h(A)$ , so  $r = \text{rk}_{\mathbb{Z}}(A)$ .

Hence  $A/A^p$  is a  $\mathbb{Z}/p\mathbb{Z}$ -vector space, of dimension  $= r$ .

The subgroups  $[A, G, \dots, G]A^p/A^p$  form a chain of subspaces.

By dimension, its length is at most  $r$ .

Hence,  $[A, G, \dots, G] \leq A^p$ ,  $\forall p$ .

$\therefore [A, G, \dots, G] \leq \bigcap_p A^p = 1$  ( $A$  a free abelian group).

But  $G/A$  is nilpotent, so  $G$  is nilpotent  $\Rightarrow !!$

Theorem: If  $G$  is a polycyclic group, then  $\varphi(G)$  is nilpotent.

pf Write  $F = \varphi(G)$ , which is polycyclic. Assume that  $F$  is not nilpotent.

By the previous theorem,  $\exists N \triangleleft F$ , s.t.  $F/N$  finite and not nilpotent.

If  $m := |F/N|$ , replace  $N$  by  $F^m \leq N$ , and  $F/F^m$  is finite.

Furthermore,  $F^m \triangleleft G$ .  $F/F^m$  is not nilpotent (because  $F/N$  is not).

So we can assume that  $N \triangleleft G$  (replace it by  $F^m$ ).

Note also that  $\varphi(G/N) = \varphi(G)/N = F/N$  (kernel of  $G/N \rightarrow M/N$ , where  $M$  normal in  $G$ )

We now have that  $\varphi(G/N) = F/N$  is finite.

The proof for finite groups shows that a finite Frattini subgroup is always nilpotent (exercise).

$\therefore F/N$  is nilpotent  $\rightarrow !!$

Theorem: If every maximal subgroup of a polycyclic group  $G$  is normal, then  $G$  is nilpotent.

pf If  $G$  is not nilpotent, then  $G$  has a finite non-nilpotent finite quotient  $G/N$ . But the max. subgroups of  $G/N$  are clearly normal.

By the finite case,  $G/N$  is nilpotent.

Remarks: (repeat some previous ones).

1) If  $G$  is a solvable subgroup of  $GL_n(\mathbb{Z})$ , then  $G$  is polycyclic (Mal'cev).

2) If  $G$  is any polycyclic group, then  $G$  is isomorphic to a subgroup of  $GL_n(\mathbb{Z})$  (some). (Auslander-Swan).

3) Let  $K$  be an alg. number field. Let  $R$  be its subring of algebraic integers.

Then  $A = R^+$  is free abelian & finitely-generated. Let  $U$  denote the group of algebraic units ( $R^\times$ ). We can regard  $A$  as  $\mathbb{Z}U$ -module, by (field) multiplication.

$\therefore U \cong$  a group of automorphisms of  $A$ . Let  $G := U \ltimes A$ .

Dirichlet Units Theorem:  $U$  is fin. generated. Hence  $G$  is polycyclic.  $\square$

### §5. The Transfer.

Let  $G$  be a group, and let  $H \leq G$  a subgroup with finite index,  $|G:H| = n$ .

Choose a right transversal to  $H$  in  $G$ ,  $\{t_1, \dots, t_n\}$ .

Then, for  $x \in G$ ,  $(Ht_i)x = Ht_{(i)x}$ , where  $i \mapsto (i)x$  is a permutation

of  $\{1, \dots, n\}$ . Then  $Ht_i x = Ht_{(i)x}$  and hence  $t_i x t_{(i)x}^{-1} \in H$ .

Now, assume that we have a homomorphism  $\theta: H \rightarrow A$  ( $A$  any abelian group).

Def The transfer of  $\theta$  is the map  $\theta^*: G \rightarrow A$ , that sends, for  $x \in G$ ,

$$x \theta^* := \prod_{i=1}^n (t_i x t_{(i)x}^{-1})^\theta \quad (\text{order doesn't matter, as } A \text{ is abelian}).$$

Theorem: The map  $\theta^*: G \rightarrow A$  is independent of the choice of transversal, and it is a homomorphism.

Pf Take another transversal  $\{t'_1, \dots, t'_n\}$ , and label it so that  $Ht'_i = Ht_i$ .

Then  $t'_i = h_i t_i$  ( $h_i \in H$ ).

$$\begin{aligned} \prod_{i=1}^n (t'_i x t_{(i)x}^{-1})^\theta &= \prod_{i=1}^n (h_i t_i x (h_{(i)x} t_{(i)x})^{-1})^\theta = \prod_{i=1}^n h_i^\theta (t_i x t_{(i)x}^{-1})^\theta (h_{(i)x}^{-1})^\theta = \\ &= \prod_{i=1}^n (t_i x t_{(i)x}^{-1})^\theta \cdot \prod_{i=1}^n h_i^\theta (h_{(i)x}^{-1})^\theta = \prod_{i=1}^n h_i^\theta (h_{(i)x}^{-1})^\theta = \prod_{i=1}^n h_i^\theta (h_i^{-1})^\theta = \prod_{i=1}^n 1 = 1. \end{aligned}$$

Now, let  $x, y \in G$ .

$$\begin{aligned} (xy) \theta^* &= \prod_{i=1}^n (t_i xy t_{(i)xy}^{-1})^\theta = \prod_{i=1}^n ((t_i x t_{(i)x}^{-1}) (t_{(i)x} y t_{(i)xy}^{-1}))^\theta = \\ &= x \theta^* y \theta^* \end{aligned}$$

Remark: let  $H \leq G$ ,  $A$  abelian. Can consider  $\text{Hom}(H, A)$ ,  $\text{Hom}(G, A)$ , which are abelian groups, where  $x^{\alpha+\beta} = x^\alpha x^\beta$  ( $x \in H$  or  $G$ ).

Can define the restriction map:

$$\text{res}: \text{Hom}(G, A) \rightarrow \text{Hom}(H, A) \quad \text{is a gp. homomorphism.}$$
$$\theta \longmapsto \theta|_H$$

If  $|G:H| = n < \infty$ , then we can define the corestriction:

$$\text{Cor}: \text{Hom}(H, A) \rightarrow \text{Hom}(G, A).$$
$$\theta \longmapsto \theta^* \quad (\text{the transfer of } \theta).$$

These maps are not inverses, but we will show that they are closely related:

First, we make some computational simplifications.

### Computing the Transfer.

We'll be using the same notation  $(G, H, A, \theta)$ .

Let  $x \in G$ . want to compute  $x^{\theta^*}$ . We choose a convenient transversal to find  $x^{\theta^*}$ .

When  $x$  acts on the set of cosets of  $H$ , the  $\langle x \rangle$ -orbits of cosets are of the form (for  $i=1, \dots, k$ ).

$$\{Hs_i, Hs_i x, \dots, Hs_i x^{l_i-1}\}, \text{ where } l_i \text{ is the least positive integer s.t. } Hs_i x^{l_i} = Hs_i.$$

Note that  $\sum_{i=1}^k l_i = n$

Clearly,  $\{s_i, s_i x, \dots, s_i x^{l_i-1}\}_{i=1, \dots, k}$  form a right transversal to  $H$  in  $G$ .

We'll use this transversal to compute  $x^{\theta^*}$ ; by computing the contribution to each orbit:

$$(s_i x (s_i x)^{-1})^\theta \cdot (s_i x^2 (s_i x^2)^{-1})^\theta \cdot \dots \cdot (s_i x^{l_i-1} x s_i^{-1})^\theta = (s_i x^{l_i} s_i^{-1})^\theta.$$

Hence,  $x^{\theta^*} = \prod_{i=1}^k (s_i x^{l_i} s_i^{-1})^\theta$ .

Theorem: Let  $x \in G$  and let the  $\langle x \rangle$ -orbits of right cosets of  $H$  be  $\{Hs_i, Hs_i x, \dots, Hs_i x^{l_i-1}\}, i=1, \dots, k$ . Then

$$x^{\theta^*} = \prod_{i=1}^k (s_i x^{l_i} s_i^{-1})^\theta.$$

Corollary 1: Given  $H \leq G$ ,  $|G:H| = n < \infty$ . Then: (Notation of composition is trusted!!)  
 (cor after res)  
 $res \circ cor: Hom(G, A) \rightarrow Hom(G, A)$

is multiplication by  $n$  in  $A$ .

Pf Let  $\theta \in Hom(G, A)$ . Apply  $res \circ cor$ , and have to get  $n \cdot \theta$ .

$(\theta) res \circ cor = (\theta|_H)^*$ . Let  $x \in G$ . Want to calculate (notation as in the thm)

$$\begin{aligned} x^{(\theta|_H)^*} &= \prod_{i=1}^k (s_i x^{l_i} s_i^{-1})^{\theta|_H} = \prod_{i=1}^k (s_i x^{l_i} s_i^{-1})^\theta = \prod_{i=1}^k (s_i^\theta (x^{l_i})^\theta (s_i^{-1})^\theta) \\ &= \prod_{i=1}^k x^{l_i} = x^n. \end{aligned}$$

Corollary 2: If  $a \mapsto na^a$  is an automorphism of  $A$  (eg.  $A$  finite and  $(|A|, n) = 1$ )

then  $res: Hom(G, A) \rightarrow Hom(H, A)$  is injective, and

$cor: Hom(H, A) \rightarrow Hom(G, A)$  is surjective.

Transfer into a Subgroup:

Let  $H \leq G$  with  $|G:H| = n < \infty$ . Choose  $A := Hab (= H/H')$ , and let  $\nu: H \rightarrow Hab$  be the canonical projection,  $H^\nu = hH'$ . Apply the Transfer

to  $\nu$ , and get the transfer of  $G$  into  $H$ , written  $\tau_{G,H} (= \nu^*)$ ,

which is a homomorphism  $\tau_{G,H}: G \rightarrow Hab$ .

$$x^{\tau_{G,H}} = \prod_{i=1}^n (t_i x t_i^{-1}) H' \quad \text{for a general transversal } \{t_1, \dots, t_n\}.$$

Example: transfer into the center.

Assume  $H \leq Z(G)$ ,  $|G:H| = n < \infty$ . We'll find the transfer: Let  $x \in G$ ,

and use the special form for the transversal.  $e \in H \leq Z(G) \Rightarrow x^{l_i} \in Z(G)$

$$x^{\tau_{G,H}} = \prod_{i=1}^k (s_i x^{l_i} s_i^{-1}) H' = \prod_{i=1}^k s_i x^{l_i} s_i^{-1} = x^n$$

We will state this as a theorem.

Theorem (Schur): Let  $G$  be a group,  $H \leq Z(G)$ ,  $|G:H| = n$ .

Then  $\tau_{G,H}$  is just  $x \mapsto x^n$ . Hence  $x \mapsto x^n$  is an homomorphism. (in particular,  $(xy)^n = x^n y^n$ ).

Application (Thm. by Schur, also):

Let  $G$  be a group s.t.  $|G:Z(G)| = n < \infty$ . Then  $G'$  is finite and  $(G')^n = 1$ .

Lemma: Let  $H$  be a subgroup with finite index in a finitely-generated group  $G$ . Then  $H$  is finitely-generated.

Pf (will see it as a special case of a more general theorem, here there's an elementary pf).

Let  $G = \langle X \rangle$ , where  $X$  is finite, and let  $\{t_1, \dots, t_n\}$  be a right transversal to  $H$  in  $G$ . Assume that  $t_1 = 1$ .

Then  $H t_j g = H t_{(j)} g$  where  $j \mapsto (j)g$  is a permutation of  $\{1, 2, \dots, n\}$ .

We have  $t_j g = h(j, g) t_{(j)} g$ ,  $h(j, g) \in H$ .

Let  $a \in H$ . We can write it as  $a = y_1 \dots y_r$ ,  $y_i \in (X \cup X^{-1})$ .

$$\begin{aligned} \text{Then } a &= t_1 a = (t_1 y_1) \cdot y_2 \dots y_r = h(1, y_1) t_{(1)} y_1^{-1} y_2 \dots y_r = \dots \\ &= h(1, y_1) h((1) y_1, (1) y_1 y_2) \dots (h(\dots)). \end{aligned}$$

So  $a$  is expressed as a product of  $h(1, y_1) h((1) y_1, y_2) \dots h((1) y_1, (1) y_1 y_2) t_{(1)}$ .

But  $t_{(1)} a = t_1 = 1$  (because  $a \in H$ ).

So  $H$  is generated by all  $h(j, y) = j=1, 2, \dots, n, y \in X$  (a finite set).

With this theorem, we can now prove the Theorem (Schur's 2<sup>nd</sup> thm).

Pf (Schur,  $G'$  finite and  $(G')^n = 1$ )

Let  $C := Z(G)$ , so  $|G:C| = n$ . Let  $\{t_1, \dots, t_n\}$  be a right transversal to  $C$ .

Every commutator has the form  $[c_1 t_{i_1}, c_2 t_{i_2}]$ ,  $c_1, c_2 \in C$ ,  $1 \leq i_1, i_2 \leq n$ .

But  $[c_1 t_{i_1}, c_2 t_{i_2}] = [t_{i_1}, t_{i_2}]$  since  $c_i \in C$ .

Hence,  $G'$  is finitely-generated.

Also,  $G'/G' \cap C \cong G'/C \leq G/C$  which is finite. So  $G'/G' \cap C$  is finite.

By the lemma,  $G' \cap C$  is finitely-generated. It is also abelian,

Also,  $x \mapsto x^n$  is a hom.  $\theta: G \rightarrow C$ . Hence  $(G')^\theta = (G^\theta)' = 1$ .

Hence,  $G' \leq \ker \theta$ , and therefore  $(G')^n = 1$ . Hence  $(G' \cap C)^n = 1$ .

As  $G' \cap C$  is f.g. abelian and torsion, it is finite.

Because  $G'/G' \cap C$  is finite, we get that  $G'$  is finite. //

### Transfer into a Sylow $p$ -Subgroup.

Theorem: Let  $G$  be a finite group. Let  $P$  be a Sylow  $p$ -subgroup (for some  $p$ ).

Form the transfer  $\tau = \tau_{G,P}: G \rightarrow P_{ab}$  ~~and write  $N = N_G(P)$~~ . Then,

i)  $\ker(\tau) = G'(P) = \bigcap_{N \leq G} N$  (note  $G/G'(P)$  is the "largest" abelian  $p$ -quotient of  $G$ .)  
 $G/N$  is an abelian  $p$ -gp.

ii)  $\ker(\tau|_P) = P \cap G'$ .

iii)  $\text{im}(\tau) = \text{im}(\tau|_P) \cong G/G'(P)$ .

Pf of Thm:

$$G/\ker \tau \cong \text{Im } \tau \leq P_{ab} = \text{abelian } p\text{-group.}$$

By definition of  $G'(p)$ , then  $G'(p) \leq \ker \tau$ .

Let  $x \in G$ . We'll use the usual transversal to  $P$  in  $G$ , arising from the  $\langle x \rangle$ -orbits of right cosets.  $\{s_i, s_i x, \dots, s_i x^{l_i-1} \mid i=1, \dots, n\}$ .

$$x^\tau = \prod_{i=1}^n (s_i x^{l_i} s_i^{-1}) P'. \quad \text{Note that } P G'(p) / G'(p) \text{ is a Sylow } p\text{-subgroup of } G/G'(p)$$

Hence, as  $G/G'(p)$  is a  $p$ -group,  $G = P G'(p)$ . Hence can choose the  $s_i$  to belong to  $G'(p)$ .

$$\text{Write } x^\tau = P' x^{\sum l_i} c, \text{ where } c \in G'(p), \text{ because } [x^{l_i}, s_j] \in G'(p) \triangleleft G$$

$$\text{As } \sum l_i = n, \text{ get } x^\tau = P' x^n c$$

If  $x \in \ker \tau$ , then  $P' x^n c = P'$ , i.e.  $x^n c \in P' \leq G'(p)$ .

As  $c \in G'(p)$ , then  $x^n \in G'(p)$ .

But  $G/G'(p)$  is a  $p$ -group, and  $n = |G:P|$  is prime to  $p$ .

Hence  $x \in G'(p)$ . So  $\ker \tau \leq G'(p)$ , hence  $\ker \tau = G'(p)$ .

$$(i): \ker(\tau|_P) = P \cap \ker \tau = P \cap G'(p)$$

$$\begin{array}{l} P \text{ gp} \uparrow \\ G \\ \downarrow \\ P' \text{ gp} \uparrow \\ G'(p) \\ \downarrow \\ P' \\ G' \end{array}$$

$$\text{So } P \cap G'(p) = P \cap G'.$$

check this!

(ii) is an application of the first isomorphism theorem  $G/G'(p) \cong \text{Im } \tau$   
and also  $\text{Im}(\tau|_P) \cong P / \ker(\tau|_P) = P / (P \cap G') \cong \frac{P G'}{G'} \cong G/G'$

• Groups with an abelian Sylow  $p$ -subgroup.

Theorem: Let  $G$  be a finite group with an abelian Sylow  $p$ -Sgp (for a particular prime  $p$ )  
 Put  $N = N_G(P)$ , and let  $\tau: G \rightarrow P (= \text{Pab})$  be the  
 transfer of  $G$  into  $P$ . Then:

- i)  $\text{Im } \tau = C_P(N) := (\text{elements of } P \text{ that are in the center of } N_G(P)). = P \cap Z(N)$
- ii)  $P \cap \ker \tau = [P, N]$ .
- iii)  $P = C_P(N) \times [P, N]$

Rk: If  $P$  is a cyclic group, then  $C_P(N) = 1$  or  $[P, N] = 1$ !

Pf: Recall that  $\text{im } \tau = \text{im } Z_p$ .

Let  $x \in P$ , and use the usual formula arising from  $\langle x \rangle$ -orbits of right-cosets of  $P$ .

$$\text{So } x^\tau = \prod_{i=1}^k (s_i x^{l_i} s_i^{-1}), \quad \sum l_i = |G:P| =: n.$$

Let  $y := x^{l_i}$ . Then  $y \in P$ , and  $y^{s_i^{-1}} \in P$  ( $y^{s_i^{-1}} = s_i x^{l_i} s_i^{-1}$ ).

Hence, if  $C = C_G(y^{s_i^{-1}})$ , then  $C \geq \langle P, P^{s_i^{-1}} \rangle$ , since  $P$  is abelian.

By Sylow's theorem,  $P^{s_i^{-1}} = P^c$ , where  $c \in C$ . Then  $r_i := s_i^{-1} c^{-1} \in N$ .

$$\text{Also, } y^{r_i} = (y^{s_i^{-1}})^{c^{-1}} = y^{s_i^{-1}}.$$

$$\text{So } x^\tau = \prod_{i=1}^k (x^{l_i})^{r_i} \quad (\text{where } r_i \in N). \quad = x^{\sum l_i} \cdot d, \quad \text{where } d = \prod_{i=1}^k [x^{l_i}]^{r_i}$$

$$\therefore x^\tau = x^n d, \quad \text{where } d \in [P, N].$$

$$\text{Hence } x^n = x^\tau d^{-1} \in P^\tau [P, N] \quad \forall x \in P.$$

As  $x$  is a  $p$ -element and  $p \nmid n$ , so  $x^n \in P^\tau [P, N] \Rightarrow x \in P^\tau [P, N]$

$$\text{So } P = P^\tau [P, N].$$

Suppose  $x \in P$ , and assume that  $x^\tau \in \ker \tau$ . So  $1 = (x^\tau)^\tau = (x^n d)^\tau =$

$$= (x^\tau)^n d^\tau = (x^\tau)^n \quad (\text{since } [P, N] \leq G' \leq \ker \tau).$$

Then,  $x^\tau = 1$  (as  $p \nmid n$ ). So  $\text{im } \tau \cap \ker \tau = \{1\}$ .



Since  $[P, N] \leq \ker \tau$ , we get that  $P = P^\tau \times [P, N]$

Next,  $P^\tau \triangleleft N$  ( $N = N_G(P)$ ), For if  $x \in P, g \in N, (x^\tau)^g = \left( \prod_{i=1}^n (t_i x t_i^{-1}) \right)^g = \prod_{i=1}^n t_i^g x^g (t_i^g)^{-1}$ . As we can use the transfer  $\{t_i^g : i=1, \dots, n\}$  to  $P \cap G$ .

(Because  $g \in N$ , so  $G = \cup P t_i \Rightarrow G^g = \cup P t_i^g$ ). So  $(x^\tau)^g = (x^g)^\tau$ .

Hence,

$$[\text{im } \tau, N] = [P^\tau, N] \leq P^\tau \cap [P, N] = 1. \text{ So } \text{im } \tau = P^\tau \leq C_p(N) =: C.$$

We also want to see that  $C \leq P^\tau$ .

Let  $x \in C$ . Then  $x^\tau = \prod_{i=1}^k (x^{t_i})^{r_i}, r_i \in N$ . As  $x \in C, x^\tau = \prod x^{t_i} = x^n$ .  
 $\therefore x^n \in \text{im } \tau$ . As  $p \nmid n, x \in \text{im } \tau$ .

This proves (iii) and half of pt (i). The second part of (i) is obvious.

Finally,  $[P, N] \leq P \cap \ker \tau$ . Also,  $|P : P \cap \ker \tau| = |\text{im } P| = |P^\tau|$ .

As we know that  $P = P^\tau \times [P, N], |P^\tau| = |P : [P, N]|$ .

As both subgroups have the same index,  $[P, N] = P \cap \ker \tau$ .

Corollary: Assume that  $G$  is a finite group with all of its Sylow subgroups abelian. Then  $G' \cap Z(G) = 1$ .

pf Let  $P$  be a Sylow  $p$ -subgroup of  $G, (G' \cap Z(G)) \cap P \leq P \cap \ker \tau$ .

By the theorem,  $P \cap \ker \tau = [P, N]$ .

On the other hand,  $(G' \cap Z(G)) \cap P \leq C_p(N)$ .  $\left\{ \begin{array}{l} \text{As } [P, N] \cap C_p(N) = 1, \end{array} \right.$

Hence  $(G' \cap Z(G)) \cap P = 1. \forall P \Rightarrow G' \cap Z(G) = 1$ .

Rk: The groups with all Sylow syrs abelian are called A-groups.  
 There are some complicated such A-groups...

Burnside's Criterion for Non-simplicity.

Let  $G$  be a finite group, and let  $P$  be a Sylow  $p$ -Subgroup.

Assume that  $P \leq Z(N_G(P))$ . Then, there is a normal  $p'$ -subgroup  $H$  such that  $G=HP$  and  $H \cap P = 1$ . ( $G = P \rtimes H$ ).

Corollary: If  $G$  is simple and  $p \mid |G|$ , then  $|G| = p$ .

Pf of corollary:

$H \triangleleft G$  and  $H \neq G$  ( $H$  is a  $p'$ -gp!). So  $H = 1$ , and so  $G$  is a simple  $p$ -group, hence  $|G| = p$ .

Pf of Thm

Note that  $P$  is abelian, so we apply the previous theorem. Also,  $C_P(N) = P$  because  $P \leq Z(N_G(P))$ . (Hence, by pt (iii) of thm,  $[P, N] = 1$ ).

By pt (ii),  $P \cap \ker \tau = [P, N] = 1$ .

Let  $H := \ker \tau \triangleleft G$ .  $P \cap H = 1 \Rightarrow H$  is a  $p'$ -group.

Also,  $G/H = G/\ker \tau \cong \text{im } \tau = P^\tau = C_P(N) = P$ .

So  $G = HP$ , (by order considerations).

Application:

Let  $G$  be a finite non-abelian simple group, and let  $p$  be the smallest prime dividing  $|G|$ . Then  $|G|$  is divisible by  $p^3$  or  $12$ .

Also, the Sylow- $p$ -subgroups are not cyclic.

(Note: by Feit-Thompson thm,  $p \neq 2$ , and so it says  $|G|$  divisible by  $8$  or  $12$ ).

Let  $P$  be a Sylow  $p$ -subgroup of  $G$ . Write  $N = N_G(P)$ ,  $C = C_G(P)$ , so  $C \leq N$ . Suppose that  $P$  is cyclic of order  $p^m$ . Then  $P \leq C \leq N$ . Then  $|Aut P| = (p-1)p^{m-1}$ . Hence  $|N/C| \mid |Aut P|$  (because  $N/C \cong \text{sgp of } Aut(P)$ ). So  $|N/C| \mid p^{m-1}$  since  $p$  is the smallest prime. As  $N/C$  has order a  $p'$ -number, need  $N=C$ .

(cont pf)

$\therefore P \leq Z(N_G(P))$ , which is impossible by Burnside. So  $P$  is not cyclic.

Assume now  $p^3 \nmid |G|$ . Will show that  $12 \mid |G|$ .

$p^3 \nmid |G| \Rightarrow |P| = p^2$ , and  $\therefore P \cong \mathbb{Z}/p \times \mathbb{Z}/p$  (as it is not cyclic).

So  $\text{Aut}(P) \cong \text{GL}_2(p)$ , and  $|\text{Aut}(P)| = (p^2-1)(p^2-p) = p \cdot (p+1)(p-1)^2$ .

Then  $|N:C| \mid p(p-1)^2(p+1)$ . Since  $P \leq C$ ,  $|N:C|$  is a  $p'$ -number.

$\therefore |N:C| \mid p+1$ .

If  $p$  is odd, this would yield a prime  $< p$  dividing  $|G|$ . Hence  $p=2$ ,

and  $|N:C| = 3$ .

Hence  $4 \cdot 3 = 12 \mid |G|$ .

Theorem (Hölder - Burnside - Zassenhaus):

i) Let  $G$  be a finite group, with all of its Sylow-subgroups cyclic. Then,

$G = \langle x \rangle \rtimes \langle a \rangle$ , where if  $|x|=m$ ,  $|a|=n$ , ( $0 < r < n$ )

$a^r x = x a^r$  and  $n$  is odd,  $r^m \equiv 1 \pmod{n}$ , and  $\gcd(n, m(r-1)) = 1$ .

Also,  $G' = \langle a \rangle$ .

ii) Any such  $G$  has cyclic Sylow subgroups.

~~Pf~~ Note that  $G$  cannot be simple, by the previous corollary. So  $\exists N \triangleleft G$ .

Note that  $N$  and  $G/N$  inherit the properties of  $G$ .

(if  $P$  is a Sylow  $p$ -sub of  $G$ , then  $PN/N$  is one of  $G/N$ ).

By induction hypothesis, the result is true for  $N$  and  $G/N$ , so these groups are solvable, and  $G$  is solvable. Let  $d = d(G)$ , its derived length.

If  $d \leq 1$ , we are in the abelian case, so  $G$  is the direct product of its cyclic  $p$ -groups, for different  $p$ 's. This is the case  $n=1$ .



(cont pf). So can assume  $d > 1$ . Suppose  $d > 2$ :

Next note that  $G^{(d+1)}$  is abelian, with cyclic Sylows  $\Rightarrow G^{(d+1)}$  is cyclic.

Hence,  $\text{Aut}(G^{(d-1)})$  is abelian,  $\Rightarrow G/G^{(d-1)}$  is abelian.

Hence,  $G' \leq G_G(G^{(d-1)}) \Rightarrow [G^{(d-1)}, G'] = 1 \Rightarrow [G^{(d-1)}, G^{(d-2)}] = 1 \Rightarrow$

$\Rightarrow G^{(d-2)}$  is nilpotent of class  $\leq 2$  (since  $G^{(d-2)}/G^{(d-1)}$  is abelian).

$\therefore G^{(d-2)}$  is the direct product of its Sylows of cyclic groups  $\Rightarrow G^{(d-2)}$

is abelian  $\Rightarrow (G^{(d-2)})' = G^{(d-1)} = 1 \Rightarrow !!$  So  $d = 2$ .

Then,  $G'$  is abelian.  $\therefore G'$  and  $G/G'$  are both cyclic.

Let  $Q$  be a Sylow  $p$ -sub of  $G$ . So  $Q$  is cyclic. By the second transfer thm for abelian syls,  $Q = C_Q(N) \times [Q, N]$ ,  $N = N_G(Q)$ .

As  $Q$  is a  $p$ -group and cyclic, one of the two factors is trivial:

Either  $Q = [Q, N] \leq G'$  or  $[Q, N] = 1$ , and so  $(Q \cap \ker(\tau)) = 1$   $\uparrow$  to the transfer

Hence,  $Q \cap G' = 1$ . (since  $G' \leq \ker \tau$ ).

So either  $Q \leq G'$  or  $Q \cap G' = 1$ .  $\forall$  Sylow  $p$ - of  $G$ .

Hence  $\gcd(|G'|, |G/G'|) = 1$ .

Put  $m := |G/G'|$ ,  $n := |G'|$ ,  $\gcd(m, n) = 1$

Then  $|G| = mn$ , let  $G' = \langle a \rangle$ ,  $G/G' = \langle x \rangle$ .  $|a| = n$ ,  $|x| = m \cdot n_2$

for some  $n_2 | n$ . Define  $x := x \cdot n_1$  (so  $|x| = m$ ), and  $G/G' = \langle x \rangle$ .

Therefore,  $G = \langle x \rangle \rtimes \langle a \rangle$   $r=1 \Rightarrow$  abelian.

Clearly,  $a^x = a^r$  where  $1 \leq r < n$ , and  $\langle n \rangle = 1$  ( $\uparrow$  is an isomorphism).

Since  $x^m = 1$ ,  $a^{x^m} = a^{r^m} = a$ , so  $r^m \equiv 1 \pmod{n}$ . Note that  $G' = \langle [a, x] \rangle$

As  $[a, x] = a^{r-1}$ ,  $G' = \langle a^{r-1} \rangle = \langle a \rangle$ . So  $\gcd(r-1, n) = 1$

Since  $\gcd(n, r) = 1 = \gcd(n, r-1) \Rightarrow n$  is odd.

pf of part (ii):

Let  $G = \langle x \rangle \rtimes \langle a \rangle$ ,  $m = |X|$ ,  $n = |a|$ , relatively prime.

Let  $P$  be a Sylow  $p$ -sub of  $G$ . If  $P \not\subseteq \langle a \rangle$

Then either  $P \cap \langle a \rangle = 1$  or  $P \cap \langle a \rangle \neq 1$   $P \subseteq \langle a \rangle$ . (Since  $(m, n) = 1$ ).

But if  $P \subseteq \langle a \rangle$ ,  $P$  is cyclic. If  $P \cap \langle a \rangle = 1$ , then  $P \cong P \langle a \rangle / \langle a \rangle \cong P / \langle a \rangle$  which is cyclic, too.

Corollary: Any group of squarefree order satisfies the theorem.

Remark: Let  $Sq(x)$  be the number of squarefree integers  $n$ ,  $1 \leq n \leq x$ .

Then  $Sq(x) = \frac{6}{\pi^2} x + O(\sqrt{x})$ . So  $\frac{Sq(x)}{x} \rightarrow \frac{6}{\pi^2} \approx .6079$ .

Example: Find all ~~the~~ groups of order  $105 = 3 \cdot 5 \cdot 7$ .

Then  $G = \langle x \rangle \rtimes \langle a \rangle$ ,  $m = 105$ ,  $a^x = a^r$ .

$$r^m \equiv 1 \pmod{n}, \quad \gcd(n, (r-1)m) = 1.$$

|     |       |     |     |      |     |     |     |
|-----|-------|-----|-----|------|-----|-----|-----|
| $n$ | 1     | 3   | 5   | 7    | 3·5 | 5·7 | 3·7 |
| $m$ | 3·5·7 | 5·7 | 3·7 | 3·5  | 7   | 3   | 5   |
| $r$ | 1     | Imp | Imp | 2, 4 | Imp | Imp | Imp |

↑  
abelian

Note that  $|\text{Aut} \langle a \rangle| = \varphi(m)$ . If  $\gcd(m, \varphi(n)) = 1$ , then must have  $r = 1$ . This rules out the ones  $n = 3, 5, 3 \cdot 5, 3 \cdot 7$

The case  $n = 5 \cdot 7$ ,  $m = 3$  is still possible. But  $3 \nmid \varphi(5)$ , so  $r \equiv 1 \pmod{5}$  so that  $\text{Aut} \langle a \rangle$  will act trivially on the 5-part. But  $\gcd(r-1, m) = 0 \Rightarrow !!$

$n = 7$ ,  $m = 3 \cdot 5$  is possible, with two possibilities for  $r$ , 2 and 4, leading to isomorphic groups. So we get only five groups of order 105:

$$\mathbb{Z}_{105}, \text{ and } \langle x \rangle \rtimes \langle a \rangle, \quad a^x = a^r \quad (\text{note that } a^{x^2} = a^4, \text{ so } r=2 \text{ and } 4 \text{ are isomorphic})$$

3·5      7

S6. Free Groups and Generators & Relations.

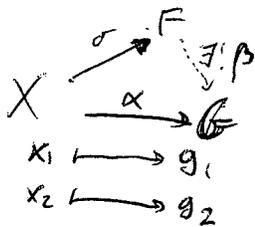
Def A free group  $F$  is, given a nonempty set  $X$ , a group with  $\sigma: X \rightarrow F$  a set map, and  $(F, \sigma)$  is free iff - given any function  $\alpha: X \rightarrow G$ , where  $G$  is any group, then  $\exists! \beta: F \rightarrow G$  s.t.  $\begin{matrix} & F & \\ \sigma \nearrow & & \searrow \beta \\ X & \xrightarrow{\alpha} & G \end{matrix}$  commutes. ( $F$  is a free object in the category of Grps).

One has to show that free groups exist. First, we prove a lemma:

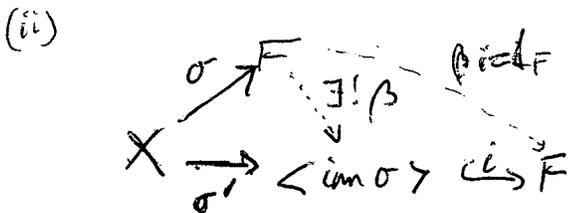
Lemma: Let  $(F, \sigma)$  be free on  $X$ . Then:

- (i)  $\sigma$  is injective
- (ii)  $\text{im}(\sigma)$  generates  $F$ .

pp (i) Assume  ~~$(x_1)\sigma = (x_2)\sigma$~~   $(x_1)\sigma = (x_2)\sigma$ ,  $x_i \in X$ . Let  $G$  be a group with at least two elements, and let  $g_1 \neq g_2$  in  $G$ .



So  ~~$\beta(\sigma(x_1)) = g_1 \neq g_2 = \beta(\sigma(x_2))$~~ .  
Then  $(x_1)\sigma\beta = (x_2)\sigma\beta \Rightarrow x_1\alpha = x_2\alpha \Rightarrow g_1 = g_2 \Rightarrow !!$



where  $(x)\sigma' = (x)\sigma$   
Hence,  $\exists! \beta: F \rightarrow \langle \text{im } \sigma \rangle$   
s.t.  $\sigma\beta = \sigma'$ .

So  $\beta i: F \rightarrow F$ , and  $\sigma\beta i = \sigma' i = \sigma = \sigma \text{id}_F$

By uniqueness,  $\beta i = \text{id}_F \Rightarrow i$  is surjective, so it is a bijection, hence  $\langle \text{im } \sigma \rangle = F$ .

Conclusion: we don't lose anything if we assume  $X \subseteq F$ .



(cont p1).

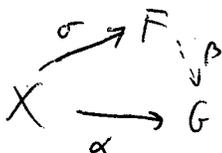
Now, define  $\sigma: X \rightarrow F$  by  $(x)\sigma := [x]$ . Notice that  $F = \langle \text{im } \sigma \rangle$ ,

because  $w \in S$  and  $w = x_1^{e_1} \dots x_k^{e_k}$ , then  $[w] = [x_1^{e_1} \dots x_k^{e_k}] = [x_1]^{e_1} \dots [x_k]^{e_k} =$   
 $= (x_1)\sigma^{e_1} \dots (x_k)\sigma^{e_k}$  ✓

Claim:  $(F, \sigma)$  is free on  $X$ .

Let  $\alpha: X \rightarrow G$  be any given map, with  $G$  a group.

Need to define



First, define  $\beta': S \rightarrow G$  by the rule  $(x_1^{e_1} \dots x_k^{e_k})\beta' := (x_1)\alpha^{e_1} \dots (x_k)\alpha^{e_k} \in G$ .

This induces a unique map on  $F$ , as  $w \sim v \Rightarrow w\beta' = v\beta'$ . Call it  $\beta: F \rightarrow G$ .  
in  $G$ , these cancel.

(Define  $[w]\beta := w\beta'$ ,  $w \in S$ )

We want  $\beta$  to be a homomorphism:

$$([v][w])\beta = [vw]\beta = (vw)\beta' = v\beta'w\beta' = [v]\beta[w]\beta \quad \checkmark$$

Also,  $\sigma\beta = \alpha$ , because  $x\sigma\beta = [x]\beta = x\beta' = x\alpha \quad \checkmark$

Finally, ~~uniquely~~ let  $\gamma: F \rightarrow G$  be another gp homomorphism, s.t  $\sigma\gamma = \alpha$

Then  $\sigma\gamma = \sigma\beta$ . But note that  $\langle \text{im } \sigma \rangle = F$ , since

$$\text{if } f \in F, f = [x_1^{e_1} \dots x_k^{e_k}] = [x_1]^{e_1} \dots [x_k]^{e_k} = (x_1)\sigma^{e_1} \dots (x_k)\sigma^{e_k} \in \langle \text{im } \sigma \rangle$$

Hence,  $\gamma = \beta \Rightarrow (F, \sigma)$  is free on  $X$ .

We introduce next the concept of reduced words.

Def A word in  $S$  is reduced if it does not contain a subsequence  $xx^{-1}$  or  $x^{-1}x$ .

Lemma: Every equivalence class of words contains a reduced word.

Pf Consider [w]: if  $w$  is reduced, done. otherwise, it contains  $xx^{-1}$  or  $x^{-1}x$ .

Can delete it, ~~thus~~ getting a shorter word in the same class. Apply induction. ///

Theorem: Every equivalence class contains a unique reduced word.

Pf A direct approach is difficult. Instead, we use a permutation representation (due to B.H. Neumann).

Let  $F$  be free on  $X$ , as constructed.

Let  $R$  be the set of all reduced words in  $X$ .

Define a permutation rep'n of  $F$  on  $R$ : if  $u \in X \cup X^{-1}$ , define  $u$ 's  $\text{Sym}(R)$  by

$$\text{Let } x_1^{e_1} \dots x_k^{e_k} \in R. \text{ Then } (x_1^{e_1} \dots x_k^{e_k})u := \begin{cases} x_1^{e_1} \dots x_k^{e_k} u & \text{if } u \neq x_k^{-e_k} \\ x_1^{e_1} \dots x_{k-1}^{e_{k-1}} & \text{if } u = x_k^{-e_k} \end{cases}$$

(and note that the new word is reduced!).

It is a fact a permutation, as  $(u')^{-1} = (u^{-1})'$ . Hence  $u' \in \text{Sym}(R)$ .

$$\begin{array}{ccc} & \sigma \nearrow F & \\ X & \xrightarrow{\alpha} & \text{Sym}(R) \\ & \searrow \beta & \end{array}$$

where  $\alpha: x \mapsto x'$

Will apply the mapping property, to get a homomorphism  $\beta: F \rightarrow \text{Sym}(R)$

So  $\sigma\beta = \alpha$ . Hence  $x^\alpha = x^{\sigma\beta} = [x]^\beta \quad \forall x \in X$ .

Now, suppose that  $[v] = [w]$ , where  $v, w$  are reduced. We'll show  $v = w$ :

Write  $v = x_1^{e_1} \dots x_k^{e_k}$  (reduced form). Then,  $[v]^\beta = [w]^\beta$ , and

$$\begin{aligned} [v]^\beta &\equiv ([x_1^{e_1}] \dots [x_k^{e_k}])^\beta = ((x_1^{\sigma})^{e_1})^\beta \dots ((x_k^{\sigma})^{e_k})^\beta = (x_1^{\sigma\beta})^{e_1} \dots (x_k^{\sigma\beta})^{e_k} \\ &= (x_1^\alpha)^{e_1} \dots (x_k^\alpha)^{e_k} = (x_1')^{e_1} \dots (x_k')^{e_k} \end{aligned}$$

Apply  $[v]^\beta$  to the empty word  $1$ , to get  $x_1^{e_1} \dots x_k^{e_k} \equiv v$

So  $[v]^\beta = [w]^\beta \Rightarrow v = w$  ///

### Normal Form in Free Groups.

Each  $f \in F$  has a unique form  $f = [w]$ , where  $w$  is a reduced word,  $w = x_1^{e_1} \dots x_k^{e_k}$ . Then  $f = [x_1]^{e_1} \dots [x_k]^{e_k}$ .

Combine  $[x_i]^{e_i}$ 's that are consecutive, and so one can write:

$$f = [x_{i_1}]^{l_1} [x_{i_2}]^{l_2} \dots [x_{i_r}]^{l_r} \quad \text{where } 0 \neq l_i \in \mathbb{Z} \text{ and } x_{i_j} \neq x_{i_{j+1}}, r \geq 0.$$

This expression for  $f$  is unique.

For convenience, we identify  $x$  with its equivalence class,  $[x]$ , and

$\Sigma \quad X \subseteq F$ . Then,  $X$  is a "special" set of generators for  $F$ , called a normal form.

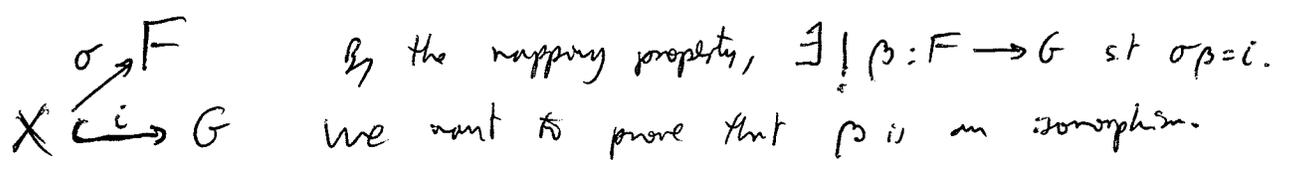
If  $f \in F$ , then  $f = x_{i_1}^{l_1} \dots x_{i_r}^{l_r}$  uniquely with  $l_i \in \mathbb{Z}$ ,  $x_{i_j} \neq x_{i_{j+1}}$ ,  $r \geq 0$ .

Conversely,

Theorem: Let  $G$  be a group with a set of generators  $X$ , such that each  $g \in G$  has a unique expression  $g = x_{i_1}^{l_1} \dots x_{i_r}^{l_r}$ ,  $x_i \in X$ ,  $x_{i_j} \neq x_{i_{j+1}}$ ,  $0 \neq l_i \in \mathbb{Z}$ ,  $r \geq 0$ .

Then,  $G$  is free on  $X$ .

*Pf* Let  $F$  be free group on  $X$ , constructed as before.



First,  $\beta$  is surjective, since  $G = \langle X \rangle$ , and  $\sigma\beta = i$  ✓.

Suppose  $[x_{i_1}]^{l_1} \dots [x_{i_r}]^{l_r} \in \ker \beta$ . As  $[x]^\sigma \beta = x$ , then

$$x_{i_1}^{l_1} \dots x_{i_r}^{l_r} = 1_G \xrightarrow{\text{uniqueness of the expression for } 1_G} r=0 \Rightarrow \checkmark. \quad \text{So } \beta: F \xrightarrow{\cong} G \text{ is iso.}$$

## Uniqueness of free groups

Let  $(F_1, \sigma_1), (F_2, \sigma_2)$  be free on  $X_1, X_2$  respectively, where  $|X_1| = |X_2|$ .

Then  $F_1 \cong F_2$ :

Prf Let  $\alpha: X_1 \rightarrow X_2$  be a bijection.

$$\begin{array}{ccc} \sigma_1 \nearrow F_1 & \xrightarrow{\beta_1} & \downarrow \\ X_1 & \xrightarrow{\alpha \sigma_2} & F_2 \\ \sigma_2 \nearrow F_2 & \xrightarrow{\beta_2} & \downarrow \\ X_2 & \xrightarrow{\alpha^{-1} \sigma_1} & F_1 \end{array} \quad \text{i.e.} \quad \begin{cases} \sigma_1 \beta_1 = \alpha \sigma_2 \\ \sigma_2 \beta_2 = \alpha^{-1} \sigma_1 \end{cases}$$

Hence,  $\sigma_1(\beta_1 \beta_2) = \alpha \sigma_2 \beta_2 = \alpha \alpha^{-1} \sigma_1 = \sigma_1$ . So the following diagram commutes.

$$\begin{array}{ccc} \sigma_1 \nearrow F_1 & \xrightarrow{\beta_1 \beta_2} & \downarrow \\ X_1 & \xrightarrow{\sigma_1} & F_1 \end{array} \Rightarrow \beta_1 \beta_2 = \text{id}_{F_1}, \quad \text{and} \quad \beta_2 \beta_1 = \text{id}_{F_2} \quad \checkmark$$

## Examples of free groups.

1. Let  $\alpha, \beta$  be functions on  $\mathbb{C} \cup \{\infty\}$  defined by  $\alpha: z \mapsto z+2$   
 where  $\infty$  is subject to natural rules  $\begin{cases} \infty+2 = \infty \\ \frac{\infty}{1+2\infty} = \frac{1}{2} \end{cases}$   $\beta: z \mapsto \frac{z}{1+2z}$

Then  $\alpha, \beta$  are bijective (so they are permutations of  $\mathbb{C} \cup \infty$ ).

Let  $F = \langle \alpha, \beta \rangle$ . Then,

Theorem:  $F$  is freely generated by  $\alpha$  and  $\beta$ .

Prf want to show that there is a normal form in the generators.

i.e. there is no nontrivial word  $W = \alpha^{m_1} \beta^{n_1} \alpha^{m_2} \beta^{n_2} \dots$  ( $m_i, n_i \in \mathbb{Z}$ ).

such that  $W = 1$  in  $F$ .

Will use a geometric argument. Observe:

i) A nontrivial power of  $\alpha$  maps the interior unit circle to the exterior, not including  $\infty$ .

ii) A nontrivial power of  $\beta$  maps the exterior of the unit circle (including  $\infty$ ) to the interior, but with 0 removed. (just observe  $\beta(\frac{1}{z}) = \frac{1}{z+2}$ ).

Now, if  $m_1 > 0$ , then  $W$  cannot fix 0, hence  $W \neq 1$ .  $\checkmark$

Example 2: Linear fractional transformations

Define a map on  $\mathbb{C} \cup \infty$  as  $\lambda(a,b,c,d): z \mapsto \frac{az+b}{cz+d}$  (bijective if  $ad-bc \neq 0$ ).  
Call this a linear fractional transformation.

These form a group  $L(\mathbb{C})$  (linear fractional group on  $\mathbb{C}$ ).

Define a map  $\theta$  note that it is transposed !!

$\theta: GL_2(\mathbb{C}) \rightarrow L(\mathbb{C})$  is a surjective homomorphism.  
 $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \lambda(a,b,c,d)$

$\ker \theta$  is the subgroup of  $2 \times 2$  scalar matrices  $cI_2, 0 \neq c \in \mathbb{C}$ .

So  $L(\mathbb{C}) \cong GL_2(\mathbb{C}) / \mathbb{C}^* = PGL_2(\mathbb{C})$

Recall that  $\alpha, \beta \in L(\mathbb{C})$  (from previous example)  $\begin{cases} \alpha(z) = z+z \\ \beta(z) = \frac{z}{z+1} \end{cases}$

Put  $A = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}, B = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ .

Put  $G := \langle A, B \rangle \leq SL_2(\mathbb{Z}) \leq GL_2(\mathbb{Z})$ .

Then  $\theta|_G: G \rightarrow F$ . This means that  $G$  is free on  $A, B$ , because:

if  $A^{m_1} B^{n_1} \dots A^{m_k} B^{n_k} = 1$  in  $G$ , then  $\alpha^{m_1} \beta^{n_1} \dots \alpha^{m_k} \beta^{n_k} = 1$  in  $F$   
 $\Rightarrow m_i, n_i = 0$ .

Hence, there's a normal form for  $G$  on  $A, B$ . ( $\Rightarrow G$  free).

Theorem (J. Tits): if  $G$  is a fgen subgroup of  $GL_n(F)$ , where  $F$  is a field, (1970's) then either  $G$  contains a free subgroup of rank 2, or else  $G$  is an extension of a solvable group by a finite group.



• Fundamental property of free groups.

Suppose  $G = \langle X \rangle$ , let  $F$  be free on a subset  $Y \subseteq X$ .

If  $\alpha: Y \rightarrow G$  is surjective, then  $\alpha$  extends to an homomorphism  $\theta: F \rightarrow G$ ,  $\Sigma G \cong F/\ker \theta$ .

$\theta$  exists by the mapping property.

• Elementary properties of free groups.

1. Free groups are torsion-free.

Let  $F$  be free on  $X \subseteq F$ , and let  $1 \neq f \in F$ . Then

$$f = x_{i_1}^{l_1} \dots x_{i_r}^{l_r}, \quad x_{i_j} \in X, x_{i_j} \neq x_{i_{j+1}} \text{ (normal form).}$$

Suppose  $f^m = 1, m > 0$ .

We can assume  $i_1 \neq i_r$ , for else we could replace  $f$  by  $f^{(x_{i_1}^{l_1})^{-1}} = x_{i_2}^{l_2} \dots x_{i_{r-1}}^{l_{r-1}} x_{i_r}^{l_r}$ .

( $\therefore i_1 = i_r$ ), (this is called cyclic reduction).

So assume  $i_r \neq i_1$ . Then,

$$f^m = x_{i_1}^{l_1} \dots x_{i_r}^{l_r} x_{i_1}^{l_1} \dots x_{i_r}^{l_r} \dots \neq 1 \quad \Rightarrow !!$$

Presentations.

Let  $G$  be a group, then there is a surjective hom  $\pi: F \rightarrow G$ , where  $F$  is the free group. Then  $G \cong F/R$ , where  $R = \ker \pi \trianglelefteq F$ , and there is an exact sequence:  $1 \rightarrow R \rightarrow F \rightarrow G \rightarrow 1$ .

The elements of  $R$  are called relations. We say that  $r=1$  is a relation in  $G$ .

Choose any set of generators  $X$  for  $G$ . Let  $Y$  be any set with  $|X|=|Y|$ , and let  $F$  be free on  $Y$ , say  $\sigma: Y \rightarrow X$  is some bijection. Then it determines a homomorphism  $F \rightarrow G$  with kernel  $R$ . Choose  $S \subseteq R$  such that  $R = S^F = \langle s^f : s \in S, f \in F \rangle$  (normal closure of  $S$  in  $F$ ).

Then each relation can be written as  $r \in R$ ,

$$r = (s_1^{e_1})^{f_1} \dots (s_k^{e_k})^{f_k} \quad \text{where } \begin{cases} e_i = \pm 1 \\ f_i \in F \end{cases}$$

Then we say that  $r$  is a consequence of  $S$ .

Def: A presentation of  $G$  is  $G = \langle X | S \rangle$  where  $X$  are generators and  $S$  are defining relations.

Conversely, if we start with a set  $X$  and  $S \subseteq F_X$  (free group on  $X$ ),

Then one can form a presentation  $\langle X | S \rangle$  by defining  $G := \frac{F_X}{S^F_X}$

This group has a presentation  $\langle X | S \rangle$ , obviously.

Von Dyck's Thm: Let  $G, H$  be groups with presentations  $\langle X | S \rangle, \langle Y | T \rangle$ .

Suppose that  $\sigma: X \rightarrow Y$  is a bijection s.t.  $S^\sigma$  is a consequence of  $T$ .

Then there is a surjective homomorphism  $\theta: G \rightarrow H$ .

Pf Let  $F_X, F_Y$  be free on  $X$  and  $Y$ . Then  $G = \frac{F_X}{S^F_X}, H = \frac{F_Y}{T^F_Y}$ .

We have  $\sigma: X \rightarrow Y$ , can extend it to  $\sigma: F_X \rightarrow F_Y$ . Note that

$$(S^F_X)^\sigma \subseteq (T^F_Y)^\sigma = T^F_Y \quad \text{Hence can define } \theta: \frac{F_X}{S^F_X} \rightarrow \frac{F_Y}{T^F_Y}$$

by  $(f S^F_X)^\sigma = f^\sigma T^F_Y$  which is well-defined & surj.

## Examples of presentations.

- $\langle x \mid \emptyset \rangle = \langle x \rangle$ , infinite cyclic gp.
- $\langle x \mid x^n \rangle = \langle x \rangle / \langle x^n \rangle \cong \mathbb{Z}/n\mathbb{Z}$ , cyclic group of order  $n$ . ( $n > 0$ ).
- $\langle x, y \mid x^2, y^2 \rangle =: G$ .

Consider the infinite dihedral group  $D = \langle u \rangle \rtimes \langle v \rangle$   $\left\{ \begin{array}{l} \langle v \rangle \text{ is cyclic} \\ |Ker \theta| = 2 \\ v^2 = v^{-1} \end{array} \right.$

Put  $w = uv$ . Then  $w^2 = uvuv = (u^{-1}vu)v = v^{-1}v = 1$

Clearly,  $D = \langle u, w \rangle$ . By Von Dyck's Thm, there is a surjective hom.

$\theta: G \rightarrow D$ , mapping  $\begin{array}{l} x \mapsto u \\ y \mapsto w \end{array}$   $\therefore G / Ker \theta \cong D$ .

we want to see that  $Ker \theta = 1$ .

Suppose that  $g \in Ker(\theta)$ . We can assume either  $g = \begin{cases} (xy)^r \\ (xy)^r \end{cases}$

(because if  $g$  starts with  $y$ , then replace it by  $y^{-1}gy \in Ker(\theta)$ )

Now  $(xy)^\theta = x^\theta y^\theta = uv = u^2v = v \Rightarrow$  either  $\begin{cases} v^r = 1 \Rightarrow r = 0 \\ v^r u = 1 \Rightarrow !! \end{cases} \Rightarrow Ker \theta = \{1\}$ .

Hence  $G \cong D$ .

- $G = \langle x, y \mid x^4, y^2, (xy)^3 \rangle$ .

Put  $X = \langle x \rangle$ . Then  $|X| \leq 4$ .

Then, use the method of "coset enumeration" (to try to prove it's finite).

Define  $\mathcal{J} = \{ X, Xy, Xyx, Xyx^2, Xyx^3, Xyx^2y \}$ .

Claim,  $\mathcal{J}$  is the set of all cosets of  $X$  in  $G$ .

Observe that it's enough to prove that  $\mathcal{J}x = \mathcal{J}$ , and  $\mathcal{J}y = \mathcal{J}$ .

Note that  $x^{-1} = x^3, y^{-1} = y, x(yxy)xy = 1 \Rightarrow yxy = x^3y^3$ .

Also,  $xyx = yx^3y$ .

To show  $\mathcal{J}x = \mathcal{J}$ , only need that  $Xyx^2yx \in \mathcal{J}$ . But  $Xyx^2yx = X(yx)(xyx) = X(yx)(yx^3y) = X(x^3yx^3y) = Xyx^2y \in \mathcal{J}$ . The  $\mathcal{J}y = \mathcal{J}$  is easy.

(cont example).

This gives a bound for  $|G|$ :  $|X| \leq 4$ ,  $|G/H| \leq 6 \Rightarrow |G| \leq 24$ .

As it has to have elements of order 2, 3, 4, might try  $S_4$ .

Put  $\bar{x} = (1234)$ ,  $\bar{y} = (12)$ . ( $\bar{x}^4 = 1$ ,  $\bar{y}^2 = 1$ , and  $(\bar{x}\bar{y})^3 = 1$ ).

Applying von Dycke, we get a surjective hom  $G \rightarrow S_4 \Rightarrow G \cong S_4$ .

Example 5:  $G = \langle x, y \mid x^3, y^3, (xy)^3 \rangle$ . (note that  $xyx = y^2x^2y^2$

Put  $a := x^2y$ ,  $b := xyx$

$yx^2 = x^2y^2x^2$

Write  $H := \langle a, b \rangle \leq G$ .

Claim:  $H \triangleleft G$ .

$x^{-1}ax = xyx = b \in H$ .  $x^{-1}bx = x^{-1}(xyx)x = yx^2 = (a^{-1}b^{-1} = yx^2)$

~~$a^2y = y^2x^2y^2 = y^2yx^2y^2$~~

Note that  $G = \langle x, H \rangle$ , and for  $H^x \leq H \Rightarrow H = H^x \leq H^{x^2} \leq H^{x^3} \leq H^x \leq H$

$\Rightarrow H = H^x$ . Since  $G = \langle x, H \rangle$ , then  $H \triangleleft G$ .

Next, note that:

$$\left. \begin{aligned} ab &= x^2yxyx = x^2(yxy)x = x^2 \cdot (x^2y^2x^2)/x = xy^2 \\ ba &= xyx x^2y = xy^2 \end{aligned} \right\} \Rightarrow ab = ba \Rightarrow$$

$\Rightarrow H = \langle a, b \rangle$  is abelian.

So  $G = \langle x \rangle \cdot H$  ( $H \triangleleft G$ ) and  $H$  is abelian. Also,  $a^x = b$ ,  $b^x = a^{-1}b^{-1}$ .

We construct now a group with these properties.

Let  $\bar{H} := \langle \bar{a}, \bar{b} \rangle$ , free abelian of rank 2.

Let  $|\langle \bar{x} \rangle| = 3$ , and let  $\bar{x}$  act on  $\bar{H}$  by  $\bar{a}^{\bar{x}} = \bar{b}$ ,  $\bar{b}^{\bar{x}} = \bar{a}^{-1}\bar{b}^{-1}$

( $\bar{x}$  is acting as  $\begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}$ , which has indeed order 3).

Form the semidirect product  $\bar{G} = \langle \bar{x} \rangle \rtimes \bar{H}$  with the previous action.

Define  $\bar{y} := \bar{x}^{-2}\bar{a}\bar{x}\bar{a}$  (taken from the relation  $u = x^2y$ ).



(cont example).

Note that  $\bar{G} = \langle \bar{x}, \bar{y} \rangle$  since  $\bar{a}, \bar{b} \in \langle \bar{x}, \bar{y} \rangle$ .

Note next that  $\bar{x}^3 = 1$ ,  $\bar{y}^3 = (\bar{x}\bar{a})^3 = \bar{x}\bar{a}\bar{x}\bar{a}\bar{x}\bar{a} = \bar{x}^2\bar{b}\bar{a}\bar{x}\bar{a} = \bar{x}^3(\bar{a}^{-1}\bar{b}^4)\bar{b}\bar{a}$

Also,  $(\bar{x}\bar{y})^3 = 1$  (check as exercise). " 1.

So the defining relations hold in  $G$ . By von Dyck, get a surjective hom.

$$\theta: \bar{G} \rightarrow G.$$

A typical element of  $G$  has the form  $x^i a^j b^k$ , which maps

to  $\bar{x}^i \bar{a}^j \bar{b}^k$ . If  $\bar{x}^i \bar{a}^j \bar{b}^k = 1$  in  $\bar{G} = \langle \bar{x} \rangle \rtimes \bar{M}$ , then  $\bar{x}^i = 1$

and  $\bar{a}^j \bar{b}^k = 1$ .  $\Rightarrow i \equiv 0 \pmod{3}$ , and  $j = k = 0$ . So  $x^i a^j b^k = 1 \Leftrightarrow$

$\Rightarrow \theta$  is an isomorphism. //

Groups like  $\langle x, y \mid x^m, y^n, (xy)^l \rangle$  are called triangle groups, and they are hard to study. Note however that the case  $m=n=l$  is easier, and we get that  $G$  has actually polyadic.

### Finitely presented groups.

Def: A group  $G$  is finitely presented if it has a finite presentation, i.e.

$$G = \langle x_1, \dots, x_m \mid r_1, \dots, r_k \rangle \quad (\text{both } m, k \text{ finite}).$$

Example:  $\mathbb{Z} \times \mathbb{Z}$  is finitely generated (and soluble). But it is not finitely presented (it is not obvious).

The property of being finitely-presented is independent of the presentation:

Theorem: Let  $G$  be a finitely-presented group, and assume  $G$  is generated by  $X$ ,  
(die to (BH Neumann)) for some  $X \subseteq G$ . Then  $G$  has a presentation  $G = \langle X_0 \mid S \rangle$   
where  $X_0 \subseteq X$  is finite, and  $S$  is finite.

Pf of Theorem (BN Neumann).

Let  $G = \langle y_1, \dots, y_m \mid s_1, \dots, s_l \rangle$  be the given finite presentation.

Since  $G = \langle X \rangle$ , we gave  $G = \langle x_1, \dots, x_n \rangle$ , where  $x_i \in X$  (because each of the  $y_i$  is expressible in terms of elements of  $X$ ).

Hence  $y_i = w_i(x)$  ( $w_i(x)$  is a word in  $x_1, \dots, x_n$ ).

Also,  $x_j = v_j(y)$ . Then the following relations in the  $x_j$ 's hold:

$s_k(w_1(x), \dots, w_m(x)) = 1 \quad k = 1, \dots, l$

Also,  $x_j = v_j(w_1(x), \dots, w_m(x)) \quad j = 1, 2, \dots, n$

So let  $\bar{G} = \langle \bar{X} \mid \bar{S} \rangle$  where  $\bar{S}$  is the set of relations (finite!).

$(s_k(w_1(\bar{x}), \dots, w_m(\bar{x})) = 1, \quad v_j(v_1(\bar{x}), \dots, v_m(\bar{x})) = 1)$

Since  $\bar{G}$  is finitely presented, we prove that  $\bar{G} \cong G$ .

By von Dyck's theorem, there is a surjective homomorphism  $\theta: \bar{G} \rightarrow G$ , with  $\bar{x}_j^\theta = x_j$ .

Define  $\bar{y}_i = w_i(\bar{x}) \in \bar{G}$ , and note that  $\bar{G} = \langle \bar{y}_1, \dots, \bar{y}_m \rangle$

(because  $\bar{x}_i = v_j(\bar{y}) \in \langle \bar{y}_1, \dots, \bar{y}_m \rangle$ )

Next,  $s_k(\bar{y}) = s_k(w_1(\bar{x}), \dots, w_m(\bar{x})) = 1$ .

Therefore all the defining relations of  $G$  hold in  $\bar{G}$ .

By von Dyck's thm again,  $\exists \varphi: G \rightarrow \bar{G}$  with  $x_i^\varphi = \bar{y}_i$ .

Then,  $\begin{cases} \bar{x}_i^{\theta \varphi} = x_i^\varphi = v_i(y)^\varphi = v_i(\bar{y}) = \bar{x}_i \\ y_i^{\varphi \theta} = \bar{y}_i^\theta = w_i(\bar{x})^\theta = w_i(x) = y_i \end{cases}$

$\Rightarrow \varphi = \theta^{-1} \Rightarrow G \cong \bar{G}$



### Examples:

- 1) All cyclic groups are finitely presented.
- 2) All finite groups are finitely presented (as generators, all elements of  $G$  and for relations, the group table.)

Theorem: Let  $N \triangleleft G$  and assume  $N, G/N$  are both finitely presented.  
(P. Hall) Then  $G$  is finitely presented.

Corollary: All polycyclic groups are finitely presented.

### Pf of Thm:

Assume we have  $N = \langle x_1, \dots, x_m \mid r_1, \dots, r_k \rangle$ ,  $G/N = \langle y_1N, \dots, y_nN \mid s_1, \dots, s_\ell \rangle$

Then  $G = \langle x_1, \dots, x_m, y_1, \dots, y_n \rangle$ .

The next step is to write all the relations in these.

$$\left. \begin{array}{l} r_i(x) = 1, \quad s_j(y) = t_j(x) \quad \begin{array}{l} i=1 \dots k \\ j=1 \dots \ell \end{array} \\ x_i y_j = u_{ij}(x), \quad x_i y_j^{-1} = v_{ij}(x) \quad \begin{array}{l} i=1 \dots m \\ j=1 \dots n \end{array} \end{array} \right\}$$

Next step, let  $\bar{G}$  be the group with a presentation in generators  $\bar{x}_1, \dots, \bar{x}_m, \bar{y}_1, \dots, \bar{y}_n$ , and defining relations in  $\bar{x}_i, \bar{y}_j$ .  $\Sigma \bar{G}$  is a finitely presented group.

By von Dyck's,  $\exists \theta: \bar{G} \rightarrow G$ , with  $\bar{x}_i \theta = x_i, \bar{y}_j \theta = y_j$ .

Let  $\bar{N} = \langle \bar{x}_1, \dots, \bar{x}_m \rangle$ . Then  $\bar{N} \triangleleft \bar{G}$  by the relations.

Next, note  $\theta|_{\bar{N}}: \bar{N} \rightarrow N$  is an iso. (von Dyck's implies  $\varphi: N \rightarrow \bar{N}$  with  $x_i \varphi = \bar{x}_i$  and  $\theta|_{\bar{N}}$  and  $\varphi$  are mutually inverse)

$$\Sigma_0 (\ker \theta \cap \bar{N}) = 1$$

Since  $\bar{N} \theta = N$ , there is an induced homomorphism  $\theta': \bar{G}/\bar{N} \rightarrow G/N$ ,

$$\text{where } (\bar{y}_j \bar{N})^{\theta'} = \bar{y}_j \theta = y_j N.$$

By von Dyck's,  $\exists \psi: G/N \rightarrow \bar{G}/\bar{N}$ , where  $(y_j N)^\psi = \bar{y}_j \bar{N}$ . Also,  $\theta'$  and  $\psi$  are mutually inverses, so  $\theta'$  is an iso.  $\Rightarrow \ker \theta \subseteq N \Rightarrow \ker \theta = 1$

Corollary (repeal): Every polycyclic group is finitely presented.

The Word Problem

Suppose that  $G$  is a group with a given finite presentation,  $G = \langle X \mid R \rangle$ .

Then the word problem asks if there is an algorithm which, when a word  $w$  in  $X$  is given, decides whether  $w = 1$  in  $G$ .

Write  $S$  for the set of all words in  $X$ . (i.e.  $w \in S, w = x_1^{\epsilon_1} x_2^{\epsilon_2} \dots x_k^{\epsilon_k}$  ( $\epsilon_i = \pm 1, x_i \in X$ ))

One can enumerate all such words (i.e.  $S$  is recursively enumerable).

(this means that  $S$  is the output of some Turing machine).

Each relation of  $G$  is a consequence of the defining relations.

Recall that  $F_X \twoheadrightarrow G$  with  $\text{Ker} = R^{F_X}$ .

So anything in  $R^{F_X}$  will be  $(r_1^{\pm 1})^{f_1} (r_2^{\pm 1})^{f_2} \dots (r_k^{\pm 1})^{f_k}$   $\begin{matrix} r_i \in R \\ f_i \in S \end{matrix}$

Thus (needs a proof)  $T = R^{F_X}$  is recursively enumerable.

So, if the given word  $w$  is a relation, it will eventually appear in the output of the Turing Machine.

What if  $w \neq 1$ ?

$S \setminus T$  is the set of non-relations, and it might not be recursively enumerable

( $\exists$  recursively enumerable sets which are not recursive (i.e. whose complement is not)).

If this is the case, the word problem cannot be solved.

In 1954, Boone & Novikov gave examples of finitely presented groups with unsolvable word problem.

However, the WP is solvable for many classes of finitely presented group.

Theorem: Let  $G$  be a finitely presented residually-finite group.

Then  $G$  has solvable word problem.

Pf/ Let  $G = \langle x_1, \dots, x_n \mid s_1, \dots, s_k \rangle$ , and let  $F$  be the free group on  $\{x_1, \dots, x_n\}$ .

Suppose  $w$  be a given word in  $x_1, \dots, x_n$ .

To decide whether  $w=1$  in  $G$ , we set two procedures in motion:

Ⓘ Enumerate all consequences of  $s_1, \dots, s_k$  and look for  $w$  in the list.  
If  $w$  appears, then  $w=1$  in  $G$ .  $\Rightarrow$  STOP.

Ⓡ Enumerate the finite groups, say by exhibiting their multiplication tables,  
 $G_1, G_2, \dots$

For each  $i$ ,  ~~$G_i$~~ , construct all homomorphisms  $\theta_{ij}: F \rightarrow G_i$   
(it's a finite list because  $F$  is fin. gen. &  $G_i$  is finite).

Check if  $s_i^{\theta_{ij}} = 1$  in  $G_i$  (so that  $\theta_{ij}$  induces a hom.  $G \rightarrow G_i$ ).

So we obtain all homomorphisms  $G \rightarrow G_i$  ( $i=1, 2, \dots$ ).

For each such  $\theta_{ij}$ , check to see if  $w^{\theta_{ij}} = 1$  in  $G_i$ .

If  $w^{\theta_{ij}} \neq 1$  in  $G_i$  for some  $i$ , then  $w \neq 1$  in  $G \Rightarrow$  STOP.

Claim: One of these two procedures will stop.

$\rightarrow$  if  $w=1$  in  $G$ , Ⓘ will stop.

$\rightarrow$  if  $w \neq 1$ ,  $\exists N \triangleleft G$ ,  $|G/N| < \infty$ , and  $w \notin N$ .

So  $G/N$  will appear as  $G_i$  for some  $i$ , and  $\theta_{ij}^w(w) \neq 1$  in  $G_i$   
 $\Rightarrow$  Ⓡ stops.

Reference: J. Rotman, "Group Theory".

Corollary: The word problem is solvable for a polycyclic group.

Pf/ We saw that polycyclic  $\Rightarrow$  residually-finite.

Some other algorithmic problems.

1) The generalized word problem. (for  $G$  fin. presented).

Given words  $w, w_1, \dots, w_n$ . Decide whether  $w \in \langle w_1, \dots, w_n \rangle$  in  $G$ .

2) The conjugacy problem. ( $G$  fin. pres).

Given two words  $u, v$ . Decide if they are conjugate in  $G$ .

These are more difficult than the word problem (so they are unsolvable). But they are solvable for polycyclic groups.

3) The identity problem.

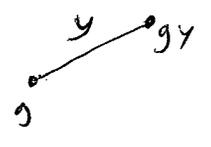
Decide if a finitely presented group  $G$  has order 1.  $\leftarrow$  not decidable! (Rabin, 1960).

But, given a fin. pres  $G$ , decide if  $G = G'$ .  $\leftarrow$  decidable.

The Cayley Graph.

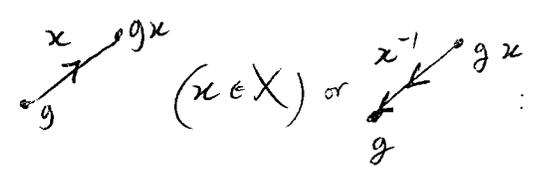
Suppose  $G$  is a group with a presentation  $\langle X | R \rangle$ .

~~Def~~ The Cayley graph  $C(X, R)$  is the graph with vertex set  $G$ , and with edges  $(g, gy)$  where  $g \in G, y \in X \cup X^{-1}$ , labeled with  $y$ .

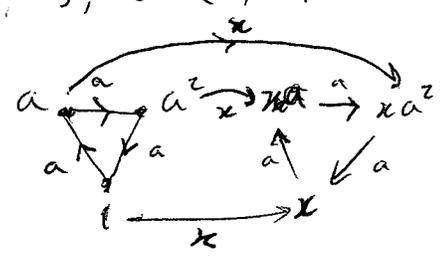


Note: the Cayley graph is connected.

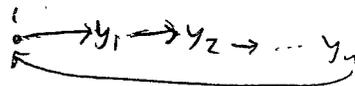
One can think of the graph as directed, as



Example:  $G = S_3, G = \langle x, a \mid x^2 = 1 = a^3, a^2 = a^{-1} \rangle$ .



In general, if  $r = y_1 y_2 \dots y_n$  is a relator, with  $y_i \in X \cup X^{-1}$ . Then there is a corresponding cycle



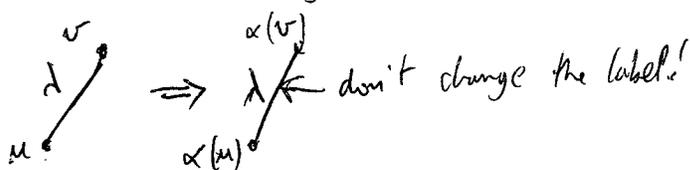
Conversely, each cycle leads to a relator.

If the group is free on  $X$ , there are no relators and so the graph is a tree.

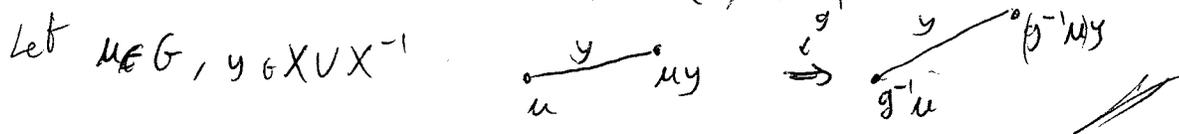
Conversely, if the Cayley graph is a tree, the group is free.

### Automorphisms

Def: An automorphism  $\alpha$  of a labeled graph is a permutation of the vertex set which preserves edges and labels; i.e.



Looking again at  $C(X, R)$ ; if  $g \in G$ , define  $g': G \rightarrow G$  by  $g': x \mapsto g^{-1}x$ . In fact,  $g'$  is an automorphism of  $C(X, R)$ :



We have then that  $g \mapsto g^{\#}$  is an homomorphism  $G \rightarrow \text{Aut}(C(X, R))$ . In fact, it is an iso.

Suppose  $\alpha \in \text{Aut}(C(X, R))$ . Then  $(uy)\alpha = (u)\alpha \cdot y$ .

Put  $u=1$ :  $(y)\alpha = (1)\alpha \cdot y \Rightarrow \alpha = ((1)\alpha)^{-1}$   $\Rightarrow$  surjective.

It's clearly injective, so  $\checkmark$ .

We've proven:

Prop:  $G \cong \text{Aut}(C(X, R))$

Verbal subgroups and group varieties.

Let  $F$  be a free group on a countably infinite set  $\{x_1, x_2, \dots\}$ .

Let  $w \in F$ , so  $w$  is a reduced word in  $x_1, x_2, \dots$ . Suppose  $w = w(x_1, \dots, x_r)$ .

Let  $G$  be any group, and choose  $g_1, \dots, g_r \in G$ .

Def: The value of  $w$  at  $(g_1, \dots, g_r)$  is  $w(g_1, g_2, \dots, g_r) = w(\underline{g}) \in G$ .

Def: Let  $\emptyset \neq W \subseteq F$ . The verbal subgroup of  $G$  corresponding to  $W$  is  $W(G) := \langle w(\underline{g}) : \substack{w \in W \\ g_i \in G} \rangle$

$W(G) \triangleleft$  fully invariant in  $G$  (i.e.  $\alpha: G \rightarrow G$  is an endomorphism, then  $W(G)^\alpha \subseteq W(G)$ ).

(  $w(g_1, \dots, g_r)^\alpha = w(g_1^\alpha, \dots, g_r^\alpha) \in W(G)$  ).

In particular,  $W(G) \triangleleft G$ .

Conversely, for free groups we have:

Thm (BH Neumann): If  $F$  is a free group, and  $H$  is a fully invariant subgroup of  $F$ , then  $H$  is a verbal subgroup.

~~Pl~~ Let  $F$  be free on  $X$ .

Let  $w \in H$ . So  $w = w(\underline{x}) = w(x_1, \dots, x_r)$ ,  $x_i \in X$ . Let  $f_1, \dots, f_r \in F$ .

Need to show that  $w(\underline{f}) \in H$ .

There is a homomorphism  $\alpha: F \rightarrow F$  s.t.  $\begin{cases} x_i \mapsto f_i & 1 \leq i \leq r \\ x_j \mapsto 1 & \text{if } x_j \in X \setminus \{x_1, \dots, x_r\} \end{cases}$  (by univ. prop)

then,  $w^\alpha = w(\underline{f}) \in H$  since  $H$  is fully invariant.

Hence,  $H$  is verbal.

Example: Let  $G$  be of type  $p^\infty = \bigcup \mathbb{Z}/p^n$ . It has a unique subgroup of order  $p$ ,

call it  $H$ . So  $H$  is fully invariant in  $G$ . But  $H$  is not verbal.

(exercise).

## Examples:

1)  $W = \{ [x_1, x_2] \}$  - Then  $W(G) = G'$ .

2)  $W = \{ [x_1, \dots, x_c] \}$  - Then  $W(G) = \gamma_c(G)$

3)  $W = \{ x_i^n \}$  - Then  $W(G) = G^n$  (generated by all  $n^{\text{th}}$ -powers)

4)  $W = \{ [[x_1, x_2], [x_3, x_4]] \} \Rightarrow W(G) = G'' \leftarrow \text{and could do for any } G^{(i)}!$

## Varieties of groups.

Def Let  $W$  be a set of words in  $\{x_1, x_2, \dots\}$ . Then the variety of groups determined by  $W$  is the class of groups  $\{G \mid W(G) = 1\} =: \text{Var}(W)$

## Examples:

1)  $W = \{ [x_1, x_2] \} \rightarrow \text{Var}(W) = \text{abelian groups.}$

2)  $W = \{ [x_1, \dots, x_{c+1}] \} \rightarrow \text{Var}(W) = \text{nilpotent groups of class } \leq c.$

3)  $W = \{ x_i^n \}$ .  $\text{Var}(W)$  is the Burnside variety:  $G$  s.t.  $g^n = 1 \forall g \in G.$

Note: The class of nilpotent groups is not a variety.

(every variety is closed under forming unrestricted direct products).

## Relatively free groups

Let  $\underline{V} = \text{Var}(W)$  be a variety.

Then,  $\underline{V}$ , together with all homomorphisms between free groups, is a category.

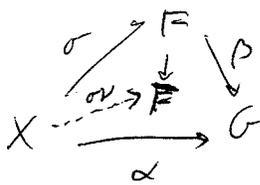
In fact,  $\underline{V}$  contains free objects.



Theorem: Let  $W$  be a set of words, and let  $F$  be a free group on a set  $X$ .

Let  $\underline{V} = \text{Var}(W)$ . Then  $\bar{F} := F/W(F)$  is a free object in  $\underline{V}$ .

pf Let  $G \in \underline{V}$ , let  $\alpha: X \rightarrow G$  be any map. Let  $(F, \sigma)$  be free on  $X$ ,  $\sigma: X \rightarrow F$ . Applying the mapping property of  $F$ , calling  $\nu: F \rightarrow \bar{F}$  the canonical map, get  $\beta: F \rightarrow G$ . Composing  $\sigma\nu$ , get  $\sigma\nu: X \rightarrow \bar{F}$ .



Define  $\theta: \bar{F} \rightarrow G$  by  $(fW(F))^\theta := f^\beta$ .

It is well defined, because  $(w(F))^\beta = w(F^\beta) \in w(G) = 1$  since  $G \in \underline{V} = \text{Var}(W)$ .

Note that  $\sigma\nu\theta = \sigma\beta = \alpha \Rightarrow$  lower triangle commutes  $\Rightarrow \checkmark$ .

If  $\theta': \bar{F} \rightarrow G$  is another hom. making it commute ( $\sigma\nu\theta' = \alpha$ ),

then  $\sigma\nu\theta' = \sigma\nu\theta \Rightarrow \theta = \theta'$ , because  $\langle \text{Im } \sigma\nu \rangle = \bar{F}$ .

Theorem: Every group in  $\underline{V} = \text{Var}(W)$  is isomorphic with a quotient group of some free group in  $\underline{V}$  (call them free  $\underline{V}$ -groups).

pf Let  $G = \langle X \rangle \in \underline{V}$ . Let  $F$  be free on  $X$ . Let  $\bar{F} := F/W(F)$  (if  $\underline{V} = \text{Var}(W)$ ). Then  $\bar{F}$  is free on  $\underline{V}$ , and so the mapping property gives a hom. from  $\bar{F} \rightarrow G$ . But it is surjective, because  $G = \langle X \rangle$ .

Example: Let  $\underline{V} =$  class of nilpotent groups of class  $\leq c$ . So  $\underline{V}$  is determined by  $\{[x_1, \dots, x_{c+1}]\}$ . So a ~~the~~ group in  $\underline{V}$  has the form  $F/\gamma_{c+1}(F)$ , where  $F$  is free.

Therefore, every nilpotent of class  $\leq c$  is isomorphic with a quotient group of some  $F/\gamma_{c+1}(F)$ , with  $F$  free.

## § 7. Subgroups of Free Groups.

The Nielsen-Schreier Theorem

Let  $W$  be a subgroup of a free group  $F$ . Then  $W$  is a free group; and if  $m = |F:W|$  is finite and  $F$  has rank  $n$  (possibly  $\infty$ ), then  $W$  has rank  $mn + 1 - m$ .

Pf we'll follow the algebraic approach (as opposed to Serre's approach, using trees).

Let  $F$  be free on a set  $X$ . Let the right cosets of  $W$  in  $F$  be  $\{W_i \mid i \in I\}$ ,

~~where~~ where we assume  $W = W_1$ .

Choose an element  $\bar{w}_i$  from  $W_i$ . Then  $\{\bar{w}_i \mid i \in I\}$  is a right transversal to  $W$  in  $F$ , and choose  $\bar{w}_1 = (\bar{w} =) 1$ . Also, note that  $w_i = W\bar{w}_i$ .

If  $u \in F$ , then  $\bar{w}_i u$  and  $\bar{w}_j u$  belong to the same coset  $W_i u$ .

Hence,  $\bar{w}_j u \bar{w}_i^{-1} u^{-1} \in W$ .

The idea is to choose the  $\bar{w}_i$  in such a way that the non-trivial elements  $\bar{w}_j u \bar{w}_i^{-1} u^{-1}$  freely generate  $W$ .

For each  $i \in I$ ,  $x \in X$ , consider the symbol  $y_{ix}$ , and define  $\hat{F}$  to be the free group on the set  $\{y_{ix} \mid i \in I, x \in X\}$ .

Define a hom.  $\tau: \hat{F} \rightarrow W$  by the rule  $y_{ix}^\tau = \bar{w}_i x \bar{w}_i^{-1}$ .

$\tau$  is surjective (by the idea of Coset Maps)

Let  $u \in F$ ,  $i \in I$ . Define an element  $u^{w_i} \in \hat{F}$  as follows:

$$1^{w_i} = 1, x^{w_i} = y_{ix}, (x^{-1})^{w_i} = (x^{w_i})^{-1}. \text{ Now, complete the definition}$$

by recursion on the length of the reduced word:

If  $u = vy$ ,  $v \in F$ ,  $y \in XUX^{-1}$ , in reduced form. Define  $u^{w_i} = (v^{w_i}) y^{w_i}$ .

$u \mapsto u^{w_i}$  is called a coset map, from  $F \rightarrow \hat{F}$ .

↓

Cont of N-S Thm.

we need a lemma:

Lemma: For any  $u, v \in F, i \in I$ :

(i)  $(uv)^{w_i} = u^{w_i} v^{w_i} u$

(ii)  $(u^{-1})^{w_i} = (u^{w_i} u^{-1})^{-1}$

~~Pf~~

(i) If  $v=1$ , clearly true.

Induct on the length of  $v$  as a reduced word.

Suppose  $v \in XUX^{-1}$ .

If the final syllable of  $u$  is not  $v^{-1}$ , it follows by definition.

Suppose now that  $u$  ends on  $v^{-1}$  so  $u = u_1 v^{-1} \Rightarrow uv = u_1$ .

Then  $u^{w_i} = (u_1 v^{-1})^{w_i} = u_1^{w_i} (v^{-1})^{w_i} u_1 = u_1^{w_i} (v^{w_i} u_1 v^{-1})^{-1}$

$\therefore u^{w_i} = u_1^{w_i} \cdot (v^{w_i} u_1)^{-1} \Rightarrow u_1^{w_i} = u^{w_i} \cdot v^{w_i} u_1 \Rightarrow (uv)^{w_i} = u^{w_i} v^{w_i} u$

(ii) Now assume that  $v$  has length  $> 1$ . Write  $v = v_1 y$  (reduced form), and  $y \in XUX^{-1}$ .

Then,  $(uv)^{w_i} = (u v_1 y)^{w_i} = (u v_1)^{w_i} y^{w_i} u v_1 = u^{w_i} v_1^{w_i} u y^{w_i} u v_1 = u^{w_i} (v_1 y)^{w_i} u$

(ii)  $1 = 1^{w_i} = (u^{-1} u)^{w_i} = (u^{-1})^{w_i} u^{w_i} u^{-1} \Rightarrow (u^{-1})^{w_i} = (u^{w_i} u^{-1})^{-1}$

Continuing with the proof, we compose  $u \mapsto u^{w_i}$  and  $\tau: F \rightarrow W$ :

Lemma 2: For any  $u \in F, i \in I$ ,

$(u^{w_i})^\tau = \overline{w_i} u \overline{(w_i u)^{-1}}$

~~Pf~~ Induct on the length of  $u$  as a reduced word (if  $u=1$ , clear). If  $u \in XUX^{-1}$ , it follows from the definition.

Let  $u$  have length  $> 1$ , and write  $u = u_1 v$ ,  $v \in XUX^{-1}$ .

$(u^{w_i})^\tau = (u_1 v)^{w_i}^\tau = (u_1^{w_i} v^{w_i} u_1)^\tau = (u_1^{w_i})^\tau (v^{w_i} u_1)^\tau = \overline{w_i} u_1 \overline{(w_i u_1)^{-1}} \cdot \overline{w_i} u_1 \cdot v \cdot \overline{(w_i u_1 v)^{-1}}$   
 $= \overline{w_i} u \overline{(w_i u)^{-1}}$

(cont p1).

Next, we look at the restriction of  $u \mapsto u^W$  to  $W$ . (i.e.  $u \in W$ ).

This restriction is a map  $\psi: W \rightarrow \hat{F}$ .

If  $u, v \in W$ ,  $(uv)^\psi = (uv)^W = u^W v^W = u^W v^W \Rightarrow \psi$  is a homomorphism.

For  $u \in W$ ,

$$\psi \tau = (u^W)^\tau = \overline{u} u^{-1} = 1 u 1 = u \Rightarrow \psi \tau \text{ is the identity on } W.$$

Hence,  $\psi$  is injective, and  $\tau: \hat{F} \rightarrow W$  is surjective  $\Rightarrow \tau: \hat{F} \rightarrow W$  is a presentation.

Put  $\chi := \tau \psi: \hat{F} \rightarrow \hat{F}$ .  $\chi \in \text{End}(\hat{F})$ . Note that  $\chi^2 = \tau \psi \tau \psi = \tau \psi = \chi$ .

This is called a retraction ( $\chi^2 = \chi$ ).

Lemma 3: The group  $W$  has the presentation given by  $\tau: \hat{F} \rightarrow W$  in generators

$y_{ix}$ 's with ~~the~~ <sup>defining</sup> relations:

$$y_{ix}^{-1} y_{ix}^x \quad (i \in I, x \in X)$$

Pf Define  $N := \langle y_{ix}^{-1} y_{ix}^x \mid i \in I, x \in X \rangle^{\hat{F}}$  (normal closure in  $\hat{F}$ ).

Will show that  $N = \ker(\tau) = K$ . (note that  $K = \ker \tau \circ \psi = \ker \chi$ , as  $\psi$  is injective).

Firstly,  $(y_{ix}^{-1} y_{ix}^x)^x = (y_{ix}^{-1})^x y_{ix}^{x^2} = 1 \Rightarrow N \subseteq K$ .

Let now  $k \in K$ .

$$y_{ix}^x \equiv y_{ix} \pmod{N}$$

Hence,  $1 = k^x \equiv k \pmod{N} \Rightarrow k \in N \Rightarrow K = N$ .

Next, we get a more convenient set of defining relations:

Lemma 4:

The elements  $u^W$ , where  $u$  is a non-trivial transversal element, form a set of defining relations for the presentation  $\tau: \hat{F} \rightarrow W$ .

Pf If  $u$  is a transversal element, then  $\overline{u} u^{-1} = 1$ . So  $(u^W)^\tau = \overline{u} u^{-1} = 1 u u^{-1} = 1$ .

Hence  $u^W \in K = \ker \tau = \ker \chi$ .

↓

(Cont Pf)

Put  $N = \langle u^w \mid u \text{ a transversal elt} \rangle_{\hat{F}}$ . Then  $N \leq K$ . To prove the converse, its enough to show that  $y_{ix}^{-1} y_{ix}^x \in N$  (for then  $K \leq N$ ).

$$\begin{aligned}
 y_{ix}^x &= (y_{ix}^z)^w = \left( \overline{w_i x} \overline{w_i x}^{-1} \right)^w = \overline{w_i}^w x \overline{w_i}^w \left( \overline{w_i x}^{-1} \right)^w = \overline{w_i}^w x \overline{w_i}^w \left( \overline{w_i x} \right)^w = \overline{w_i}^w x \overline{w_i}^w \left( \overline{w_i}^w \overline{x}^w \right)^w \\
 &= \overline{w_i}^w x \overline{w_i}^w \left( \overline{w_i x}^w \right)^{-1} \equiv x^{w_i} \pmod{N} = y_{ix} \pmod{N} \\
 \Rightarrow y_{ix}^x &= y_{ix} \pmod{N} \quad \Rightarrow y_{ix}^{-1} y_{ix}^x \in N.
 \end{aligned}$$

Now, we choose what is called a Schreier's transversal:

Schreier Transversals

Let  $\emptyset \neq S \subseteq F$ . Call  $S$  a Schreier subset if  $\left. \begin{array}{l} \forall y \in S \\ \text{reduced} \\ y = xux^{-1} \end{array} \right\} \Rightarrow u \in S$

Examples:

Let  $x_1, x_2 \in X$ . Then  $S := \{ x_1^{n_1} x_2^{n_2} \mid n_1, n_2 \geq 0 \}$  is a Schreier subset.

~~Also~~, However,  $\{ x_2, x_1 x_2 \}$  is not (as  $x_1 \notin S$ ).

Lemma 5: There is a right transversal to  $W$  in  $F$  which is a Schreier subset.

~~Pf~~ Define the length of a coset  $W_i$  to be the length of the shortest element in  $W_i$  (in reduced form).

Note that the only coset with length 0 is  $W$ . Choose  $\overline{w} = 1$ .

Assume that  $\overline{w}_i$ , rep. for  $W_i$  has been assigned for all cosets  $W_i$  with length  $< l$  ( $l \geq 1$ ), such that this set has the Schreier property.

Suppose that  $W_i$  has length  $l$ .  $\Rightarrow \exists u \in W_i$ , where  $u$  has length  $l$  (in reduced form).

Write  $u = vy$ ,  $y \in XuX^{-1}$  in ~~reduced~~ form. Then  $v$  has length  $l-1$ .

So  $W_v$  has length  $l-1 \Rightarrow \overline{w}_v$  has been assigned. Define then  $\overline{w}_i := (\overline{w}_v)y$ .

Proof of the Nielsen-Schreier Theorem: (until here, have been setting the stage).

Choose a Schreier transversal  $\overline{w}_i$  to  $W$  in  $F$ .

Let  $K = \ker(\tau)$ , where  $\tau: \hat{F} \rightarrow W$ ,  $y_{ix}^\tau = \overline{w}_i x \overline{w_{ix}^{-1}}$ .

Recall that  $K = \ker(\alpha)$ , and  $K$  is the normal closure in  $\hat{F}$  of all the  $u^W$  where  $u$  is a nontrivial transversal element.

Let  $u$  be such a transversal element,  $u \neq 1$ . Write  $u = v x^\epsilon$  ( $x \in X$ ,  $\epsilon = \pm 1$ ).

By the Schreier property,  $v$  is also a transversal element.

Now,  $u^W = (v x^\epsilon)^W = v^W (x^\epsilon)^W$ .

If  $\epsilon = 1$ , get  $u = vx \Rightarrow u^W = v^W x^W = v^W x^{W_K}$  ( $W_K := Wv$ ).  $= v^W y_{xK}$ .

Now,  $u^W, v^W \in K \Rightarrow y_{xK} \in K$ .

If  $\epsilon = -1$ ,  $u = vx^{-1} \Rightarrow u^W = (v x^{-1})^W = v^W (x^{-1})^W = v^W (x^{Wv x^{-1}})^{-1} = v^W y_{xv}^{-1}$   
 $\downarrow$   
 $Wv x^{-1} = Wv =: W'_v$

So get  $y_{xv} \in K$

By repeating this argument, we can express the original  $u^W$  in terms of certain  $y_{ix}$ 's, which lie in  $K$ .

Conclusion:  $K$  is the normal closure in  $\hat{F}$  of certain of the  $y_{ix}$ 's.

So just need to prove the following lemma:

Lemma: Let  $\emptyset \neq Y \subseteq X$ ,  $F$  free on  $X$ . Then  $F/Y$  is free on  $X - Y$ .

(exercise).

Hence,  $W$  is free on the set of  $y_{ix}$ 's that are not killed by  $\tau$ .

Now, assume that  $|F:W| = m < \infty$ , and  $\text{rank}(F) = n \leq \infty$ .

Then  $\text{rk } \hat{F} = mn$  ( $\hat{F}$  is free on the  $y_{ix}$ 's).

We need to show that exactly  $m-1$   $y_{ix}$ 's are in  $K$  (so that  $W$  is free with  $\text{rk} = mn - m = m(n-1)$ ).

Now,  $y_{ix} \in K \Leftrightarrow y_{ix}^\tau = 1 \Leftrightarrow \overline{w}_i x = \overline{w_{ix}}$ .

Choose any  $W_i \neq W$  (have  $m-1$  choices), and let  $x^\epsilon$  be the final symbol of  $\overline{w}_i$ .

Let  $W_j := W_i(x^\epsilon)^{-1}$ . Then  $\overline{w}_i = \overline{w}_j x^\epsilon$ . By the Schreier property,



(cont pf)

Get that  $\overline{w_i} = \overline{w_j} x^\epsilon$ .

If  $\epsilon = 1$ , then we have  $w_i = w_j x$ , and  $\overline{w_j} x \overline{w_j}^{-1} = \overline{w_j} x \overline{w_i}^{-1} = 1$

Hence  $y_{ix}^{-1} = 1 \Rightarrow y_{ix} \in K$ .

If  $\epsilon = -1$ , then we have  $w_i x = w_j$ , and  $\overline{w_i} x \overline{w_i}^{-1} = \overline{w_i} x \overline{w_j}^{-1} = 1$

Hence,  $y_{ix}^{-1} = 1 \Rightarrow y_{ix} \in K$ .

So each coset other than  $W$  gives (at least) one of the  $y_{ix}$ 's in  $K$ .

Conversely, suppose that  $y_{ix} \in K$ . Then  $\overline{w_i} x \overline{w_i}^{-1} = 1$ .

Let  $w_j := w_i x$ . Thus,  $\overline{w_i} x \overline{w_j}^{-1} = 1$ .

Either  $w_i \neq W$  or  $w_j \neq W$  (otherwise  $x = 1$ !). Can show that  $y_{ix}$  comes from

Conclusion: all  $y_{ix}$ 's ~~are~~ in  $K$  are in one of the cosets  $\Rightarrow$  <sup>either  $w_i$  or  $w_j$</sup>  ~~met~~ of them.

Edid  
of  $F$  &  $N-S$ .

Application:

Theorem: Let  $F$  be a free group with  $rk F \geq 2$ . Then  $F'$  is free, with infinite rank.

Pf Let  $F$  be free on  ~~$x_1, x_2, \dots, x_r$~~   $X$ , which we assume to be ordered

Put  $S = \{x_1^{l_1} x_2^{l_2} \dots x_r^{l_r} \mid x_i \text{ distinct elements of } X, \text{ all } l_i \neq 0\}$

(as  $F/F'$  is free abelian on  $x_i F'$ )

$S$  is a right transversal with the Schreier property.

Let  $w_i = w x_2^{l_2}$  ( $l_2 \neq 0$ ) (we let  $w := F'$ ).

Then,  $y_{ix_1}^{-1} = \overline{w_i} x_1 \overline{w_i}^{-1}$ . As  $w_i x_1 = w x_2^{l_2} x_1 = w x_1 x_2^{l_2}$ , then  $\overline{w_i} x_1 = x_1 x_2^{l_2}$

get  $y_{ix_1}^{-1} = x_2^{l_2} x_1 (x_1 x_2^{l_2})^{-1} = x_2^{l_2} x_1 x_2^{-l_2} x_1^{-1} \neq 1$

So there are infinitely many free generators for  $F'$ .

## Presentations of subgroups

Thm (Reidemeister-Schreier):

Let  $G$  be a group with a presentation given by  $\varphi: F \rightarrow G$ ,  $F$  free on  $X$ ,

say  $G = \langle X \mid S \rangle$ . Let  $H \leq G$  be a subgroup.

Let  $\tau, \hat{F}$  be as in Nielsen-Schreier proof, and let  $W :=$  preimage of  $H$  under  $\varphi$ ,  $W \leq F$ .

Then:  $\tau\varphi: \hat{F} \rightarrow H$  is a surjective homomorphism, giving a presentation of  $H$  in  $y_i$ 's ( $i \in I, x \in X$ ), with relations  $s^{w_i}, u^w$ , where  $s \in S, u \neq 1$  is a transversal element (to  $W$  in  $F$ ).

Proof:  $\tau\varphi$  is the composition of two surjectives, hence it is surjective.

$\ker(\tau\varphi) =$  preimage of  $K = \ker(\varphi)$  under  $\tau$ .

Put  $N := \langle s^{w_i}, u^w \mid i \in I, s \in S, u \neq 1 \text{ transversal} \rangle^{\hat{F}}$ .

want to show that  $N = \ker(\tau\varphi)$ .

Note that  $S \leq K \leq W$ . Also,  $K \triangleleft F$  ( $K$  is a word).

Also,  $w_i s = w_i$ , because  $W s = W s u^{-1} u = W u$  since  $s u^{-1} \in K \leq W$ .

Therefore,  $(s^{w_i})^\tau = \overline{w_i s w_i^{-1}} = \overline{w_i s w_i^{-1}} = s^{\overline{w_i^{-1}}}$ .

Then,  $N^\tau = \langle \underbrace{(u^w)^\tau}_1, \underbrace{(s^{w_i})^\tau}_1 \rangle^W = \langle \overline{w_i s w_i^{-1}} : s \in S, i \in I \rangle^W = S^{\overline{F}} = K$   
( $\ker \varphi$ )

Recall that  $W = \varphi^{-1}(H)$ . Hence,  $\ker(\tau\varphi) = N \cdot (\ker \tau) = N$

(since  $\ker \tau = \langle u^w \mid u \text{ transversal} \rangle^{\hat{F}} \subseteq N$ )

So the  $s^{w_i}, u^w$  form defining relation for  $H$ .

Theorem (Iwasawa): Let  $F$  be any free group, and  $p$  any prime.

Then,  $F$  is a residually (finite  $p$ ) group.

( $G$  is residually  $P$  if given  $1 \neq g \in G$ ,  $\exists N \triangleleft G$ ,  $g \notin N$  st  $G/N$  is  $P$ ).

Let  $F$  be free on a set  $X$ , let  $1 \neq f \in F$ . We will prove that there's a homomorphism  $\theta: F \rightarrow$  some finite  $p$ -group st.  $f^\theta \neq 1$ . Then,  $G/\ker \theta \cong$  finite  $p$ -group

and, since  $f^\theta \neq 1$ ,  $f \notin \ker \theta$ .

Write  $f = x_{i_1}^{m_1} \dots x_{i_r}^{m_r}$  ( $x_{i_j} \in X$ ,  $m_i \neq 0$ ,  $x_{i_j} \neq x_{i_{j+1}}$ )

Write  $q := \max \{ |i_{j+1} - i_j| \}$ .

Recall (or define)  $E_{kl}$  for the  $(r+1) \times (r+1)$  matrix whose  $kl$  entry is 1, the others 0. Considered as a matrix over  $\mathbb{Z}/p\mathbb{Z}$ , where  $p \nmid m_1, m_2, \dots, m_r$ .

Write  $U := U_{r+1}(\mathbb{Z}/p\mathbb{Z})$  (unitriangular group).  $\#U = p^{\binom{r+1}{2}}$  is a finite  $p$ -group.

Note that  $1 + E_{kl} \in U$  if  $k < l$ .

Define  $g_j \in U$  by  $g_j := \prod_{i=i_j+1}^{r+1} (1 + E_{i, i-1}) \in U$ . ( $g_j = 1$  if  $i_j = r$ ).

Note that the factors of the product commute, because  $E_{kl}E_{lm} = E_{km}$  and because  $i_j \neq i_{j+1}$ .  
 $E_{kl}E_{lm} = 0$  if  $l > l_1$

Since  $F$  is free on  $X$ , we can define a homomorphism  $\theta: F \rightarrow U$  by  $x_{i_u}^\theta := g_{i_u}$  and for all the other  $x$ ,  $x^\theta := 1$ . This is a hom. because  $F$  is free.

and  $f^\theta \neq 1$ :  $f^\theta = g_{i_1}^{m_1} \dots g_{i_r}^{m_r}$ . since  $i_u \neq i_{u+1}$

Now note that  $g_j := \prod_{i=i_j+1}^{r+1} (1 + E_{i, i-1}) = 1 + \sum_{i=i_j+1}^{r+1} E_{i, i-1}$ , and  $g_i^p = 1 + p \cdot \sum_{i=i_j+1}^{r+1} E_{i, i-1}$ .

Hence,  $f^\theta = (1 + m_1 \sum_{i=i_1} E_{i, i-1}) \dots (1 + m_r \sum_{i=i_r} E_{i, i-1}) = 1 + (m_1 m_2 \dots m_r) \cdot E_{i_1 i_2} E_{i_2 i_3} \dots E_{i_r i_{r+1}} + \dots$

as  $p \nmid m_1, \dots, m_r$ , this is not trivial.

### Corollary (Magnus):

If  $F$  is any free group, then  $\bigcap_{i \geq 1} \gamma_i(F) = 1$  (lower central chain).

So  $F$  is residually-nilpotent.

This implies that  $F$  can be embedded in the unrestricted free product of  $F/\gamma_i(F)$ .

~~Pl~~  $\forall N \triangleleft F$ ,  $F/N$  a finite  $p$ -group, then  $F/N$  is nilpotent, so  $\gamma_{c+1}(F) \subseteq N$  for some  $c$ . As  $\bigcap N = 1$ , we're done. //

Corollary: Also,  $\bigcap_{i \geq 1} F^{(i)} = 1$ , because  $F^{(i)} \subseteq \gamma_{2^i}(F)$

### §8. Free Products.

In the category Grp, the product of a set  $\{G_\lambda : \lambda \in \Lambda\}$  is the cartesian product (or the unrestricted direct product)  $\prod G_\lambda$ .

What is (if exists) the coproduct?

Formally, a coproduct of  $\{G_\lambda : \lambda \in \Lambda\}$  in Grp is a group  $G$  and a collection of homomorphisms  $i_\lambda : G_\lambda \rightarrow G$  st they have the mapping property:

given homs  $\varphi_\lambda : G_\lambda \rightarrow H$  ( $H$  some gp). Then  $\exists!$  homomorphism  $\varphi : G \rightarrow H$ ,

st.  $i_\lambda \varphi = \varphi_\lambda \quad \forall \lambda \in \Lambda$

$$\begin{array}{ccc} G_\lambda & \xrightarrow{i_\lambda} & G \\ \varphi_\lambda \searrow & & \swarrow \exists! \varphi \\ & H & \end{array}$$

(if exists, then unique w.r.t. unique iso)

For example, suppose we have a group  $J$  generated by subgroups  $G_\lambda, \lambda \in \Lambda$ .

Let  $\varphi_\lambda : G_\lambda \rightarrow J$  be the inclusion. Hence  $\exists!$  homs.  $\varphi : G \rightarrow J$ .

Note that then  $\varphi$  needs to be surjective (because  $J$  is generated by the  $G_\lambda$ ).

In some sense,  $G$  should be the "largest" group that can be generated by

$G_\lambda$ 's.

We call such a coproduct the free product of  $\{G_\lambda\}$ .

Theorem: let  $\{G_\lambda\}_{\lambda \in \Lambda}$  be any non-empty set of groups. Then a free product of  $\{G_\lambda\}$  exists.

pf We will construct such thing.

We can assume that  $G_\lambda \cap G_\mu = \{1\} : \lambda \neq \mu$  (by replacing, if necessary, some of the  $G_\lambda$  by suitable isomorphic copies).

Consider now  $S$  to be the set of all words in  $\bigcup_{\lambda \in \Lambda} G_\lambda$ , i.e. finite sequences of elements of  $G_\lambda$ :  $g = g_1 g_2 \dots g_r$ ,  $g_i \in G_{\lambda_i}$ ,  $\lambda_i \in \Lambda$ .

We allow the empty word 1.

Form the product of two words  $g, h$  by juxtaposition, with  $1g = g1 = g$ .

Then  $S$  is a monoid.

Now we introduce a relation on  $S$ ,  $\sim$ :

$g \sim h$  if one can pass from  $g$  to  $h$  by a finite number of operations of the following types:

- (i) Insertion or deletion some identity element  $1_{G_\lambda}$ .
- (ii) Replacement of two consecutive elements of the word in the same  $G_\lambda$  by their product  $\dots g_i g_j \dots \rightarrow \dots (g_i g_j) \dots$  or the reverse operation.

Then  $\sim$  is an equivalence relation on  $S$ . Write  $[g]$  for the eqv class of  $g \in S$ .

Define  $G := \{[g] \mid g \in S\}$ , and a group operation:  $[g][h] := [gh]$ .

Note that  $g \sim g', h \sim h'$  then  $[gh] = [g'h']$ . ( $\Rightarrow$  gp op. well-defined).

Define also, if  $g = g_1 \dots g_r$ ,  $g^{-1} = g_r^{-1} \dots g_1^{-1}$ .

$G$  becomes a group, with  $[1]$  its identity, and  $[g]^{-1} = [g^{-1}]$ .

Define the maps  $i_\lambda: G_\lambda \rightarrow G$  by, if  $x \in G_\lambda$ ,  $x^{i_\lambda} := [x]$ .

Check that  $i_\lambda$  are gp homomorphisms, but need to check how the mapping property. ↓

Now we check the coproduct property: let  $\{\varphi_\lambda: G_\lambda \rightarrow H\}$  be a family of homs into  $H$ .

Define  $\varphi: G \rightarrow H$  by, for  $[g] \in G$ ,  $g \in S$ ,  $g = g_1 \cdots g_r$ ,  $g_i \in G_{\lambda_i}$ ,

$$[g]\varphi = g_1^{\varphi_{\lambda_1}} \cdots g_r^{\varphi_{\lambda_r}} \in H.$$

The hom.  $\varphi$  is well defined, since by applying the operations of  $\text{Epp}$  (1), (2) don't make any difference (and is a homomorphism, by how it's defined).

$$\begin{array}{ccc} G_\lambda & \xrightarrow{i_\lambda} & G \\ \varphi_\lambda \downarrow & \searrow \varphi & \\ & H & \end{array} \quad \forall \lambda, \text{ let } x \in G_\lambda. \text{ Then} \\ x^{i_\lambda \varphi} = [x]\varphi = x^{\varphi_\lambda} \Rightarrow i_\lambda \varphi = \varphi_\lambda.$$

Suppose now that  $\varphi': G \rightarrow H$  is another hom. making the triangle commute.

Then  $\varphi$  and  $\varphi'$  agree on the image of  $i_\lambda$ ,  $\text{Im } i_\lambda \subseteq G$ .

But  $\langle \text{Im}(i_\lambda) \mid \lambda \in \Lambda \rangle = G$  because if  $g = g_1 \cdots g_r$ , then

$$[g] = [g_1] \cdots [g_r] \text{ and } [g_i] = i_{\lambda_i}(g_i) \Rightarrow \varphi \text{ is unique.}$$

Hence  $(G, \{i_\lambda\})$  is the coproduct in  $\text{Gr}$ .

### Notation for free products

We write  $\text{Fr}_{\lambda \in \Lambda} G_\lambda$  for the free product of  $\{G_\lambda\}_{\lambda \in \Lambda}$ .

But if  $\Lambda = \{1, 2, \dots, n\}$  we write  $G_1 * G_2 * \cdots * G_n$  for the free product.

Rk: if each  $G_\lambda = \langle g_\lambda \rangle \cong \mathbb{Z} \quad \forall \lambda \in \Lambda$ , then  $\text{Fr}_\lambda G_\lambda$  is free on  $\{g_\lambda \mid \lambda \in \Lambda\}$ .

# Reduced words and Normal form in free products.

Let  $G = \text{Fr}_{\lambda \in \Lambda} G_\lambda$ , just constructed.

Def A word  $w = (g = g_1 \dots g_r)$  in  $\bigcup_\lambda G_\lambda$  is called reduced if it contains no identity elements, and if  $g_i, g_{i+1}$  belong to different  $G_\lambda$ 's ( $\forall i$ ). ( $\lambda_i \neq \lambda_{i+1}$ ).

The empty word is considered reduced.

Clearly, every equivalence class contains a reduced word.

How we prove that there is only one reduced word in each class?

Theorem: Each equivalence class of words in  $\bigcup_\lambda G_\lambda$  contains a unique reduced word.

Pf Suppose  $g, h$  are two equivalent reduced words. Want to show  $g=h$ .

Introduce the set  $R$  of all reduced words in  $\bigcup_\lambda G_\lambda$ .

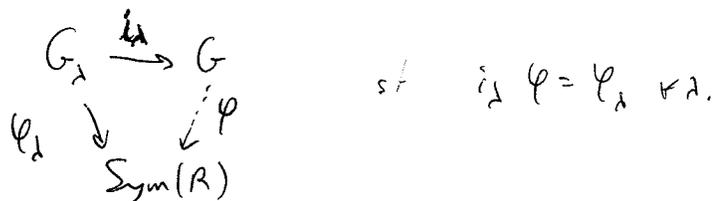
Let  $u \in G_\lambda$ , and define  $u' \in \text{Sym}(R)$  as follows:

• if  $u = 1_{G_\lambda}$ , then  $u' = 1_{\text{Sym}(R)}$ .

• if  $u \neq 1_{G_\lambda}$ , w  $x_1, x_2, \dots, x_r \in R$ , then  $(x_1 \dots x_r)u' = \begin{cases} x_1 \dots x_r u & \text{if } \lambda_r \neq \lambda \\ x_1 \dots x_{r-1} (x_r u) & \lambda_r = \lambda \\ & x_r u \neq 1 \\ x_1 \dots x_{r-1} & \lambda_r = \lambda, x_r u = 1 \end{cases}$

(note that  $(u')^{-1} = (u^{-1})'$ , so  $u' \in \text{Sym}(R)$ ).

Let  $\varphi_\lambda: G_\lambda \rightarrow \text{Sym}(R)$  be  $u \mapsto u'$  ( $u \in G_\lambda$ ).



Write  $g = y_1 \dots y_s$ ,  $y_i \in G_{\lambda_i}$ ,  $\lambda_i \in \Lambda$ . Then  $[g] = [y_1] \dots [y_s]$ ,

and  $[g]^\varphi = [y_1]^\varphi \dots [y_s]^\varphi = y_1^{i_{\lambda_1} \varphi} \dots y_s^{i_{\lambda_s} \varphi} = y_1^{\varphi_{\lambda_1}} \dots y_s^{\varphi_{\lambda_s}} = y_1' \dots y_s'$ .

Hence,  $[g]^\varphi$  sends  $1$  to  $(1) y_1' \dots y_s' = y_1 \dots y_s = g$ .

But  $[g] = [h]$ , then  $g=h$ .

Let now  $[g] \in \text{Fr } G_\lambda$ , where  $g$  is the unique reduced word in  $[g]$ .

Then,  $g = g_1 g_2 \dots g_r$ , so  $g_i \in G_{d_i} (d_i \in \Lambda)$ . Here,  $d_i \neq d_{i+1}$ , and  $g_i \neq 1_{G_{d_i}}$ ,  $r \geq 0$ .

This is a normal form in  $\text{Fr } G_\lambda$ .

Conversely,

Theorem: Let  $G$  be a group, and let  $\{G_\lambda\}_{\lambda \in \Lambda}$  be a collection of subgroups of  $G$ ,

such that each element  $g \in G$  has a unique expression as

$$g = g_1 g_2 \dots g_r, \quad g_i \in G_{d_i} (d_i \in \Lambda), \quad g_i \neq 1, \quad d_i \neq d_{i+1}, \quad r \geq 0.$$

Then:  $G \cong \text{Fr } G_\lambda$ .

Pf Apply the mapping property of the coproduct to  $G_\lambda \xrightarrow{i_\lambda} G$ .

Get an hom.  $\theta: \text{Fr } G_\lambda \rightarrow G$ , which is surjective since  $G = \langle G_\lambda \mid \lambda \in \Lambda \rangle$ .

Suppose  $[g] \in \text{Fr } G_\lambda$ ,  $g = g_1 \dots g_r$  in normal form.

Then  $[g]^\theta = g_1 \dots g_r$  in  $G$ . If  $[g]^\theta = 1$ , then  $g_1 \dots g_r = 1$ .

As the  $g_i$  was already in normal form, it has to be the empty word.

Examples:

1. If  $G_\lambda \cong \mathbb{Z}$ , then  $\text{Fr } G_\lambda$  is a free group on  $\Lambda$  (follows from the mapping property).
2. Let  $G = \langle x \rangle$ ,  $H = \langle y \rangle$ , of order 2 each. Then  $G * H = \langle x, y \mid x^2, y^2 \rangle = D_{2k}(\infty)$ .
3.  $\text{PSL}_2(\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z} * \mathbb{Z}/3\mathbb{Z}$  (Fuchs & Klein).

Recall  $\text{SL}_2(\mathbb{Z}) = \{A \in \text{GL}_2(\mathbb{Z}), \det A = 1\}$ .  $Z(\text{SL}_2(\mathbb{Z})) = \{\pm 1\}$ .  $\text{PSL}_2(\mathbb{Z}) = \frac{\text{SL}_2(\mathbb{Z})}{\{\pm 1\}}$

Let  $A = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ ,  $B = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$ .

Note that  $A^2 = -I$ ,  $B^3 = -I$ .

Define  $M = \langle A, B \rangle \leq \text{SL}_2(\mathbb{Z})$ . To show that actually  $M = \text{PSL}_2(\mathbb{Z})$ , suppose not.

Choose  $X = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) \setminus M$ , s.t.  $|a| + |c|$  is minimal. 2

(cont of  $PSL_2(\mathbb{Z}) \cong \mathbb{Z}_2 * \mathbb{Z}_3$ )

Note that  $AB = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , and  $BA = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$ . So  $(AB)^r = \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$ ,  $(BA)^r = \begin{pmatrix} 1 & 0 \\ -r & 1 \end{pmatrix}$

Assume first that  $a \neq 0, b \neq 0$

If  $|a| \geq |c|$ , we can choose  $r$  s.t.  $|a+rc| < |a|$ . Then,

~~if~~  $(AB)^r X = \begin{pmatrix} a+rc & b+rd \\ c & d \end{pmatrix}$ , and  $|a+rc| + |c| < |a| + |c| \Rightarrow \dots \Rightarrow X \in H \Rightarrow X \in H \Rightarrow !!$

If  $|a| < |c|$ , then choose  $s$  s.t.  $|sa+c| < |c|$ . Then,

$(BA)^{-s} X = \begin{pmatrix} a & b \\ sa+ca & sb+cd \end{pmatrix} \Rightarrow !!$

Hence  $a=0$  or  $c=0$ . ( $a=b=0$  cannot occur, because  $\det X = 1$ ).

If  $a=0$ , then  $X = \begin{pmatrix} 0 & 1 \\ -1 & d \end{pmatrix}$  or  $X = \begin{pmatrix} 0 & -1 \\ 1 & d \end{pmatrix}$ , in which cases we have:

$X = BA^2(AB)^{-d-1}$ , or  $X = B(AB)^{-d-1} \Rightarrow X \in H \Rightarrow !!$

If  $a \neq 0, c=0$ , then  $X = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} -1 & b \\ 0 & -1 \end{pmatrix}$  and we get that both of them  $\in H \Rightarrow !!$  ( $X = (AB)^b, X = A^2(AB)^b$ ).

So  $SL_2(\mathbb{Z}) = \langle A, B \rangle$ .

Define  $A \mapsto \bar{A}, B \mapsto \bar{B}$  under the canonical mapping  $SL_2(\mathbb{Z}) \rightarrow \frac{SL_2(\mathbb{Z})}{\{\pm I\}} = PSL_2(\mathbb{Z})$

Note that  $A^2 = -I = B^3$ , so  $|\bar{A}| = 2, |\bar{B}| = 3$ , and  $PSL_2(\mathbb{Z}) = \langle \bar{A}, \bar{B} \rangle$ .

By the mapping property of the coproduct, we get a surjective homomorphism

$\theta: \langle \bar{A} \rangle * \langle \bar{B} \rangle \rightarrow PSL_2(\mathbb{Z})$  have orders  $\begin{cases} \langle \bar{A} \rangle = 2 \\ \langle \bar{B} \rangle = 3 \end{cases}$   
(with  $a\theta = \bar{A}, b\theta = \bar{B}$ ).

Suppose  $1 \neq x \in \ker \theta$ . Since  $a^2 = b^3 = 1$ ,  $x$  is a product of  $ab$ 's and  $ab^2$ 's, with perhaps a  $b$  or  $b^2$  on the left, or an  $a$  on the right.

We can assume not both happen, because we could conjugate it to get another element in  $\ker \theta$ .

Then, would have  $(B \text{ or } B^2) \cdot (AB)^r \overbrace{(AB^2)^s \dots (A)^c} = \pm I, r, s \geq 0$

But  $(AB)^r = \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}, (AB^2)^s = \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix}$ . Then  $C$  has all nonnegative entries, and  $C \neq \pm A, \text{ or } \pm B \Rightarrow$  injective  $\pm B^2$  or  $\pm I$

Exercise: Show that  $SL_2(\mathbb{Z}) = \langle x, y \mid x^2 = y^3, x^4 = 1 \rangle$

Properties of free products

Theorem: Let  $G = \text{Fr}_{\lambda \in \Lambda} G_\lambda$

and with  $g_i \neq g_i^{-1}$

- (i) Let  $g \in G$  have the normal form  $g = g_1 \dots g_n, n > 1$ . Then  $g$  has infinite order.
- (ii) If  $g \in G$  has finite order, then some conjugate of  $g$  belongs to one of the  $G_\lambda$ 's.

pf

(i) we can assume that  $g_1$  and  $g_n$  belong to different  $G_\lambda$ 's

(otherwise, can form the conjugate  $g_n g g_n^{-1}$  (has length still  $> 1$ )).  $\leftarrow$  if  $n=2$ , then  $g_1$  and  $g_2$  cannot belong to the same  $G_\lambda$ , else!

Then,  $g^m = g_1 \dots g_n g_1 \dots g_n \dots g_1 \dots g_n$  is in normal form  $\Rightarrow$  infinite order //

(ii) Suppose that the order of  $g$  is finite. Write  $g = g_1 \dots g_n$  in normal form

If  $g_1$  and  $g_n$  belong to the same  $G_\lambda$ , then replace  $g$  by  $g_n g g_n^{-1}$ , which doesn't change its order.

So assume  $g_1$  and  $g_n$  don't belong to the same  $G_\lambda$ .

Then  $g^m = \dots \rightarrow$  can never be  $1 \Rightarrow !!$  //

Corollary: If each  $G_\lambda$  is torsion-free, then  $\text{Fr}_{\lambda \in \Lambda} G_\lambda$  is torsion-free.

The Kuroš Subgroup Theorem

Let  $H$  be a subgroup of a free product  $\text{Fr}_{\lambda \in \Lambda} G_\lambda$ . Then:

$$H = H_0 * \text{Fr}_{\lambda, d_\lambda} (H \cap G_\lambda^{d_\lambda^{-1}})$$

where  $H_0$  is a free group

$d_\lambda$  belong to a set of  $(H, G_\lambda)$  double coset representatives.

(i.e.  $G = \bigcup_{\lambda, d_\lambda} H d_\lambda G_\lambda$ ).

and  $\lambda \in \Lambda$ , and  $d_\lambda$  run over all the double coset representatives of  $(H, G_\lambda)$ .

(exact statement of Kurosh's system).

Furthermore, suppose that  $|G:H| = m < \infty$ . Then, the rank of the free group  $H_0$

$$\text{is } \sum_{\lambda \in \Lambda} (m - m_\lambda) + 1 - m$$

where  $m_\lambda$  is the number of  $(H, G_\lambda)$  double cosets in  $G$ .

Examples

- 1. If  $G_\lambda \cong \mathbb{Z}$ , then this reduces to Nielsen-Schreier.
- 2. Suppose that each  $G_\lambda$  is abelian, then  $H$  is also a free product of abelian groups (hence this is a subgroup-closed class).
- 3. What are the finite subgroups of  $PSL_2(\mathbb{Z})$ ?

$PSL_2(\mathbb{Z}) \cong \mathbb{Z}_2 * \mathbb{Z}_3$ . Let  $M \leq PSL_2(\mathbb{Z})$ , with  $M$  finite.

Then  $H_0 = \{1\}$  (because  $M$  is finite!).

Reading the theorem, then  $H \cong 1, \mathbb{Z}_2, \mathbb{Z}_3$ .

[Can find the proof of the thm in D. Robinson's book.]

Generalized Free Products.

Consider a family of groups  $\{G_\lambda, \lambda \in \Lambda\}$ , and let  $\varphi_\lambda: H \rightarrow G_\lambda$ ,

where  $H$  is some group, and assume  $\varphi_\lambda$  are all injective.

So  $H \cong \text{Im}(\varphi_\lambda) \leq G_\lambda$ .

Def: The generalized free product or free product with amalgamated subgroup  $H$  determined by the previous data is defined to be  $G = F/N$

where  $F = \text{Fr}_{\lambda \in \Lambda} G_\lambda$  and  $N = \langle (h \varphi_\lambda)^{-1} (h \varphi_\mu) : h \in H, \lambda, \mu \in \Lambda \rangle$   $F \cong$  normal closure of  $N$  in  $F$ .

So  ~~$H/N$~~  So  $H^{\psi_1} N = H^{\psi_2} N$  in  $G$

$\therefore$  all the  $H^{\psi_i} N$  are identified.

The simplest case is  $\Lambda = \{1, 2\}$ , so  $F = G_1 * G_2$ , and will write

$$G = G_1 *_H G_2 \quad (\text{we omit the maps } \psi_1: H \rightarrow G_1, \psi_2: H \rightarrow G_2).$$

OR we can think of  $H \leq G_1$ , and  $\psi: H \rightarrow G_2$ , and write  $G_1 *_H G_2$ .

Example:

Consider  $G = SL_2(\mathbb{Z})$ . We show that  $G = \langle A, B \rangle$ , where  $A^2 = -I = B^3$ ,

so  $|A| = 4, |B| = 6$ .

We can see  $G = \langle a, b \rangle = \mathbb{Z}/4 * \mathbb{Z}/6 / \langle a^2 b^3 \rangle^F$

Write from now on  $A^2 = B^3 = C$  (i.e.  $C = -I$ ). ( $C^2 = 1$ )

We look for a "normal form" in  $G$ .

Note that, as  $C \in Z(G)$  (it's a power of each generator), then

we can write any element of  $G$  in the form

$$C^k A^{j_1} B^{k_1} A^{j_2} B^{k_2} \dots A^{j_r} B^{k_r} \quad \text{where } \begin{cases} j_i \in \{0, 1\} \\ k_i \in \{0, 1, 2\} \end{cases}$$

This is unique up to trivial factors, since  $G/\langle C \rangle \cong PSL_2(\mathbb{Z}) = \langle \bar{A} \rangle * \langle \bar{B} \rangle$ .

This is an instance of a normal form for  $G_1 *_H G_2$ .

Normal Form in a Generalized Free Product.

Given groups  $G_\lambda$  ( $\lambda \in \Lambda$ ),  $H$ , and injective homs  $\psi_\lambda: H \rightarrow G_\lambda$ .

Write  $F = \prod_{\lambda \in \Lambda} G_\lambda$ ,  $G = F/N$  (generalized free product),  $N = \langle (h^{\psi_\lambda})^{-1} h^{\psi_\mu} \mid \lambda, \mu \in \Lambda, h \in H \rangle^F$ .

For each  $\lambda \in \Lambda$ , choose a right transversal to  $H^{\psi_\lambda} (\cong G_\lambda)$  in  $G_\lambda$ .

Let the coset rep. of  $H^{\psi_\lambda}$  be called  $\bar{g}_\lambda$ , with  $H^{\psi_\lambda}$  having representative  $1_{G_\lambda}$ .

Take now any  $f \in F$ . Then  $f = u_1 u_2 \dots u_r$ , in normal form in  $F$ ,  
s.  $u_i \in G_{d_i}$ , and  $d_i \nmid d_{i+1}$ ,  $u_i \neq 1_{G_{d_i}}$ .

Define a sequence of elements  $g_1, \dots, g_r$ , where  $g_i \in G_{d_i}$  by:

Start with  $g_r := u_r$ . Then, write  $g_r = h_r^{\psi_r} \bar{g}_r$  ( $h_r \in H$ ).

Then  $f = u_1 \dots u_{r-1} h_r^{\psi_r} \bar{g}_r$ . Write from now on  $\psi_i := \psi_{d_i}$ .

As then  $h_r^{\psi_r} \equiv h_r^{\psi_{r-1}} \pmod{N}$ .

$$\text{So } f \equiv u_1 u_2 \dots (u_{r-1} h_r^{\psi_{r-1}}) \bar{g}_r \pmod{N}.$$

As  $u_{r-1} h_r^{\psi_{r-1}} \in G_{d_{r-1}}$ , we can write  $u_{r-1} h_r^{\psi_{r-1}} = h_{r-1}^{\psi_{r-1}} \bar{g}_{r-1}$  where  $\begin{cases} \bar{g}_{r-1} = u_{r-1} h_r^{\psi_{r-1}} \\ h_{r-1} \in H \end{cases}$

$$\therefore f \equiv u_1 \dots u_{r-2} (h_{r-1}^{\psi_{r-1}} \bar{g}_{r-1}) \bar{g}_r \pmod{N}.$$

$$\dots \equiv u_1 \dots (u_{r-1} h_{r-1}^{\psi_{r-1}}) \bar{g}_{r-1} \bar{g}_r \pmod{N}.$$

Eventually, we get  $f \equiv h^{\psi_1} \bar{g}_1 \dots \bar{g}_r \pmod{N}$

where each of the  $\bar{g}_i$  are transversal elements, which can be assumed to be  $\neq 1$   
and  $h^{\psi_i} \in H^{\psi_i}$ .

Def A normal form of the element  $f \in F$  wrt  $\{\psi_d: H \rightarrow G_d \mid d \in \Lambda\}$  and  
right transversals to  $H^{\psi_d}$  in  $G_d$  is a formal expression:

$$h^{\psi_1} \bar{g}_1 \dots \bar{g}_r \quad \text{where } \begin{cases} h \in H \\ g_i \in G_{d_i}, \bar{g}_i \text{ ext. rep. of } H^{\psi_{d_i}} g_i. \end{cases}$$

and  $d_i \nmid d_{i+1}$ ,  $\bar{g}_i \neq 1$ .

$$\text{Such that } f \equiv h^{\psi_1} \bar{g}_1 \dots \bar{g}_r \pmod{N}.$$

We've just proven that normal forms exist. We need a uniqueness theorem, though.



Theorem: For each element  $f$  in  $F = \text{Fr } G_\lambda$  has a unique normal form w.r.t  
 the injection hom  $\varphi_\lambda: H \rightarrow G_\lambda$  and corresponds to  $H^{\varphi_\lambda}$  in  $G_\lambda$ .

~~Pf~~ we just need to prove uniqueness.

Just sketch (similar to the other two notions - free product, generalized free prod -).

Construct a permutation representation of  $F/N = G$ , on the set of all normal forms of elements of  $F$ .

Corollary: There are subgroups  $\bar{H}, \bar{G}_\lambda$  of the generalized free product  $G$

Such that:

i)  $\bar{H} \cong H$

ii)  $\bar{G}_\lambda \cong G_\lambda$

iii)  $G = \langle \bar{G}_\lambda \mid \lambda \in \Lambda \rangle$

iv)  $\bar{G}_\lambda \cap \langle \bar{G}_\mu \mid \mu \neq \lambda \rangle = \bar{H}$ .

~~Pf~~ Define  $\bar{H} = H^{\varphi_\lambda} N / N$  (indep of  $\lambda$  because of  $N$ )

$\bar{G}_\lambda = G_\lambda N / N$

Note that  $\bar{H} \cong H$ , since  $H^{\varphi_\lambda} \cap N = 1$ . (by uniqueness of the normal form).

For the same reason,  $\bar{G}_\lambda \cong G_\lambda$ .

The rest is easy.

Notation:

We identify from now on  $h$  with  $h^{\varphi_\lambda} N / N$ , and  $g_\lambda$  with  $g_\lambda N / N$ , so

$\bar{H} = H, \bar{G}_\lambda = G_\lambda$ .

If  $f \in F$ , then we can identify  $fN$  with the normal form for  $f$ .

Theorem: Let  $G$  be the generalized free product of  $\{G_\lambda\}$ , with amalgamating  $\bigvee_{\lambda \in \Lambda} H_\lambda$ .  $\varphi_\lambda: H \rightarrow G_\lambda$ .

- (i) If  $g \in G$  has the <sup>normal</sup> form  $g = h \bar{g}_1 \dots \bar{g}_r$  ( $\bar{g}_i$ : coset rep of  $H$  in  $G_{\lambda_i}$ ), and if  $\bar{g}_1, \bar{g}_r$  belong to different  $G_{\lambda_i}$ 's, <sup>(+1)</sup> <sup>(-1)</sup> then  $g$  has infinite order.
- (ii) If  $\exists \lambda_1, \lambda_2 \in \Lambda$  s.t.  $G_{\lambda_1} \neq H, G_{\lambda_2} \neq H$ , then  $G$  contains an element of infinite order.
- (iii) An element of  $G$  which has finite order is the conjugate of an element of some  $G_\lambda$ .

Plf: (i) & (iii) follow clearly from (i).

(i)  $g = h \bar{g}_1 \dots \bar{g}_r, \bar{g}_i \in G_{\lambda_i}, \lambda_i \neq \lambda_r$ .

Then  $g^2 = h \bar{g}_1 \dots \bar{g}_r h \bar{g}_1 \dots \bar{g}_r$

Note that  $\bar{g}_r h \in G_{\lambda_r}$ , as  $H \subseteq G_{\lambda_r}$ . Write  $\bar{g}_r h = h' \bar{g}'_r, \begin{cases} \bar{g}'_r \in G_{\lambda_r} \\ h' \in H \end{cases}$

$\therefore g^2 = h \bar{g}_1 \dots \bar{g}_r h' \bar{g}'_r \bar{g}_1 \dots \bar{g}_r \neq 1$ , and in similar way,  $g^m \neq 1 \forall m \geq 1$ .

Corollary: Any generalized free product of torsion-free groups is torsion-free.

Example:

$F = \langle x \rangle * \langle y \rangle, |x|=4, |y|=6$ . Then form  $SL_2(\mathbb{Z}) = \langle x \rangle * \langle y \rangle$   
 $x^2=y^3$

$\Lambda = \{1, 2\}, H = \langle h \rangle, |h|=2$ .

$\varphi_1: H \rightarrow \langle x \rangle$   
 $h \mapsto x^2$

$\varphi_2: H \rightarrow \langle y \rangle$   
 $h \mapsto y^3$

We have to choose transversals:

to  $\langle x^2 \rangle$  in  $\langle x \rangle: \{1, x\}$

to  $\langle y^3 \rangle$  in  $\langle y \rangle: \{1, y, y^2\}$

For example, will write  $g = x y x^3 y^2$  in normal form.

$g = x y (x^3) y^2 = x y (x^2 x) y^2 = x (y x^2) x y^2 = x (y y^3) x y^2 = x (y^3 y) x y^2 = (x y^3) y x y^2$   
 $= (x x^2) y x y^2 = (x^3) x y x y^2$  (normal form).

## HNN-extensions

Theorem: (G. Higman, B.H. Neumann, H. Neumann):

Let  $G$  be a group, with isomorphic subgroups  $H$  and  $K$ ,  $\theta: H \xrightarrow{\cong} K$ .

Then,  $G$  can be embedded in a group  $G^* = \langle t, G \rangle$ , where  
 $h^t = h^\theta \quad \forall h \in H$ . (So conjugation by  $t$  on  $H$  induces  $\theta$ ).

(we call  $G^*$  an HNN-extension of  $G$ ).

pf Let  $\langle u \rangle, \langle v \rangle$  be infinite cyclic groups, and 
$$\begin{cases} X := G * \langle u \rangle \\ Y := G * \langle v \rangle \end{cases}$$

Also, let  $L = \langle G, H^u \rangle \leq X$

$M = \langle G, K^v \rangle \leq Y$

Notice that each element of  $L$  is uniquely expressible in the form:

$g_1 h_1^u g_2 h_2^u \dots$  ( $g_i \in G, h_i \in H$ ) (thanks to the normal form in  $X$ ).

Hence  $L = G * H^u$ . Similarly,  $M = G * K^v$

Define a homomorphism  $\varphi: L \rightarrow M$  by 
$$\begin{cases} g^\varphi = g \\ (h^u)^\varphi = (h^\theta)^v \end{cases}$$

$\varphi$  is an isomorphism because it has an inverse.

Next, form the generalized free product of  $X$  and  $Y$  with  $L \stackrel{\varphi}{\cong} M$  identified:

$F := X *_{L \cong M} Y$

Note that  $G \leq F$  since  $G \leq L \cap M$ .

Let  $h \in H$ . Then, in  $F$ ,  $h^u = (h^u)^\varphi = (h^\theta)^v$ . So  $h^{uv^{-1}} = h^\theta$ . Put  $t = uv^{-1} \in F$ .

Let  $G^* = \langle t, G \rangle$ , and note that this solves the problem.

Remarks: If  $G$  is torsion-free, so is  $G^*$ .

Special case of HNN-exts: Ascending HNN-exts :

Let  $G$  be a group, and let  $\theta$  be an injective endomorphism (not surjective to be interesting).

So  $G \cong G^\theta \leq G$ . we can apply the HNN-theorem,

with  $H = G, K = H^\theta$ . we can form then the HNN-ext  $G^* = \langle t, G \rangle$ ,

with  $g^t = g^\theta, g \in G$ .

As  $G^t = G^\theta < G$ , then get  $G > G^t > G^{t^2} > \dots$  and also,  $G < G^{t^{-1}} < G^{t^{-2}} < \dots$

Let  $\bar{G} := \bigcup_{i \in \mathbb{Z}} G^{t^{-i}}$  and notice that  $\bar{G} \triangleleft G^*$ , and one can see that

$$G^* = \langle t \rangle \rtimes \bar{G}, \quad |t| = \infty, \quad \langle t \rangle \cap \bar{G} = 1.$$

Example:  $G = \mathbb{Z}, \theta: g \mapsto 2g$ . The HNN-ext:

$$G^* = \langle t, G \rangle = \langle t \rangle \rtimes \bar{G}, \quad \bar{G} = \bigcup_{i \in \mathbb{Z}} G^{t^{-i}}$$

Then  $\bar{G} \cong \{ \frac{m}{2^n} : m, n \in \mathbb{Z} \}$ , by  $(g^{t^{-n}})^m \mapsto \frac{m}{2^n}$ .

Also,  $G^* = \langle t, g \mid g^t = g^2 \rangle$  is  $\downarrow$ -presented metabelian ( $\bar{G}$  abelian,  $G^*/\bar{G}$  cyclic).

Embedding Theorems

Thm: Let  $G$  be a torsion-free group. Then,  $G$  can be embedded in a group  $\bar{G}$  in which every pair of non-trivial elements is conjugate. ( $\therefore$  class number = 2). Hence  $\bar{G}$  is simple.

Comment: If  $G$  is a finite group with class number  $h$ , then

$$|G| \leq f(h) \text{ for some function } f.$$

On the contrary, for infinite groups we see that having class number 2 doesn't tell much, because they could contain any torsion-free subgroup!

## Pf of Thm

First, well-order the set  $G \setminus 1 = \{x_\alpha \mid \alpha < \beta\}$  where  $\beta$  is an ordinal number.  
Put  $G_1 = G$ , and assume that we have constructed a chain of groups

$G_\gamma$ ,  $\gamma < \alpha$  for some  $\alpha$ , with  $G_{\gamma_1} \leq G_{\gamma_2}$  if  $\gamma_1 \leq \gamma_2$ .

Such that for each  $\gamma < \alpha$ , all  $x_{\gamma_1}$  for  $\gamma_1 < \gamma$  are conjugate in  $G_\gamma$ .

Show how to do it for  $\alpha$  (transfinite induction):

• If  $\alpha$  is a limit ordinal (has no predecessor).

Define  $G_\alpha := \bigcup_{\gamma < \alpha} G_\gamma$  and it clearly works:  $\gamma_1, \gamma_2 < \alpha$ , then  $x_{\gamma_1}, x_{\gamma_2} \in G_\gamma$  for some  $\gamma < \alpha$ .  
 $\Rightarrow$  conjugate in  $G_\gamma \Rightarrow$  conj in  $G_\alpha$ .

• If  $\alpha$  is not a limit ordinal, i.e.  $\alpha-1$  exists, and  $G_{\alpha-1}$  has been constructed.

Let  ~~$x_\alpha$~~   $x_{\gamma_1}, x_{\gamma_2} < \alpha$ . So  $\gamma_1, \gamma_2 \leq \alpha-1$ . Can assume that  $\gamma_2 = \alpha-1$ ,  
and so consider  ~~$x_\alpha$~~   $x_\gamma, x_{\alpha-1}$ :

Then  $\langle x_\gamma \rangle \cong \langle x_{\alpha-1} \rangle$

By the HNN-theorem,  $\exists$  an HNN set  $G_\alpha = \langle t, G_{\alpha-1} \rangle$  s.t.  $x_\gamma^t = x_{\alpha-1} \Rightarrow \checkmark$ .

So we've got a chain  $\{G_\alpha\}$ . Form the union  $\bigcup G_\alpha =: G^*$ .

Each pair of non-trivial elements of  $G$  are conjugated in  $G^*$ .

Finally, define another chain  $G = G(0) \leq G(1) \leq \dots$

by  $G(n+1) := G(n)^*$  ← this previous construction.

and  $\bar{G} := \bigcup_{n \geq 0} G(n)$ . If  $x \neq y \in \bar{G}$ , then  $x, y \in G(n)$  for some  $n$ .

So  $x, y$  are conjugate in  $G(n)^* = G(n+1) \Rightarrow$  conjugate in  $\bar{G}$ .



Theorem (by H.N.N also): Every countable group embeds in a two-generator group.

pf Let  $G = \{1 = g_0, g_1, g_2, \dots\}$  an enumeration.

Let  $F$  be free on  $\{a, b\}$  (rk 2), and form  $H := G * F$ .

Define two subgroups of  $H$ :

- $A := \langle a, a^b, a^{b^2}, \dots \rangle$
- $B := \langle b g_0, b^a g_1, b^{a^2} g_2, \dots \rangle$

Clearly, each nontrivial word in  $\{a, a^b, a^{b^2}, \dots\}$  cannot reduce to 1, because there will always be some  $b$ 's in between. So  $A$  is a free group on  $\{a, a^b, a^{b^2}, \dots\}$ .

Similarly,  $B$  is also free on  $\{b g_0, b^a g_1, \dots\}$  (even easier argument).

As the rank of both  $A$  and  $B$  is  $\aleph_0$ , there is an isomorphism

$$\varphi: A \rightarrow B \quad \text{by} \quad (a^{b^i})^\varphi := b^{a^i} g_i.$$

Form ~~the~~ NNN-extension  $G^* = \langle t, H \rangle$  where conjugation by  $t$  in  $A$  induces the map  $\varphi$ .

$$\text{Thus, } (a^{b^i})^t = (a^{b^i})^\varphi = b^{a^i} g_i.$$

Consider the sgp  $X := \langle a, t \rangle \leq G^*$ .

Certainly,  $a^t = a^\varphi = b g_0 = b$  as  $g_0 = 1$ .  $\Rightarrow b \in X$ .

$$\text{So } (a^{b^i})^t \in X, (a^{b^i})^t = b^{a^i} g_i \in X \Rightarrow g_i \in X \quad \forall i.$$

$$\therefore G \leq X.$$



We end the course with some related embedding problems:

[1] Let  $\underline{V}$  be a variety of groups, and let  $G$  be a countable group in  $\underline{V}$ . Then  $G$  can be embedded in a 2-generator group in the variety consisting of  $\underline{V}$ -by-metabelian groups ( $\exists N \triangleleft \bar{G}$  with  $N \in \underline{V}$ ,  $\bar{G}/N$  metabelian).

↳ (taking  $\underline{V}$  to be the variety of all groups, we get the previous theorem).

↳ taking  $\underline{V}$  to be the variety of abelian groups, then every countable abelian group embeds in a two-generator solvable group, of derived length  $\leq 3$ .

[2] Thm (The Higman Embedding Thm):

A finitely-generated group  $G$  can be embedded in a finitely-presented one if, and only if,  $G$  has a recursive presentation.

(i.e. the defining relations form a recursively enumerable set).

$\Rightarrow$  easy.

$\Leftarrow$  very hard.

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